

Two Trees - Migrating Fault Trees to Decision Trees for Real Time Fault Detection on International Space Station

Charles Lee
SAIC
NASA Ames Research Center
Moffet Field, CA. 94035
650-604-6054
clee@mail.arc.nasa.gov

Richard L. Alena
NASA Ames Research Center
Moffet Field, CA. 94035
650-604-0262
Richard.L.Alena@nasa.gov

Peter Robinson
QSS
NASA Ames Research Center
Moffet Field, CA. 94035
650-604-3513
probinson@mail.arc.nasa.gov

Abstract— The Fault Tree Analysis shows the possible causes of a system malfunction by enumerating the suspect components and their respective failure modes that may have induced the problem. The complex systems often use fault trees to analyze the faults. Fault diagnosis, when it occurs, is performed by engineers and analysts performing extensive examination of all data gathered during the mission. International Space Station (ISS) control center operates on the data feedback from the system and decisions are made based on threshold values by using fault trees. Since those decisions making tasks are time critical and must be done promptly, the engineers who manually analyze the data are facing the time challenge. To automate this process, this paper present an approach that uses decision trees to capture the contents of fault trees and detect fault by running the data through the decision trees in real time. Decision trees (are also called classification trees) are the binary trees built based on data, it can classify the objects to different classes. In our case, the decision trees can classify different fault event or normal event. Given a set of data samples, decision trees can be built and trained, and then by running the new data through the trees, classification and prediction can be made. In this way, diagnostic knowledge for fault detection and isolation can be represented as diagnostic rules; we call this tree the diagnostic decision trees. By showing the fault path in decision tree, we also can point out the root cause when a fault occurs. Since all the procedures and algorithms are available to build decision trees, the trees built are cost effective, time effective. Because of the diagnostic decision trees are based on data and previous knowledge of logic, the DDT can also be trained to predict fault, detect unknown fault. Based on this, the needs for on board or service bay, real time oriented diagnostics can readily be met. Diagnostic Decision Trees are built based on the fault trees as static trees that service as the fundamental diagnostic trees. And the dynamic DDTs are built over time from the operation data. The dynamic DDT will add the functionalities of prediction, and will be able to detect unknown fault. Crew or maintenance engineers can use the decision tree system without having previous knowledge or experience about the diagnosed system. To our knowledge, this is the first paper to propose a solution to build diagnostics decision trees from fault tree,

which convert the reliability analysis models to diagnostic models. We show through mapping and ISS examples that the approach is feasible and effective. We also present future work and development.

TABLE OF CONTENTS

| | |
|----------------------------|---|
| 1. INTRODUCTION..... | 1 |
| 2. CONVERSION METHOD | 2 |
| 3. APPLICATIONS..... | 4 |
| 4. CONCLUSION..... | 5 |
| 5. FUTURE DEVELOPMENT..... | 5 |
| REFERENCES..... | 5 |
| BIOGRAPHY..... | 5 |

1. INTRODUCTION

Fault tree concept is developed by Bell Telephone Laboratories in 1962 for the U.S. Air Force for use with the Minuteman system. It was later adopted and extensively applied by the Boeing Company, is one of the most widely used methods in system reliability analysis for a long time [3]. It is a deductive procedure for determining the various combinations of hardware and software failures, and human errors that could result in the occurrence of specified undesired events (referred to as top events) at the system level. As part of the analysis, the minimal cut sets of a fault tree can be determined [2]. And then fault tree can be built. Individual fault tree can be visualized and draw. Fault trees are usually individually built for each part of the system for each top event. It is hard to have generic software to traverse fault trees. In the other hand, the decision trees are matured data structure and it is very easy to manipulate in a software program. Using decision tree to represent fault tree will increase the operability and decrease response time for system diagnostic, and furthermore, its visualization will make users easier to see the root cause of the fault and path from which the fault came. As the high availability of many different tree algorithms implementations in computer science field, using decision tree to manage the fault tree no doubt is one of best consideration. In this paper, we present a method to convert existing fault trees to decision trees. More general way of constructing decision tree is presented.

