Performance and Mass Modeling Subtleties in Closed-Brayton-Cycle Space Power Systems

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A number of potential NASA missions could benefit from closed-Brayton-cycle (CBC) power conversion systems. The human and robotic mission power applications include spacecraft, surface base, and rover scenarios. Modeling of CBC subsystems allows system engineers, mission planners and project managers to make informed decisions regarding power conversion system characteristics and capabilities. To promote thorough modeling efforts, a critical review of CBC modeling techniques is presented. Analysis of critical modeling elements, component influences and cycle sensitivities is conducted. The analysis leads to quantitative results addressing projections on converter efficiency and overall power conversion system mass. Even moderate modeling errors are shown to easily over-predict converter efficiencies by 30% and underestimate mass estimates by 20%. Both static and dynamic modeling regimes are evaluated. Key considerations in determining model fidelity requirements are discussed. Conclusions and recommendations are presented that directly address ongoing modeling efforts in solar and nuclear space power systems.

Nomenclature

\[ A = \text{heat transfer area} \]
\[ c = \text{specific heat of solid} \]
\[ h_c = \text{convective heat transfer coefficient} \]
\[ T = \text{temperature of solid} \]
\[ T_e = \text{Far-field fluid temperature} \]
\[ t = \text{time} \]
\[ V = \text{volume of solid} \]
\[ \eta = \text{efficiency} \]
\[ \Theta = \text{dimensionless temperature} \]
\[ \rho = \text{density of solid} \]
\[ \tau = \text{characteristic time scale} \]

I. Introduction

Closed-Brayton-cycle (CBC) power conversion is one method that can be used in space power systems. Brayton system conceptual designs for milliwatt to megawatt power converters have been developed (Baggenstoss and Ashe¹, Harty, et al.², Shaltens and Mason³, Hyder et al.⁴, Mason⁵). When optimizing a CBC-based power conversion system (PCS), numerous features affect overall system performance; a partial list of influential factors

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includes turbomachinery efficiency, heat exchanger effectiveness, working-fluid composition and cycle temperatures and pressures.

II. Literature Review

More than 270 works on Brayton-related space power system topics appear in the literature over the last 30 years. Six examples of steady-state analyses are Tilliette\textsuperscript{6}, Owen\textsuperscript{7}, Baggenstoss and Ashe\textsuperscript{1}, Barrett and Reid\textsuperscript{8}, Mason\textsuperscript{5} and Johnson and Mason\textsuperscript{9}.

Tilliette\textsuperscript{6} examined 25-kWe-class Brayton systems. Liquid metal cooled and direct gas cooled reactors were evaluated as heat sources; fast and thermal spectrums were included. Recuperated and non-recuperated Brayton systems were evaluated. Tilliette demonstrated that a CBC was adaptable for all 10 of the configurations examined. Owen\textsuperscript{7} evaluated 10-kWe- to 100-kWe-class CBC concepts using pumped loop, heat pipe and direct gas reactor cooling schemes. Thermoelectric conversion was also examined; comparative advantages of a CBC system were given. Baggenstoss and Ashe\textsuperscript{1} detailed key mission design requirements for CBC systems. They examined power outputs from 0.5 to 3,300 kWe. Heat sources considered included isotope, solar and reactor; liquid-metal-cooled and direct-gas-cooled reactors were examined. Barrett and Reid\textsuperscript{8} evaluated CBC performance as influenced by working fluid molar mass and cycle peak pressure. Their results indicated performance degradation due to increased mechanical losses at higher operating pressures. Mason\textsuperscript{5} gave an extensive assessment of a 100-kWe CBC design including system-level optimization results for variations in key design parameters. Johnson and Mason\textsuperscript{9} evaluated design-point CBC performance as number of converters, cycle peak pressure and shaft speed varied. Off-design operating modes that reduced reactor heat input were also assessed.

