Residual Stresses and Critical Initial Flaw Size Analyses of Welds

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An independent assessment was conducted to determine the critical initial flaw size (CIFS) for the flange-to-skin weld in the Ares I-X Upper Stage Simulator (USS). A series of weld analyses are performed to determine the residual stresses in a critical region of the USS. Weld residual stresses both increase constraint and mean stress thereby having an important effect on the fatigue life. The purpose of the weld analyses was to model the weld process using a variety of sequences to determine the 'best' sequence in terms of weld residual stresses and distortions. The many factors examined in this study include weld design (single-V, double-V groove), weld sequence, boundary conditions, and material properties, among others. The results of this weld analysis are included with service loads to perform a fatigue and critical initial flaw size evaluation.

### Nomenclature

- $WRS$ = weld residual stress
- $CTSP$ = comprehensive thermal solution procedure
- $CIFS$ = critical initial flaw size
- $a$ = surface crack depth
- $a_i$ = initial crack depth
- $a_{CIFS}$ = critical initial crack depth
- $N_c$ = number of spectrum repeats required to grow the crack from $a_i$ to $a_{CIFS}$
- $c$ = half surface crack length
- $ID$ = inside diameter of the cylinder
- $OD$ = outside diameter of the cylinder
- $R$ = ratio of minimum cyclic stress to maximum cyclic stress

### I. Introduction

The NASA safety standard for human spaceflight requires that critical structural components be designed so that the largest crack that can be missed by the appropriate NDE technique does not grow to a critical length within four lifetimes. Fracture mechanics must be used to analytically determine the maximum initial crack or flaw size that would not produce a critical stress intensity factor in the location of concern after four simulated life cycles. This flaw size is referred to as the critical initial flaw size (CIFS).

The Ares I-X (AIX) Upper Stage Simulator (USS) represents the mass, center-of-gravity, and outer mold line upper stage of the Ares I vehicle in the first flight test. To achieve a low manufacturing cost, the AIX inert upper
stage is designed in a modular fashion consisting of cylindrical segments that are fabricated with construction grade A516 Grade 70 steel. The 12.7 mm (1/2-inch) plate steel is rolled to the correct shape and has machined flanges welded to both ends for bolting adjacent segments. Note that the CIFS assessment is being performed for the simulator only and may not be relevant to the Ares-I flight material.

The flange-to-skin or flange-to-shell weld was one of several weld joints used in the design of the USS. The flange-to-skin weld was located at the outermost diameter of the upper stage simulator and is in the primary load path of the flight test vehicle (Figure 1). The USS consists of several “tuna can” segments that were approximately 5.5 m (216 in.) diameter, 2.9 m (115 in.) tall, and 12.7 mm (0.5 in.) thick. A 152 mm (6 in.) wide by 25.4 mm (1 in.) thick flange was welded to the skin and was used to fasten adjacent tuna cans. Gussets were welded to the skin and flange every 10 degrees around the circumference of the “tuna can”. The flange-to-skin weld was a flux core butt weld with a fillet weld on the inside surface. The welding process often creates loss of fusion defects in the weld that could develop into fatigue cracks and jeopardize the structural integrity of the Ares I-X vehicle.

A CIFS assessment was performed for the welds within the common segments designated US-1 through US-7 that share the same shell and flange designs. The US-1/US-2 interface flange-to-skin weld was chosen for the CIFS analysis because this interface experience the highest service loads. This flange-to-skin weld, with the gussets, represents a configuration that cannot be modeled using an axis-symmetric model. Moreover, the configuration is quite different from typical ‘pipe or cylinder’ type welds and the resulting residual stresses do not necessarily behave as conventional intuition would predict.

In this paper the main features of the weld analysis are discussed and a brief summary of the CIFS assessment is presented. The purpose of this set of weld analyses was to model the weld process using a variety of sequences to determine the ‘best’ sequence in terms of weld residual stresses and distortions. The many factors examined in this study include weld design (single-V, double-V groove), weld sequence, boundary conditions, and material properties, among others. In addition, mesh refinement studies are presented. Full three dimensional weld analyses were performed. The results of this weld analysis were included with service loads (including fit-up stresses) to perform a fatigue and critical initial flaw size evaluation.

Figure 1  ARES Space Vehicle and ‘Tuna Can’ Segment Design.
II. Weld Analysis Procedure

Computational weld modeling is challenging because many of the processes of welding are highly nonlinear. The sources of nonlinearity are due to material melting and re-solidification, very high transient thermal gradients, and non-linear temperature dependent plastic straining and phase transformations. Moreover, for weld modeling to have practical advantages in industrial production, computational solution times must be manageable since an optimum weld design of large, complex fabrications requires numerous and separate analyses.

Most computational weld models that were available commercially are mathematics- and physics-based models. The following is a brief description of the VFT™ (Virtual Fabrication Technology, VFT) code, see Reference 1. Other codes were also available for predicting and controlling weld residual stresses and distortions. There were two main analysis modules, the thermal model and the structural model, that make up the weld process simulation methodology in VFT. These modules are briefly summarized below. Welding distortion simulation normally adopts sequentially coupled thermal structural analysis. The thermal analysis is performed and then the structural analysis is performed using the temperatures predicted by the thermal analysis as the thermal load in conjunction with any additional mechanical loads or constraints. Material response in a welding process is very much localized along the welds. For large fabricated structures, the simulations involve millions of degrees of freedom and are highly nonlinear, and hence are extremely computationally intensive. As such, coarse meshes are generally used for the global distortion predictions. However, numerical thermal predictions using such coarse meshes are inadequate, especially for capturing the thermal gradients and cooling rates during welding processes. Developing efficient and effective simulation procedures that take into account these contrasting requirements is crucial to practically and successfully applying welding simulations to large problems.

