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Advanced Small Perturbation Potential Flow Theory for Unsteady Aerodynamic and Aeroelastic Analyses

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An advanced small perturbation (ASP) potential flow theory has been developed to improve upon the classical transonic small perturbation (TSP) theories that have been used in various computer codes. These computer codes are typically used for unsteady aerodynamic and aeroelastic analyses in the nonlinear transonic flight regime. The codes exploit the simplicity of stationary Cartesian meshes with the movement or deformation of the configuration under consideration incorporated into the solution algorithm through a planar surface boundary condition. The new ASP theory was developed methodically by first determining the essential elements required to produce full-potential-like solutions with a small perturbation approach on the requisite Cartesian grid. This level of accuracy required a higher-order streamwise mass flux and a mass conserving surface boundary condition. The ASP theory was further developed by determining the essential elements required to produce results that agreed well with Euler solutions. This level of accuracy required mass conserving entropy and vorticity effects, and second-order terms in the trailing wake boundary condition. Finally, an integral boundary layer procedure, applicable to both attached and shock-induced separated flows, was incorporated for viscous effects. The resulting ASP potential flow theory, including entropy, vorticity, and viscous effects, is shown to be mathematically more appropriate and computationally more accurate than the classical TSP theories. The formulaic details of the ASP theory are described fully and the improvements are demonstrated through careful comparisons with accepted alternative results and experimental data. The new theory has been used as the basis for a new computer code called ASP3D (Advanced Small Perturbation – 3D), which also is briefly described with representative results.

Introduction

Classical transonic small perturbation (TSP) potential flow theories have been used over the past three decades or so in the development of computer programs for unsteady aerodynamic and aeroelastic analyses.\textsuperscript{1-3} Notable efforts in this regard are the XTRAN3S\textsuperscript{4} code developed by Boeing under United States Air Force sponsorship and the CAP-TSD\textsuperscript{5} code developed at the NASA Langley Research Center. These codes were developed primarily for the investigation of aeroelastic phenomena in the nonlinear transonic flight regime such as flutter and divergence. The codes exploit the simplicity of stationary Cartesian meshes with the movement or deformation of the configuration.
under consideration incorporated into the solution algorithm through the surface boundary condition. This approach is in contrast to the more sophisticated modeling incorporated into advanced computer codes developed to solve the full potential, Euler, and Navier-Stokes equations, wherein the body-fitted mesh deforms locally to the instantaneous shape or position of the configuration. However, the latter approach is computationally more expensive, and when added to the generally much higher cost of solving the higher-order equations (Euler and Navier-Stokes) themselves, it is cost prohibitive for routine aeroelastic application. Therefore, the small perturbation approach is preferred because of the multitude of cases that typically need to be considered for aeroelasticity.

Over the years research has been conducted by several organizations including the NASA Langley Research Center to assess the general applicability and accuracy of the TSP codes in numerous unsteady aerodynamic and aeroelastic applications. These applications have revealed various inaccuracies inherent in the underlying small perturbation theories including accuracy limitations near the leading edge and wing tip regions, shock waves that are inaccurately captured in terms of strength or location, and convergence difficulties attributable to certain computational and mathematical complications such as non-uniqueness of the potential flow equation. Consequently, the author conducted research over the last decade to examine the applicability of the small perturbation concept in general and the accuracy of the CAP-TSD code in specific. The author is the principal developer of the CAP-TSD code and is therefore in a unique position to make a critical assessment of the methodology contained therein. The objective of the present effort was to identify the sources of the inaccuracies and determine if improvements could be made to alleviate or eliminate them.

The first step in this effort was to determine the accuracy of the CAP-TSD code for the inviscid flow about a basic two-dimensional airfoil configuration. The NACA 0012 airfoil was selected because the shape is analytically defined and calculations could be performed first for non-lifting cases to eliminate any potential inaccuracies due to the modeling of the trailing wake. There are standard cases for the NACA 0012 airfoil whereby accurate solutions, obtained using computer codes that solve the full potential (FP), Euler, and Navier-Stokes equations, have been published. These solutions were used for comparison purposes. The inviscid CAP-TSD calculations differed substantially from the accepted FP and Euler solutions. For transonic cases, the shockwaves were poorly predicted in terms of strength and location. This was the case even when the shock-induced entropy and vorticity effects were included in the CAP-TSD calculation and comparisons were made with accepted Euler solutions. For subcritical cases where such effects are unnecessary, the pressure levels were poorly predicted in comparison with accepted full-potential solutions even when the complete pressure coefficient formula was selected within the code. This suggests that the underlying TSP potential flow theory in CAP-TSD is deficient in some respect, and the inclusion of entropy, vorticity, and even viscous effects is then only an academic exercise.

The next step in this effort was to determine the source of the inaccuracies and develop modifications to hopefully improve the accuracy of the resulting capability. As a
result, a new so-called advanced small perturbation (ASP) theory was developed methodically by first determining the essential elements required to produce FP-like solutions with a small perturbation approach on the requisite Cartesian grid. This level of accuracy was obtained by using a higher-order streamwise mass flux and a mass conserving surface boundary condition. Subsequent applications with these improvements showed very good agreement with all of the full potential cases considered. This was true even for cases at freestream Mach numbers as low as 0.3 and angles of attack as high as ten degrees, conditions that are normally considered to be outside the range of applicability of classical small perturbation theories. It was then discovered that when the CAP-TSD entropy/vorticity modeling\textsuperscript{15} was added to the ASP modifications, the resulting shock waves did not have the correct strength and location in comparison with accepted Euler solutions. Further research indicated that an essential element to ASP theory (and the correct approach for any small perturbation theory for that matter) to produce Euler-like results is a mass conserving implementation of the entropy effects. In CAP-TSD the Rankine-Hugoniot (R-H) shock jump relation\textsuperscript{17} is used to calculate the entropy jump across the shock. However, this approach does not conserve mass because it is not consistent with the small perturbation governing equation. (The R-H calculation is mass conserving only if the governing equation is the FP equation.) Thus a mass conserving calculation of the entropy jump across of the shock wave was derived based on the higher-order streamwise mass flux of the ASP theory. Second-order terms in the trailing wake boundary condition were also found to be not insignificant for lifting cases and thus were incorporated. Subsequent calculations with these modifications showed very good agreement with all of the Euler cases considered.

The final step of the effort was to implement viscous effects by coupling an integral boundary layer procedure to the outer inviscid flow calculation. The dissipation integral method\textsuperscript{18-20} was implemented to model attached or shock-induced separated boundary layers. The capability involves solving the unsteady boundary layer equations simultaneously with the outer potential flow solution, so that no interaction law coupling the inner and outer solutions is required. The combined solution procedure involves an implicit block tridiagonal inversion for all of the cells along the surface and its trailing wake. Unlike the CAP-TSD viscous capability,\textsuperscript{21} exact formulas for edge quantities and exact boundary conditions are used along surfaces and wakes. Smoothing of edge quantities and limiters are not required for stability, and no arbitrary or free parameters are necessary to tune the procedure. Calculations performed with the complete ASP capability including entropy, vorticity, and viscous effects showed good agreement with experimental data.

Formulaic details of the newly developed ASP potential flow theory including entropy, vorticity, and viscous effects are described fully. The ASP concepts are demonstrated through careful comparisons with accepted alternative results and experimental data for the NACA 64A410, NACA 0012, and RAE 2822 airfoils. Most of the results are for steady flow applications to prove the ASP concept. A separate publication will report unsteady calculations. The ASP theory is shown to be mathematically more appropriate and computationally more accurate than the classical
TSP theories. Consequently, the new theory has been used as the basis for a new computer code called ASP3D (Advanced Small Perturbation – 3D), which involves either AF1- or AF2-type approximate factorization algorithms and a FAS (full approximation scheme) multigrid procedure for the solution of the ASP potential flow equation. The ASP3D code can treat aircraft configurations involving multiple lifting surfaces with leading and trailing edge control surfaces and a fuselage. The new code is briefly described and some representative results are presented. Detailed descriptions of the ASP3D capability and comprehensive results and comparisons are reported in a companion publication. 22

Advanced Small Perturbation Theory

In this section the essential elements of the ASP theory are described, along with mathematical and computational comparisons with various TSP theories, selected alternative results, and experimental data.