Compared to steady-state assessments, far fewer CBC transient analyses have been published. Four relevant recent evaluations are Traverso et al.\textsuperscript{10}, Traverso\textsuperscript{11}, Ulfsnes et al.\textsuperscript{12} and Wright\textsuperscript{13}. Using a mass inventory control scheme, Traverso et al.\textsuperscript{10} showed stable behavior of a 24-kW solar-dynamic CBC converter with heat rejection radiators subjected to orbital sink temperature periodicity. In a description of a transient code validation case, Traverso\textsuperscript{11} also showed the importance of thermal energy storage in the turbine wheel of a commercial microturbine. Ulfsnes\textsuperscript{12} studied the transient behavior of a semi-closed O2/CO2 gas turbine. The study confirmed the highly integrated complexity of component interactions in a closed cycle system. With the exception of shaft speed calculations, transient variations in gas constant and specific heat ratio were found to have only minor effects on overall cycle performance. Wright\textsuperscript{13} modeled an integrated closed-Brayton-cycle and gas-cooled fission reactor
power system. The model demonstrated stable behavior and showed that the system was capable of load following. Wright showed that temperature feedback mechanisms in reactor control caused what he labeled “counterintuitive” behavior; his model response to a step decrease in electrical load was an increase in reactor power output.

### III. Present Objectives

Cast in the context of previous studies, the present work has three principal objectives: to demonstrate the system-level impacts of differing levels of refinement in modeling closed-Brayton-cycle power conversion systems, to recommend a minimum CBC modeling fidelity for conceptual design studies, and to identify issues related to mass estimation and transient modeling related to the conceptual design of CBC energy conversion systems for space applications.

### IV. Fidelity Necessity

System and subsystem models are tools used to aid in answering engineering design questions. The requisite fidelity of a model depends on what questions are being considered. Different constraints exist for the development, execution and validation of steady-state versus transient simulations. For conceptual design and sizing of CBC power systems, steady-state thermofluid design models are typically used to generate performance and mass estimates. If dynamic interactions with other subsystems are of interest, an integrated transient model is needed to conduct the investigation. In either case, there exists a minimum set of component and subsystem models that are needed to adequately characterize the system. If one oversimplifies the models, erroneous conclusions may be drawn from the analysis results. Elaboration on some key influential factors is warranted.

#### A. Steady State

Many engineers are familiar with the thermodynamics of the ideal Brayton cycle. The cycle is frequently introduced in the first thermodynamics course of an undergraduate mechanical or aerospace engineering curriculum. At first introduction, an instructor may also cover real-behavior of compressors, turbines and heat exchangers. From an introductory course then, we might model a recuperated CBC as shown in Fig. 1. This configuration shows the basic elements of a CBC, but it omits bearings, compressor bleed flow paths (used to cool bearings and the alternator rotor), heat exchanger details, and elements of other subsystems that directly influence CBC performance.

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‡ In actuality, by its definition the Brayton cycle must be an ideal set of thermodynamic state paths that result in a closed process. The “closed-Brayton-cycle” vernacular is used to distinguish a closed-loop converter from an open-loop gas-turbine engine. The nomenclature “ideal” Brayton cycle clarifies that real (non-ideal) component performance is not considered. In practice, real performance is included in many “Brayton” analyses.
Parameters needed to solve the simple cycle thermodynamics of the Fig. 1 representation include turbomachinery efficiencies, recuperator effectiveness and irreversible component flow losses (or “pressure drops”). These performance parameters must be carefully selected to preserve the realness of the model. Overestimation of performance capability can yield unrealistic cycle efficiencies; underestimation can forecast detrimentally heavy subsystem masses. As an illustration, we use a pedigreed high-fidelity CBC modeling code, the NASA Closed Cycle Engine Program (CCEP)\textsuperscript{8,9,14,15,16}, to explore the effects of overestimating performance. Figure 2 shows a more realistic CBC diagram that includes heat rejection subsystem (HRS) information; inclusion of this subsystem is key to understanding gas cooler performance and auxiliary load requirements such as required pumping power. For convenience, the heat source subsystem is shown as a generic system because vastly different models are required for different heat sources such as solar, chemical, or nuclear. However, for the same reasons HRS definition is needed, some detailing of the heat source subsystem is also mandatory to complete a thorough power system analysis. Since we are not actually conducting a system analysis but instead are seeking to illustrate CBC modeling issues, the generic source subsystem will suffice for the present work. A 100-kWe, two-engine configuration is presented in Fig. 2; numerical values in the figure are multiplied or divided accordingly. Figure 2 represents the first oversimplified case in which we zero the compressor bleed flows, mechanical losses (bearings and windage) and electrical (EM) losses. Unrealistically optimistic turbomachinery efficiencies are also selected. The result is a configuration with each 50-kWe engine running at 31.9% converter efficiency, \[
\eta_{\text{converter}} = \text{alternator electrical output / cycle heat input} \tag{1}
\]

