Evaluation of near-tropopause ozone distributions in the Global Modeling Initiative combined stratosphere/troposphere model with ozonesonde data

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Abstract
The NASA Global Modeling Initiative has developed a combined stratosphere/troposphere chemistry and transport model which fully represents the processes governing atmospheric composition near the tropopause. We evaluate model ozone distributions near the tropopause, using two high vertical resolution monthly mean ozone profile climatologies constructed with ozonesonde data, one by averaging on pressure levels and the other relative to the thermal tropopause. Model ozone is high-biased at the SH tropical and NH midlatitude tropopause by ~45% in a 4° latitude x 5° longitude model simulation. Increasing the resolution to 2° x 2.5° increases the NH tropopause high bias to ~60%, but decreases the tropical tropopause bias to ~30%, an effect of a better-resolved residual circulation. The tropopause ozone biases appear not to be due to an overly vigorous residual circulation or excessive stratosphere/troposphere exchange, but are more likely due to insufficient vertical resolution or excessive vertical diffusion near the tropopause. In the upper troposphere and lower stratosphere, model/measurement intercomparisons are strongly affected by the averaging technique. NH and tropical mean model lower stratospheric biases are < 20%. In the upper troposphere, the 2° x 2.5° simulation exhibits mean high biases of ~20% and ~35% during April in the tropics and NH midlatitudes, respectively, compared to the pressure-
averaged climatology. However, relative-to-tropopause averaging produces upper troposphere high biases of ~30% and 70% in the tropics and NH midlatitudes. This is because relative-to-tropopause averaging better preserves large cross-tropopause O$_3$ gradients, which are seen in the daily sonde data, but not in daily model profiles. The relative annual cycle of ozone near the tropopause is reproduced very well in the model Northern Hemisphere midlatitudes. In the tropics, the model amplitude of the near-tropopause annual cycle is weak. This is likely due to the annual amplitude of mean vertical upwelling near the tropopause, which analysis suggests is ~30% weaker than in the real atmosphere.

1 Introduction

The tropopause is surrounded by a transition region that is strongly influenced by both tropospheric and stratospheric processes (Holton et al., 1995; Wennberg, et al., 1998; Rood et al., 2000; Gettelman et al., 2004; Pan et al., 2004). It is a challenge to represent this “near-tropopause region” (NTR) in global models of atmospheric composition. Many models do not consider all of the processes that influence the NTR, because they were designed for reasons of practicality and interest to focus on either the stratosphere or the troposphere, but not both (e.g., Douglass and Kawa, 1999; Bey et al., 2001; Horowitz et al., 2003; Rotman et al., 2001).

Computational advances have allowed a class of composition models to be developed recently that include both the stratosphere and the troposphere (e.g., Rotman et al., 2004; Chipperfield, 2006, Kinnison et al., 2007). The NASA Global Modeling Initiative (GMI) has constructed such a model (the Combo model), which includes a nearly complete treatment of both stratospheric and tropospheric photochemical and physical processes. (Schoeberl et al., 2006; Ziemke et al., 2006; Duncan et al., 2007; Strahan et al., 2007). It uses the Lin and Rood (1996) transport scheme, which has been shown recently to be superior to spectral and semi-Lagrangian transport in representing the strong vertical tracer gradients that characterize the NTR (Rasch et al., 2006).

The Combo model has been shown to have many favorable characteristics in the NTR, when it utilizes meteorological data from a GCM. This includes good lower stratospheric
transport (Douglass et al., 2003), and credible cross-tropopause mass and ozone fluxes (Olsen et al., 2004). Schoeberl et al. (2006) demonstrated that the Combo model reproduces the observed “tape recorder” characteristics of CO across the tropical tropopause. Strahan et al. (2007) showed that the model agrees well with many characteristics of satellite and aircraft observations of CO, O₃, N₂O, and CO₂ in the lowermost stratosphere. They also found realistic correlations between O₃ and CO near the extratropical tropopause.

Ozone is an important species to represent well in the NTR, due to its central role in upper tropospheric chemistry (e.g., Müller and Brasseur, 1999), and its effect on the radiative balance of the atmosphere (Lacis et al., 1990). Typically, modeled NTR ozone mixing ratios are substantially higher than observed, particularly just below the tropopause (Wauben et al., 1998). Here we exploit the high vertical resolution of ozonesonde data to evaluate how well the GMI Combo model is able to reproduce NTR ozone distributions. We explore the mechanisms responsible for any deficiencies that we find. We focus on a climatological evaluation due to the GCM source of the meteorological data used to drive the GMI CTM. Following Logan (1999a), we construct climatological monthly average ozone profiles from the ozonesonde data. The 23-station climatology exploits the availability of a now-substantial number of tropical sondes from the SHADOZ network (Thomson et al., 2003a) to more fully represent the tropics than has been previously possible.

We also investigate the effects and importance of averaging relative to the tropopause versus averaging at constant pressure levels to create the monthly profiles from daily ozonesondes. Averaging relative to the tropopause was shown by Logan (1999a) to substantially increase cross-tropopause vertical gradients in monthly averages. How this affects a model/measurement intercomparison has not yet been thoroughly investigated.

In Section 2 we describe the ozonesonde climatologies constructed for this comparison. The GMI Combo model is described in Section 3. Section 4 presents comparisons between modeled distributions and the climatologies. We summarize these results and draw conclusions in Section 5.
2 Ozonesonde Data Set Description

The ozonesonde data were analyzed in a manner similar to that described in Logan (1999a). She presented monthly averages for ozone on standard pressure levels, and on an altitude grid relative to the height of the thermal tropopause. At the time, data were available for only two tropical sonde stations. Here we use data from 10 tropical stations in the Southern Hemisphere Additional Ozonesondes (SHADOZ) network (Thompson et al., 2003a), which started in 1998; two of these are in the northern hemisphere (NH). We use data from 12 extratropical stations in the NH. Station details are given in Table 1 and shown in Figure 1.

