Title: **THE INFLUENCE OF SEA ICE ON PRIMARY PRODUCTION IN THE SOUTHERN OCEAN: A SATELLITE PERSPECTIVE**

**AUTHORS:** Walker O. Smith, Jr., Virginia Institute of Marine Sciences, College of William and Mary, Gloucester Pt., VA 23062, and Josefino C. Comiso, Cryospheric Sciences Branch, Code 614.1, NASA Goddard Space Flight Center, Greenbelt, MD 27701

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**ABSTRACT:** Sea ice in the Southern Ocean is a major controlling factor on phytoplankton productivity and growth, but the relationship is modified by regional differences in atmospheric and oceanographic conditions. We used the phytoplankton biomass (binned at 7-day intervals), PAR and cloud cover data from SeaWiFS, ice concentrations data from SSM/I and AMSR-E, and sea-surface temperature data from AVHRR, in combination with a vertically integrated model to estimate primary productivity throughout the Southern Ocean (south of 60°S). We also selected six areas within the Southern Ocean and analyzed the variability of the primary productivity and trends through time, as well as the relationship of sea ice to productivity. We found substantial interannual variability in productivity from 1997 - 2005 in all regions of the Southern Ocean, and this variability appeared to be driven in large part by ice dynamics. The most productive regions of Antarctic waters were the continental shelves, which showed the earliest growth, the maximum biomass, and the greatest areal specific productivity. In contrast, no large, sustained blooms occurred in waters of greater depth (> 1,000 m). We suggest that this is due to the slightly greater mixed layer depths found in waters off the continental shelf, and that the interactive effects of iron and irradiance (that is, increased iron requirements in low irradiance environments) result in the limitation of phytoplankton biomass over large regions of the Southern Ocean. Annual productivity of the Southern Ocean south of 60°S averages 23.65 g C m\(^{-2}\) y\(^{-1}\), but yearly means ranged from 22.10 - 25.49 g C m\(^{-2}\) d\(^{-1}\) in 1998 and 2004, respectively. Annual primary productivity over the entire Southern Ocean appears to have increased significantly since 1998, and much of this increase was confined to the months of January and February. Causes for this trend remain unclear.

**Popular Summary:** Sea ice is of paramount importance in controlling phytoplankton productivity, growth and biomass in polar regions on all scales. At the small scale, sea ice can release epontic algae into the water, providing to the water column an inoculum of species that either continue their growth in the surface layer or rapidly sink through the water column to depth. Also, the production of melt water and the generation of a stratified surface layer can give rise to increased phytoplankton growth and accumulation within the marginal ice zone, and depending on how rapidly the ice edge retreats, can be a major site of autotrophic production for the entire Southern Ocean. On the large scale, ice is the major regulator of the availability of irradiance to phytoplankton, and hence controls the large-scale distribution of phytoplankton abundance and production. The Southern Ocean shows a significant amount of interannual variability in environmental and oceanographic features, such as ice concentration, distribution, and surface seawater temperatures, as well as in biological variables, such as pigment concentrations. During the nine-year study period (1997 - 2006) ice concentrations decreased slightly (ca. 2% per decade). Surface layer water temperatures were not correlated with phytoplankton pigments or productivity and showed the greatest variations in areas of the
Antarctic Circumpolar Current. Pigment concentrations were greatest in coastal regions, yet the maximum values were found on the continental shelf (in waters less than 800 m), but not in extremely shallow waters. Enhanced pigments were seldom observed in deep waters, which results from a deeper mixed layer and reduced iron concentrations, each of which by itself may not limit growth and accumulation, but the interactive effects might effectively preclude substantial phytoplankton growth. Productivity in the entire Southern Ocean showed a substantial and significant increase, and much of this increase was due to changes during the austral summer months. This suggests that changes in ice concentrations do not solely allow an accurate prediction of temporal trends in phytoplankton growth and photosynthesis, but that related oceanographic changes (such as in stratification, currents and iron supply) also may have a significant impact. No significant changes in the productivity of our selected regions was observed, largely due to the substantial variability of each region. Understanding the large-scale relationships over the entire Southern Ocean between phytoplankton growth/biomass and physical forcing are essential to a complete knowledge of the mechanisms controlling the food webs and biogeochemical cycles in the Antarctic.

**Significant Findings:** The Southern Ocean shows a significant amount of interannual variability in environmental and oceanographic features, such as ice concentration, distribution, and surface seawater temperatures, as well as in biological variables, such as pigment concentrations. During the nine-year study period (1997 – 2006) ice concentrations decreased slightly (ca. 2% per decade). Surface layer water temperatures were not correlated with phytoplankton pigments or productivity and showed the greatest variations in areas of the Antarctic Circumpolar Current. Pigment concentrations were greatest in coastal regions, yet the maximum values were found on the continental shelf (in waters less than 800 m), but not in extremely shallow waters. Enhanced pigments were seldom observed in deep waters, which results from a deeper mixed layer and reduced iron concentrations, each of which by itself may not limit growth and accumulation, but the interactive effects might effectively preclude substantial phytoplankton growth. Productivity in the entire Southern Ocean showed a substantial and significant increase, and much of this increase was due to changes during the austral summer months. This suggests that changes in ice concentrations do not solely allow an accurate prediction of temporal trends in phytoplankton growth and photosynthesis, but that related oceanographic changes (such as in stratification, currents and iron supply) also may have a significant impact. No significant changes in the productivity of our selected regions was observed, largely due to the substantial variability of each region. Understanding the large-scale relationships over the entire Southern Ocean between phytoplankton growth/biomass and physical forcing are essential to a complete knowledge of the mechanisms controlling the food webs and biogeochemical cycles in the Antarctic.
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Walker O. Smith, Jr.
Virginia Institute of Marine Sciences, College of William and Mary, Gloucester Pt., VA 23062

and

Josefino C. Comiso
NASA Goddard Space Flight Center, Code 614.1, Greenbelt, MD 27701