In Fig. 3, we add 2% compressor bleed flow for bearing and rotor cooling. At the entrance to the turbine, the mixing of compressor bleed flow with flow from the heat source heat exchanger (HSHX) leads to a lower turbine inlet temperature and degraded converter efficiency of 30.1%. Figure 4 shows the effect of using design performance maps to estimate turbomachinery efficiencies. (Mean-line design codes are even better estimates of compressor and turbine performance and are preferred to generic conceptual design maps.) Even though the original polytropic efficiencies were held to less than 90% ($e_c = 87\%$, $e_t = 89\%$), the design performance maps for the corrected mass flow rates, specific speeds and pressure ratios represented reduce each wheel isentropic efficiency by three points or more (85% to 82% for the compressor; 90% to 86% for the turbine). The converter efficiency suffers and drops to 25.1%. Figures 5 through 7 display cases that add bearing, windage and electromagnetic losses yielding
progressively more realistic performance estimates. Ultimately, the Fig. 7 converter efficiency falls to 21.7%. The fidelity increase from Fig. 2 to Fig. 7 revises the efficiency prediction from 31.9% to 21.7% – a relative reduction of 32%. As the converter efficiency falls, more heat input is required, more heat rejection is required, cycle state points vary, auxiliary loads change accordingly, and system mass estimates increase. Blending empirical and geometric mass estimators (discussed in section VI.), the combined CBC and HRS subsystem mass estimates increases from 1899 kg to 2308 kg – a jump of nearly 22%. This example suggests that if a designer can tolerate 30% overestimation of efficiency or 22% underestimation of subsystem mass, the model of Fig. 2 would be adequate. In the conceptual design phase, a 22% underestimation of mass could deplete an entire subsystem mass margin allocation. Additionally, in even the earliest conceptual design for a space power system, 30% error in calculated efficiency is unacceptable. Conducting CBC analyses without consideration of realistic turbomachinery efficiencies, mechanical losses and electromagnetic losses is at best troublesome and at worst seriously misleading. Unfortunately, the fidelity level at which previous “non-CCEP” studies have been conducted is unclear.

Higher-level system models are often used during the integrated conceptual design of a spacecraft. Estimates from high-fidelity subsystem models serve as inputs to the larger space-system-level mass and performance optimization tool. By necessity, parametric representation of the subsystem is frequently used to simplify the higher-level model. Caution must be exercised to ensure the representation in the simplified model is relevant to the question the larger model is being used to address. At a minimum, design points derived from the simplified parametric model must be verified using the high-fidelity code. (As a matter of good design practice, the parameterization and verification steps inherently require a high-fidelity model exist.) As computing power continues to increase, more and more subsystem details can be added to higher-level models, but a fidelity difference between subsystem and space system models will likely remain.

B. Transient

1. Analytical Formulation

Integrated transient models are used to evaluate dynamic subsystem interactions such as nuclear reactor and power conversion control algorithms. When building transient models, the same fundamental issue arises – what level of fidelity is required? In the gas-turbine controls community, three levels of transient models are often discussed: detailed nonlinear thermodynamic models, linearized dynamic models, and real-time piecewise linear dynamic models (RPLDM)\textsuperscript{17}. The aforementioned CCEP results are examples of detailed thermodynamic nonlinear
model output. Due to the computational complexity of detailed nonlinear models, they are most often used in
design capacity without real-time implementation. Linearized models are created using the
detailed nonlinear model for performance characterization around an operating point. By selecting a number of
discrete operating points, linearized model coefficients can be combined with nonlinear steady-state operating
curves to create an RPLDM for system control schemes. (A complication to note regarding RPLDM
implementation in a control algorithm is that characteristics of each “as built” engine will differ. Flexibility in early
model architecture must be maintained to allow customization for each engine controller.)