The analysis was the same as that in Logan (1999a) with the following differences: the base period for the analysis was updated to 1985-2000 for the extratropical stations, and to all available data for the tropical stations, which have shorter records; the pressure levels were changed from irregular intervals (1000, 900, 800 hPa etc) to 35 levels equally spaced in pressure altitude between 1000 and 5 hPa (~1 km apart), and averages were formed for each pressure level, with interpolation used only if there were no measurements in a layer. This last change was made because the data are now available with much higher vertical resolution than previously, when the poor resolution required that interpolation be used.

Exactly the same profiles were used to form the monthly means on the pressure levels and on the altitude grid relative to the thermal tropopause. Some profiles were eliminated from the analysis as the tropopause levels derived from the temperature profiles were clearly unrealistic, as discussed in Logan (1999a). The data relative to the tropopause were interpolated to a grid with 1 km resolution in geometric altitude, extending from 6 km below the tropopause to 12 km above it. These profiles were averaged together to produce monthly means relative to the tropopause, the RTT climatology. There are about 150 profiles in the monthly means for the European sonde stations, about 80 for the other extratropical stations, and about 22 for the tropical stations.

Several factors motivated the choice to use the thermal tropopause as a reference. Temperature is simultaneously measured with ozone for each sonde, providing a straightforward and high-resolution profile enabling accurate identification of the thermal
tropopause. Use of a dynamical tropopause definition based on potential vorticity (PV) would require interpolating relatively low vertical and horizontal resolution PV fields from one of several available analyzed data sets to the sonde profiles. Pan et al. (2004) found that the chemical transition layer surrounding the tropopause defined by CO and \( \text{O}_3 \) correlations centered on the thermal tropopause, also supporting the use of the thermal tropopause.

3 Model and Run Description

The GMI Combo model is described in Duncan et al., (2007) and Strahan et al., (2007). The basic structure of the Combo model, without photochemical modules, is also given in Considine et al. (2005). Here, we present details salient to this study. The Combo model is an outgrowth of the original GMI model, a stratospheric CTM described in Rotman et al. (2001). The complete Combo model also includes a full treatment of both stratospheric and tropospheric photochemistry. In this study, we run the Combo model at horizontal resolutions of 4° latitude by 5° longitude and 2° by 2.5°. The model has 42 levels, extending from the surface up to 0.01 hPa. The resolution at the tropopause is about 1 km.

For this study, the Combo model was driven by meteorological data generated from a 50-year run of the GMAO GEOS4 AGCM (Bloom et al., 2005). This run was driven by observed sea surface temperatures, but was otherwise unconstrained. We use a 5-year subset corresponding to the years 1994-1998. The GEOS4 AGCM has both deep (Zhang and McFarlane, 1995) and shallow (Hack, 1994) convective transport parameterizations.

The Combo model uses a 114-species chemical mechanism combining the stratospheric mechanism of Douglass et al. (2004) with the tropospheric chemical mechanism of Bey et al. (2001). Species transport is calculated using the flux-form semi-Lagrangian scheme of Lin and Rood (1996). The chemical mechanism describes both stratospheric halogen chemistry and tropospheric nonmethane hydrocarbon chemistry, including isoprene oxidation. Both stratospheric and tropospheric heterogeneous reactions are included in the chemical mechanism. PSCs are parameterized using the scheme of Considine et al. (2000). Tropospheric heterogeneous reactions occur on tropospheric sulfate, dust, sea-
salt, and organic and black carbon aerosol distributions generated by the Goddard Chemistry, Aerosol, Radiation and Transport model (Chin et al., 2002).

Mixing ratio boundary conditions for stratospheric source gases, N$_2$O, and CH$_4$ correspond to the mid-1990’s. Surface emission inventories are described in Bey et al. (2001) and Duncan et al. (2003), and represents rates typical of the mid 1990’s. Lightning NO$_x$ is also included as monthly mean emissions fields. The lightning source is 5.0 Tg N/y. The horizontal distribution of lightning emissions is based on the ISCCP deep convective cloud climatology as described in Price et al. (1997). Lightning flash rates are from Price and Rind (1992), and the vertical distribution of lightning NOx is based on the cloud resolved convection simulations of Pickering (1998).

The initial condition was taken from a 10-year spinup run of the Combo model, which is enough time for stratospheric species to converge to an approximate annually repeating steady-state condition well above the lower stratosphere, the focus of this study. Diurnal average 3D gridded ozone distributions were output daily. These were interpolated to the ozonesonde station locations, and used to construct the monthly average profiles we compare to observations in the next section.

4 Results

4.1 Global Comparisons

We first provide a global-scale perspective for subsequent comparisons with the ozonesonde climatologies. Figure 2 compares model column ozone distributions from the 2° x 2.5° model run throughout the year with 1994-1998 average column ozone from the merged Total Ozone Mapping Spectrometer (TOMS)/Solar Backscatter Ultraviolet (SBUV) measurement data set (Stolarski and Frith, 2006). The model reproduces well the observed average global total ozone distribution during this time period. The annual cycle of tropical total ozone is represented well, though model values are about 20 DU low compared to the TOMS observations. The model NH springtime peak of ~400 DU is a few DU low, occurs ~2 weeks early, and is not distinctly off the pole as is the case with the observations. The NH high latitude summertime ozone decrease is reproduced well.
In the SH, the model area over 340 DU is smaller than observed, but is otherwise in good agreement. The model produces a convincing ozone hole. Low model values at high latitudes during the SH summer suggest a somewhat too-isolated SH polar region during the spring and summer. Since total ozone is very sensitive to the stratospheric residual circulation, the good agreement between observed and modeled total ozone suggests that the stratospheric residual circulation of the GEOS-4 AGCM is fairly realistic.

Figure 3 compares the model zonal mean distribution of stratospheric ozone in April from the 2° x 2.5° model run with observations made during April by the Halogen Occultation Experiment (HALOE) on board the Upper Atmosphere Research Satellite (UARS) between 1994 and 1998 (Russell et al., 1993). The figure shows excellent correspondence between the observations and the model throughout most of the stratosphere. The model is generally within 10% of observations. There is a high-bias of up to 30% in the tropical lower stratosphere compared to HALOE observations, which will be discussed further below. Overall, the comparison reveals no serious deficiencies in the model representation of stratospheric ozone distributions.