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ABSTRACT

Sea ice in the Southern Ocean is a major controlling factor on phytoplankton productivity and growth, but the relationship is modified by regional differences in atmospheric and oceanographic conditions. We used the phytoplankton biomass (binned at 7-day intervals), PAR and cloud cover data from SeaWiFS, ice concentrations data from SSM/I and AMSR-E, and sea-surface temperature data from AVHRR, in combination with a vertically integrated model to estimate primary productivity throughout the Southern Ocean (south of 60°S). We also selected six areas within the Southern Ocean and analyzed the variability of the primary productivity and trends through time, as well as the relationship of sea ice to productivity. We found substantial interannual variability in productivity from 1997–2005 in all regions of the Southern Ocean, and this variability appeared to be driven in large part by ice dynamics. The most productive regions of Antarctic waters were the continental shelves, which showed the earliest growth, the maximum biomass, and the greatest areal specific productivity. In contrast, no large, sustained blooms occurred in waters of greater depth (> 1,000 m). We suggest that this is due to the slightly greater mixed layer depths found in waters off the continental shelf, and that the interactive effects of iron and irradiance (that is, increased iron requirements in low irradiance environments) result in the limitation of phytoplankton biomass over large regions of the Southern Ocean. Annual productivity of the Southern Ocean south of 60°S averages 23.65 g C m⁻² y⁻¹, but yearly means ranged from 22.10 – 25.49 g C m⁻² d⁻¹ in 1998 and 2004, respectively. Annual primary productivity over the entire Southern Ocean appears to have increased significantly since 1998, and much of this increase was confined to the months of January and February. Causes for this trend remain unclear.
Introduction

Sea ice is of paramount importance in controlling phytoplankton productivity, growth and biomass in polar regions on all scales. At the small scale, sea ice can release epontic algae into the water, providing to the water column an inoculum of species that either continue their growth in the surface layer or rapidly sink through the water column to depth (Thomas, 2004). Mesoscale processes, such as ice melt, also influence phytoplankton. For example, the production of melt water and the generation of a stratified surface layer can give rise to increased phytoplankton growth and accumulation within the marginal ice zone (MIZ: Smith and Nelson, 1985), and depending on how rapidly the ice edge retreats, can be a major site of autotrophic production for the entire Southern Ocean (Smith and Nelson, 1986). On the large scale, ice is the major regulator of the availability of irradiance to phytoplankton, and hence controls the large-scale distribution of phytoplankton abundance and production.

However, because the physical forcing varies from region to region, the effects of melting ice in the marginal ice zone are variable. For example, in the Ross Sea ice initially is reduced in its ice cover via polynya expansion and ice advection, and continued expansion then is normally determined by ice retreat to the east and west that is driven by in situ melting (Tremblay and Smith, 2007). A stratified surface layer is generated that can vary in both space and time based on the amount of melt water input (Smith et al., 2006). In the Bellingshausen Sea the zone of enhanced biomass of phytoplankton was associated with a current-generated front, rather than the melting ice (Boyd et al., 1995; Waldron et al., 1995), whereas in the Weddell Sea the MIZ was correlated with enhanced phytoplankton accumulation, although variations along the ice were observed (Nelson et al., 1987). Ice-edge phytoplankton blooms are not routinely observed in the East Antarctica region (e.g., Strutton et al., 2000). Phytoplankton biomass over the broad
region in the Pacific sector of the Antarctic Convergence Current (ACC) was coupled to ice
retreat (Moore et al., 2000) and nutrient removal as modified by iron limitation (Hiscock et al.,
2003). While ice retreat undoubtedly introduces low density water to the surface layer, it does
not in all cases increase stratification for long enough to allow for a marked phytoplankton
growth stimulation and accumulation.

Smith and Nelson (1985) also suggested that the spatial extent of an ice-edge bloom would
be constrained by the wind-induced reduction in stratification away from the ice. This was based
on density determinations of sections that extended some 300 km from the ice edge, and was
supported by work of Alexander and Niebauer (1981) in the Bering Sea, who reported
phytoplankton blooms in the MIZ that were delineated by the extent of stratification. However,
longer sections in the Ross Sea have shown that sufficient stratification occurs within the entire
polynya during the ice-free period, although slightly deeper mixed layers routinely occur away
from the ice edge (Smith and Asper, 2001; Smith et al., 2006). Seasonal variations in the depth
of the mixed layer are far greater than the differences between the ice-edge and central region,
and it appears that the deepening of the mixed layer and the erosion of stratification is primary
driven by ice formation and brine rejection, rather than increases in winds (Tremblay and Smith,
2007). Therefore, the spatial extent of any ice-edge bloom likely varies as a function of regional
physical forcing.

Estimates of the productivity of the Southern Ocean, either regional or basin-wide, have
substantial uncertainty. Early estimates suggested that the overall productivity of the Southern
Ocean averaged 16 g C m\(^{-2}\) y\(^{-1}\) (Holm-Hansen et al., 1977), which is similar to rates in
subtropical oceans. Inclusion of the marginal ice zone productivity increased the estimate by
60% (Smith and Nelson, 1986), but all of the above estimates had extremely large uncertainties.
Arrigo et al. (1998b) used data from the SSM/I and CZCS satellite sensors and estimated that the productivity of the Southern Ocean was some four times greater than had previously been estimated, but the accuracy of the model results is compromised by errors in the CZCS chlorophyll estimates, relatively poor spatial/temporal resolution, and substantial effects of clouds. A similar approach was used by Moore and Abbott (2000), who estimated productivity south of 50°S to be from 62-82 g C m\(^{-2}\) y\(^{-1}\), depending on the assumptions used. However, these were the first attempts to uniformly treat the productivity of the entire Southern Ocean and estimate its productivity using remote sensing, similar to what had been attempted in other oceanic regions (e.g., Behrenfield and Falkowski, 1997; Campbell et al., 2002; Behrenfeld et al., 2007).