As it is for any model, the predicted subsystem performance from a dynamic model is only as good as the
fidelity captured in the code. In addition to the aforementioned steady-state fidelity issues associated with
performance and loss modeling, in a transient model, the desired fidelity is often a question of what timescales are
present in the problem. Fortunately, in the development of CBC subsystems for space applications, at least three
distinct timescales present themselves and can often be used to clarify modeling needs. The characteristic electrical,
mechanical and thermal timescales in a CBC PCS design problem may differ by orders of magnitude.

For instance, as user loads are shorted or switched on and off, the electrical voltage and current transients
typically represent millisecond or smaller-order timescales. An alternator’s managed response to an ion thruster
recycle event recorded in Fig. 8 serves as a good example of this type of timescale response. As the thruster shorts
and resets (a recycle), the parasitic load in the system is adjusted such that the alternator voltage and current
transients last less than 6 milliseconds.

Displaying a slightly slower response, dependent upon component geometries and rotational speeds, shaft
dynamics may be represented by millisecond-to-second characteristic times. For instance, a graph showing shaft
speed of a 2-kWe CBC during transient testing of commanded shutdown and restart is shown in Fig. 9.

Slower yet (although there are exceptions), subsystem level thermal timescales tend to be the longest – ranging
from seconds to hours. A Brayton solar-dynamic system thermal response to heat flux variation during a ground test
simulating orbital operations is captured in Fig. 10. If through examination of the governing differential equations,
an engineer can estimate and isolate the timescales in the problems of interest, simplifications in transient modeling
may be achieved by separating the inconsequential physical phenomenon from the relevant factors and removing the
unimportant parameters from the models.
For example, when trying to estimate the power profile and total energy required to start a CBC converter from rest, the electrical and mechanical timescales dominate the initial system response. A multi-kilowatt CBC turboalternator using gas-film, compliant-foil bearings is easily capable of achieving “lift-off” (when the foils lift off of the shaft and the shaft is hydrodynamically supported by the gas film) in less than one second. After lift-off, the system is “motored” (using the alternator as a motor to rotate the shaft) until the system reaches a thermofluid transition point at which the converter becomes self-sustaining and power producing. Depending on the size and performance characteristics of the converter, the thermal response may take minutes. To simplify transient modeling efforts addressing start-up power profiles, a short-time-scale electro-mechanical model of the turboalternator (including the shaft and bearings but ignoring the thermal response of the CBC system) might be adequate to predict the initial power profile in the first second. The short-time model would simulate parameters such as motor torque, break-away torque, shaft inertia and bearing contact friction. A second model considering the subsystem thermal response and motoring time needed until self-sustaining action is reached would address component mechanical losses and thermal capacitances to allow integration at larger time scales thereby completing the power profile and energy estimates. The simplification achieved by timescale identification and separation often leads to easier model development and execution. Yet, one must still be sensitive to possible exceptions. For instance, if the relatively fast thermal response of the bearing foils is relevant to the contact friction phenomenon, a locally integrated thermal model may still be needed in the start-up analysis.

2. Experimental Validation

As characteristic scales are being evaluated, it is worthwhile to consider what methods will be used to verify model predictions. To attain reasonable confidence in predictive capabilities, models (steady-state and transient) must be validated using empirical data. When planning an experiment to validate a highly integrated dynamic model, similitude of critical dimensionless scales is desirable. The degree to which dimensionless scales are not matched directly impacts the credibility of the verification. For example, a simple lumped-capacitance thermal model of an isolated, insulated duct in a Brayton system is characterized by the first-order ordinary differential equation representing conservation of energy,

$$\rho V c_\text{e} \frac{dT}{dt} + h c A (T - T_\text{e}) = 0$$

(2)
The familiar temperature time-response equation results,

\[ \Theta(t) = e^{-t/\tau} \]  

(3)

The characteristic time constant, \( \tau \), is made up of physically significant duct and flow parameters,

\[ \tau = \frac{\rho V c}{h_c A} \]  

(4)

