EFFICIENT PLASMA PRODUCTION IN LOW BACKGROUND NEUTRAL
PRESSURES WITH THE M2P2 PROTOTYPE

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ABSTRACT

Mini-Magnetospheric Plasma Propulsion (M2P2) seeks the creation of a large-scale (10 km radius) magnetic wall or bubble (i.e. a magnetosphere) by the electromagnetic inflation of a small-scale (20 cm radius) dipole magnet. The inflated magnetosphere will intercept the solar wind and thereby provide high-speed propulsion with modest power and fuel requirements due to the gain provided by the ambient medium. Magnetic field inflation is produced by the injection of plasma onto the dipole magnetic field eliminating the need for large mechanical structures and added material weight at launch. For successful inflation of the magnetic bubble a beta near unity must be achieved along the imposed dipole field. This is dependent on the plasma parameters that can be achieved with a plasma source that provide continuous operation at the desired power levels of 1 to 2 kilowatts. Over the last two years we have been developing a laboratory prototype to demonstrate the inflation of the magnetic field under space-like conditions. In this paper we will present some of the latest results from the prototype development at the University of Washington and show that the prototype can produce high ionization efficiencies while operating in near space like neutral background pressures producing electron temperatures of a few tens of electron volts. This allows for operation with propellant expenditures lower than originally estimated.

INTRODUCTION

The need for cost effective solutions allowing for high mass fractions of payload to propellant for in space propulsion has lead to the development and utilization of plasma-based systems. Plasma thrusters are more efficient than chemical propulsion thus reducing propellant requirements but they suffer from low thrust levels. This limits the possible missions that may be undertaken with these thrusters to those of low mass and generally of long durations. The high power efficiencies realized by ion and Hall thrusters are due to the non-thermal plasma produced by an imposed electric field, but this leads to space charge limitations on the plasma density that can be maintained by the device, limiting overall thrust levels. Additionally, anode and cathode wear and sputtering are of concern as they may lead to degraded performance for longer missions.

Other plasma base propulsion concepts take advantage of inductive or wave coupled plasma sources, in particular helicon sources, that have been used widely in laboratory and industrial applications. Helicon sources are able to produce an order in magnitude increase in plasma densities without electrodes contacting the plasma. Unfortunately, most prior laboratory experiments have shown the plasmas produced by these sources to be thermal. Therefore, additional heating of the plasma ions is required to see efficiencies gains similar to Hall thrusters which adds to the overall power requirements to operate such a system.

The M2P2 concept attempts to couple with the ambient solar wind to provide an overall power efficiency gain >> 1 with thrust levels predicted by simulation of several Newtons. To accomplish this, plasma must be produced and injected along an imposed dipole magnetic field as has been previously described. Plasma parameters required for the M2P2 concept are similar to those produced by helicon plasma sources. The initial prototype design and experimental testing has been accomplished utilizing a helicon.

Helicon sources are typically operated in the laboratory setting by maintaining a constant neutral backfill of a desired level in the testing chamber on the order of several milli-Torr. In a space-based system, steep neutral pressure gradients would be present down stream of the ionization chamber were the plasma is exhausted into space. It is therefore necessary to ensure that helicon sources are able to run in a continuous manner in which neutrals are introduced into the system, efficiently ionized and the plasma is allowed to flow onto the dipole
field in as close to space like conditions as possible.

This paper discusses recent work at the University of Washington where plasma parameters were monitored as a function of neutral flow into the M2P2 system. The effect of rising neutral pressure throughout the entire chamber was also monitored. Results show that the M2P2 helicon source was able to efficiently ionize neutrals producing plasma temperatures and densities at the source similar to those seen in other experiments with high neutral pressures. But with low background neutral pressure plasma temperatures are seen to increase by at least a factor of two in the equatorial region.

Optimum ionization and power efficiencies were found by controlling the neutral inflow into the source region. Plasma temperatures increase in the equatorial region away from the helicon source suggesting addition coupling downstream of the helicon coil. This is confirmed by the use of an electron energy analyzer, which shows a large drift to the electron velocity distribution.

**EXPERIMENTAL ARRANGEMENT AND OPERATION**

The M2P2 prototype is shown in figure 1 and consists of a dipole magnet, and a half-helical helicon coil, which is secured around a 3 cm OD quartz tube. The quartz tube serves two purposes, first it allows for direct insertion of neutrals into the source region via a gas feed tube. Secondly, it provides protection for the helicon coil from the plasma so sputtering and coil degradation is not an issue.