- Governing Equation

It is first instructive to examine in detail the general small perturbation equation that is presented here in two dimensions for simplicity as

$$\frac{\partial f_0}{\partial t} + \frac{\partial f_1}{\partial x} + \frac{\partial f_3}{\partial z} = 0$$

The fluxes are defined as

$$f_0 = -A\phi_t - B\phi_x$$

$$f_1 = C + D\phi_x + E\phi_x^2 + F\phi_x^3$$

$$f_3 = \phi_z$$

The constant coefficients are defined as

$$A = M_\infty^2 \quad B = 2M_\infty^2 \quad C = 1 \quad D = 1 - M_\infty^2$$

The remaining coefficients $E$ and $F$ are somewhat arbitrary depending upon the assumptions used in deriving the governing equation. When the equation is derived to match a small perturbation form of the shock condition the so-called NASA Ames coefficients result, given by

$$E = -\frac{1}{2}(\gamma + 1)M_\infty^2 \quad F = 0$$

The so-called NLR coefficients, derived by taking a small perturbation approximation of a series expansion of the density, are defined by
\[ E = -\frac{1}{2} \left[ 3 - (2 - \gamma)M_x^2 \right] M_x^2 \quad F = 0 \]

And the newly defined *ASP (Advanced Small Perturbation) coefficients*, derived by matching the exact sonic and stagnation conditions, are defined by

\[ E = -\frac{1}{2} (\gamma + 1) M_x^2 \quad F = -\frac{1}{6} (\gamma + 1) M_x^2 \]

An alternative streamwise flux \( f_1 \) was derived by an asymptotic expansion of the Euler equations by Williams.\(^{23} \) This alternative flux is defined by

\[ f_1 = (\gamma + 1) M_x^2 \left[ 1 + (\phi_x)_\text{sonic} \right] \left( V V_{\text{sonic}} - \frac{V^2}{2} \right) \]

where

\[ V = \frac{\phi_x}{1 + \frac{\phi_x}{2 + (\phi_x)_\text{sonic}}} \quad \text{and} \quad V_{\text{sonic}} = \frac{[1 + (\phi_x)_\text{sonic}]^2 - 1}{2[1 + (\phi_x)_\text{sonic}]} \]

By design, Williams’ flux has the exact sonic velocity and the correct shock jump condition. Therefore it is a good alternative to the TSP mass flux evaluated using the NLR or NASA Ames coefficients for cases involving shock waves. However as discussed below, Williams’ flux is inaccurate near stagnation, which results in numerical difficulties near the leading edge. The formulas for the streamwise mass fluxes and associated coefficients and parameters from the various small perturbation theories are tabulated in the upper part of Table 1 for easy reference and direct comparison.

To compare and contrast the various small perturbation formulations graphically, Figure 1 shows flux quantities as functions of streamwise velocity \( (u = 1 + \phi_x) \) for the ASP theory, the TSP theories with NLR and NASA Ames coefficients, and Williams’ asymptotic expansion. The flux quantities were computed at a freestream Mach number of \( M_\infty = 0.72 \). Figure 1(a) shows the streamwise mass flux \( f_1 \) and Figure 1(b) shows the derivative of the streamwise mass flux \( \partial f_1 / \partial \phi_x \). The derivative of the mass flux is important because it is related to the product of the local wave speeds given by

\[ \frac{\partial f_1}{\partial \phi_x} = M_x^2 (a - u)(a + u) = M_x^2 (a^2 - u^2) \]

where \( a \) is the speed of sound. The sign of the derivative \( \partial f_1 / \partial \phi_x \) indicates whether the local flow is subsonic (positive because \( |u| < a \)) or supersonic (negative because \( |u| > a \)). And hence, the sonic point is the velocity by which \( \partial f_1 / \partial \phi_x = 0 \).

At \( u = 1 \), which corresponds to the undisturbed or freestream flow of \( \phi_x = 0 \), the four small perturbation theories have identical values of the flux and its derivative, as
expected. Near \( u = 1 \), which corresponds to small perturbations \( |\phi| \ll 1 \) where classical small perturbation theory is mathematically valid, the flux quantities are similar between the various theories with the greatest similarity occurring between the TSP theories with the NLR and NASA Ames coefficients. For larger perturbations corresponding to approximately \( u < 0.8 \) or \( u > 1.2 \), there are larger differences between the flux quantities of the small perturbation theories, especially for slower speeds \( u \to 0 \) corresponding to stagnation, and even more so for reverse flows \( u < 0 \). Formulas for the derivatives of the mass fluxes and associated sonic velocities from the various small perturbation theories are tabulated in the lower part of Table 1 for easy reference and direct comparison. Table 1 emphasizes that the sonic velocity is exact for the ASP theory and Williams’ asymptotic expansion theory.

Of the various small perturbation formulations shown in Figure 1, the ASP theory is the only formulation that has the correct form for the mass flux and its derivative across the entire velocity range. The correct form for the mass flux is an asymmetric function about \( u = 0 \) (stagnation) because the mass flux physically should have a similar magnitude but a negative sign for reverse flow as shown by the ASP theory curve in Figure 1(a). The TSP theories with the NLR or NASA Ames coefficients and Williams’ formulation do not satisfy the above property, and hence, they are not applicable for cases involving reverse flow. Furthermore, they are inaccurate near stagnation, because those formulations have negative values for the mass flux at small positive values of \( u \), with the greatest deviation from zero given by the Williams’ flux.

The correct form for the derivative of the mass flux is a symmetric function about \( u = 0 \) (stagnation), as shown by the ASP curve in Figure 1(b). This is because the derivative should be positive for subsonic flow, corresponding to \( |u| < a \), which includes reverse subsonic flow, and the derivative should be negative for supersonic flow, corresponding to \( |u| > a \), including reverse supersonic flow. When the derivative of the mass flux is positive, the governing equation is of elliptic type, correctly describing subsonic flow. When the derivative is negative, the governing equation is hyperbolic, physically corresponding to supersonic flow. For the ASP theory this is true, independent of the direction of the flow. The other theories not only have the wrong form but they are inaccurate near stagnation and inaccurate for stronger supersonic flows. In fact the worst formulation in this regard is the William’s flux, which explains why applications of CAP-TSD using the William’s flux (default formulation) encounter convergence difficulties, especially on finer meshes. The formulas for the speed of sound, velocity, and stagnation quantities, derived from the derivatives of the mass flux for the various small perturbation theories, are included in Table 2. The entries for Williams’ theory are marked “not available” because the quantities cannot be derived easily from the asymptotic mass flux. All of the quantities tabulated for the ASP theory are exact because the derivative of the mass flux \( \partial f / \partial \phi_x \) is exact. Hence, applications of the ASP theory are expected to be accurate for conditions ranging from stagnation to sonic to strong supersonic, including any reverse flow that might occur.

Figure 2 shows flux quantities as functions of streamwise velocity \( (u = 1 + \phi_x) \) for the ASP theory computed for freestream Mach numbers ranging from \( M_\infty = 0.0 \) to 1.2.
Figure 2(a) shows the streamwise mass flux $f_1$ and Figure 2(b) shows the derivative of the streamwise mass flux $\partial f_1/\partial \phi$. Figure 2(a) emphasizes that the ASP mass flux is an asymmetric function about $u = 0$ (stagnation) and the $M_\infty = 0.0$ result is a line with a slope of unity. If desired the positive offset in $f_1$ can be removed by replacing the constant $C = 1$ in the ASP mass flux by the constant $C = D - E + F$, although this modification was not investigated. Of course the derivative is independent of the constant $C$. Figure 2(b) emphasizes that the derivative of the ASP flux is a symmetric function about $u = 0$ (stagnation) at any freestream Mach number.

Figure 3 shows an expanded view of the derivative of the mass flux as a function of the streamwise velocity for the $M_\infty = 0.72$ case of Figure 1 to illustrate the differences in sonic points predicted by the various small perturbation formulations. The sonic point is the velocity by which $\partial f_1/\partial \phi = 0$. As discussed before, the ASP and Williams’ flux formulations possess the exact sonic point by design as shown in Figure 3. The TSP theories with the NLR and NASA Ames coefficients predict values of the sonic velocity that are too high, with the highest value of the sonic velocity corresponding to the NASA Ames formulation. The TSP theory with the NASA Ames coefficients will consequently produce transonic solutions with the smallest supersonic zones and weakest shock waves in comparison with the other formulations. This is due to the flow remaining subsonic until the local velocity exceeds the higher predicted value of the sonic velocity. The TSP theory with the NLR coefficients will generally produce transonic solutions with larger supersonic regions and stronger shocks in comparison with the TSP-NASA Ames theory, but the shock waves will not be as strong as those predicted correctly by either the ASP or Williams’ formulations.

Flow calculations were performed with the small perturbation theories using a 257 x 129 Cartesian finite volume mesh that had a fifty chord extent as shown in Figure 4. The spacing at the leading and trailing edges is $\Delta x = 0.005c$, as shown in Figures 5(a) and 5(b), respectively, which is generally considered small for transonic small perturbation applications. All of the solutions were converged at least seven orders of magnitude in the reduction of the $L_2$-norm of the residual. The effects of the streamwise flux on the pressure coefficient distribution for a typical transonic case are shown in Figure 6 for the ASP theory and the TSP theories with the NLR and NASA Ames coefficients. Results were not obtained using Williams’ asymptotic flux because of numerical difficulties in the leading edge region that inhibited convergence. The case considered is that of the NACA 64A410 airfoil at an angle of attack of $\alpha = 0^\circ$ and $M_\infty = 0.72$, the same freestream Mach number used in Figures 1 and 3. As shown in Figure 6, the solution obtained using the TSP theory with the NASA Ames coefficients indicates that there is a smaller supersonic region and a weaker shock wave on the upper surface of the airfoil in comparison with the other two solutions as predicted by the theoretical analysis discussed earlier. The solution obtained using the TSP theory with the NLR coefficients has a slightly stronger shock wave, but the strongest shock wave is predicted by the ASP theory, also consistent with the theoretical analysis. The ASP pressure distribution is in very good agreement with a conservative full-potential (FP) calculation shown in Figure 7, reported by Jameson\textsuperscript{24} for this case. A comparison of the ASP (Figure 6) and FP (Figure 7) pressure distributions demonstrates that the strength and position of the shock...
wave near approximately 63% chord on the upper surface of the airfoil are predicted accurately by the ASP formulation. Hence, the ASP mass flux is an essential element in obtaining FP-like solutions with a small perturbation formulation.