To increase the usefulness of a validation experiment, the experimental apparatus used to generate integrated duct model verification data should possess a similar time constant to a flight-like system. A 10-cm diameter cast-iron commercial pipe flowing air with a time constant of 184 s may not be a good representation of a stainless steel flight-like compressor inlet duct flowing He-Xe with a time constant of 62 s. Certainly, this is an over-simplified example – an experiment is not needed to provide the first-order solution. However, for components with complicated geometries and multiple characteristic scales (such as a heat exchanger), the opportunity to achieve similitude is quickly lost as non-prototypic hardware enters a verification test loop. Even if something as simple as the lumped-capacitance time scale is matched, it may be of very limited use. Biot numbers, \( Bi = \frac{h_c D}{k_s} \), for the aforementioned duct cases are significantly greater than 0.1; this invalidates the lumped-capacitance assumption altogether. Even as higher-order models are developed, integrated experiments using flight-like components are required to verify that modeling assumptions still do not oversimplify the problem.

V. Cycle Sensitivity

Thermodynamic performance of CBC energy converters can be strongly affected by individual component characteristics. Even in the oversimplified Fig. 1 representation, the overall converter efficiency is very sensitive to recuperator effectiveness. Turbomachinery efficiencies and heat exchanger effectivenesses are commonly known to influence cycle performance. However, cycle sensitivity to parameters such as bearing film thickness or alternator gap size is less recognized.

Bearing film thickness and alternator gap size both affect the total frictional losses associated with shaft rotation. These viscous losses directly rob the turbine of useful shaft work. For a converter that runs at 25% efficiency, each kilowatt of viscous loss can require up to one kilowatt of heat rejection and 4 kWt in additional heat source supply capability (a fraction of the viscous heating can be recovered as useful work if the heated fluid travels through the recuperator or turbine). The selection of an appropriate design-point bearing film thickness is an extremely complicated trade study involving items such as bearing load capacity, bearing and shaft geometry, off-design
expectations, thermal management and rotordynamics. Likewise, trades in electromagnetic efficiency, rotordynamics, material properties, and operating stresses are needed to set the operating “air” gap distance in the alternator. Since the cycle working fluid is sheared in the bearing-film and alternator-gap separations, mechanical losses (bearing and windage) are significantly impacted by the separation values. Figure 11 presents a gross windage loss estimator as film thickness and peak operating pressure vary for a 100 kWe system. (For thrust and journal bearings, effective film thickness and operating pressure cannot be treated as independent parameters; bearing load capacity couples the two parameters and requires load estimates to optimize the thickness setting.) In the windage model results of Fig. 11, loss estimates become significant at the higher pressures. These losses cause cycle optimized state points to change. The more intricate loss models encompassed in CCEP allow us to evaluate cycle sensitivities to loss estimates. Comparing Figs. 5-7 and 12 identifies cycle impacts due to mechanical loss sensitivities. At a peak operating pressure of 1 MPa, Fig. 7 shows total mechanical losses of approximately 9 kW; at 3 MPa, Fig. 12 totals 23 kW of mechanical losses. Unfortunately, significant variation exists in different loss models. Figure 13 shows a cycle similar to that of Fig. 12 but using a different empirical loss model. The mechanical losses shown in Fig. 13 at 3 MPa are less than 14 kW (a 40% reduction); a related increase in Fig. 12 to Fig. 13 converter efficiency from 14.7% to 16.1% is noted. Not only is converter efficiency affected, but other design factors are also sensitive to mechanical losses. For example, start-up auxiliary power requirements are heavily influenced by mechanical loss and total motoring time estimates. To reduce the uncertainty associated with loss estimates, a focused research program is currently underway at the NASA Glenn Research Center. The research will better quantify bearing and windage mechanical losses under different operating conditions in fluids of various molecular weights and correlate the observed losses with a physics-based loss model.

VI. Mass Models

In CBC conceptual design models, two methods of mass estimation are prevalent. The first method uses empirical curve fits that present “as-built” component masses as a function of a few (frequently one) characteristic performance variables. The second method is to have a detailed geometric characterization of the component and determine the mass by summing the known volume and material density products. Both methods have advantages and disadvantages.

The empirical method is generally simpler and easily included in higher-level integrated system models. For example, to size a turboalternator or CBC heat exchanger, the specific mass (kg/kW) curves in Figs. 14 and 15 could
be used. The curves are anchored by prototypical hardware built as part of previous research programs. (Uncertainties due to few “as-built” data points can, of course, propagate to large uncertainties in subsystem mass estimates.) If the empirical method is used, unjustified extrapolation outside the empirical database must be avoided. Additionally, without a more detailed physical model to scale the hardware, the modeler relies on the completeness of the independent variables identified. Because of the problems listed, it is often difficult to fully assess the impact of advanced technologies using historical hardware-based functional relationships.