The method is easy to be programmed and run on a computer since the decision tree algorithms has many available implementations [4]. This method also provides a good tool for researchers on simulation and prediction tasks.

By using this method, one can analyze the data samples from the past and categorized them into different classes; abnormal, normal, and fault event in such a way that future fault can be predicted from the past. This could be a data mining and run time updating. Such artificial intelligent application is presented in the paper as form of framework architecture.

Where this document is silent on a formatting question, it is because it is not important or is the writer's option. When in doubt make a choice that makes your document readable.

2. CONVERSION METHOD

There are some other attempts to represent fault trees by other forms; one of them is building diagnostic map from fault tree [1]. Decision trees are the trees usually, built on data. Let's look at a fault tree and see how can we map it to the decision trees. Take a sample fault tree in the form of following:

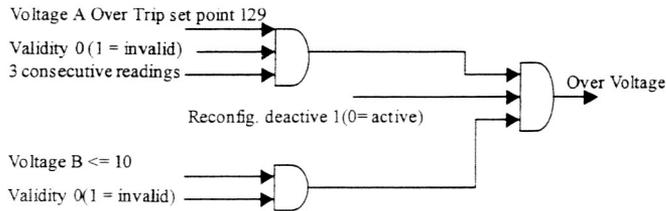


Figure 1 Over Voltage Event Fault Tree

We have 6 inputs to the trees. The inputs remain the same for decision tree. The corresponding decision tree should have the same functionality in terms of samples inputs and fault triggers. In the other words, the same inputs to the fault tree, or to the decision tree will have the same result. The corresponding tree can be built as in Figure 2. If the data can reach all the way to terminal node 6, we have known that the system is at over voltage fault. The decision tree not only provides the final result if the system is at fault status, it can also provides the interim status by looking at where the sample data end up to.

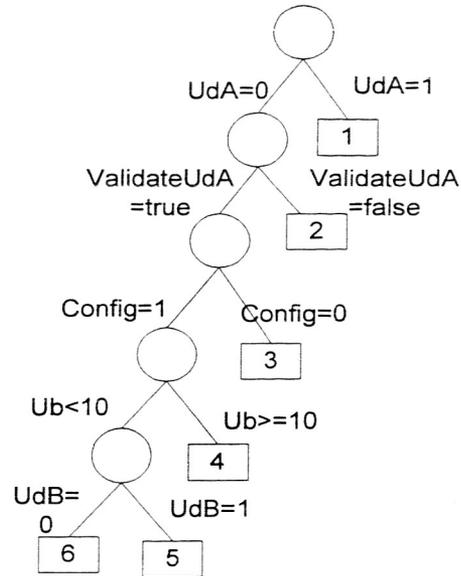


Figure 2 Decision Tree for Over Voltage

To show more common case that includes OR gate in the fault tree, the other example is shown here:

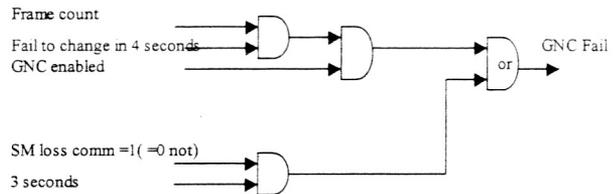


Figure 3 GNC Fail Event Fault Tree

In this case, more balanced data inputs will end up with more evenly distributed decision tree. In a same way as we showed earlier, the corresponding decision tree is presented as follows:

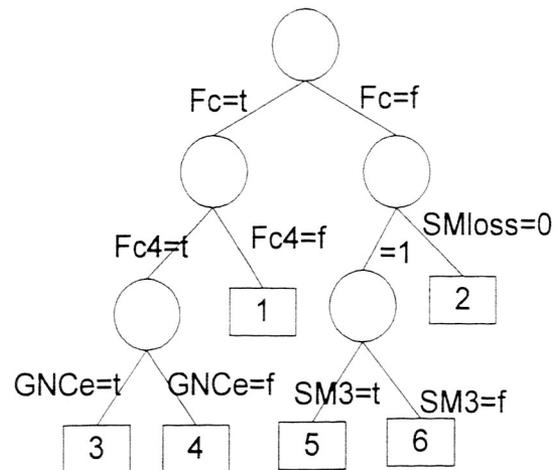


Figure 4 Decision Tree for GNC Fail

We had demonstrated that fault trees can be shown and

mapped to decision trees with examples of the over voltage and GNC fail fault trees. The convenient use of decision tree is that the available decision tree software program can easily pin point out a root cause of an event (including fault event) by recording the edges in the path of the tree when giving reports and evaluation of the system status. For more general purpose and more easy illustration, we can abstract the information into a map and construct a decision tree from map. The map basically represents the different events, including fault event, in the n dimension space. When we deal with decision trees, we call the events classes. The class could be fault, nominal, or warning etc. This demonstration shown that the decision tree not only can derived from fault tree nut also can be construct from data samples, which is very useful in the real time fault detection and prediction.

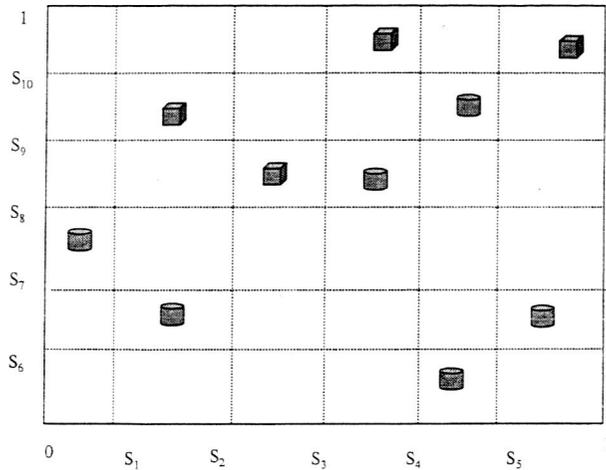


Figure 5 Classes distributions

To map to the decision tree, we use an example to explain it. We assume faults in the two dimension space for simplification of visualization. Multiple dimensions will follow the same rules. In Figure 5, we give an example of the fault scenarios, the cylinders represent normal, called class 1 and cubes represent faults, called class 2. We will use cumulative distribution function (*cdf*) for tree construction. The *cdf* is the probability that the variable takes a value less than or equal to *x*. That is

$$F(x) = Pr[X \leq x] = \alpha \quad (1)$$

This can be expressed mathematically for continuous distribution:

$$F(x) = \int_{-\infty}^x f(\mu) d\mu \quad (2)$$

For a discrete distribution, the *cdf* can be expressed as

$$F(x) = \sum_{i=0}^x f(i) \quad (3)$$

When we construct a decision tree, we have a root, then we have two branches, further, each of those branch can, has maximum of two branches, until no further branches, we reach the bottom of the tree and we done. Those procedures could be said in another way; we are splitting the data until it couldn't split any more. So what we need is where to split, and when do we stop splitting. With the method of accumulate distribution function, we construct the trees in following steps. First, calculate the *cdf* for each class. Second, compare the result for each class in all the points. Third, the largest value will be picked and the *x* will be the split point. Repeat first to third step until no more point to split. In the example, we calculate the *cdf* for each class as a function of each attribute (see Figure 5), and then pick the split point where the difference of the two *cdf* values is maximum.

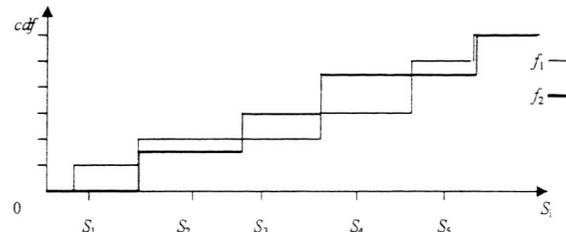


Figure 6 Calculate split point by using *cdf*.(1)

We repeatedly split until all samples in a node are of the same class. In Figure 6, the horizontal axis is the possible split points S_i $1 < i \leq 5$ corresponding to the *x*-axis in Figure 6, and the vertical axis is the value of the *cdf* for each class. In Figure 6 f_1 is the *cdf* value for class 1 (cylinders) and f_2 is the *cdf* value for class 2 (cubes).