- **Inviscid Irrotational Surface Boundary Conditions**

  The CAP-TSD code and other small perturbation codes typically use the lowest order classical small disturbance boundary condition to impose surface tangency given by

  \[ \phi_z = b_x - \alpha \]

  where

  \[ b(x) - x\alpha = 0 \]

  represents the surface. With this boundary condition the velocity and mass flux vectors do not generally coincide, and neither vector is tangent to the surface.

  Use of this boundary condition generally results in shock waves that are too weak and located forward in comparison with full potential results. Furthermore such solutions can be shown to be dependent on the local mesh density. Specifically, if the mesh is changed, such as using a finer or coarser mesh, the shock strength and location will be materially changed.

  **Requiring that the velocity vector be tangent to the surface** results in

  \[ \phi_z = (1 + \phi_x)(b_x - \alpha) \]

  which may be referred to as a “full potential” surface boundary condition, similar to what is imposed in full potential codes albeit on a body-fitted mesh. However this boundary condition is not consistent with the governing equation and generally leads to solutions involving shock waves that are too strong and located too far aft.

  **Requiring that the mass flux be tangent to the surface** results in

  \[ \phi_z = f_1(b_x - \alpha) \]

  where \( f_1 \) is the streamwise mass flux. This boundary condition is consistent with the governing equation (mass consistent) and its use produces solutions that are relatively mesh independent, meaning that the local mesh density does not appreciably affect the shock strength and location.

  The ASP capability uses a higher-order mass conserving boundary condition to impose surface tangency given by

  \[ \phi_z = \frac{f_1}{g}(b_x - \alpha) \]

  where
\[ g = 1 + H\phi_x + \frac{H}{2}\phi_x^2 \quad \text{and} \quad H = -(\gamma - 1)M_a^2 \]

Note that \( g = M_a^2 a^2 \). This boundary condition was found to be more accurate than the simpler one above, although the inclusion of the complete vertical flux \( g \) in the governing equation had a negligible effect on the solution.

The effects of surface boundary condition (BC) on pressure coefficient distribution are shown in Figure 8 for three forms of the surface BC including the lowest order form used in CAP-TSD labeled “slopes”, the full potential BC where the slopes are scaled by the streamwise velocity \((u = 1 + \phi)\), and the mass consistent BC used in the ASP formulation. The calculations were performed for the same NACA 64A410 case presented in Figure 6 and the ASP streamwise flux was used throughout. Figure 8 shows that the use of the lowest order “slopes” BC produces a solution that has a shock wave that is too weak located forward of the correct position. Although not shown here, solutions obtained with the lowest order BC tend to be mesh-dependent because the BC is not mass conserving. Use of the FP BC produces a shock wave that is too strong and located too far aft. In contrast, the mass consistent BC (with the ASP mass flux) leads to the correct shock strength and location, as compared with the Jameson\(^2\) FP solution of Figure 9 (same as Figure 7; repeated for direct comparison). Hence, the mass consistent surface boundary condition is an essential element in obtaining FP-like solutions with a small perturbation formulation.

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**Inviscid Irrotational Wake Boundary Condition**

The wake is modeled in all of the small perturbation theories as a planar shear layer emanating from the trailing edge of the wing. The circulation in the wake is first computed at the trailing edge by

\[ \Gamma_{\text{trailing edge}} = \Delta\phi_{\text{trailing edge}} = \phi_{\text{upper}} - \phi_{\text{lower}} \]

From this starting value the circulation is convected along grid lines downstream of the trailing edge by

\[ \Gamma_r + \Gamma_x = 0 \]

The circulation is incorporated within the solution algorithm using the boundary condition

\[ \Delta\phi_z = 0 \]

which is imposed numerically using

\[ (\phi_z)_{\text{upper}} = \frac{\phi_k - (\phi_{k-1} + \Gamma)}{z_k - z_{k-1}} \quad \text{and} \quad (\phi_z)_{\text{lower}} = \frac{(\phi_k - \Gamma) - \phi_{k-1}}{z_k - z_{k-1}} \]
To further demonstrate the accuracy of the ASP capability in producing solutions of similar accuracy to full potential solutions, two additional cases are presented for the NACA 0012 airfoil. The first case is a transonic application of the NACA 0012 airfoil at $M_\infty = 0.7$ and $\alpha = 2^\circ$. The ASP pressure coefficient distribution is presented in Figure 10 and two full potential solutions for this case reported by Wedan and South\textsuperscript{25} are presented in Figure 11. Comparisons of the two figures indicate that the strength and location of the shock wave near 28% chord on the upper surface of the airfoil in the ASP result are in very good agreement with the FP results. Also, the ASP pressure distribution near the leading edge where stagnation occurs is smooth and well predicted in comparison with the FP pressure distributions.

The second case is a high lift application of the NACA 0012 airfoil at $M_\infty = 0.3$ and $\alpha = 10^\circ$. This case is generally regarded as being outside the range of applicability of small perturbation theory, and it is impossible computationally to obtain a converged solution with most small perturbation codes. The ASP pressure coefficient distribution is presented in Figure 12 and two full potential solutions reported by Wedan and South\textsuperscript{25} are presented in Figure 13. Near the leading edge there is a rapid flow expansion about the upper surface and a small amount of reverse flow ($u < 0$) on the lower surface due to the relatively high angle of attack. The rapid expansion of the flow produces pressure coefficients close to the value of the sonic pressure coefficient near $C_P = -7$. The ASP pressure distribution is in very good agreement with the FP pressure distributions overall, including the prediction of the relatively large values of the pressure coefficients on the upper surface near the leading edge.

- **Second-Order Accurate Supersonic Differencing**

Most small perturbation codes use first-order accurate differencing at supersonic points because of difficulty in constructing backward-biased second-order accurate difference stencils. The CAP-TSD code has an option for second-order accurate supersonic differencing but it does not work correctly because of a conceptual error in implementation. Therefore the first-order accurate differencing is used typically and shock waves are generally captured that are slightly weaker and located forward of their correct strength and location. In the ASP capability, a second-order accurate backward (upwind) calculation of the mass flux is achieved at cell interfaces for supersonic points. Similar to reconstruction of flow variables in advanced Euler and Navier-Stokes codes involving Riemann solvers, a flux limiter is required at the shock wave to prevent overshoots or oscillations in the solution because of the change in the solution there. Across the shock wave, although the potential $\phi$ is continuous, the velocity $u$ is discontinuous. The flux limiter allows the solution to be second-order accurate in smooth regions, and near the shock wave, the solution is formally first-order accurate.

The effects of order of accuracy of supersonic differencing are demonstrated in Figure 14 for a case involving a strong shock wave. The case considered is a transonic application of the NACA 0012 airfoil at $M_\infty = 0.75$ and $\alpha = 2^\circ$. Two sets of pressure coefficient distributions are presented corresponding to ASP calculations performed with first- and second-order accurate supersonic differencing. The two sets of pressures are in
very close agreement with each other with the only differences in the region of the shock wave on the upper surface of the airfoil. The strong shock in the first-order accurate solution is located near approximately 57% chord and the shock in the second-order solution is of similar strength and located near 60% chord. Figure 15 shows a full potential pressure distribution computed by Hafez\textsuperscript{26} for this case using an accurate flux-biased method on a reasonably fine mesh. The FP distribution indicates that the strong shock wave is located correctly at approximately 60% chord on the upper surface of the airfoil. Thus, the ASP solution with second-order accurate supersonic differencing accurately predicts the shock location as well as the shock strength.

- **Entropy and Vorticity Effects**

Shock-generated entropy effects are incorporated within small perturbation codes such as CAP-TSD by first using the Prandtl relation\textsuperscript{17} (shock jump condition) to determine the velocity downstream of the shock wave from the upstream and sonic velocities. The upstream and downstream velocities are then used in the Rankine-Hugoniot (R-H) relation\textsuperscript{17} to determine the change in entropy across the shock wave.\textsuperscript{27} The resulting change in entropy is subsequently used in a Clebsch formulation\textsuperscript{28} to determine the vorticity downstream of the shock wave.\textsuperscript{29,30} The vorticity modifies the calculation of the velocity field in the downstream region. This is a common procedure that has been used in various computer codes especially at the full-potential equation level. However, the approach as applied to the small perturbation equation, with any of the fluxes defined above including that of Williams, does not conserve mass.

In contrast, the approach developed for the ASP capability conserves mass by using a ratio of the streamwise fluxes evaluated using the upstream and downstream velocities. Specifically, the downstream perturbation velocity is first computed using the Prandtl relation (shock jump relation) given by

\[
(\phi_s)_2 = \left[ \frac{1 + (\phi_s)_{\text{sonic}}}{1 + (\phi_s)_1} \right]^2 - 1
\]

where the subscripts 1 and 2 represent stations that are upstream and downstream of the shock wave, respectively. The change in entropy across the shock is then computed using

\[
\Delta s = (\gamma - 1) \left\{ 1 - \frac{f_1[(\phi_s)_1]}{f_1[(\phi_s)_2]} \right\}
\]

For steady flows the entropy is held constant along gridlines downstream of shock waves. For unsteady flows the entropy is convected downstream using

\[
\frac{\partial}{\partial t} \Delta s + \frac{\partial}{\partial x} \Delta s = 0
\]

The fluxes downstream of the shock are subsequently modified according to
\[(f_i)_{\text{nonisentropic}} = \left[1 - \frac{\Delta s}{(\gamma - 1)}\right](f_i)_{\text{isentropic}}\]

which conserves mass across the shock wave by design.