The 4° x 5° model run also compares very well with the merged total ozone and HALOE data (not shown). The differences that exist, such as a shallower ozone hole and somewhat larger model high-biases in the tropical lower stratosphere, are generally minor in this global perspective.

**4.2 Tropopause Heights**

As a test of model meteorological characteristics in the NTR, we first compare modeled and observed tropopause heights at selected stations in Figure 4. Solid lines show mean values, dashed lines show medians. The stations were chosen to span the latitude range of the observations and show typical results. The differences between monthly mean and median tropopause heights are small at all stations in both the observations and the model. There is good agreement between modeled and observed tropopause pressures, including the annual cycle. Differences are largest at Resolute (75°N) and at Wallops Island (38°N).

Table 2 provides a summary of the comparisons for all stations. The model tropopause is typically at slightly lower pressures than observed, except for Uccle, Paramaribo, Java,
and Reunion Island. There is anomalously poor agreement at Tateno (36°N), with model pressures ~21% lower than observations. This is primarily a consequence of temperature profiles with double tropopauses, which sometimes occur near the subtropical jet. Due to this poor agreement, we exclude Tateno from further analysis.

4.3 Tropopause Ozone

Figure 5 compares for the 4° x 5° model run the annual cycle of observed monthly mean tropopause ozone (black line) with model monthly mean tropopause ozone (red line) and model ozone values sampled at observed tropopause altitudes (blue line). Ozone at the model tropopause is higher than observed values, both in the tropics and NH extratropics and throughout the year. Figure 5 shows that the model high bias is occasionally due simply to a higher tropopause in the model than observations – for instance, at Resolute after March. However, at most other locations model ozone is high-biased even at the observed tropopause. Figure 5 also shows that the annual cycle of model tropopause ozone is generally similar in phasing to the observations. The absolute magnitude of the annual cycle in the model at these locations is also similar to the observations, though in percentage terms the annual cycles are somewhat weaker than is observed.

Figure 6 shows results for the 2° x 2.5° run. The tropopause ozone bias in the extratropics is largest during the spring and summer. At Resolute, Goose Bay, and Edmonton, peak ozone values are about 75 ppbv higher than the 4°x5° run. At Payerne, and Sapporo, there are smaller increases of ~30 ppbv. The tropical stations show a smaller ozone high bias compared to the 4°x5° run.

Figure 7 shows the percent difference between modeled and observed annually averaged tropopause ozone for all stations, as a function of station latitude. Results for both the 4° x 5° and 2° x 2.5° model runs are shown. In the extratropics (38°-75°N), where annual mean tropopause ozone is 116-149 ppbv, the model has a high bias of 36 – 72% in the 4° x 5° run (mean 45%). The extratropical high bias in the 2° x 2.5° model run is significantly larger, with the mean bias increasing to ~61%. However, there are reductions for Boulder and Wallops Island, the two lowest-latitude midlatitude stations considered. In the tropics, observed annual mean tropopause ozone is 58 – 130 ppbv. The
4° x 5° run shows a high bias of 17 – 63% (mean 43%) in the tropics. This drops to ~31% in the 2° x 2.5° model run.

The fact that model tropopause high biases are larger in the 2°x2.5° run at midlatitude stations, and smaller in the tropics, may be explained by lower effective horizontal diffusion in the higher resolution run. Strahan and Polansky (2006) showed that simulations at 2°x2.5° better resolved the stratospheric subtropical and polar mixing barriers, leading to larger horizontal gradients and improving the simulation of stratospheric dynamical features. Reduced horizontal mixing between the tropics and the midlatitudes would tend to decrease tropical mixing ratios and increase those at mid and higher latitudes.

A possible explanation for the ozone high bias at the tropopause seen in both simulations is an overly vigorous residual circulation in the GEOS 4 AGCM. Strahan et al. (2007) found overly strong ascent and mixing in the GEOS 4 AGCM tropical lower stratosphere, particularly during the fall, suggesting that the residual circulation may be too strong. Since according to Olsen et al. [2007] the residual circulation is strongly correlated with stratosphere-troposphere exchange, we performed linear regressions of the 60 (5 years @ 12 months/year) zonal mean, monthly mean O₃ values at each NH latitude and pressure level in the 4° x 5° run of the Combo model with the 60 values of monthly mean NH extratropical cross-tropopause O₃ flux, calculated as described in Olsen et al., (2004). From these regressions we calculated at each latitude and pressure level the linear correlation and fractional sensitivity (percent change in O₃ per percent change in flux) of zonal mean, monthly mean O₃ with the monthly mean NH cross-tropopause flux of O₃. This is shown in Figure 8. The top panel of Figure 8 shows that O₃ near the tropopause is strongly positively correlated with STE poleward of ~30°. The correlation remains high throughout most of the extratropical stratosphere. The bottom panel suggests that a 1% increase in STE results in an ~0.5 – 0.6% increase in tropopause O₃. Given the NH mean high bias of ~45%, Figure 8 suggests that a reduction in STE of ~90% would be required to eliminate the model high bias at the tropopause in the NH.

Model STE of NH extratropical O₃ in the 4° x 5° run is -266 ± 9 Tg yr⁻¹, which agrees well with several other estimates (Olsen et al., 2004). A 90% reduction is therefore
unreasonable. Changes to the residual circulation of the magnitude necessary to reduce STE by 90% would also adversely affect the good agreement of stratospheric O$_3$ with observations shown in Figures 2 and 3, in addition to increasing the tropical tropopause ozone high bias. Thus Figure 8 does not support the idea that the ozone high biases at the model tropopause can be explained simply by an overly vigorous residual circulation and consequently too much STE. Additional evidence for the soundness of the GEOS4 AGCM meteorological data is provided in Strahan et al. (2007), which demonstrates that transport processes connecting the tropical lower and upper troposphere, and between the tropical UT and the extratropical lowermost stratosphere are represented correctly.