Figure 2 is a detailed view of the helicon coil and quartz tube. The gas feed can be clearly seen at the center of the tube.

The coil is connected via an in vacuum 50 Ohm RF cable to a standard L matching network just outside the vacuum chamber. The coil is driven with a Henry Radio 3K Classic amplifier. The amplifier is able to provide a peak power output of 2000 watts. A fast puff valve and a high precision needle valve outside the chamber control neutral gas flow into the source. The experiment and data acquisition is run with Labview™ software allowing for gas puff parameters to be adjusted on microsecond time scales.

Figure 3 shows the placement of the prototype in the 4000-liter chamber at the University of Washington test facility. The prototype is hung in the vertical mid-plane and roughly 1/3 of the horizontal distance into the chamber. Electrical and gas feeds are brought to the prototype in one location to minimize the number of magnetic field lines that are intersected by any material.
The experiment is run in a pulsed mode with typical plasma shot lengths of one to ten milliseconds. In this manner, plasma parameters could be measured at the source and equatorial region of the dipole prior to build up of significant neutral pressures through out the chamber. A capacitive monometer was used to monitor neutral pressures in the chamber and determine neutral flow rates and optimum plasma ignition times. Figure 4 is capacitive monometer data for the 4000-liter chamber and shows the point of plasma ignition. The base resolution of monometer is 5x10^{-5} Torr. In the cases where backfill neutrals were introduced into the chamber, an ionization gauge was used for determination of pressures below 5x10^{-5} Torr. Neutral pressures in the chamber at the time of plasma ignition were calculated to be in the mid Torr. The base pressure for this chamber with current pumping capacity is 1x10^{-7} Torr. The ability to run at low neutral pressures allowed for a determination of the plasma characteristics in the most space like conditions possible as well as a characterization of neutral processes which play a key role in this type of discharge.

For typical shots, the plasma ignition was delayed from 20 to 40 ms after the gas puff. This is roughly the transit time of the gas thru the gas feed tube to reach the helicon source. Figure 3 shows that the ignition occurred at chamber pressures below the minimum detectable level of the capacitive manometer. Neutral pressures in the chamber at the time of plasma ignition were calculated to be in the mid 10^{-7} Torr. The base pressure for this chamber with current pumping capacity is 1x10^{-7} Torr. The ability to run at low neutral pressures allowed for a determination of the plasma characteristics in the most space like conditions possible as well as a characterization of neutral processes which play a key role in this type of discharge.

**PLASMA DIAGNOSTICS**

To determine plasma parameters a range of plasma diagnostics were used. Generally, the experiment utilized three main diagnostics. These were source and equatorial Langmuir probes, an equatorial electron energy analyzer and a spectrometer for line emission characterization of the source region. Measurements were typically taken at the helicon source region at the top of the dipole magnet and at the equatorial regions in the mid-plane of the experiment. Figure 5 illustrates the location of probes along with magnetic field strength at probe locations. Magnetic field line mapping from the helicon source region into the equator is also shown. Additionally, the prototype was able to rotate on its axis roughly 30 degrees in each direction. This was done so that azimuthal characteristics of the plasma could be studied.

**Source and Radial Langmuir Probes**

Double compensated Langmuir probes in the style commonly used in helicon experiments were located at both the source and equatorial regions. In each case, probe circuits were of a simple design with each probe biased into ion saturation using batteries or an isolated DC power supply. Current drawn through the probe circuit was measured with a floating oscilloscope. Electron temperatures were measured by changing the probe voltage from shot to shot to produce the characteristic I vs V curve. Reproducibility from shot to shot was verified to be good as measured with saturated Langmuir probes for several shots with the same operation parameters. Electron temperature is then calculated by exponential fit to the curve.
Plasma densities were calculated using the calculated plasma temperature

\[ I_p \approx n_e \cdot e \cdot A \cdot C_s \]  

(1)

where, \( I_p \) is the current in the Langmuir circuit, \( A \) is the probe area and \( C_s \) is the ion sound speed.

Figure 6 shows plasma density vs time for a saturated source probe and \( I \) vs \( V \) curve with fit for similar shot parameters.

Equatorial Electron Energy Analyzer

An electron energy analyzer was also constructed and placed in the equatorial region of the dipole to measure the electron distribution functions. Similar energy analyzers have been used to measure distribution functions in other helicon experiments with reliable results. The analyzer consisted of two grids and a collector. Figure 7, shows a schematic of the device and measuring circuit. The ground and retarding grids were made of stainless steel mesh with a grid spacing of 1.4 microns and a transparency of 60 percent. The grids and collector plate were isolated from one another with boron nitride washers approximately 1 mm in thickness.