Similar to the CAP-TSD code, the ASP capability uses a Clebsch formulation\textsuperscript{28} to compute the shock-generated vorticity. In brief, the streamwise velocities downstream of shocks are computed using

\[(\phi_s)_{\text{rotational}} = (\phi_s)_{\text{irrotational}} - \frac{\Delta s}{\gamma(\gamma - 1)M_\infty^2}\]

In the CAP-TSD code, when entropy and vorticity effects are included in the solution procedure no changes are made to the wake modeling because the first order term due to vorticity exactly cancels the first-order entropy term.\textsuperscript{15} However, the second-order terms were found to be not insignificant, and thus, they were incorporated into the ASP solution procedure. Hence when entropy and vorticity are included, the circulation is convected using

\[
\Gamma_t + \Gamma_x = \frac{(\gamma - 1)M_\infty^2 + 1}{\gamma(\gamma + 1)M_\infty^2}\left\{\left[(\Delta s)(\phi_s)\right]_{\text{upper}} - \left[(\Delta s)(\phi_s)\right]_{\text{lower}}\right\} - \frac{1}{2}\left[1 - \frac{(\Delta s)_{\text{upper}}}{(\gamma - 1)}\right]\left(1 - M_\infty^2\right)(\phi_s^2)_{\text{upper}}
\]

\[
+ \frac{1}{2}\left[1 - \frac{(\Delta s)_{\text{lower}}}{(\gamma - 1)}\right]\left(1 - M_\infty^2\right)(\phi_s^2)_{\text{lower}}
\]

where the subscripts “upper” and “lower” correspond to the values on the upper and lower surfaces of the trailing wake.

The effects of entropy and vorticity modeling are demonstrated in Figure 16. The case considered is the NACA 0012 airfoil at \(M_\infty = 0.75\) and \(\alpha = 2^\circ\), the same case presented in Figures 14 and 15. Here, three sets of ASP calculations were performed with the second-order accurate supersonic differencing including: (1) the isentropic capability (the same result shown in Figure 14 with the shock wave located at 60\% chord), (2) the Rankine-Hugoniot shock jump relation to calculate the entropy change across the shock, and (3) the mass conserving calculation of the entropy change. When the R-H relation is used to calculate the entropy change the shock wave is weakened and located forward of the isentropic solution. However, when compared to the Euler calculation performed by Hafez\textsuperscript{26} for this case shown in Figure 17, the R-H shock wave is not located far enough forward on the upper surface of the airfoil. Alternatively when the mass conserving calculation was used to determine the entropy change, a weaker shock
located near 46% chord was predicted, which is in very good agreement with the Euler result. Hence, the mass conserving calculation of the entropy change across the shock wave and the inclusion of the second-order terms in the trailing wake are essential elements in producing Euler-like accuracy with the ASP formulation.

To further demonstrate the accuracy of the ASP capability in producing solutions of similar accuracy to Euler solutions, two additional cases are presented for the NACA 0012 airfoil. The first case is a transonic application of the NACA 0012 airfoil at $M_\infty = 0.6$ and $\alpha = 5^\circ$. This is a difficult case for a small perturbation method because of the higher angle of attack. The ASP pressure coefficient distribution is presented in Figure 18 and several solutions for this case reported by Klopfer and Nixon\textsuperscript{31} are presented in Figure 19. The latter solutions include calculations involving FP, modified-FP, and Euler codes, the last of which is most relevant. Comparisons of the two figures indicate that the strength and location of the shock wave near 16% chord on the upper surface of the airfoil in the ASP result are in very good agreement with the Euler result. Also, the ASP pressure levels forward of the shock wave are well predicted in comparison with the Euler calculation.

The second case is another transonic application of the NACA 0012 airfoil, this time at $M_\infty = 0.8$ and $\alpha = 1.25^\circ$. The ASP pressure coefficient distribution is presented in Figure 20 and an Euler solution computed by Anderson, et al.\textsuperscript{32} with the CFL3D code is presented in Figure 21. The case involves a strong shock wave on the upper surface of the airfoil at approximately 64% chord and a weak shock wave on the lower surface near 34% chord. The difficulty of this case involves the difference in strength of the two shock waves. Comparisons of the two pressure distributions indicate that the ASP formulation including entropy and vorticity accurately predicts the strength and location of both of the shock waves, even though the shocks have disparate strengths.

- **Viscous Effects**

The dissipation integral method developed by Whitfield and coworkers\textsuperscript{18,19} was implemented within the ASP3D code to model attached or shock-induced separated boundary layers. The dissipation integral approach represents a significant improvement over the Green’s lag entrainment method such as that in CAP-TSD\textsuperscript{21} because the closure relations are derived by a detailed fitting of velocity profiles obtained through experiments for both attached and separated flows. Hence, the closure relations are continuous functions applicable to either attached or separated flows, and there is no need for any nonphysical interpolation between disparate sets of closure relations as done by Edwards.\textsuperscript{21} Drela\textsuperscript{30} has refined the dissipation integral method, wherein the closure relations depend not only upon the local Mach number and shape factor, but also on the momentum thickness Reynolds number.

The ASP viscous method involves solving the unsteady boundary layer equations simultaneously with the outer potential flow solution so that no interaction law coupling the inner and outer solutions is required. The combined solution procedure involves an implicit block tridiagonal inversion for all of the cells along the surface and trailing wake.
Unlike the CAP-TSD viscous capability,\textsuperscript{21} the ASP capability uses exact formulas for edge quantities and exact boundary conditions are used along surfaces and wakes. Smoothing of edge quantities and limiters are not required for stability, and no arbitrary or free parameters are necessary to tune the procedure.

Specifically in the ASP capability, the exact edge quantities are used for the calculation of velocity $u_e$, density $\rho_e$, temperature $T_e$, local Mach number $M_e$, and the coefficient of viscosity $\mu_e$ given by

$$u_e = 1 + \phi_x,$$

$$\rho_e = \left[ 1 - \frac{\gamma - 1}{2} M_{\infty}^2 \left( 2\phi_x + \phi_x^2 \right) \right]^{\frac{1}{\gamma - 1}},$$

$$T_e = \left[ 1 - \frac{\gamma - 1}{2} M_{\infty}^2 \left( 2\phi_x + \phi_x^2 \right) \right],$$

$$M_e = \frac{M_{\infty} \left( 1 + \phi_x \right)}{\left[ 1 - \frac{\gamma - 1}{2} M_{\infty}^2 \left( 2\phi_x + \phi_x^2 \right) \right]^{1/2}},$$

$$\mu_e = \left( T_e \right)^{3/2} \frac{1 + \left( S/T_{\infty} \right)}{\left( T_e \right) + \left( S/T_{\infty} \right)}$$

where $S$ is Sutherland’s coefficient and $T_{\infty}$ is the freestream temperature. These equations are in contrast with the edge quantities contained within CAP-TSD wherein the density, temperature, and local Mach number relations were linearized as

$$\rho_e = 1 - M_{\infty}^2 \phi_x,$$

$$T_e = 1 - (\gamma - 1) M_{\infty}^2 \phi_x,$$

$$M_e = M_{\infty} \left[ 1 + \left( 1 + \frac{\gamma - 1}{2} M_{\infty}^2 \right) \phi_x \right].$$

For the ASP capability, the time-dependent integral boundary layer (IBL) and lag equations may be written as a system of equations in the form

$$\begin{bmatrix} A \end{bmatrix} \frac{\partial}{\partial t} \begin{bmatrix} \theta \\ H_k \\ c_t^{1/2} \end{bmatrix} + \begin{bmatrix} B \end{bmatrix} \frac{\partial}{\partial x} \begin{bmatrix} \theta \\ H_k \\ c_t^{1/2} \end{bmatrix} + \begin{bmatrix} C \end{bmatrix} \begin{bmatrix} \phi_{xx} \\ \phi_{xt} \\ \phi_x \end{bmatrix} + \{ S \} = \{ 0 \}$$
where the independent variables are the momentum thickness $\theta$, the incompressible shape parameter $H_k$, and the square root of the shear stress coefficient $c_\tau$. The matrix $[B]$ has three eigenvalues that indicate the nature of the boundary layer. For attached boundary layers ($H_k < H_0$) all three eigenvalues are positive, as shown in Figure 22, indicating that all of the characteristics are in the downstream or positive direction. The shape parameter $H_0$ is defined as

$$H_0 = \begin{cases} 3 + 400/\text{Re}_\theta & \text{if } \text{Re}_\theta > 400 \\ 4 & \text{if } \text{Re}_\theta \leq 400 \end{cases}$$

where $\text{Re}_\theta = \frac{\rho_e u_e \theta}{\mu_e} \text{Re}$

At separation ($H_k = H_0$) one of the eigenvalues becomes zero. And for separated flows ($H_k > H_0$), one of the eigenvalues becomes negative, mathematically reflecting the fact that within the boundary layer there is some reverse flow (in the negative streamwise direction).