The use of more detailed component design information provides a second mass estimation method. Using this technique, the CCEP code manipulates information such as that given in Table 1 to estimate the mass of a plate-fin counterflow recuperator. The detailed information seems to yield a more fundamental mass calculation, but one must recognize that other empirical relationships (such as pressure-drop and heat transfer correlations) are involved in producing the detailed geometric configuration. If the design details are generated using a fundamental physics-based algorithm, this method can usually be successfully adapted to investigate advanced technologies (like new materials, fabrication methods, etc.). This method is also less constrained by designs that lie outside the historical database. However, whenever a conceptual design estimate can be validated with “as-built” reference data in a reasonable and unrestrained manner, the hardware-based comparison is usually preferred.

VII. Conclusions

Each performance model’s required capabilities are driven by the design question being investigated. However, as a general recommendation, to attain acceptable results, conceptual design analyses used to size closed-Brayton-cycle space power conversion subsystems must include realistic representations of turbomachinery efficiencies, mechanical losses and electromechanical losses. Efficiency errors of 30% and mass estimate errors of 20% are possible using even moderately unrealistic representations.

Transient CBC performance models can benefit from timescale identification and segregation. Characteristic electrical, mechanical and thermal timescales in closed-Brayton-cycle subsystems can vary from fractions of milliseconds to hours. Simpler development and use of integrated dynamic models may be possible using timescale separation techniques.
Dimensionless similitude between ground test units and flight systems is essential to meaningful experimental validation of transient models. Special attention must be devoted to evaluating ground test hardware with respect to flight-like characteristic dimensionless scales.

Cycle energy balances are sensitive to mechanical losses in bearings and alternators. Comprehensive bearing and windage loss models are difficult to generate due to the complexity and number of variables in the related trade spaces. Existing loss models yield significantly different loss predictions. Using two available models, a 40% difference in mechanical loss predictions was demonstrated for a 100-kWe (two-engine) closed-Brayton-cycle subsystem operating at 3 MPa peak pressure. More research is needed to reduce the uncertainty in journal and thrust bearing loss predictions over a range of operating conditions using fluids of various molecular weights.

Closed-Brayton-cycle subsystem mass estimates are typically empirically based or calculated from more detailed component design information. Both methods have advantages and disadvantages but, if not overly constraining, grounding a mass estimate in “as-built” data is frequently advantageous.

VIII. Acknowledgement

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References


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Fig. 1 Simple recuperated Brayton cycle.

Fig. 2 CBC with specified turbomachinery \( \eta \), 0% compressor bleed, no bearing, windage, or EM losses.
Fig. 3 CBC with specified turbomachinery \( \eta \), 2% compressor bleed, no bearing, windage, or EM losses.

Fig. 4. CBC with map-based turbomachinery \( \eta \), 2% compressor bleed, no bearing, windage, or EM losses.
Fig. 5. CBC with map-based turbomachinery $\eta$, 2% compressor bleed, bearing losses only.

Fig. 6. CBC with map-based turbomachinery $\eta$, 2% compressor bleed, bearing and windage losses only.
Fig. 7. CBC with map-based turbomachinery $\eta$, 2% compressor bleed, bearing, windage, and EM losses.

Fig. 8. Alternator transient during a thruster recycle.
Fig. 9. Shaft speed during transient testing.

Fig. 10. Thermal variation of solar-dynamic Brayton.
Figure 11. Windage loss estimator.

Fig. 12. CBC at 3MPa peak cycle pressure; includes map-based turbomachinery $\eta$, 2% compressor bleed, bearing, windage, and EM losses.
Fig. 13. CBC at 3MPa peak cycle pressure; includes map-based turbomachinery $\eta$, 2% compressor bleed, and alternate loss model.

Figure 14. Specific mass curve for turboalternators.
Fig. 15. Specific mass curves for heat exchangers.
Table 1. Recuperator geometric characterization.

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**Recuperator mass** = 158 kg