Other possible contributors to the model high bias include insufficient vertical resolution at the tropopause and an overly vertically diffusive transport scheme. Rasch et al. (2006) demonstrated that the Lin and Rood transport scheme used in the Combo model is substantially less vertically diffusive than other popular schemes for simulating tracer transport in the NTR. Thus it is most likely that higher vertical resolution in the NTR is necessary to eliminate the high bias of tropopause ozone.

### 4.4 Effects of Averaging Method on Ozone Gradients

In making comparisons of the observed and modeled vertical distribution of ozone, we consider two approaches: a pressure coordinate and a vertical coordinate defined relative to the tropopause. We illustrate the differences between the two averaging methods in Figure 9. Figure 9a shows as a function of pressure the 49 sonde profiles in the climatologies sampled at Edmonton for Januarys between 1985 and 2000 (red lines), the monthly average vertical profile averaged at constant pressure levels, and one standard deviation error profiles (black lines). The figure shows that tropopause pressures (black crosses) are spread over the region within about one half of an e-fold of the monthly median tropopause pressure. Figure 9b shows the same profiles in a RTT coordinate system, as well as the monthly mean profile averaged in RTT coordinates along with the plus and minus standard deviations. It is obvious that the profiles are more organized in Figure 9b compared to Figure 9a, especially near the tropopause, because a substantial fraction of the variability is related to daily changes in tropopause height. Figure 9c compares the monthly average profiles and the standard deviations shown in Figures 9a
and 9b. It is important to note that to plot the RTT-average profile as a function of pressure in Figure 9c, we have normalized the RTT-average profile relative to the monthly median tropopause pressure. Figure 9c illustrates that pressure-averaging results in weaker cross-tropopause gradients and larger UT ozone mixing ratios than the RTT-averaged values near the tropopause. RTT-averaging also reduces the variability near the tropopause. Figure 9d shows the percent deviation of the pressure-averaged profile from the RTT-averaged profile. Differences peak in the UT, with pressure averaged values up to 40% higher than RTT-averaged results.

Figure 10 shows model ozone profiles at Edmonton. (Results from the 2° x 2.5° run are shown, but there is little difference between the two resolutions.) Figure 10a shows that model tropopause pressure variability is similar to observations (the standard deviation of the model tropopause pressure at Edmonton during January is ~20% smaller than observations). As is observed, the RTT-averaged profiles shown in Figure 10b are more organized than in Figure 10a. Unlike the observations, Figure 10c shows similar but smaller differences between pressure averaging and RTT-averaging, both in the change in upper tropospheric ozone values and profile variability. Figure 10d shows that the percent deviation of the pressure-averaged profile from the RTT-averaged profile is ~8%, smaller than the observed ~40% difference shown in Figure 9d.

The results shown in Figures 9d and 10d are typical at other locations and times of year. Model discrepancies between the two averaging techniques are generally small, while the differences between observed profiles averaged using these two techniques are much larger. Logan (1999a) showed that the vertical gradient in monthly averaged ozone profiles constructed from sondes is on the order of a factor of 2 steeper when averaged relative to the tropopause. Here, we see that the model does not correctly reproduce the atmosphere in this regard. As a result, good agreement between modeled and observed pressure-averaged results does not imply good correspondence between modeled and observed cross-tropopause O_3 profiles. Comparing RTT averages should provide a more accurate picture of the discrepancies between the model and observations.

We suggest an explanation of the model insensitivity to averaging technique, with the following heuristic example: Presume that the ozone change in the model between its
characteristic stratospheric and tropospheric values is given by $\Delta O_3$, and the characteristic vertical depth of the region over which the transition occurs is given by the distance $\Delta z_{NTR}$. Then the ozone gradient across this region in a daily ozone profile is just $S = \Delta O_3 / \Delta z_{NTR}$. Over the course of a month, the transition region will move up and down in altitude as the tropopause height varies by some amount $\Delta z_{TROP}$. The RTT average will be insensitive to this movement, so we will just have: $< S >_{RTT} \sim S$. However, the tropopause variability will smear the gradient in a pressure average, giving a slope of: $< S >_{PRESS} \sim \Delta O_3 / (\Delta z_{NTR} + \Delta z_{TROP}) = < S >_{RTT} \times \Delta z_{NTR} / (\Delta z_{NTR} + \Delta z_{TROP})$. This equation suggests that the larger the size of the transition region between the troposphere and stratosphere ($\Delta z_{NTR}$) relative to the monthly variability of the tropopause height ($\Delta z_{TROP}$), the smaller the difference between RTT and pressure averaging. Thus the weakness of the daily profile vertical gradients shown in Fig. 10b can produce a smaller than observed sensitivity to the averaging technique. The equation also suggests that overly weak tropopause pressure variability can result in low sensitivity to averaging technique. However, the ~20% weaker tropopause height variability seen in the model is not large enough to account for the much weaker model sensitivity to averaging technique compared to observations.

4.5 Profile Ozone Comparisons

Figure 11 shows $2^\circ \times 2.5^\circ$ run profile comparisons with observations of ozone mixing ratios from a pressure of half an efold below the observed monthly median tropopause pressure to half an efold above at Resolute, Hohenpeissenberg, and Ascension. Shown are model RTT-averaged monthly mean profiles, plotted relative to the model monthly median tropopause (red), and relative to the observed monthly median tropopause (green). Plotting relative to the observed monthly median tropopause allows comparison of modeled and measured RTT-averaged profiles at the same fraction of the tropopause pressure. (For instance, when $y=.25$, the observed profiles and the model profile represented by the green line are a factor of $e^{0.25}$ higher than their respective tropopause pressures.)