Current collected at the plate was measured as a function of retarding grid voltage allowing determination of the distribution function. The plate battery voltage was 170 volts to ensure collection of all electrons passing thru the retarding grid. Data was collected shot to shot similar to the Langmuir data so that a current vs voltage curve could be developed. Typical data is shown in Figure 8. To determine the electron energy distribution function the data was fit to a 4th or 5th order polynomial and the curve was reconstruction using ten thousand data points. The order of polynomial was determine by the best fit to the data. This is to allow for a more accurate derivative of the current vs voltage curve. This method of curve reconstruction was also employed as noted in reference [9] with good results. However, this method is not optimal as slight changes in the slope of the curve can be removed by the fit, thereby removing the ability to see all the features of the distribution function.
In the future we plan on developing a high frequency and resolution sweeping circuit for the retarding grid voltage. This will enable a direct derivative of the I vs V curve without fitting. In any case, interesting information about the distribution function can still be seen using the reconstruction method. Figure 9 is a plot of the electron distribution function in the equatorial region obtained using the above mentioned method.

As evident from Figure 9, even the low-resolution data suggests a non-Maxwellian energy distribution. The peak of the distribution is at roughly 130 eV suggesting that the electron have a non-zero mean velocity. Fitting of the energy distribution to analytic forms for the distribution function that included a non-zero electron drift was conducted and will be discussed in the results section.

**RESULTS**

Table 1 details the typical operating and plasma conditions at the source and equatorial locations using argon. Typical shot lengths were from one to ten milliseconds. These conditions are without the addition of neutrals in the equatorial region, with mean free paths calculated using the chamber base pressure of $10^{-7}$ Torr. Here power output is maximized at the source region for 500 Gauss source field. It should be noted that dramatic increase in equatorial densities has been seen in a new experimental configuration.

<table>
<thead>
<tr>
<th>Source</th>
<th>Equator</th>
</tr>
</thead>
<tbody>
<tr>
<td>Magnetic Field Strength (G)</td>
<td>500</td>
</tr>
<tr>
<td>Helicon Input Power (W)</td>
<td>2000</td>
</tr>
<tr>
<td>Plasma Density (m$^{-3}$)</td>
<td>$\sim 2 \times 10^{18}$</td>
</tr>
<tr>
<td>Electron Temperature (eV)</td>
<td>7 - 12</td>
</tr>
<tr>
<td>Argon Sound Speed (km/s)</td>
<td>4</td>
</tr>
<tr>
<td>Plasma beta</td>
<td>$8 \times 10^{-3}$</td>
</tr>
<tr>
<td>Alfvén Speed (km/s)</td>
<td>500</td>
</tr>
<tr>
<td>Mean Free Path (MFP) for Ionization (m)</td>
<td>$\sim .1$</td>
</tr>
<tr>
<td>MFP Electron-Ion (m)</td>
<td>$\sim .5$</td>
</tr>
<tr>
<td>MFP Neutral-Ion (m)</td>
<td>$\sim .01$</td>
</tr>
</tbody>
</table>

**Source Plasma Output and Ionization Efficiencies**

For operation of the prototype in space, the helicon source must be able to run efficiently while limiting gas flow rates to realistic levels. For a clear understanding of dipole confinement and flux inflation it is also necessary to limit neutral pressures in the outer region of the dipole to as near to space like as possible with the current experimental arrangement. This requires that at the helicon source neutral pressures be on the order of several to tens of
milli-Torr for effective source operation, without allowing the neutral pressure in the outer region of the dipole to become large. Typical helicon experiments are not operated in this manner, so it was necessary to design the experiment to verify operation under these conditions.

Figure 10 details helicon source density as a function of input flow rate. Density increases to a peak value in source as the flow rate approaches 125 sccm, then decrease rapidly. Source temperatures were found to remain approximately in the 7 to 10 eV range for all flow rates. Since the density is only weakly dependent on temperature, the peak corresponds to the maximum source plasma power output.