Here we use an approach similar to that of Arrigo et al. (1998b) and Moore and Abbott (2000) to study the productivity of the Southern Ocean, in that we incorporate phytoplankton pigment assessments, surface temperature estimates, modeled irradiance, and observed ice concentrations, and incorporate them into a vertically integrated production model to estimate productivity according to the technique of Behrenfield et al. (2002). We also select six regions to assess the decadal changes in productivity at those sites, and also assess the relationship between phytoplankton productivity with ice concentrations (a proxy for stratification) and bathymetry in waters of the Antarctic. The resultant assessment of Southern Ocean productivity is the most exhaustive ever compiled and provides an improvement in the quantitative role of carbon fixation in Antarctic waters.

Materials and Methods

The key parameters used in this study are ice concentrations, sea surface temperatures, phytoplankton pigment concentrations, photosynthetically active radiation (PAR), and cloud
cover, all of which are derived from satellite data. Ice concentrations and associated parameters (e.g., ice extent and area) were derived using data from the Special Sensor Microwave Imager (SSM/I) on the Defense Meteorological Satellite Program (DMSP) and mapped on a polar stereographic grid at a 25 × 25 km resolution. Ice concentrations were derived from satellite passive microwave data using the enhanced Bootstrap algorithm used for AMSR-E data and adapted for SSM/I data (e.g., Comiso et al., 2003, Comiso, 2004). Sea surface temperatures were derived from thermal infrared channels of the NOAA/Advanced Very High Resolution Radiometer (AVHRR) as described in Comiso (2003). Pigment concentrations derived from Sea-viewing Wide Field of View Sensor (SeaWiFS) data were provided by the NASA/Goddard Earth Sciences (GES)/Distributed Active Archive Center (DAAC). Surface temperature and pigment concentration data have been gridded in the same manner as the sea ice concentration data but on a 6.25 × 6.25 km resolution. Daily, average pigment concentrations were estimated using the standard SeaWiFS algorithm with OC4 (Version 4) calibration (Pat et al., 2003) and used to generate weekly (7-day bins) and monthly data sets from 1997 to 2006. PAR data were extracted as part of the SeaWiFS data and treated similarly. It is important to note that because of cloud and ice masking the weekly and monthly averages do not reflect true averages, but are averages of daylight data (for each data element) available during clear-sky, ice-free conditions only.

Temperature, PAR and chlorophyll concentrations were used with a vertically generalized production model (Behrenfeld and Falkowski, 1997) in which primary productivity ($PP_{eu}$ in units of mg C m$^{-2}$ d$^{-1}$) was calculated by the following equation:

$$PP_{eu} = 0.66125 \times D_{opt}^{8} \times \frac{E_o}{E_o + 4.1} \times C_{sat} \times Z_{eu} \times D_{lrr}$$

(Eq. 1)
where $P_{opt}^B$ is the optimal rate of photosynthesis within the water column (mg C (mg chl)$^{-1}$ h$^{-1}$) and is regulated by temperature, $E_a$ is the surface daily PAR (mol photons m$^{-2}$ d$^{-1}$), $C_{sat}$ is the surface chlorophyll concentration (mg chl m$^{-3}$) determined by satellite, $Z_{eu}$ is the depth of the euphotic zone in meters, and $D_{tr}$ is the photoperiod (h). $P_{opt}^B$ was estimated from sea surface temperatures by the polynomial equation of Behrenfeld and Falkowski (1997), and all values at temperatures less that -1.0°C were set to 1.13. Productivity was calculated on a daily basis, and binned in a manner similar to that of chlorophyll. The gridding technique (the so called "drop in a bucket" procedure) and the presence of clouds caused a large fraction of data elements (pixels) in the daily maps to have missing data. In the case where a single empty or voided pixel is surrounded by pixels with data, a simple interpolation technique is utilized to fill the empty pixel. For slightly larger data gaps of a few pixels, a combination of spatial and temporal interpolation was utilized. Such interpolation filled only a very small fraction of missing data in the daily map, and for time-series studies weekly averages were produced as the basic product.

We recognize that regional algorithms have been developed for certain parts of the Southern Ocean (e.g., Ross Sea: Arrigo et al., 1998b, Diersson and Smith, 2000), and that these formulations provide a more accurate estimate of phytoplankton biomass in each area. We chose to use the output from the standard global algorithm to simplify the comparison of regions and of various years, to facilitate a comparison among all regions, and to avoid problems of defining boundaries of optically different regions. While this approach may introduce error into absolute estimates of productivity within a region, it provides a uniform basis to compute productivity throughout the Southern Ocean, as regional algorithms (some of which need more rigorous validation) are not available for all areas. We also chose specific regions for a more in-depth analysis (Figure 1). These regions [Ross Sea I (RS I): the southern Ross Sea; Ross Sea II (RS
the central Ross Sea; the West Antarctic Peninsula (WAP), the South Georgia region in the Antarctic Circumpolar Current (ACC); the Weddell Sea (WS); and the Indian Ocean (IO), were selected based on their large seasonal ice variations and enhanced productivity values measured previously using discrete methods. The Indian Ocean study region was identified as a region with consistently low pigment concentrations and included to assess how the variability of an area that is a site of persistently deep, wind-induced mixed layers and low productivity compares with the biomass and productivity of the other selected regions.