For solution, the integral boundary layer and lag equations are first premultiplied by $[A]^{-1}$ to diagonalize the time term as

$$\frac{\partial}{\partial t} \begin{bmatrix} \theta \\ H_k \end{bmatrix} + [D] \frac{\partial}{\partial x} \begin{bmatrix} \theta \\ H_k \end{bmatrix} + [E] \begin{bmatrix} \phi_{xx} \\ \phi_{xt} \end{bmatrix} + \{F\} = \{0\}$$

The resulting IBL system is then linearized in a simple way and cast into the so-called delta-form for implicit solution as

$$\Delta x \begin{bmatrix} \Delta \theta \\ \Delta H_k \\ \Delta c_\tau^{1/2} \end{bmatrix} + \Delta t [G] \begin{bmatrix} \Delta \theta \\ \Delta H_k \\ \Delta c_\tau^{1/2} \end{bmatrix} = -\Delta x \Delta t \{R\}$$

where the viscous residual is defined as

$$\{R\} = [D] \frac{\partial}{\partial x} \begin{bmatrix} \theta \\ H_k \end{bmatrix} + [E] \begin{bmatrix} \phi_{xx} \\ \phi_{xt} \end{bmatrix} + \{F\}$$

The system of equations is directly coupled with the ASP equation for the outer potential flow for simultaneous implicit solution using a block tridiagonal matrix inversion procedure. This is done for the cells adjacent to the upper and lower sides of the lifting surfaces and their wakes. All other cells do not involve the boundary layer, and hence, only the ASP equation is solved in those cells. Dissipation integral relations are used for turbulent closure, similar to those reported by Drela.\textsuperscript{20}

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- **Surface Boundary Condition Including Entropy, Vorticity, and Viscous Effects**

In CAP-TSD the lowest order linear surface tangency condition is used including entropy, vorticity, and viscous effects. In ASP3D the complete mass-consistent surface boundary condition including entropy, vorticity, and viscous effects is given by

\[
\phi_z = \frac{f_1}{g} (b_x - \alpha) \pm \frac{1}{g} \left( f_i \delta^* \right)_x + b_t + \delta^*_t
\]

where

\[
f_1 = C + D (\phi_x)_{\text{rotational}} + E \left( \phi_x^2 \right)_{\text{rotational}} + F \left( \phi_x^3 \right)_{\text{rotational}}
\]

and

\[
g = 1 + H (\phi_x)_{\text{rotational}} + \frac{H}{2} \left( \phi_x^2 \right)_{\text{rotational}}
\]

The surface is represented by

\[
b(x,t) \pm \delta^*(x,t) - x\alpha = 0
\]

where the ± is for the upper and lower surfaces, respectively, since the boundary layer displacement thickness \( \delta^* \) is defined as a positive quantity on both surfaces.

- **Wake Boundary Condition Including Entropy, Vorticity, and Viscous Effects**

Viscous effects are included in the wake modeling in the ASP capability through the boundary condition

\[
\Delta \phi_z = \pm \frac{1}{g} \left( f_i \delta^* \right)_x + \delta^*_t
\]

across the wake where \( f_i \) and \( g \) were defined previously. The boundary condition is incorporated numerically using

\[
(\phi_z)_{\text{upper}} = \frac{\phi_k - (\phi_{k-1} + \Gamma)}{z_k - z_{k-1}} + \frac{1}{2} \left[ \frac{1}{g} \left( f_i \delta^* \right)_x + \delta^*_t \right]
\]

and

\[
(\phi_z)_{\text{lower}} = \frac{\phi_k - \Gamma - \phi_{k-1}}{z_k - z_{k-1}} - \frac{1}{2} \left[ \frac{1}{g} \left( f_i \delta^* \right)_x + \delta^*_t \right]
\]

Three cases were considered to demonstrate the ASP viscous capability corresponding to an attached boundary layer, a shock-induced flow separation, and a naturally unsteady flow where the boundary layer periodically separates and reattaches. The attached flow case\(^{33}\) is the RAE 2822 airfoil at \( M_\infty = 0.676 \), \( \alpha = -2.25^\circ \), and \( Re = 5.7 \times 10^6 \), where the calculations were performed using a CFL number of thirty for five hundred time steps, and the results are presented in Figure 23. Figure 23(a) shows the convergence histories of the residuals for the outer potential flow \( R_\phi \) and the inner IBL.
equations $R_n$, $R_H$, and $R_c$ on the upper surface of the airfoil. The residuals indicate that the solutions for both the outer ASP potential flow and the inner IBL indeed converge. The corresponding ASP pressure coefficient distribution is compared with the experimental pressure data\textsuperscript{33} in Figure 23(b). These comparisons show very good agreement between the ASP pressures and the data, thus demonstrating the accuracy of the ASP viscous capability.

The second case\textsuperscript{34} corresponds to separated flow on the upper surface of the NACA 0012 airfoil at $\hat{M}_\infty = 0.775$, $\alpha = 2.05^\circ$, and $Re = 10^7$. The calculations were performed with a CFL number of fifteen for a total of one thousand time steps and the results are presented in Figure 24. The CFL number for the separated flow case was half of that used in the attached flow case, although it is still a relatively large value. Figure 24(a) shows the convergence history in the more conventional form of the residual of the ASP potential equation. The convergence history indicates that the solution has converged approximately five orders of magnitude for the separated flow case. The corresponding ASP pressure coefficient distribution is shown in Figure 24(b), which is in good agreement with the experimental data,\textsuperscript{34} especially in the strength and location of the upper surface shock wave. The shock induced separation along the upper surface of the airfoil ranges from the foot of the shock near 53% chord to the reattachment point at approximately 67% chord.

The third case\textsuperscript{33} that was considered to demonstrate the ASP viscous capability was the periodic flow separation about the RAE 2822 airfoil at $\hat{M}_\infty = 0.75$, $\alpha = 2.81^\circ$, and $Re = 6.5 \times 10^6$. The calculations were performed using a CFL number of twenty and the resulting lift and moment coefficient time histories are shown in Figure 25(a) for a total of three thousand time steps. The calculations were run much longer than the previous two cases to demonstrate clearly the periodicity of the coefficients as a function of time. In this case the flow on the upper surface of the airfoil periodically separates and later reattaches through each of the cycles shown. The instantaneous ASP pressure coefficient distribution at $t = 3000\Delta t$ is shown in Figure 24(b). The pressure distribution is smooth near the shock and the trailing edge, which are the two regions of strongest interaction between the boundary layer and the outer potential flow. Although not obvious from the figure, the flow is separated from the shock wave near 64% chord to the trailing edge.

**Introduction of the ASP3D Computer Program**

The ASP theory has been used as the basis for a new computer code called ASP3D (Advanced Small Perturbation – 3D), which involves either AF1- or AF2-type approximate factorization algorithms and a FAS (full approximation scheme) multigrid procedure for the solution of the ASP potential flow equation and associated boundary conditions. The ASP3D code can treat aircraft configurations involving multiple lifting surfaces with leading and trailing edge control surfaces and a fuselage. The new code is briefly described here and some representative results are presented in the following section.
- **Governing Equation**

The three-dimensional general small perturbation equation may be written in Cartesian coordinates as

\[
\frac{\partial f_0}{\partial t} + \frac{\partial f_1}{\partial x} + \frac{\partial f_2}{\partial y} + \frac{\partial f_3}{\partial z} = 0
\]

where the fluxes are defined as

\[
f_0 = -A\phi_t - B\phi_x
\]

\[
f_1 = C + D\phi_x + E\phi_x^2 + F\phi_y^3 + G\phi_y^2 + \frac{H}{2}\phi_x\phi_y^2
\]

\[
f_2 = \left(1 + H\phi_x + \frac{H}{2}\phi_y^2\right)\phi_y + F\phi_y^3
\]

\[
f_3 = \phi_z
\]

with the constants defined as

\[
A = M_x^2 \quad B = 2M_x^2 \quad C = 1 \quad D = 1 - M_x^2
\]

\[
E = -\frac{1}{2}(\gamma + 1)M_x^2 \quad F = -\frac{1}{6}(\gamma + 1)M_x^2 \quad G = \frac{1}{2}(\gamma - 3)M_x^2 \quad H = -(\gamma - 1)M_x^2
\]

The ASP3D code though solves the governing equation written in computational coordinates as

\[
\frac{\partial \hat{f}_0}{\partial \tau} + \frac{\partial \hat{f}_1}{\partial \xi} + \frac{\partial \hat{f}_2}{\partial \eta} + \frac{\partial \hat{f}_3}{\partial \zeta} = 0
\]

where the computational fluxes are defined as

\[
\hat{f}_0 = -\frac{A}{J}\phi_t - \frac{B}{J}\xi\phi_\xi
\]

\[
\hat{f}_1 = \frac{|\nabla \xi|}{J} \left[ \frac{\xi_\phi}{|\nabla \xi|} (f_1) + \frac{\xi_\phi}{|\nabla \xi|} (f_2) \right]
\]

\[
\hat{f}_2 = \frac{|\nabla \eta|}{J} \left[ \frac{\eta_\phi}{|\nabla \eta|} (f_2) \right]
\]

\[
\hat{f}_3 = \frac{|\nabla \zeta|}{J} \left[ \frac{\zeta_\phi}{|\nabla \zeta|} (f_3) \right]
\]
The various geometric quantities used in these equations such as the cell volume, areas of the cell interfaces, and direction cosines are defined elsewhere. All of these quantities are computed using formulas that are exact.