In Figure 5, the vertical axis is the possible split points. S_i $5 < i \leq 10$ corresponding to the *y*-axis in Figure 5, and the horizontal axis is the value of the *cdf* for each class. In Figure 6 f_1 is the *cdf* value for class 1 (cylinders) and f_2 is the *cdf* value for class 2 (cubes). The purpose is to find the point where the distance between f_1 and f_2 is the maximum. To calculate the *cdf*, we used the estimated function n_i / N_i . The total number of samples in class 1 is 6, and in class 2 is 4. $N_1 = 6$ and $N_2 = 4$. As shown in Figure 5 at split point S_1 , we have the f_1 value of $1/6$, and f_2 value of 0. At split point S_2 we have the f_1 value of $2/6$, and a f_2 value of $1/4$. At split point S_3 we have the f_1 value no change, still $2/6$ or $1/3$, and a f_2 value of $2/4$ or $1/2$. At split point S_4 we have the f_1 value of $1/2$ and a f_2 value of $3/4$. At split point S_5 , we have the f_1 value of $5/6$ and a f_2 value of no change, $3/4$.

Similarly in Figure 7 at split S_6 the value of f_1 is $1/6$ and f_2 is 0. At split point S_7 we

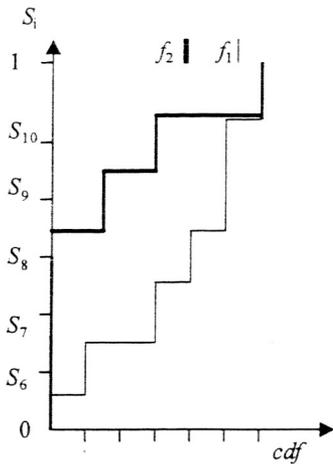


Figure 7 Calculate Split Point Using $cdf(2)$

have the f_1 value of $3/6$, and a f_2 value of 0. With the same calculations, at split point S_8 we have the f_1 value of $4/6$ and a f_2 value of 0. Again, at split point S_9 we have the f_1 value of $5/6$ and a f_2 value of $1/4$. At split point S_{10} , we have the f_1 value of 1 and a f_2 value of $2/4$.

From Figures 6 and 7, we can see that the split S_8 has the maximum distance ($4/6$) between f_1 and f_2 among all others. Therefore, we pick the first split point as S_8 . After we split the set on S_8 , we have two subsets, one of the subsets has only class 1 in it, and so we don't need to do the further split on this subset. But on the other subset, we will repeat the same calculations on the remaining samples to find the further split points. The procedures to calculate the cdf and select the maximum distance between f_1 and f_2 are the same as above. The constructed tree is shown in Figure 8.

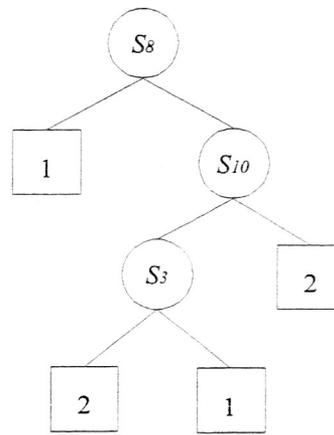


Figure 8 Final Decision Tree

When the real time data come in, we let them go through the decision tree that we constructed. The faults occurred when the data sample fall in fault class at the terminal node. To illustrate how the fault happened, we can show the fault by tracking the path that the data went through. Visualization of the path with the distinctive color or shape will show user the clear clue of cause of the fault.

This method not only can apply to the conversion of the fault trees to decision trees, it can also construct decision trees from data samples at run time of the operation of ISS over time. By simply select a set of data samples from time to time, we can built decision trees. In later time, the built decision trees can be used to compare the new data and to predict future fault. The best use of such trees is to build trees by applying grouped fault scenarios. Then applying real time data to the tree to compare the pattern, the faults can be detected when a pattern is matched.