Results

Irradiance

Irradiance has two components: photoperiod and absolute irradiance impinging on the sea surface. Photoperiod can be relatively easily modeled, as it is solely a function of latitude (Figure 2a). Within the Southern Ocean, photoperiod varies from 0 – 24 h within one year, and from 16.3 – 24 hours at the seasonal maximum (December 21). Surface PAR can be either measured via satellite or modeled. Modeled irradiance was computed after the clear-sky model of Watson and Gregg (1990); in addition, surface PAR was obtained from the SeaWiFS satellite (Arrigo et al., 2004). Modeled PAR can, of course, be extended to any resolution, whereas measured PAR is biased by cloud cover and is limited to the resolution of the sensor. Both modeled and measured estimates of PAR were tested in the productivity model, and surprisingly it was found that there was little difference between the two. As a result, we used the modeled PAR for our full analysis, especially since the surface PAR data that are available were not quality controlled (in terms of ice and ocean mask) in the polar regions. Modeled PAR ranged from 0 – 70 mol photons m\(^{-2}\) d\(^{-1}\) within the year, and maxima ranged from 63 – 68 mol photons
m² d⁻¹ among the selected study regions (Figure 2a). PAR was the same as the scalar irradiance calculated by others (e.g., Mitchell and Holm-Hansen, 1991).

**Ice Concentrations**

To show spatial variations in the distribution of ice concentration during late winter through austral spring and summer (when blooms are most likely to occur) and autumn, multi-year, monthly averages of ice concentrations were calculated for the years that SeaWiFS provided data (Figure 3). In October the ice cover is still fully consolidated throughout the Antarctic region, but reduced concentrations are found in many coastal areas. Coastal polynyas along most of these regions are more apparent in December, especially in the Ross Sea. In February, much of the coastline becomes completely ice-free, with persistent ice cover being prevalent in the Western Weddell Sea and the Amundsen/Bellingshausen Seas. In April, when rapid ice growth in deeper water occurs, reduced concentrations along the coastlines continue. The average concentration of the entire Antarctic sea ice cover in December (Figure 4) fluctuates from about 55 to 65% from 1997 through 2006, with a majority of the fluctuations occurring during the last five years. The trend in ice concentration also shows a decline of about 2% per decade. In the selected study regions the average ice concentration varies seasonally (Figure 5), except in the ACC and Indian Ocean, where little ice occurs throughout the year. In the Weddell Sea and Ross Sea study areas, the ice cover is near 100% in winter but exhibits large variability in the summer, while in the WAP the summer ice cover is almost zero, but exhibits large variability in the winter. The percentage of open water (1 – ice cover percentage) varies in a similar manner. Thus, in the Weddell Sea study area the average open-water percentage during summer ranges from 30 - 100%, while in the Ross Sea study area open water averages from 28
- 72%. The Ross Sea I study area averages open water concentrations of 10 - 20% in winter and 50 - 100% in summer, with 100% values occurring in 1997, 1999, 2000, 2002, 2005 and 2006.

### Surface Temperature

While sea surface temperatures (SST) have been used to study oceanographic processes in polar regions (e.g., Kwok and Comiso, 2002), they have not been used previously to evaluate spatial variability of plankton concentrations in the region. In the Southern Hemisphere south of 60°S, the spatial distribution of SST is heavily influenced by the melting of sea ice during the spring and summer. The data are thus useful to assess the degree to which temperatures are correlated with phytoplankton growth and accumulation, but also the fate of the low density melt water after sea ice melt. Monthly averages of SST in December from 1997 to 2005 (Figure 6) provide the means to evaluate spatial distributions of SST and relationships with sea ice locations (in white). The surfaces with near-freezing temperatures in the maps are likely the surfaces influenced by sea ice, and it is apparent that the interannual variability of the extent and location of these surfaces is large. In general the temperatures reflect the distribution of ice as well as the north-south gradient in the surface heat budget. However, one area deviates substantially among years: the ice edge at the Greenwich meridian. In some years (e.g., 1998, 2000, 2004) warm water clearly is advected under the ice and initiates melting, opening large areas to the atmosphere, and surface water temperatures are near 0°. The exact location of this intrusion, as well as the strength of its surface signal, also varies substantially among years as well.

The seasonality and interannual variability of SST in the various study regions (Figure 7) show that the regional as well as interannual variability is large. The warmest waters in all of the study regions are in the ACC, although there were times (e.g., 1998 and 2001) when the Indian Ocean study area was warmer during summer. There are no data in Weddell and Ross Sea study
areas during parts of the year when they are covered by sea ice, but the SST in these regions is undoubtedly close to the freezing point of seawater. December sea surface temperatures ranged from the freezing point (ca. -1.86°C in the Ross Sea, or 271.3°K) to more than 10°C (283.2°K) in the ACC region (Figure 7). Seasonal ranges were greatest in the ACC (ca. 5.6°), followed by the Indian Ocean and WAP study areas, while those in the ice covered regions show more moderate seasonality (Figure 7). Specifically, Ross Sea I and II and Weddell Sea areas all had a range of about 2°, while that of the WAP was about 3°.