- **Entropy, Vorticity, and Viscous Effects**

Shock-generated entropy and vorticity effects are incorporated within the ASP3D code using the mass consistent modeling as described earlier. Viscous effects are included through a simultaneous implicit solution of the integral boundary layer and lag equations with the outer ASP potential flow as also described earlier. The ASP3D capability does not require the use of an interaction law because the equations are solved simultaneously. This is a stable approach that also does not require any smoothing or limiters. Turbulent closure is through the use of the dissipation integral relations of Drela, which is applicable to attached and separated flows.

- **Surface Boundary Condition**

In CAP-TSD the lowest order linear surface tangency condition is used including entropy, vorticity, and viscous effects. In ASP3D the complete mass-consistent surface boundary condition including entropy, vorticity, and viscous effects is given by

\[
\phi_z = \frac{f}{g} (b_x - \alpha) + \phi_y b_y \pm \frac{1}{g} \left( f \delta^* \right)_x + b_t + \delta^* 
\]

where

\[
f = C + D(\phi_x)_{\text{rot}} + E(\phi_x^2)_{\text{rot}} + F(\phi_x^3)_{\text{rot}} \\
- A\phi_y^2 + \frac{H}{2} \phi_y^2(\phi_x)_{\text{rot}} 
\]

and

\[
g = 1 + H(\phi_x)_{\text{rot}} + \frac{H}{2} (\phi_x^2)_{\text{rot}} + \frac{H}{2} (\phi_y^2)_{\text{rot}} 
\]

The surface is represented by

\[ b(x, y, t) \pm \delta^*(x, t) - x\alpha = 0 \]

where the \( \pm \) is for the upper and lower surfaces, respectively, since the boundary layer displacement thickness \( \delta^* \) is defined as a positive quantity on both surfaces.

Note that the spanwise slopes \( b_y \) are included in the complete surface boundary condition for three-dimensional applications, which may be important for swept wings near the leading edge and also in the wing tip region. Furthermore, the streamwise and spanwise slopes are computed internal to ASP3D from the ordinates of the wing geometry by simple second-order accurate central finite differences, effectively the same as is done in advanced codes with body fitted meshes. This eliminates the differentiation of the spline of the wing geometry normally performed as a preprocessing step to
determine the airfoil slopes. It thus makes the input to the ASP3D code simpler than most other small perturbation codes such as CAP-TSD.

- Wake Boundary Condition

Viscous effects are included in the wake modeling in the ASP capability through the boundary condition

$$\Delta \phi_z = \pm \frac{1}{g} \left( f \delta^* \right)_x + \delta^*_f$$

across the wake where $f$ and $g$ were defined previously. The boundary condition is incorporated numerically using

$$\left( \phi_z \right)_{\text{upper}} = \frac{\phi_k - (\phi_{k-1} + \Gamma)}{z_k - z_{k-1}} + \frac{1}{2} \left[ \frac{1}{g} \left( f \delta^* \right)_x + \delta^*_f \right]$$

and

$$\left( \phi_z \right)_{\text{lower}} = \frac{\phi_k - \Gamma - \phi_{k-1}}{z_k - z_{k-1}} - \frac{1}{2} \left[ \frac{1}{g} \left( f \delta^* \right)_x + \delta^*_f \right]$$

- Shock Capturing

The AF1 and AF2 algorithms of the ASP3D code use one of three approaches to model supersonic regions of the flow including: 1) Godunov, 2) Engquist-Osher (E-O), and 3) Murman-Cole (M-C) type-dependent switches. The first two switches satisfy an entropy inequality by design. This means that their use precludes the possibility of computing nonphysical expansion shocks that can occur with the Murman-Cole switch, especially in two-dimensional applications. With Godunov switching, shocks are captured sharply, with only one interior state. Therefore, the Godunov switch is preferable over the E-O and M-C switches, and it is the default switch within the ASP3D code. The E-O switch is available for comparisons with CAP-TSD, and the M-C switch was included for completeness and historical reasons. The details of the ASP3D implementation of the shock capturing options are reported by Batina.22

- Solution Algorithm

The ASP3D code involves a modern cell-centered finite-volume discretization, which allows exact planform treatment including leading edges, wing tips, and control surface edges. The advanced small perturbation equation is solved using either an AF1 approximate factorization algorithm or an AF2-type algorithm. The AF1 algorithm within the ASP3D code is the cell-centered finite-volume version of the AF1 algorithm developed for CAP-TSD, which may be written as

$$L_{\zeta} L_{\eta} L_{\zeta} \Delta \phi = -R(\phi)$$
where the left hand side is an approximate factorization of a linearization of the governing equation, and \( R(\phi) \) is the residual. This equation is solved numerically in a three-sweep procedure wherein each operator is applied individually as

\[
L_\zeta \Delta \phi = -R \\
L_\eta \Delta \phi = \Delta \phi \\
L_\zeta \Delta \phi = \Delta \phi
\]

and the sweeps are performed independently in streamwise, spanwise, and vertical directions. The solution is advanced with a constant time step, which is selected for numerical stability. Specifically, the size of the time step is selected by trial and error so that shock waves in the flow do not move more than one cell per step. If that condition is violated, the solution becomes unstable, and the calculations diverge. This is the so-called moving shock instability inherent with AF1-type schemes as applied to the small perturbation equation.

The AF2 algorithm within the ASP3D code is described in general by

\[
L_\zeta L_\eta L_\zeta \Delta \phi = -R(\phi)
\]

The general form of the AF2 algorithm appears similar to that of the AF1 algorithm but there are two fundamental differences. First, the operators are applied in the reverse order (vertical sweep and spanwise sweep performed first, with the streamwise sweep performed last) and a streamwise implicit temporal damping term is included on the right hand side of the equation which is indicated below. The AF2 algorithm is defined by

\[
\left[ \left( \frac{\alpha}{J} \right) - \delta_\eta \hat{g}_2 \delta_\eta \right] \Delta \phi_i = -\omega R + \frac{1}{f} \left( \frac{\xi}{\Delta r} + \psi \frac{3 \xi}{2 \Delta t} B \right) \Delta \phi_{i-1}
\]

\[
\left[ \left( \frac{\alpha}{J} \right) - \delta_\eta \hat{g}_2 \delta_\eta \right] \Delta \phi_i = \left( \frac{\alpha}{J} \right) \Delta \phi_i
\]

\[
\left[ \left( \frac{\alpha}{J} \right) - (\hat{g}_1)_{i+1/2} \delta_\xi \right] \Delta \phi_i = \left( \frac{\alpha}{J} \right) \Delta \phi_i
\]

where the flux Jacobians are defined by

\[
\hat{g}_1 = \frac{\nabla \xi}{J} \left[ \frac{\xi_1 (\partial f_1)}{\partial \phi} + \frac{\xi_2 (\partial f_2)}{\partial \phi} + \frac{\xi_3 (\partial f_3)}{\partial \phi} + \frac{\xi_4 (\partial f_4)}{\partial \phi} \right]
\]
\[
\hat{g}_2 = \frac{\nabla \eta}{J} \left\{ \eta_x \left[ \frac{\partial f}{\partial \phi} \right] \right\}
\]

\[
\hat{g}_3 = \frac{\nabla \xi}{J} \left\{ \xi_x \xi \right\}
\]

In the first sweep, the temporal damping term is treated implicitly since it involves information from the second intermediate sweep but at the previous grid plane \( i - 1 \), and

\[
\bar{\alpha} = \frac{\xi_x}{\Delta \tau} + \psi \left( \frac{2}{\Delta \tau^2} A + \frac{3\xi_x}{2\Delta \tau} B \right)
\]

The parameter \( \psi \) is set equal to zero for steady applications and set equal to unity for unsteady applications. The parameter \( \omega \) is an over-relaxation factor. The algorithm involves both physical \( (\Delta t) \) and computational \( (\Delta \tau) \) time steps. The computational step is selected for rapid convergence and it is usually assigned a large value. Hence the solution can be advanced using either a constant computational time step or a constant CFL number. The physical time step is used only for unsteady applications, and it is selected based on the physics of the problem being considered.

The AF2 approach is the preferred algorithm. The AF2 scheme is more robust than the AF1 scheme since it cannot fail due to the moving shock instability by design. The temporal damping term eliminates the moving shock instability. Hence convergence to steady state is achieved with large time steps or large CFL numbers, independent of the moving shock instability that plagues the AF1 algorithm. The AF2 scheme may also be used for unsteady calculations when local iteration is applied. Therefore, the physical time step may be selected based on the physics of the problem being solved, rather than on numerical stability considerations. For either steady or unsteady applications, the temporal damping term vanishes in the steady-state limit or within the convergence process of performing subiterations for unsteady calculations.

- **Multigrid Implementation**

The AF1 algorithm is not appropriate for multigrid implementation because the rapid rate of convergence of the multigrid procedure would result in the moving shock instability. The AF2 algorithm of the ASP3D code is amenable to multigrid implementation because the temporal damping term prevents the moving shock instability from occurring. Therefore the multigrid procedure\(^{38}\) may be used for steady or iterative unsteady applications with ASP3D. The procedure is implemented in FAS (Full Approximation Scheme) form,\(^{39}\) which is applicable to nonlinear governing equations. As with any multigrid procedure, high-frequency errors are damped on the fine mesh and low-frequency errors are damped on the coarser meshes. Either V- or W-cycles may be selected and full multigrid is available. W-cycles have been found to be slightly more
effective for solution convergence, although W-cycles are slightly more expensive than V-cycles.  