The RTT-averaged model profiles shown in Figure 11 reproduce the characteristic shapes seen in the observations, but typically with weaker cross-tropopause gradients resulting in
model high biases in the UT and sometimes low biases above the tropopause. Model profiles can reproduce the observations quite well, such as at Hohenpeissenberg in July, but often the upper tropopause high bias is substantial. It is interesting to note that normalizing the model profiles to the observed rather than modeled monthly median tropopause tends to increase the upper-tropopause high bias when the model tropopause lies above (at a lower pressure, which is typical) the observed monthly median tropopause, and decrease it when the model tropopause is found below the observed tropopause. This effect is distinct from RTT-averaging process itself. While it is obvious that RTT averaging produces comparisons that better characterize model/measurement differences across the tropopause than pressure averaging, it is not clear if it is better to compare model and observed profiles at the same pressure or at the same fraction of their respective tropopause pressures.

Figure 12 shows percent differences between the model and observed monthly mean profiles for the three stations shown in Figure 11. (Note the larger vertical range in Figure 12.) Here we show percent differences between model and observed pressure-averaged profiles (red), RTT-averaged profiles (blue), and RTT-averaged profiles normalized relative to the observed tropopause (green). Figure 12 shows better agreement between the modeled and observed pressure-averaged monthly mean ozone profiles than the RTT-averaged profiles, as expected. The pressure-averaged profiles show moderate model high-biases in the UT by ~20-50%. The bias in the lower stratosphere is smaller in magnitude and more variable between a high or low bias than in the UT. When RTT-averaging is used, biases between the model and the observations are larger; differences are typically about ~50%, but can exceed 100% (blue lines). When RTT-averaged profiles are compared at the same fractional value of the tropopause pressure (green lines), the model upper tropospheric high bias tends to be increased when the model tropopause pressure is lower than the observed tropopause pressure, as was also shown in Figure 11.

Figure 13 is a bar chart summarizing April percent differences between the 2° x 2.5° model run and observed ozone in the UT, at a pressure one quarter of an e-fold higher than the tropopause pressure. April is shown because the largest UT model discrepancies from observations occur in the March/April time period, while the smallest occur in June
and July. Figure 13 illustrates that RTT-averaged (blue bars) and RTT-averaged profiles normalized to the observed tropopause (green bars) typically show substantially larger biases than the pressure-averaged profiles (red bars) at both the tropical and NH stations. The tropical stations of Paramaribo, Kuala Lumpur, San Cristobal, Nairobi, and Malindi exhibit particularly small biases. The mean NH pressure-averaged bias is ~35%, which approximately doubles with RTT-averaging. In the tropical mean, there are ~20% high biases in the pressure-averaged case vs a ~30% difference for RTT-averaged profiles, resulting in a ~50% difference between the averaging techniques.

Figure 14 shows the biases at all stations in the lower stratosphere, at a pressure one quarter of an e-fold below the observed monthly median tropopause pressure. Agreement in the lower stratosphere is generally substantially better than in the upper troposphere, with mean biases in the NH and the tropics < 20%. Here, the RTT-averaged and normalized RTT-averaged biases are typically more negative than the biases between pressure-averaged profiles. This is the expected behavior of a profile with a weak cross-tropopause gradient – high biases in the UT, and low biases in the lower stratosphere. The five tropical stations with small UT high biases are shown here to have more substantial low biases in the lower stratosphere, indicating that the agreement of model cross-tropopause gradients with observations at these stations is similar to other stations. Compared to the 4º x 5º run, the 2º x 2.5º run shows poorer agreement with observations at higher midlatitudes than the 4ºx5º run, and similar agreement in the lower midlatitudes and tropics. Thus, increasing horizontal model resolution does not generally improve agreement between the ozonesonde observations and the model simulations in the NTR. The 2º x 2.5º run high-bias increases at high-latitude stations suggests that the better-defined stratospheric subtropical and polar mixing barriers in the 2ºx2.5º run may have increased STE at higher latitudes, resulting in larger ozone concentrations at higher midlatitudes in the NTR.

4.6 Ozone Annual Cycle

We now evaluate the model’s ability to reproduce observed variations in phasing and amplitude of the annual cycle of O₃ as a function of pressure. As noted by Logan (1999a, b) and references therein, the peak in the observed midlatitude ozone annual cycle occurs
in the late winter/early spring in the lower stratosphere and is the result of stratospheric dynamical processes. In the midlatitude mid-troposphere, the peak occurs in the late spring/early summer and is influenced by tropospheric chemical processes as well as stratospheric input. Vertical changes in phasing and amplitude therefore test the model coupling between the stratosphere and troposphere.

Figure 15 compares the percent deviation from the annual mean of the modeled and observed annual cycle of tropical ozone as a function of pressure. The figure shows the average over deep tropical stations within 10° of the equator. While there is some variability amongst the tropical stations (Thompson et al., 2003b), an average over these stations is reasonably representative. The top left and right panels of Figure 15 show pressure-averaged and RTT-averaged results, respectively. To construct the RTT-averaged annual cycles, the monthly RTT-averaged profiles were first interpolated to pressure coordinates using monthly median tropopause pressures. The bottom left and right panels show model pressure- and RTT-averaged results for the 2° x 2.5° run, respectively. Figure 15 shows that the strongest annual cycle is observed at or just above the tropopause. In the observed RTT-averaged case (top right), the extrema have a greater magnitude, are temporally broader and vertically narrower compared to the pressure-averaged case.

The vertical variation of the amplitude and phasing of the model tropical annual cycle shown in Figure 15 is qualitatively quite similar to the observations. However, the largest peak-to-peak amplitudes of the model annual cycles (~43% and ~51% for the pressure and RTT-averaged runs, respectively) just above the tropopause are weaker than the observed ~70% and ~88% variation in the pressure-averaged and RTT-averaged climatology, respectively.

Randel et al. (2007) present an analysis of the annual cycle in the vertical profile of tropical ozone, which argues that the fractional amplitude (i.e., amplitude divided by annual average) of the annual cycle in O₃ mixing ratio is the product of the annual cycle amplitude in residual mean upwelling in the lower stratosphere and the fractional vertical gradient of annually averaged O₃ in the tropics; the largest amplitude occurs where the O₃ fractional vertical gradient is the largest. If this also holds for the model, its agreement
with observations will depend on how well the model reproduces observed annual cycles in upwelling and annually averaged \( \text{O}_3 \) fractional vertical gradients.