**Pigment Distribution**

The distribution of chlorophyll a in the Southern Ocean was previously studied (Comiso et al., 1993; Sullivan et al., 1993; Moore and Abbott, 2000); using Nimbus-7/CZCS data, but spatial and temporal coverage was restricted and seasonally biased. This improved substantially with the collection of SeaWiFS data (Moore and Abbott, 2000). The compendium presented in Figure 8 is likely the most comprehensive representation of yearly and multiyear averages of plankton concentration in the Southern Ocean. The data provide the means to identify regions with persistently high chlorophyll a concentrations; similarly, they also indicate where the persistently low concentrations occur. The composite also illustrates how the pigment concentrations and distributions vary among years. The images show that maxima are largely confined to continental shelf regions, and in particular to those polynyas where ice concentrations become reduced during the growing season (Figure 8). Seasonal variations were large at any single location, and could range from zero to greater than 20 µg L⁻¹ in regions like the Ross or Weddell Seas. Open ocean regions showed much smaller maxima, and only occasionally exceeded 1 µg L⁻¹. The Pacific sector was an exception to this, and seemed to have
slightly greater chlorophyll levels than other areas of the Southern Ocean at a similar latitude and depth.

Pigment concentrations in the selected study areas showed substantial variations among themselves, as well as large interannual variations (Figure 9). The greatest concentration of chlorophyll was found in the southern Ross Sea (RS I), followed by the central Ross Sea (RS II), the ACC, the Weddell Sea, the West Antarctic Peninsula and the Indian Ocean. Mean annual concentrations (calculated from all ice-free retrievals) and their standard deviations were $0.31 \pm 0.02$, $2.19 \pm 0.98$, $1.22 \pm 0.29$, $0.39 \pm 0.17$, $0.54 \pm 0.17$, $0.34 \pm 0.06$, and $0.14 \pm 0.01 \mu g \text{chl } a \text{ L}^{-1}$ in the entire Southern Ocean, RS I, RS II, ACC, WS, WAP and IO, respectively.

**Primary Productivity**

Primary productivity of entire Southern Ocean south of $60^\circ$S was strongly correlated with pigment concentrations (Figure 10). Annual productivity of the entire Southern Ocean (south of $60^\circ$S) equaled $23.65 \pm 1.28 \text{ g C m}^{-2} \text{ y}^{-1}$ (Table 1). Means and standard deviations for the six selected regions were $2.74 \pm 0.98$ for RS I, $2.26 \pm 0.59$ for RS II, $1.56 \pm 0.50$ for the WAP, $0.75 \pm 0.28$ for the WS, $2.83 \pm 0.40$ for the ACC, and $1.71 \pm 0.16 \text{ g C m}^{-2} \text{ d}^{-1}$, respectively. Monthly (from November through March) mean productivity of the entire Southern Ocean showed dramatic temporal and spatial variations (Figure 10), with the largest variations being associated with the extreme maxima of coastal regions. The influence of the marginal ice zone is relatively minor in this analysis, but the maximum chlorophyll concentration observed generally occurs about six weeks after the disappearance of ice. There also is a notable lack of deep-water (> 1,000 m) blooms throughout the Southern Ocean, suggesting that productivity in these areas is limited by irradiance, trace metal availability, other factors or by their interactive effects.
The temporal trends of primary productivity in the selected regions are shown in Figure 11. One feature that becomes obvious is that polynyas (e.g., the Ross and Weddell Seas) bloom much earlier than other regions of similar latitude, and even substantially before areas of similar depth that are much farther north. For example, in RS I (ca. 77ºS) chlorophyll a concentrations reach 3 µg L⁻¹ in November, while similar concentrations are rarely observed in the WAP (ca. 64ºS) until mid-December. This suggests that for the spring phytoplankton assemblages of the Ross and Weddell Seas the absolute requirement for irradiance for net photosynthesis is quite low, or that stratification in the WAP is far weaker than in the polynyas. However, available data do not support the latter hypothesis (e.g., Mitchell and Holm-Hansen, 1991; Palmer LTER data, http://pal.lternet.edu/data/). Both polynyas also receive substantial amount of ice algae released into the water column, providing an inoculum for the water column, and this input is likely greater than in the WAP. However, it remains uncertain what factors might cause the early bloom formation, but its appearance has a striking impact on regional productivity.

Productivity for the entire Southern Ocean shows a significant increase over the period of this analysis (Figure 10). Monthly trends were also computed, and significant increases were noted only for January and February (Figure 10). These two months are also the months of minimum ice concentrations. We believe that this suggests that the summer increases are not directly coupled to ice retreat, but are forced either by changing solar irradiance (and cloud cover) available during these months, or by changing oceanographic conditions that bring iron into the euphotic zone or change stratification. Discriminating among these possibilities is beyond the scope of this analysis. Regardless, the highly significant increase in the productivity of the entire Southern Ocean over the past decade implies that long-term changes in Antarctic food webs and biogeochemical cycles are presently occurring.
Discussion

During the past two decades large amounts of satellite data from polar regions have been collected, and this in turn has allowed concurrent observation and analysis of large-scale, long-term patterns and trends in a variety of physical and biological features. For example, the spatial and temporal trends in ice concentrations (Zwally et al., 2002; Comiso, 2003), teleconnections to tropical regimes via the Annular Mode (Hall and Visbeck, 2002; Kwok and Comiso, 2003), spatial variations in the location of the Polar Front (Moore and Abbott, 2004), and the persistence and movement of a single patch of phytoplankton (Boyd et al., 2000) were all based on satellite observations. This study represents the first attempt to combine satellite data on ice concentrations, temperature, and SeaWiFS pigment levels for the entire Southern Ocean to estimate, using a vertically integrated model, the primary production of the area south of 60°S. Our annual estimate of primary productivity was 23.65 g C m\(^{-2}\) y\(^{-1}\) (Table 1). This is within the range of previous estimates made using different techniques, data and approaches (Table 2), but given the increased spatial and temporal of our analysis, likely represents the most accurate assessment to date.