Specifically, the calculation on the finest mesh \((N)\) smoothes high frequency errors using the AF2 algorithm written in general form

\[
L_N(\phi_N) = R_N
\]

Subsequent calculations on the next coarser mesh \((N-1)\) involve fine mesh residual injection

\[
L_{N-1}(\phi_{N-1}) = R_{N-1} - \hat{I}_N^{N-1}(R_N) + L_{N-1}I_N^{N-1}(\phi_N)
\]

where the \(I\) functions are restriction operators for transferring the velocity potential and residual from the fine mesh to the coarse mesh. The procedure is generally repeated for additional coarse meshes \((N-2, N-3, \ldots)\).

The restriction operator\(^{40}\) for potential is a volume weighted operator defined by

\[
\phi_{N-1} = I_N^{N-1}\phi_N = \frac{\sum V \phi_N}{\sum V}
\]

and the restriction operator\(^{40}\) for residuals is defined by

\[
\hat{I}_N^{N-1}R_N = \sum R_N
\]

where the summations above are taken over all of the fine mesh cells that make up the coarse mesh cell. The interpolation operator for the velocity potential that is required to transfer information back from coarse to fine mesh is a trilinear interpolation operator defined symbolically by

\[
\phi_N \leftarrow \phi_N + I_{N-1}^N\phi_{N-1}
\]

**ASP3D Results and Discussion**

Representative ASP3D results are presented briefly to demonstrate application of the ASP theory to more practical problems. Two cases were considered including: (1) inviscid and viscous calculations for the F-5 fighter wing\(^{41}\) and (2) viscous calculations for the NACA RM L51F07 wing-fuselage configuration.\(^{42}\) All of the results are compared with experimental data to assess the accuracy of the ASP3D computations.

- **F-5 Fighter Wing Results**

The F-5 fighter wing\(^{41}\) has a leading edge sweep of 31.92 degrees, an aspect ratio of 1.578, and a taper ratio of 0.283. The wing has a NACA 65A004.8 airfoil section that has a drooped leading edge. The wing was modeled for ASP3D applications using a 97 x
25 x 65 finite volume mesh as shown in Figure 26. A near field view of the 97 x 25 planform mesh is shown in Figure 26(a) and a view of the 97 x 65 root sectional mesh is shown in Figure 26(b). The meshes were generated using a program that was created to construct meshes specifically for use by the ASP3D code, and as such, it can generate meshes for aircraft configurations with multiple lifting surfaces, with multiple leading and trailing edge controls, and a fuselage. The mesh generator automatically creates the input file for ASP3D, which makes it user friendly.

Inviscid and viscous calculations were performed both using the entropy and vorticity effects for the F-5 wing at $M_\infty = 0.946$, $\alpha = -0.004^\circ$, and $Re = 5.89 \times 10^{6}$. These results are presented in Figure 27, with the inviscid pressure distributions shown in Figure 27(a) and the viscous pressure distributions shown in Figure 27(b). The ASP3D pressure distributions were interpolated to the eight span stations where the NLR experimental data were measured ranging from $\eta = 0.174$ in the inboard region of the wing to $\eta = 0.939$ near the wing tip. The inviscid pressure distributions (Figure 27(a)) show generally good agreement with the experimental pressure data except in the shock region on the upper and lower surfaces of the wing. This is expected because of the neglect of viscous effects. The viscous pressure distributions (Figure 27(b)) are similar to the inviscid pressures and thus also agree well with the data, although the shock waves are slightly weaker and located more forward, and hence, they are in better agreement with the experimental shock results.

- **NACA RM L51F07 Wing-Fuselage Results**

The geometry of the NACA RM L51F07 wing-fuselage configuration is defined in Figure 28. The wing has a leading edge sweep of 46.76 degrees (quarter chord sweep of 45 degrees), an aspect ratio of 4.0, and a taper ratio of 0.6. The wing has a NACA 65A006 airfoil section. The fuselage is an axisymmetric body with fineness ratio of 12. The model was sting mounted for testing and pressures were measured along five chords of the wing as well as on the fuselage. The NACA RM L51F07 configuration was modeled for ASP3D applications using a 129 x 29 x 75 finite volume mesh. A near field view of the 129 x 29 planform mesh is shown in Figure 29. The mesh was generated in the streamwise direction by clustering points near the wing, and there is a slight clustering near the fuselage nose and where the fuselage is attached to the sting. The mesh was generated in the spanwise direction by clustering cells near the fuselage and near the wing tip.

Viscous calculations were performed using the entropy and vorticity effects for the NACA RM L51F07 configuration at $M_\infty = 0.93$, $\alpha = 2.0^\circ$, and $Re = 10^7$. These results are presented in Figure 30 including comparisons with experiment data, with the wing pressure comparisons shown in Figure 30(a) and the fuselage pressure comparisons shown in Figure 30(b). The ASP3D pressure distributions on the wing were interpolated to the five span stations where experimental data were measured ranging from $\eta = 0.2$ near the wing fuselage junction to $\eta = 0.95$ near the wing tip. The ASP3D pressure distributions on the fuselage were directly computed along the fuselage centerline for comparison with the experimental data measured at $\eta = 0.0$. The wing pressure
comparisons of Figure 30(a) show good overall agreement in pressure levels and in the prediction of the strength and location of the upper surface shock wave. The largest differences between computation and experiment are in the inboard region at $\eta = 0.2$, which may be the case because there is no boundary layer on the fuselage (only on the wing). The fuselage pressure comparisons of Figure 30(b) also show good agreement although the calculated shock wave is a little sharper than the wing shock wave due to the neglect of viscous effects on the fuselage.

Conclusions

A new advanced small perturbation (ASP) potential flow theory was developed by first determining the essential elements required to produce solutions as accurate as a full potential code with the small perturbation approach on a Cartesian grid. This level of accuracy was obtained by using a higher-order streamwise mass flux and a mass conserving surface boundary condition. Subsequent applications with these improvements showed very good agreement with all of the full potential cases considered. This was true even for cases at freestream Mach numbers as low as 0.3 and angles of attack as high as ten degrees, conditions that are normally considered to be outside the range of applicability of classical small perturbation theories.

The ASP theory was further developed to produce Euler-like solutions by incorporating a mass conserving calculation of the entropy jump across of the shock wave based on the higher-order streamwise mass flux of the ASP theory. Second-order terms in the trailing wake boundary condition were found to be not insignificant for lifting cases and were also incorporated. Subsequent calculations with these modifications showed very good agreement with all of the Euler cases that were considered. This was true for cases involving strong shock waves and multiple shock waves of disparate strengths.

Viscous effects were incorporated within the ASP formulation by coupling an integral boundary layer procedure to the outer inviscid flow calculation. The dissipation integral method was implemented to model attached or shock-induced separated boundary layers. The capability involves solving the unsteady boundary layer and lag equations simultaneously with the outer potential flow solution, so that no interaction law coupling the inner and outer solutions is required. The combined solution procedure involves an implicit block tridiagonal inversion for all of the cells along the surface and its trailing wake. Exact formulas for edge quantities and exact boundary conditions are used along surfaces and wakes. Smoothing of edge quantities and limiters are not required for stability, and no arbitrary or free parameters are necessary to tune the procedure. Calculations performed with the complete ASP capability including entropy, vorticity, and viscous effects showed good agreement with experimental data.

The ASP theory was shown to be mathematically more appropriate and computationally more accurate than the classical TSP theories. Consequently, the new theory has been used as the basis for a new computer code called ASP3D (Advanced Small Perturbation – 3D), which involves either AF1- or AF2-type approximate
factorization algorithms and a FAS (full approximation scheme) multigrid procedure for the solution of the ASP potential flow equation. The ASP3D code can treat aircraft configurations involving multiple lifting surfaces with leading and trailing edge control surfaces and a fuselage. The new code was briefly described and some representative results were presented. Detailed descriptions of the ASP3D capability and comprehensive results and comparisons are reported in a companion publication.22

Acknowledgement

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References


42. Loving, D. L.; and Estabrooks, B. B.: Transonic-Wing Investigation in the Langley 8-Foot High-Speed Tunnel at High Subsonic Mach Numbers and at a Mach Number of 1.2, Analysis of Pressure Distribution of Wing-Fuselage Configuration Having a Wing of 45° Sweepback, Aspect Ratio 4, Taper Ratio 0.6, and NACA 65A006 Airfoil Section, NACA RM L51F07, September 1951.
Table 1 - Mass flux, derivative of mass flux, and sonic velocity for various small perturbation theories.