Data in Figure 10 implies that below 125 sccm, available power from the source is coupled into ionization. As flow rate is increased beyond 125 sccm, maximum power coupling has been reached and additional source neutrals enhance plasma loss via charge exchange, lowering the density output. This can be seen in Figure 11, which shows plots of ionization efficiency vs flow rate with and without wall losses. It is seen that maximum ionization efficiency is at the lowest possible flow rate and decreases with increasing flow rate. When the source is operated in the most efficient mode for ionization, greater that 40% of the input neutral gas is ionized to plasma, which then flows out of the source and into the dipole equatorial region. When plasma loss to the quartz wall is considered using

\[ I_p \approx \frac{1}{2} n_e \cdot e \cdot U_b \cdot A_w \]  

where, \( A_w \) is the total wall area of the quartz tube and \( U_b \) is the ion Bohm velocity and \( n_e \) is the peak plasma density, then almost 100% of the input neutral gas is initially ionized.

The above data indicates that the helicon source has high ionization efficiencies when operated in the correct manner. The data also suggests that higher densities are possible with increased power levels at high ionization efficiencies. Figure 12 shows helicon source density vs power levels. Densities continue to rise with increased input power for fixed input shot parameters. By increasing source power for corresponding flow levels could allow for increased densities while maintaining high
ionization efficiencies. This would allow for increased plasma betas in the source and equatorial regions.

Figure 12. Helicon source density vs input power. Overall density levels at the source are 2-3 times larger than previous shows densities due to use of high magnetic field for this study.

Equatorial Plasma Langmuir Profiles and Neutral Dependencies

Plasma profiles for equatorial region of the dipole were studied with and without the addition of a neutral backfill into the chamber. This was conducted to determine the downstream effect of neutrals away from the helicon coil where peak power coupling is typically seen in other helicon experiments. Figure 13 is the temporal current profile of the equatorial Langmuir probe.

Figure 13. Equatorial Langmuir current.

Density is seen to grow as plasma fills in the dipole and reaches a maximum value in 600 microseconds. At this point plasma inflow is matched by plasma loss and density becomes constant.

Figure 14 is the equatorial electron temperature temporal profile for a 4 ms shot length. The electron temperature is 25 eV and remains constant during the shot. This result supports the theory that more energy is coupled into the plasma down stream from the helicon coil. This turns out to be beneficial effect. The major loss channels are reduced in this region, since there are no boundaries and the neutral density is low.

Figure 14. Equatorial electron temperature profile.

To determine the effect of neutrals in the equatorial region, the chamber was backfilled with argon gas prior to each plasma shot. The backfill was controlled and monitored with an ionization gauge to ensure the same neutral pressure for each series of data. Figure 15 is the compilation of that data and contains a plot of electron temperature and density as a function of neutral backfill pressure. Data was collected over five orders of magnitude in pressure. The electron temperature is found to decrease in a log of increasing neutral pressure, ending in a value just below 10 eV at a 1 milli-Torr backfill pressure. Most helicon experiments operate with a backfill of several milli-Torr to several tens of milli-Torr and report electrons temperatures in the 5 eV range. Even more interesting, is the plasma density as a function of fill pressure. The density initially decreases with increasing pressure, but rises sharply at $10^{-6}$ Torr. At this pressure, the
mfp for ionization is now decreasing to scale lengths on order of tens of meters, falling to just over .5 meters at 1 milli-Torr. The increased density is due to secondary plasma production by the ionization of neutrals in the equatorial region. This is a large energy sink for the plasma as ionization reoccurs using electrons generated at the source. This energy loss coupled with increasing losses due to charge exchange reduces the temperature in the region.

From Figure 15, it is seen that if neutral pressures downstream of the source rise to levels above $10^{-4}$ Torr, space like conditions are violated and neutral interactions in this region will dominate.

Further energy coupling to neutrals in the equatorial region is also confirmed from radial Langmuir profiles. Figure 16 shows Langmuir profiles taken as a function of radial distance from the dipole center for different backfill pressures. The profiles are taken shot to shot by moving the equatorial Langmuir probe out in radial distance away from the M2P2 magnet. At lower neutral backfill, the radial profile is seen to remain peaked around 35 cm from the dipole axis. This position corresponds to the location that source magnetic field lines map into the equatorial region. At the $10^{-5}$ Torr level peak current is reduced and the profile has slightly broadened as plasma losses are increased. Upon reaching the $10^{-4}$ Torr level, the total plasma in the equatorial region is seen to grow and the profile is now very broad. Plasma has been produced or radially diffused across the entire radial distance measured. A small shoulder in Langmuir current is seen at the 35 cm location, but overall the original peaked profile is completely lost.