The estimates provide a good baseline for productivity studies in the Southern Oceans. However, the estimates are not as accurate as we would like them to be because of a number of reasons. For example, no productivity under the ice is included. While productivity is indeed low under 100% ice due to irradiance limitation, it is not zero. Furthermore, while ice may be present within one pixel, an ice concentration of 50%, for example, does not result in zero productivity, but rather allows a substantial amount of irradiance into the water column to drive production (Smith, 1996). Our present model is unable to account for this production, and hence produces an underestimate in ice-covered waters. In addition, no attempt to include epontic
production is made (Arrigo et al., 1997), which has been estimated to range from 9-25% of productivity in ice-covered waters.

Chlorophyll $a$ concentrations are likely inaccurately estimated in some various regions by the standard NASA global algorithm used in our procedures, which would lead to inaccuracies in the overall estimate of productivity. Using CZCS data Moore and Abbott (2000) found that changes in the algorithm used can result in a two-fold difference in chlorophyll estimates, which in turn give rise to a large difference in productivity estimates. Regional models are unavailable for the entire Southern Ocean; furthermore, there is a substantial variation among regions, making the derivation of a generic Southern Ocean algorithm problematic (e.g., Arrigo et al., 1998b; Diersson and Smith, 2000; Peloquin, 2006). Hence we used the standard algorithm, despite the fact that it may not accurately represent the various sub-regions within the Southern Ocean. But even assuming that there is a bias in the data generated by using the standard algorithm, the temporal variability and the correlation analysis presented in this paper would still be relevant.

One feature of the large-scale distribution of pigments and productivity is that a large fraction of high productivity regions are confined to the continental shelf regions. This means that the ocean depth may have a strong influence on the productivity observed. To quantitatively assess this relationship, we analyzed December pigment concentrations in three separate years (1998, 2003 and 2004) vs. depth; we found little relationship between the two, and the data exhibited a tremendous amount of scatter (Figure 12). A distinct maximum occurred on the continental shelf in all years, but somewhat surprisingly, at depths less than 250 m only modest levels of pigments were observed. This may be an effect of strong winds from the continent that advect the pigments offshore; it also might result from the fact that the coast often retains
significant ice cover during December (Figure 3), and even in areas where the ice had disappeared, the water had been exposed to elevated irradiances for a relatively short period, therefore restricting phytoplankton growth and accumulation. While a general negative correlation between ice and chlorophyll has been observed previously (Comiso et al., 1993), it is not immediately obvious why such a trend occurs, especially considering that the shelf break around Antarctica occurs at ca. 800 m. Macronutrients (the concentrations of inorganic nitrogen, phosphorus and silicic acid) are high throughout the Southern Ocean, and cannot explain this trend. It is possible that micronutrients, such as iron, are added to the water as it flows over sediments of the continental shelf and stimulate productivity and growth (Peloquin and Smith, in press). However, it is uncertain that waters in contact with the sediments are indeed enriched with micronutrients, although it has been shown that Modified Circumpolar Deep Waters are elevated in [Fe] relative to waters above (Shorin et al., 2000; Boye et al., 2001). Stratification is often greater on the shelf, but given the large amount of low density, fresh water introduced by melting ice throughout the ice-covered waters at all depths of the Southern Ocean, it might be expected that blooms would occur over much greater regions of the Antarctic than they apparently do. Because shallower waters are unable to support populations of Antarctic krill (Hofmann, 2003), it is possible that these coastal regions experience reduced grazing, but it would not explain why other grazers such as copepods or Euphausia crystallarophius would not remove phytoplankton at a similar rate in the absence of Antarctic krill. Colder waters tend to de-couple production and grazing, but water temperatures off-shore and on the continental shelves are not substantially different (Figure 6), and so the de-coupling would be expected to be similar in both. Hence the extreme productivity of the continental shelves remains an enigma;
however, it may be more correct to say that the extreme lack of production in the deep water is
even more of an enigma.

One possible explanation for the deep-water's ultra-oligotrophic state might be the
interactive effects of iron and irradiance. Sunda and Huntsman (1997) showed in a series of
elegant experiments that at low irradiances the iron demand by phytoplankton increased. Thus,
while waters off the continental shelf are indeed often stratified by melt-water inputs, mean
mixed layers may be greater than those on the shelves. For example mixed layer depths in the
Pacific sector (from 60 - 68°S, in waters >2,500 m) during summer ranged from 5 - 89 m (mean
45.3 ± 20.4 m) in January - February (www.jgofs.whoi.edu). In contrast, mixed layers on the
continental shelf of the Ross Sea during the same period and year averaged 24.7 ± 14.4 m. Thus,
phytoplankton off the shelf would potentially require greater amounts of iron during growth
under lower irradiance. While these waters may have slightly greater inputs of aeolian Fe via
dust, surface layer concentrations are not dramatically different. Hence, we suggest that the
lower irradiances available to phytoplankton due to greater vertical mixing induce greater iron
requirements, and hence ultimately limit phytoplankton biomass and productivity in deep,
oceanic waters.

While the large-scale coupling between ice and primary productivity has been known for
some time, few data are available over appropriate time scales to adequately define the
relationship. We assessed the relationship between ice concentrations and derived monthly
productivity in the four ice-covered regions for all years (the West Antarctic Peninsula, the
Weddell Sea and the two sites of the southern Ross Sea; Figures 13, 14). Little correlation
between the ice and productivity was found in the WAP, either on an annual or monthly basis;
however, in both of the Ross Sea sites as well as in the Weddell Sea, a strong, negative
relationship was detected. This suggests that the primary, causal mechanism behind the
interannual variability in the productivity of the WAP is not ice, whereas the large-scale patterns
of productivity in the more southerly, ice-covered areas are largely dependent on changes in ice
cover and hence irradiance availability on both annual and seasonal time scales. This
furthermore suggests that if ice concentrations in the Ross Sea continue to increase, then
productivity would be expected to fall as well. However, changes in ice cover on the continental
shelf are far less pronounced that in other areas of the Ross Sea sector (i.e., the increases in ice
cover reported by Kwok and Comiso (2002) were largely driven by changes northwest of Cape
Adare, although some increases in the western Ross Sea on the continental shelf were also
observed). In addition, Comiso (this issue) has detected a decrease in ice concentrations in the
Pacific sector, so it remains problematic what, if any, ecosystem shifts might be occurring in
water structured by ice.