This method can not only apply to the conversion of the fault trees to decision trees, it can also construct decision trees from data samples at run time of the operation of International Space Station over time. We can select a set of data samples, especially the ones that are representative, from time to time and built decision trees for those systems by fault scenarios. Data patterns are captured in the tree and can be recognized when the future data samples pass through the trees. When real time data samples applied to the tree, the faults pattern could be recognized and the fault could be detected.

3. APPLICATIONS

From above illustration, we can migrate the fault trees to decision trees. We also can build decision trees from event and data. The decision trees converted from fault trees could be used as diagnostic tools when the fault happened, run the data through the trees and find out

where data stop, in order to find out what fault. Other applications are also possible by utilizing decision trees. One we know it that the decision tree is very well suit for data mining task, we can apply our trees to an data mining application targeting at recognizing fault patterns and do early fault detection and prediction. A design model , a frame work model, is presented in Figure 9. In the figure, we can see the decision trees fit into knowledge discovery part of the data mining process [6]. We have initial decision trees in there for fault diagnostic and we have on going decision trees building on real time when the system is running. We can build such a tree that records fault patterns each time when a fault event occurs. Especially, we record the fault trends patterns so we can use such tree to recognize fault in its early stage.

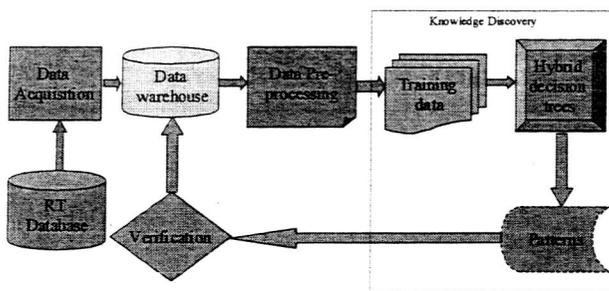


Figure 9 Decision trees in data mining application

4. CONCLUSION

We started from ISS fault trees example to migrate to decision trees, presented a method to convert fault trees to decision trees. The method shows that the visualizations of root cause of fault are easier and the tree manipulating becomes more programmatic via available decision tree programs. The visualization of decision trees for the diagnostic shows a format of straight forward and easy understands. For ISS real time fault diagnostic, the status of the systems could be shown by running the signals through the trees and see where it stops at. The other advantage to use decision trees is that the trees can learn the fault patterns and predict the future fault from the historic data. The learning is not only on the static data sets but also can be online, through accumulating the real time data sets, the decision trees can gain and store faults patterns in the trees and recognize them when they come.

5. FUTURE DEVELOPMENT

This paper presented the method to migrate the fault trees to decision trees, which lays a good foundation for using data mining technique in advanced diagnostic system. The next step will naturally fall to a project to implement a data mining software for fault detection, prediction, and analysis. Such software will use the decision trees as an engine inside

of the diagnostic system application. This engine will be able to gain knowledge of fault patterns then recognize it.

REFERENCES

- [1] Tariq Assaf and Joanne Bechta Dugan, "Automatic generation of diagnostic expert systems from fault trees," Reliability and Maintainability Symposium, January 2003.
- [2] Zhihua Tang and Joanne Bechta Dugan, "Minimal Cut Set and Sequence Generation for Dynamic Fault Trees," Reliability and Maintainability Symposium, January 2004.
- [3] Joanne Bechta Dugan, "Software system analysis using fault trees," Chapter 15, *Handbook of Software Reliability Engineering*, editor MR. Lyu, IEEE Computer Society Press, McGraw-Hill Publication 1996.
- [4] J. R. Quinlan, Induction of Decision Trees, Machine Learning, v.1 n.1, p.81-106, 1986
- [5] Ping. Li, Richard. E. Haskell, Darrin. M. Hanna, "Optimizing Fuzzy Decision Tree by Using Genetic Algorithms," Proceedings of the International Conference on Artificial Intelligence, June, 2003, Las Vegas, USA
- [6] Olaru, C. and L. Wehenkel, *Data Mining*. IEEE Computer Applications in Power, 1999. 12(3): p. 19-25.