Figure 16 compares the observed and modeled fractional vertical gradients in tropical, annually averaged \( \text{O}_3 \) mixing ratio. The figure shows that the fractional vertical gradients are largest just above the tropopause for both the observations and the model runs. The observed fractional vertical gradients in the RTT-averaged case are substantially larger than the pressure-averaged case, with peak vertical gradients of \( \sim 97 \% / \text{km} \) and \( \sim 67 \% / \text{km} \), respectively. Neither the \( 4^\circ \times 5^\circ \) or the \( 2^\circ \times 2.5^\circ \) model runs show much difference between pressure- and RTT-averages. The model fractional vertical gradients peak at \( \sim 58 – 59 \% / \text{km} \) in both runs, \( \sim 11\% \) less than the observed pressure-averaged case. According to the Randel et al. (2007) analysis, this should result in an annual amplitude \( \sim 11\% \) lower than observed provided the modeled and observed vertical upwelling is equivalent. As shown in Figure 15, the model pressure-averaged amplitude of 43\% is \( \sim 39\% \) lower than observations. According to the Randel et al. (2007) analysis, this low bias indicates that in the model, the amplitude of the annual cycle in vertical upwelling at the tropopause level is \( \sim 30\% \) weaker than in the real atmosphere.

It is worth pointing out that the amplitude of the \( \text{O}_3 \) annual cycle in the \( 4^\circ \times 5^\circ \) model run is larger than in the \( 2^\circ \times 2.5^\circ \) run, with largest peak-to-peak amplitudes of 49\% and 59\%, in the pressure-averaged and RTT-averaged cases, respectively. The 49\% amplitude is \( \sim 30\% \) lower than observations and suggests an upwelling \( \sim 20\% \) weaker than observations. However, resolution changes should not affect vertical upwelling, and Figure 16 shows that the vertical \( \text{O}_3 \) gradients are not resolution-dependent. The differences between the two resolutions may thus be due to some influence in the model of horizontal transport on the tropical seasonal cycle of \( \text{O}_3 \).

Figure 15 shows that the annual maximum at pressures about half an e-fold below the tropopause occurs in October/November. The peak here is unlikely to be related to the annual cycle near the tropopause, because vertical ozone gradients are relatively low at these pressures, as indicated by Figure 16. The signal is observable at most of the tropical sites, but is particularly strong at Fiji, Natal, and Reunion Island. The amplitude in the model is about half of the observed peak values. The model/measurement discrepancy is
particularly large at Natal. It is well-known that biomass burning plays a strong role in tropical ozone during September-October (Thompson, 1996; Galanter et al., 2000), with lightning providing an important source of NO$_x$ at the beginning of the wet season (Martin et al., 2000). Although biomass burning emissions are included in the model, it may be that the Combo model underestimates its impact on tropical O$_3$ concentrations in the mid-troposphere.

Figure 17 compares modeled and observed annual cycles at midlatitudes, following Figure 15. (Resolute was excluded due to its high latitude.) The observed pressure-averaged and RTT-averaged plots are very similar. Both show the maximum in the annual cycle occurring in March or April, one to two months after the annual tropopause pressure minimum. The minimum of the annual cycle occurs in both cases one month after the occurrence of the annual tropopause maximum. Peak to peak amplitude of the annual cycle is $\sim$90%. The RTT-average plot shows a closer association of the ozone annual cycle at the tropopause level with the annual cycle in tropopause height, as the peak occurs above the tropopause and the minimum occurs below the tropopause. Both panels show a phase shift in the timing of the peak above the tropopause to earlier in the year at higher altitudes. Below the tropopause the two panels both display the well-known shift in the phase of the peak from March/April to June/July in the mid-troposphere. However, in the RTT-average climatology shown in the middle panel, this shift is clearer.

The bottom panel of Figure 17 shows the midlatitude annual cycle from the 2° x 2.5° run. The 4° x 5° run annual cycle is very similar. The model reproduces the observed changes in phase and amplitude of the annual cycle in ozone very well, with a peak-to-peak amplitude of $\sim$90% at the pressure level of the tropopause that is only slightly weaker than observations. There is less of a phase shift at higher pressures in the stratosphere, and the March/April peak amplitude shift to later in the year below the tropopause is less pronounced. Overall, however, the midlatitude agreement of the model with the observations is better than at tropical stations.

5 Summary and Conclusion
The GMI Combo model fully resolves the important processes in the troposphere and stratosphere, uses a transport scheme shown to represent well vertical gradients in the NTR, and has been integrated using a modern GCM-based meteorological data set to minimize the possible effects of anomalous vertical diffusion that affects analyzed meteorological data. We have examined the ability of the Combo model to represent O₃ distributions in the NTR by comparing it to two climatological O₃ data sets constructed from ozonesondes. The ozonesonde observations have the high vertical resolution necessary for tropopause-level evaluations. They have been averaged both on pressure surfaces and relative to the tropopause, and include a relatively large amount of tropical data. We have tested the sensitivity of the results to horizontal resolution by considering both 4° x 5° and 2° x 2.5° versions of the model.

The overall stratospheric distribution of ozone produced by the GMI Combo model is in good agreement with satellite observations, suggesting the meteorological data represents the stratospheric residual circulation well. Despite this good agreement, Combo model annual mean ozone distributions are biased high at the 4° x 5° model thermal tropopause, by ~45% in both the SH tropics and NH midlatitudes. When model resolution is increased, the high bias increases to ~61% in the NH midlatitudes and decreases to ~31% in the tropics. Such an effect is expected due to a decrease in effective horizontal diffusivity in the higher resolution runs. We argue that problems with the GEOS-4 AGCM meteorology cannot explain the high biases because of the good agreement of our global ozone comparisons with observations, the unrealistically large changes in residual circulation we estimate are necessary to remove the bias, and the results of the Strahan et al. (2007) tests of the transport processes in the GEOS 4 AGCM. We then infer that insufficient vertical resolution near the tropopause and/or too high vertical diffusivity are the likely causes. In a similar study, Pan et al. (2007) also find vertical resolution and diffusivity important to simulations of near-tropopause ozone distributions.