One of the more striking results of this work is the marked and significant increase in
primary productivity of the entire Southern Ocean (Figure 10a). This change appears to be
driven by changes in January and February productivity (Figures 10d,e) and not by changes in
other months (although November, December and March also showed non-significant increases).
The trend also is not driven by limited, regional changes; that is, we did not detect changes in the
regions we selected for detailed analysis that contributed significantly to the overall trend we
found in the entire Southern Ocean. The changes in productivity we found could be related to a
number of environmental and oceanographic processes. For example, such changes could be
induced by large-scale, increased water column stratification. Such decreased mixing would
result in increased irradiance availability to phytoplankton and increased growth (and potentially
reduced iron demands as well). Assessing this change is impossible using the data available to
us, but it is noteworthy that models have predicted that the Southern Ocean will respond to
increased atmospheric changes through increased stratification induced by decreased salinity
(e.g., Sarmiento and le Quéré, 1996; Sarmiento et al., 1998). We are not suggesting that such
changes are occurring as a result of increased air temperatures, but such changes might be
contributing to this change. Increased productivity may also be due to enhanced iron inputs via
oceanographic changes; again, these could not be detected from the data available to us.

Behrenfeld et al. (2007), using a similar approach but on a global scale, found that since
1999 there has been a globally significant decrease in chlorophyll and productivity that was
driven in large part by changes in the lower latitudes. Their analysis did include the Southern
Ocean, and they found increased temperatures in the Pacific sector, but decreased temperatures
in the Atlantic. They also reported increased productivity in the deeper waters of the Pacific and
Atlantic south of the Subtropical Convergence, but did not attempt to assess any changes in
waters they did not consider permanently stratified (that is, south of the STC). However, their
results suggest that changes have indeed occurred in the Southern Ocean, but the physical
forcing for such changes remain uncertain at this time.

Decadal changes in ice concentrations have been observed for some time (e.g., Kwok and
Comiso, 2002), and long-term changes in ecosystem variables have also been observed (e.g.,
Laws, 1990; Atkinson et al., 2004). Specifically, we know that since 1979 ice concentrations
have greatly decreased in the West Antarctic Peninsula/Bellingshausen Sea region (ca. 7% per
decade), and those in the Ross Sea have increased by ca. 5.5% per decade (Kwok and Comiso,
2002). It would be expected that such changes in such a major physical forcing variable would
induce changes in primary productivity as well, but we were unable to discern any significant
temporal trend in either the WAP or Ross Sea. The Ross Sea has exhibited very strong
variability in the past decade, including a substantial change due to iceberg-driven ice concentrations. In contrast, ice does not appear to be a major control of annual productivity in the WAP, although it can impact regional food webs (Fraser and Trivelpiece, 1996). Further analysis is needed to assess the environmental forcing of the large-scale changes we detected.

Summary

The Southern Ocean shows a significant amount of interannual variability in environmental and oceanographic features, such as ice concentration, distribution, and surface seawater temperatures, as well as in biological variables, such as pigment concentrations. We have shown that during the nine-years (1997 – 2006) analyzed in this study that ice concentrations decreased slightly (ca. 2% per decade). Surface layer water temperatures were not correlated with phytoplankton pigments or productivity and showed the greatest variations in areas of the Antarctic Circumpolar Current. Pigment concentrations were greatest in coastal regions, yet the maximum values were found on the continental shelf (in waters less than 800 m), but not in extremely shallow waters. Few periods of enhanced pigments were observed in deep waters, and we suggest that this results from a deeper mixed layer and reduced iron concentrations, each of which by itself may not limit growth and accumulation, but the interactive effects might effectively preclude substantial phytoplankton growth.

Productivity in the entire Southern Ocean showed a substantial and significant increase, and much of this increase was due to changes during the austral summer months. This suggests that changes in ice concentrations do not solely allow an accurate prediction of temporal trends in phytoplankton growth and photosynthesis, but that related oceanographic changes (such as in stratification, currents and iron supply) also may have a significant impact. No significant changes in the productivity of our selected regions was observed, largely due to the substantial
variability of each region. Understanding the large-scale relationships over the entire Southern
Ocean between phytoplankton growth/biomass and physical forcing are essential to a complete
knowledge of the mechanisms controlling the food webs and biogeochemical cycles in the
Antarctic.
Smith and Comiso; Sea ice influence on primary productivity of the Southern Ocean

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Figure Legends

Figure 1. Location of regions within the Southern Ocean selected for detailed analysis. 1 = ACC region; 2 = Indian Ocean; 3 = Weddell Sea; 4 = West Antarctic Peninsula (WAP); 5 = Ross Sea I; 6 = Ross Sea II.

Figure 2. (a) Modeled photosynthetically active radiation impinging on the sea surface as determined by the Watson-Gregg (1990) clear sky model, (b) Modeled photoperiod of the Southern Ocean (between 55 - 80°S) over one year.

Figure 3. Mean ice concentrations for the Southern Ocean from 1997 – 2006 during a) October, b) December, c) February, and d) April.

Figure 4. Mean ice concentrations in December for the years 1997-2006, showing the magnitude and location of interannual variations throughout the Southern Ocean.