BIOGRAPHY



Charles Lee is the Technical Lead on Mobile Agents project at NASA Ames Research Center. He holds a Ph.D. in systems engineering and computer science from Oakland University, in Rochester, Michigan. Completed research projects includes several systems that have been successfully deployed at the Mars Desert Research Station, providing functions for extending human performance and situational awareness into the planetary exploration domain targeting future Mars exploration. These include robust GPS switchboard on-demand services that provide GPS information with awareness of loss and the ability to regain wireless network connections, and a store and forward architecture to maintain data continuity in the event of network connection loss. In addition, Dr. Lee developed distributed agents that serve sensor information through a publish and subscribe architecture in heterogeneous computer environments, and a mapping and planning system that provides location and orientation of mobile

rovers and astronauts on topographic maps for navigation planning and real time monitoring. Other work includes joint development of custom software to provide access to avionics data for Advanced Diagnostics System (ADS) applications, and collection and organization of International Space Station (ISS) data sets by fault scenario, along with liaison with ADS developers and users in the design of data interfaces, user interfaces and tools relevant to ADS on ISS. He developed the first version of Caution and Warning cube visualization software that handles the command and data handling events for fault detection.



Richard Alena is a Computer Engineer and Group Lead for the Intelligent Mobile Technologies research and development team in Computational Sciences Division at NASA Ames. He led the design and development of distributed mobile data systems supporting geological and biological scientific surveys in the Canadian Arctic and American Desert, investigating advanced computing solutions for planetary exploration and coordinating satellite and wireless networks with wearable computing for multi-agent simulations. Mr. Alena is the co-lead for the joint ARC-JSC Advanced Diagnostic Systems for International Space Station Project, developing model-based diagnostic tools for space operations. He is also involved with TEAMS and Livingstone researchers, supporting development of subsystem interaction models and Caution and Warning analysis. As a senior computer scientist he was the chief architect of a flight experiment conducted aboard Shuttle and Mir using laptop computers, personal digital assistants and servers in a wireless network for the International Space Station. He is also the technical lead for the Databus Analysis Tool for International Space Station on-orbit diagnosis. Mr. Alena holds a B. S. and M.S. in Electrical Engineering and Computer Science from the University of California, Berkeley and holds a U.S. patent for "Three Electrode Hydroquinone Subcutaneous Equilibrating Tonometer." He is the winner of a NASA Silver Snoopy Award in 2002 and a NASA Space Act Award for A Comprehensive Toolset for Model-Based Health Monitoring and Diagnostics. He has also been awarded a JSC Group Achievement Award in 2000 for his participation in the Cockpit Avionics Upgrade Display/Control Application Requirements Team, a NASA Group Achievement Award in 1998 for his work on the ISS Phase 1 Program Team and a Space Flight Awareness Award in 1997.

Peter Robinson earned a bachelor's degree in computer science from the University of California at Santa Cruz in 1987. Since 1988 he has worked as a computer scientist at NASA Ames on a wide variety of domains addressing issues of integrated quantitative/qualitative modeling for design,

fault diagnosis and control. Currently he is both project manager, software and modeling lead of the ISStrider project – a project developing model-based diagnosis reasoning tools to support the Fault Detection Isolation and Recovery (FDIR) of the International Space Station (ISS) Command and Data Handling (C&DH) system. In this capacity, he has lead the advocacy of the ISStrider project both at NASA Ames and JSC as well as the design, software/model development and analysis of the ISStrider software system. In his sixteen years at NASA Ames he has applied advanced reasoning methods to diverse set of research and development applications including: 1) tools to support design of life-support systems 2) science instrument control systems for a Mars robotic geologist as well as a Bioreactor to model earthly-Earth atmosphere conditions/life-support systems analogs 4) integrated quantitative/qualitative diagnosis models of the space shuttle (STS) reaction control systems (RCS) 5) dynamics modeling of the Deep Space 1 (DS1) spacecraft to support thruster diagnosis 6) automated grid generation tools to support computational fluid dynamics (CFD) modeling 7) advanced 3D visualization methods for aircraft descent and NASA program management and 8) software formal methods tool development for tracing dependencies through automatically generated programs.