<table>
<thead>
<tr>
<th>Theory</th>
<th>TSP theory with NASA Ames coefficients</th>
<th>TSP theory with NLR coefficients</th>
<th>ASP theory</th>
<th>Williams’ asymptotic expansion theory</th>
</tr>
</thead>
<tbody>
<tr>
<td>small perturbation mass flux $f_1$</td>
<td>$f_1 = C + D\phi_x + E\phi_x^2 + F\phi_x^3$</td>
<td></td>
<td></td>
<td>$f_1 = (\gamma + 1)M_\infty^2\left[1 + (\phi_x)<em>{sonic}\left(VV</em>{sonic} - \frac{V^2}{2}\right)\right]$</td>
</tr>
<tr>
<td>associated coefficients or parameters</td>
<td>$C = 1$ $D = 1 - M_\infty^2$</td>
<td>$E = -\frac{1}{2}(\gamma + 1)M_\infty^2$</td>
<td></td>
<td>$V = \frac{\phi_x}{1 + \frac{\phi_x}{2 + (\phi_x)_{sonic}}}$</td>
</tr>
<tr>
<td></td>
<td>$E = -\frac{1}{2}\left[3 - (2 - \gamma)M_\infty^2\right]M_\infty^2$</td>
<td>$E = -\frac{1}{2}(\gamma + 1)M_\infty^2$</td>
<td></td>
<td>$V_{sonic} = \frac{\left[1 + (\phi_x)<em>{sonic}\right]^2 - 1}{2\left[1 + (\phi_x)</em>{sonic}\right]}$</td>
</tr>
<tr>
<td></td>
<td>$F = 0$</td>
<td>$F = 0$</td>
<td>$F = -\frac{1}{6}(\gamma + 1)M_\infty^2$</td>
<td></td>
</tr>
<tr>
<td>derivative of the mass flux $\frac{\partial f_1}{\partial \phi_x}$</td>
<td>$\frac{\partial f_1}{\partial \phi_x} = D + 2E\phi_x + 3F\phi_x^2$</td>
<td></td>
<td></td>
<td>$\frac{\partial f_1}{\partial \phi_x} = (\gamma + 1)M_\infty^2\left[1 + (\phi_x)<em>{sonic}\left(V</em>{sonic} - V\right)\right] \frac{dV}{d\phi_x}$</td>
</tr>
<tr>
<td>sonic velocity $u_{sonic} = \left[1 + \left(\frac{\phi_x}{\phi_{x,sonic}}\right)\right]$</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Table 2 – Speed of sound, velocity, and stagnation quantities for various small perturbation theories.

<table>
<thead>
<tr>
<th></th>
<th>TSP theory with NASA Ames coefficients</th>
<th>TSP theory with NLR coefficients</th>
<th>ASP theory</th>
<th>Williams’ asymptotic theory</th>
</tr>
</thead>
<tbody>
<tr>
<td>general derivative of the mass flux</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$\frac{\partial f}{\partial \phi_x}$</td>
<td>$f_1 = M_a^2(a - u)(a + u) = M_a^2(a^2 - u^2)$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>speed of sound $a^2$</td>
<td>$\frac{1}{M_a^2} - \frac{(\gamma - 1)}{2}(2\phi_x)$</td>
<td>$\frac{1}{M_a^2} - \frac{(\gamma - 1)}{2}\left<a href="2%5Cphi_x">1 - \frac{1}{\gamma - 1}\right</a>$</td>
<td>$\frac{1}{M_a^2} - \frac{(\gamma - 1)}{2}(2\phi_x + \phi_x^2)$</td>
<td>(exact)</td>
</tr>
<tr>
<td>velocity $u^2$</td>
<td>$u^2 = 1 + 2\phi_x$</td>
<td>$u^2 = 1 + 2\phi_x$</td>
<td>$u^2 = 1 + 2\phi_x + \phi_x^2$</td>
<td>(exact)</td>
</tr>
<tr>
<td>stagnation speed of sound $a_{stagn}^2$</td>
<td>$\frac{1}{M_a^2} + (\gamma - 1)$</td>
<td>$\frac{1}{M_a^2} + \frac{(\gamma - 1)}{2}\left[1 - \frac{1}{\gamma - 1}\right]M_a^2$</td>
<td>$\frac{1}{M_a^2} + \frac{(\gamma - 1)}{2}$</td>
<td>(exact)</td>
</tr>
<tr>
<td>stagnation velocity $u_{stagn}^2$</td>
<td>$u_{stagn}^2 = -1$</td>
<td>$u_{stagn}^2 = -1$</td>
<td>$u_{stagn}^2 = 0$</td>
<td>(exact)</td>
</tr>
</tbody>
</table>
Figure 1 - Mass flux quantities as functions of streamwise velocity $u$ for various small perturbation theories at $M_{\infty} = 0.72$. 

(a) Streamwise mass flux $f_1$. 

(b) Derivative of streamwise mass flux $\partial f_1 / \partial \phi_x$. 

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Figure 2 – ASP mass flux quantities as functions of streamwise velocity $u$ for a range of values of freestream Mach number.
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Figure 7 – Full potential pressure coefficient distribution computed by Jameson$^{24}$ for the NACA 64A410 airfoil at $M_\infty = 0.72$ and $\alpha = 0^\circ$. 

shock location
Figure 8 - Effects of small perturbation surface boundary condition on pressure coefficient distribution for the NACA 64A410 airfoil at $M_\infty = 0.72$ and $\alpha = 0^\circ$.

Figure 9 – Full potential pressure coefficient distribution computed by Jameson$^{24}$ for the NACA 64A410 airfoil at $M_\infty = 0.72$ and $\alpha = 0^\circ$ (same as Figure 7).
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Figure 13 – Full potential pressure coefficient distributions reported by Wedan and South\textsuperscript{25} for the NACA 0012 airfoil at $M_\infty = 0.3$ and $\alpha = 10^\circ$. 
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Figure 17 – Euler pressure coefficient distribution computed by Hafez\textsuperscript{26} for the NACA 0012 airfoil at $M_\infty = 0.75$ and $\alpha = 2^\circ$. 

\textsuperscript{26}Hafez, M. H. (2010). \textit{High-Speed Flows}, Springer.
Figure 18 - Pressure coefficient distribution for the NACA 0012 airfoil at $M_\infty = 0.6$ and $\alpha = 5^\circ$ computed using ASP theory including entropy and vorticity.

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Attached flow ($H_k < H_0$) 

Separated flow ($H_k > H_0$)
(a) Convergence histories for outer potential flow and inner IBL solutions.

(b) ASP pressure coefficient comparisons with experimental data.\textsuperscript{33}

Figure 23 – ASP viscous calculations with CFL = 30 for the RAE 2822 airfoil at $M = 0.676$, $\alpha = -2.25^\circ$, and $Re = 5.7 \times 10^6$ (attached flow case).
(a) Convergence history for the outer potential flow solution.

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(b) Instantaneous pressure coefficient distribution at $t = 3000\Delta t$.

Figure 25 – ASP viscous calculations for the RAE 2822 airfoil at $M_* = 0.75$, $\alpha = 2.81^\circ$, and $Re = 6.5 \times 10^6$ (periodic flow case).
(a) Near field view of 97 x 25 planform mesh.

(b) Near field view of 97 x 65 root sectional mesh.

Figure 26 – Finite volume meshes for the F-5 fighter wing.\textsuperscript{41}
Figure 27 – ASP3D pressure coefficient comparisons with NLR experimental data\textsuperscript{41} for the F-5 fighter wing at $M_\infty = 0.946$, $\alpha = -0.004^\circ$, and $Re = 5.89 \times 10^6$. 

(a) Inviscid calculation.

(b) Viscous calculation.
Wing definition:
- sweep = 46.76 degrees
- aspect ratio = 4.0
- taper ratio = 0.6
- NACA 65A006 airfoil

Fuselage definition:
- axisymmetric body
- fineness ratio = 12
- sting mounted

Figure 28 – Geometrical definition of the NACA RM L51F07 wing-fuselage configuration.

Figure 29 – Near field view of 129 x 29 planform mesh of the 129 x 29 x 75 total finite volume mesh for the NACA RM L51F07 wing-fuselage configuration.
(a) Comparisons on the wing.

\[ M_\infty = 0.93 \]

\[ \eta = 0.0 \]

(b) Comparisons on the fuselage.

Figure 30 – ASP3D pressure coefficient comparisons with experimental data for the NACA RM L51F07 wing-fuselage configuration at \( M_\infty = 0.93, \alpha = 2.0^\circ \), and \( Re = 10^7 \).
An advanced small perturbation (ASP) potential flow theory has been developed to improve upon the classical transonic small perturbation (TSP) theories that have been used in various computer codes. These computer codes are typically used for unsteady aerodynamic and aeroelastic analyses in the nonlinear transonic flight regime. The codes exploit the simplicity of stationary Cartesian meshes with the movement or deformation of the configuration under consideration incorporated into the solution algorithm through a planar surface boundary condition. The new ASP theory was developed methodically by first determining the essential elements required to produce full-potential-like solutions with a small perturbation approach on the requisite Cartesian grid. This level of accuracy required a higher-order streamwise mass flux and a mass conserving surface boundary condition. The ASP theory was further developed by determining the essential elements required to produce results that agreed well with Euler solutions. This level of accuracy required mass conserving entropy and vorticity effects, and second-order terms in the trailing wake boundary condition. Finally, an integral boundary layer procedure, applicable to both attached and shock-induced separated flows, was incorporated for viscous effects. The resulting ASP potential flow theory, including entropy, vorticity, and viscous effects, is shown to be mathematically more appropriate and computationally more accurate than the classical TSP theories.

Advanced small perturbation (ASP) theory; Transonic small perturbation (TSP) theory; Unsteady aerodynamics; Aeroelasticity