Figure 5. Variations of percentage of ice cover through time in a) the two Ross Sea selected regions and the West Antarctic Peninsula, and b) the Weddell Sea and ACC areas.

Figure 6. Mean December sea surface temperature for the Southern Ocean for the years 1997 - 2006.

Figure 7. Variations of sea surface temperature through time in a) the two Ross Sea selected regions and the West Antarctic Peninsula, and b) the Weddell Sea and ACC areas.

Figure 8. Mean austral growing season (November – March) chlorophyll concentration throughout the entire Southern Ocean and that of each year from 1998-2006. Only ice-free pixels are included in generating the mean.

Figure 9. Chlorophyll concentrations from 1997 – 2006 in the selected study regions. a) the ACC, Indian Ocean and Weddell Sea areas, and b) the West Antarctic Peninsula and the two selected regions from the Ross Sea.
Figure 10. Annual (a) and mean monthly (November – March; b - f) primary productivity over the entire Southern Ocean from 1997 - 2006. Annual values computed from computed daily productivity and summed over the ice-free periods.

Figure 11. Primary productivity from 1997 – 2006 in the selected study regions. a) the two Ross Sea selected regions and the West Antarctic Peninsula, and b) the Weddell Sea and ACC areas.

Figure 12. The relationship between depth and chlorophyll $a$ concentrations in the Southern Ocean during three years: a) 1998, b) 2003, and c) 2004.

Figure 13. The relationship between ice concentration and estimate annual primary productivity from 1997 – 2006 in a) Ross Sea 1 study area, and b) Ross Sea 2 study area, (c) WAP study area and (d) Weddell Sea Study area.

Figure 14. The temporal changes in ice concentration and annual primary productivity in November, December, January, February and March from 1997 to 2006 in a) Ross Sea 1 study area, and b) Ross Sea 2 study area, (c) WAP study area and (d) Weddell Sea Study area.
Table 1. Annual means (± standard deviations), minima and maxima of primary production in the entire Southern Ocean and the selected subregions. Means are from the years 1997-2005. Values in parentheses under minimum and maximum production represent the year of occurrence.

<table>
<thead>
<tr>
<th>Region</th>
<th>Mean Production (g C m(^{-2}) y(^{-1}))</th>
<th>Minimum Production (g C m(^{-2}) y(^{-1}))</th>
<th>Maximum Production (g C m(^{-2}) y(^{-1}))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ross Sea I</td>
<td>65.11 ± 24.98 (15.97 - 88.29)</td>
<td>15.97 (2003)</td>
<td>88.29 (2001)</td>
</tr>
<tr>
<td>Indian Ocean</td>
<td>41.12 ± 3.75 (35.79 - 45.39)</td>
<td>35.79 (2005)</td>
<td>45.39 (2001)</td>
</tr>
</tbody>
</table>
Table 2. Estimates of primary production of the Southern Ocean. All estimates are not comparable, as they were derived as averages using different techniques and areas.

<table>
<thead>
<tr>
<th>Area used in estimate</th>
<th>Primary Productivity (g C m(^{-2}) y(^{-1}))</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>38.1 × 10(^6) km(^2); South of 50°S</td>
<td>16</td>
<td>Holm-Hansen et al. (1977)</td>
</tr>
<tr>
<td>38.1 × 10(^6) km(^2); South of 50°S</td>
<td>43</td>
<td>El-Sayed (1977)</td>
</tr>
<tr>
<td>Weddell Sea marginal ice zone</td>
<td>30</td>
<td>Jennings et al. (1983)</td>
</tr>
<tr>
<td>Southern Ocean marginal ice zone</td>
<td>10</td>
<td>Smith and Nelson (1986)</td>
</tr>
<tr>
<td>Weddell Sea marginal ice zone</td>
<td>32.9</td>
<td>Smith and Nelson (1986)</td>
</tr>
<tr>
<td>Ross Sea marginal ice zone</td>
<td>45.6</td>
<td>Smith and Nelson (1986)</td>
</tr>
<tr>
<td>Ross Sea continental shelf</td>
<td>140</td>
<td>Arrigo and McLain (1994)</td>
</tr>
<tr>
<td>Ross Sea continental shelf</td>
<td>200</td>
<td>Smith and Gordon (1997)</td>
</tr>
<tr>
<td>South of 50°S</td>
<td>100</td>
<td>Arrigo et al. (1998a)</td>
</tr>
<tr>
<td>Ross Sea continental shelf</td>
<td>78.7 - 144</td>
<td>Arrigo et al. (1998b)</td>
</tr>
<tr>
<td>Southern Ocean (South of 50°S)</td>
<td>62.4; 82.2*</td>
<td>Moore and Abbott (2000)</td>
</tr>
<tr>
<td>Southern Ocean (South of 60°S)</td>
<td>23.65 ± 1.28</td>
<td>This study</td>
</tr>
<tr>
<td>Ross Sea I</td>
<td>65.11 ± 24.98</td>
<td>This study</td>
</tr>
<tr>
<td>Ross Sea II</td>
<td>54.14 ± 14.54</td>
<td>This study</td>
</tr>
<tr>
<td>West Antarctic Peninsula</td>
<td>37.30 ± 11.83</td>
<td>This study</td>
</tr>
<tr>
<td>Weddell Sea</td>
<td>18.17 ± 6.86</td>
<td>This study</td>
</tr>
<tr>
<td>Antarctic Circumpolar Current</td>
<td>67.98 ± 9.61</td>
<td>This study</td>
</tr>
<tr>
<td>Indian Ocean</td>
<td>41.12 ± 3.75</td>
<td>This study</td>
</tr>
</tbody>
</table>

*: Values represent the entire region and only those waters with <70% ice cover
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Trend = $-0.1883 \pm 0.2824$(%/yr)
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