The tropopause O₃ high biases in the Combo model would produce erroneous estimates of extratropical ozone STE if the method of calculation used tropopause ozone mixing ratios to calculate STE. The differential method for inferring STE presented in Olsen et al. (2004) is insensitive to tropopause ozone values, because it uses the balance between the changing amount of ozone in the lowermost stratosphere and ozone flux into the
lowermost stratosphere to calculate ozone crossing the tropopause. However, Olsen et al. (2004) do use tropopause ozone mixing ratios to calculate the relative amounts of diabatic and adiabatic STE. The use of the GMI Combo model in such a calculation would result in an overestimate of diabatic and an underestimate of adiabatic ozone STE. The method of averaging observations and data to produce monthly mean profiles for comparison is an important consideration for UT comparisons. RTT-averaging reveals more significant model/measurement discrepancies in the UT than does pressure-averaging in both the SH tropics and NH midlatitudes. NH mean UT high O₃ high biases during April in the model increase from ~35% ± 20% to ~70% ± 10% when profiles are RTT-averaged. The effect in the tropics is smaller, with ~20% ± 25% biases increasing to ~30% ± 28% with RTT averaging. This occurs because RTT-averaging of the ozonesondes better preserves the strong vertical gradients characterizing daily ozonesonde profiles than does pressure averaging. The RTT-comparisons show that the model tends to underestimate the sometimes abrupt transition between the troposphere and the stratosphere seen in individual ozonesondes. Increasing the horizontal resolution of the model does not change this result much. Increasing the vertical resolution of the model (including the resolution of the meteorology) may produce stronger vertical ozone gradients in individual profiles and consequently better agreement with observations.

In the lower stratosphere, modeled and observed O₃ concentrations agree very well regardless of averaging technique. NH mean lower stratospheric biases are ~10% ± 10%, for both pressure and RTT-averaged cases. In the tropics, the mean biases are ~10% ± 10% for pressure averaging, or ~20% ± 10% for RTT-averaged results. Strahan et al. (2007) compared Combo model ozone distributions to Aura MLS observations in the tropics and extratropics at potential temperatures between 350 – 400 K, which are generally above the tropopause level. They also found very good agreement with MLS ozone during all seasons in the extratropics. This is consistent with our results that the model high bias tends to occur at and below the tropopause level. Strahan et al. (2007) also found the model to be low-biased relative to version 1.5 Aura MLS observations in the tropics at 350 K, but found that may be due to MLS high-biases in the tropics at 215 hPa. Since we find mean model 20 – 30% high biases in the tropical UT compared to
sonde observations, with the bias at some tropical stations reaching ~70%, it appears that Aura MLS version 1.5 ozone in the tropical UT is high-biased with respect to the sonde observations.

Observed and modeled RTT-averaged profiles can be compared either in a pressure coordinate system by normalizing to observed and model median tropopause pressures, or in a tropopause-relative coordinate system. When modeled and observed tropopause heights differ substantially, the two methods can produce quite different results that are unrelated to the averaging process itself. It is important to be aware of these differences in order to fully understand what the model/measurement comparisons reveal.

The GMI Combo model captures the phasing but underestimates the amplitude of the observed relative annual cycle of ozone and its variation with altitude in the SH tropical NTR. Following the methodology of Randel et al. (2007), the underestimate of the amplitude appears to be too large to be explained by slightly weaker than observed vertical gradients in annually averaged $O_3$, and suggests that the annual amplitude of mean residual upwelling at the tropopause level in the model is ~30% less than in the real atmosphere. The model reproduces well the observed relative annual cycle of ozone and its variation with altitude at the NH midlatitude stations. However, the model does not have as rapid a shift from a springtime peak at the tropopause level to a summer peak in the mid-troposphere. Increases in horizontal resolution from 4° x 5° to 2° x 2.5° do not change this result, suggesting increases in vertical resolution may be necessary to resolve this problem.

**Acknowledgements**

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support of the NASA Modeling, Analysis, and Prediction (MAP) program. (Don Anderson, program manager).

References


**Figure Captions**
**Figure 1:** Geographic locations of the 23 ozonesonde stations used in this study. Station names, latitudes and longitudes, and record length are given in Table 1.

**Figure 2:** Top panel: 1994-1998 average zonal mean total ozone from the Total Ozone Mapping Spectrometer merged ozone dataset, as function of time of year and latitude. Bottom panel: 1994-1998 average zonal mean total ozone from the GMI combined model, as function of time of year and latitude.

**Figure 3:** Top panel: Zonal mean ozone distribution from version 19 Halogen Occultation Experiment (HALOE) data gathered during April for the years 1994 – 1998, as function of latitude and pressure in hPa. Middle panel: GMI combined model zonal mean ozone, averaged for Aprils from 1994 – 1998 as function of latitude and pressure. Bottom panel: Percent difference of April zonal mean modeled ozone distribution from observed ozone distribution, in percent.

**Figure 4:** Comparison of GMI combined model (red lines) and observed (black lines) monthly mean (solid lines) and median (dashed lines) tropopause pressures as function of time of year at six Northern Hemisphere stations and three stations in the Southern Hemisphere tropics. Vertical bars on model mean indicate ± two times standard error of the monthly mean values. Note inverted pressure axis. The station name and location is given in title of each panel of the figure.

**Figure 5:** Comparison of annual cycle of GMI Combo model monthly mean tropopause ozone (red lines), Combo model ozone at the observed tropopause (blue lines), and observed tropopause ozone (black lines) at six Northern Hemisphere stations and three stations in the Southern Hemisphere tropics. Ozone units are parts per billion by volume. The vertical bars on the lines indicate ± 2 times the standard error. Model resolution is 4° x 5°.
**Figure 6:** Same as Figure 5, except for $2^\circ \times 2.5^\circ$ run.