**Equatorial Electron Energy Analyzer**

An electron energy analyzer was also used to measure the electron energy distribution function (EEDF) in the equatorial region. The distribution function, $f$, is directly proportional to the derivative of the characteristic current vs voltage curve as given by

$$f(v||) = \frac{1}{v} \int_{-\infty}^{\infty} f(v||, v\perp) dv\perp$$

and

$$j = p^2 f$$

Where $j$ is the differential flux as measured with the analyzer. Here, $p$ is the momentum, with $p=mU$, $m$ is the electron mass and $U$ the velocity.

Figure 17 is the electron energy distribution as a function of energy. This data is for argon in the equatorial region without neutral backfill. The distribution is peaked at 120 eV, with a much narrower width, showing a beam like distribution. To determine the overall characteristics of the distribution, fitting was attempted using a drifting maxwellian model for the distribution function. Additionally, a model, which included a secondary low energy electron population, was also conducted. The best fit is
shown as the dashed line in Figure 17. For the case of no neutral argon back fill, the fit gives an electron temperature of 22 eV with a 100 eV drift. The electron temperature of the fit is similar to temperatures found with the swept Langmuir probe for same neutral pressures. The high drift to the plasma again suggests a directional coupling down stream of the helicon coil to the bulk of the electrons.

Other experiments using electron energy analyzers have not shown such high non-thermal drifts, but are usually run in much higher neutral pressures.

![Figure 17. Electron Energy Distribution. Boxes are the reconstructed data points. Dashed line represents best fit to data.](image)

Figure 17. Electron Energy Distribution. Boxes are the reconstructed data points. Dashed line represents best fit to data.

Added collisions with neutrals will cause increased ionization and thermalize the plasma. This effect can be seen in Figure 18, which is the energy distribution with a $10^{-4}$ background neutral fill. In this case, coupling to the background neutral population increases the low energy portion of the distribution, which is see as an increase in flux in lower energy section and reduction in the width of the high energy region in Figure 18. The peak location of the distribution has also been shifted slightly to the left corresponding to a lower drift speed. Curve fitting to data produces an electron temperature of 10 eV and a thermal drift of 75 eV. This effect actually increases the temperature in low energy population of the electron distribution, but is difficult to resolve in the distribution function due to screening of these electrons by the sheath potential around the analyzer.

![Figure 18. Electron Energy Distribution with $10^{-4}$ Torr backfill pressure. Boxes are reconstructed data points. Dashed line is best fit to data.](image)

Figure 18. Electron Energy Distribution with $10^{-4}$ Torr backfill pressure. Boxes are reconstructed data points. Dashed line is best fit to data.

**CONCLUSIONS AND FUTURE WORK**

The M2P2 prototype and helicon source is capable of operation over a wide range of conditions, allowing determination of optimal performance parameters. The helicon source was able to run in a pulsed manner with a steep neutral pressure gradient from the source region to the equatorial region. The source could operate near 100% ionization efficiencies for optimal input gas flow rates. The largest peak density output from the source is at 80% ionization efficiency. Substantial power coupling is seen in the equatorial region down stream of the source. This means that power output calculations using only source plasma parameters provide for only a lower limit to the maximum power transferred to the plasma from the helicon source. Data suggests that increasing helicon source power levels will lead to increased plasma production while maintaining the same ionization efficiencies.

In the dipole equatorial region density profiles were seen to follow source density profiles and density was seen to increase with time until plasma loss rates balanced plasma inflow from the source. Equatorial plasma temperatures were higher then the source region showing additional energy coupling down stream away from the helicon coil. When operated in argon, with low background neutral pressures in the equatorial region, measured electrons temperatures are higher by at least a
factor of 2 then any other helicon experiment to our knowledge. This is advantageous as it allows for plasma heating away from the source area where losses are large due to the wall boundary and neutral collisions. Energy coupling down stream was also confirmed with the addition of neutral backfills into the chamber. As the backfill pressure was increased the electron temperature was seen to fall to levels consistent with other helicons at similar fill pressures. Plasma densities were seen to initially fall with increasing fill pressures, but then rise sharply as the mfp for ionization increased and secondary plasma production occurred in the equatorial region.

In summary, the helicon plasma source for the M2P2 prototype is able to operate as prescribed with plasma parameters meeting or exceeding original requirements. Down stream effects by neutrals was found to be large and can be an additional energy loss channel. Further work will concentrate on increasing source power and equatorial power coupling to increase plasma pressures in the equatorial region.

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