**Figure 7:** Percent difference between modeled and observed annually averaged tropopause ozone for all stations, as a function of station latitude. Red asterisks show results from $4^\circ \times 5^\circ$ run, blue diamonds show results from $2^\circ \times 2.5^\circ$ run.

**Figure 8:** Top panel: Distribution of linear correlation coefficients produced by regressing the monthly mean, zonal mean O$_3$ at each latitude and pressure level in the $4^\circ \times 5^\circ$ run of the Combo model with the monthly mean cross-tropopause flux of O$_3$ in the NH extratropics. Bottom panel: Fractional sensitivity of monthly mean, zonal mean O$_3$ in the $4^\circ \times 5^\circ$ run to changes in the cross-tropopause flux of O$_3$ in the NH extratropics. Fractional sensitivity is defined as the fractional change in O$_3$ mixing ratio per fractional change in the monthly mean cross-tropopause flux of O$_3$, or $S = m \times <F_{NH}>/<O_3>$, where $m$ is the slope of the linear regression, $<O_3>$ is the mean monthly mean, zonal mean O$_3$ over the 5-year model integration at some latitude and pressure, and $<F_{NH}>$ is the 5-year mean NH O$_3$ flux.

**Figure 9:** a. Daily ozonesonde profiles at Edmonton (red lines), plotted as function of pressure, for Januarys between 1985 and 2000. Left axis shows fraction of pressure efold from monthly median tropopause pressure. (The vertical axis is marked by the exponent $y$, where $y$ varies over the range (-1,1), and the pressure is given by $P_{trop} e^y$). Right axis indicates pressure in hPa. Black crosses indicate thermal tropopause pressures for each profile. Black solid line is monthly average ozone profile averaged as function of pressure. Black dashed lines indicate ± one standard deviation. b. Red lines show ozonesonde profiles at Edmonton in January, plotted as fraction of efold of each profile’s tropopause pressure. (The vertical axis is marked by the exponent $y$, which varies over the range $e^1$ to $e^{-1}$.) Black crosses indicating the tropopause now all lie at $y=0$. Black solid profile shows monthly average at constant fraction of tropopause pressure. Black dashed lines indicate
± one standard deviation. c. Comparison of monthly averaged profiles using pressure averaging (red lines) and relative-to-tropopause averaging (blue lines). Vertical axis is pressure. The relative-to-tropopause profile is plotted relative to the monthly median tropopause height. d. Percent difference of pressure-averaged from RTT-averaged profiles.

**Figure 10:** Same as Figure 9, except for the 155 daily GMI Combo model January profiles produced during the 1994 – 1998 model run.

**Figure 11:** Modeled and observed monthly average ozone profiles from the 2° x 2.5° run at the stations of Resolute, Hohenpeissenberg, and Ascension for the months of January, April, July, and October. Blue line: observed RTT-averaged profile. Red line: Modeled RTT-averaged profile. Green line: Modeled RTT-averaged profile, plotted relative to the observed monthly median tropopause pressure, rather than relative to the model monthly median tropopause pressure. Black and red horizontal lines indicate observed and model monthly median tropopause pressures, respectively.

**Figure 12:** Percent difference of modeled from observed monthly mean ozone profiles at Resolute, Edmonton, and Ascension for the months of January, April, July, and October. Red lines: percent difference between pressure-averaged profiles. Blue lines: percent difference between RTT-averaged profiles. Green lines: percent difference between model and observed RTT profiles, with the model profile normalized to the observed tropopause pressure so that the difference is taken at the same relative fraction of the tropopause pressure.

**Figure 13:** Percent differences between modeled and observed ozone in the upper troposphere at observation locations, for the 2° x 2.5° run. Red bar: Percent difference between observed and modeled pressure-averaged monthly mean ozone at a pressure that is one quarter of an efold higher than the observed monthly median tropopause pressure.
Blue bar: Same as red bar, except for RTT-averaged monthly mean ozone. Green bar: Percent difference between observed and modeled RTT-averaged monthly mean ozone at one quarter of an efold above their respective tropopause pressures.

**Figure 14:** Same as Figure 13, except in the lower stratosphere at a pressure one quarter of an e-fold lower than the observed monthly median tropopause pressure.

**Figure 15:** Observed and modeled annual cycle of tropical ozone, expressed as percent deviation from annual mean ozone as function of pressure and time of year. Top left panel: Annual cycle for observed pressure-averaged ozone profiles. Top right panel: annual cycle for observed RTT-averaged ozone profiles. Bottom left panel: annual cycle for model pressure-averaged ozone profiles from the 2° x 2.5° run. Bottom right panel: annual cycle for model RTT-averaged ozone profiles from the 2° x 2.5° run. White solid line in each panel indicates thermal tropopause pressure. Vertical dashed lines mark position of minimum and maximum tropopause pressure. Asterisks mark location of maximum and minimum values of annual cycle in ozone.

**Figure 16:** Fractional vertical gradients of tropical, annually averaged O₃ mixing ratio. Stations within 10° of equator are included in averages. The fractional vertical gradient is defined as: d/dz (ln <O₃>), where the brackets < > denote an annual average, expressed in %./km.

**Figure 17:** Same as Figure 15, except for midlatitude ozone stations.
Table 1: Ozone stations, locations, and data span. The table gives the names of the stations providing data used in this paper, the geographic location of the station, and the span of time of observations used in this paper.

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Table 2: Characteristics of observed and modeled thermal tropopause heights at observation locations. Column 1: Observed annual mean tropopause pressure, in hPa. Column 2: Model annual mean tropopause pressure, in hPa. Column 3: percent difference of model from observed annual mean tropopause pressure. Columns 4 and 5: Amplitude of observed and modeled annual cycles, respectively, as percent of annual mean value. Columns 6 and 7: Observed and modeled month of minimum tropopause pressure (maximum tropopause altitude).

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Figure 1
Figure 2
Figure 3
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Figure 9
Figure 10
Figure 11
Figure 12
Figure 13
Figure 14
Figure 15
Figure 16
Figure 17