Thermal Solutions and CTSP. The Comprehensive Thermal Solution Procedure (CTSP) [4, 5, 8-10] was developed based on superposition of complicated closed form analytical expressions and developed heat source theories. CTSP is very rapid and is used for large problems. Numerical thermal solutions based on a modification of Goldak theory are also used, but these solutions often take a long time to perform for large problems. CTSP is a closed form solution thermal analysis code specifically developed for global distortion and residual stress prediction of production components such as the Ares I-X. The code is an analytical solution based on the Rosenthal solution of a point heat source moving in an infinite domain at a constant direction and speed. Without additional treatment, the Rosenthal solution cannot be used to calculate the temperature profiles for industrial applications. To simulate the surface of a component, CTSP uses the imaginary heat sources reflected on the surface of the component to achieve the equivalent heat conduction. Meanwhile, CTSP uses the “negative” heat sources starting at the time of welding end to simulate the stop of the welding and transients [8-10]. The CTSP solutions were used here because they have been shown to be very accurate for large problems and solution times are on the order of several minutes compared with hours and days for a full numerical thermal solution.

Using these techniques, CTSP was able to simulate typical weld joint types such as groove joints, lap joints, T-fillet joints, traverse complex welds, and multiple weld paths. During the development of the VFT code, numerous validation examples were used to drive the code development (see References 1-10 and the many references cited therein). These validations were made with both thermocouple measurements of test components and full numerical thermal solutions using DFLUX user routines in conjunction with the commercial finite element analysis code ABAQUS®. The details on CTSP have been well documented and reported in the literature and the advantages and essential features are highlighted as follows:

Structural Solution and Weld Constitutive Model. The structural model was developed based on ABAQUS commercial finite element codes by implementing a special materials module that includes a constitutive law that permits stress relief due to weld melting/re-melting effects, strain hardening effects, large deformation mechanisms, rapid weld metal deposition features, phase transformation plasticity (based on the Leblond model [2]), etc. Experience suggests that uncoupled thermal/structural solutions for weld problems were accurate in all weld models. Moreover, visco-plastic (or creep) effects were not important since the time spent in the creep regime during welding was negligible. However, creep effects were permitted and were often used to model heat treatments of steels and stress relief due to heat treatment. The constitutive model library permits isotropic, kinematic, and mixed hardening (Lemaitre-Chaboche). Here isotropic hardening was used and tends to produce upper bound stress...
III. ARES 1-X Weld Modeling and CIFS Considerations

The USS consists of several “tuna can” segments that were approximately 5.5 m in diameter, 2.9 m tall, and 12.7 mm thick. A 152 mm wide by 25.4 mm thick flange was welded to the skin and was used to fasten adjacent tuna cans. A schematic of a “tuna can” and the location of the flange-to-skin weld are shown in Figure 2. Thirty six Gussets were welded to the skin and flange every 10 degrees around the circumference of the “tuna can”. A 10-degree repeating unit was identified and modeled as shown in Figure 2. (In this figure the gusset is shown in yellow.) The flange-to-skin weld was a flux core butt weld with a fillet weld on the inside surface, as illustrated in Figure 2. The welding process may create loss of fusion defects in the weld that could develop into fatigue cracks and jeopardize the structural integrity of the Ares I-X vehicle. The CIFS analysis was conducted to determine the largest crack in the weld region that will not grow to failure within 4 lifetimes.

A CIFS analysis assumes an initial crack size \( (a_i) \) and grows that crack according to the material behavior (fatigue crack growth rate and fracture toughness), loading spectrum for the structure, and the stress intensity factor for the crack configuration. The critical flaw size \( (a_{CIFS}) \) is obtained when the maximum stress intensity factor for any one cycle of the loading spectrum exceeds the fracture toughness value. The number of spectrum repeats
necessary to grow the crack from $a_i$ to $a_{CFS}$ is $N_c$. The CIFS crack length ($a_{CFS}$) was defined as the largest crack length that would survive 4 repeats of the spectrum, as illustrated in Figure 3. A CIFS analysis required the following information:

- Loading spectrum
- Stress intensity factor solution
- Material behavior that describes the fatigue crack growth rate
- Material behavior that describes the critical stress intensity factor
- A fatigue crack growth rate code

The loading spectrum, material behavior, stress intensity factor, and crack growth analysis methods have been described in Reference 11. The load spectrum consists of estimates of the cyclic loads for all of the operation and flight regimes [12] (segment lifting, ground and ship transportation, rollout, pad stay, liftoff, and ascent) and a mean stress component due to the weld residual stresses and mismatch of the mating flange surfaces. The material behavior was obtained from crack growth rate and fracture tests [13] conducted on material obtained from the same lot as used to build the “tuna cans”. A semi-elliptic surface crack (length $2c$ and depth $a$) was determined to be the critical crack configuration [11]. A plot of the critical crack depth as a function of the critical crack length will indicate the safe and non-safe combinations of total crack length and depth, as shown in Figure 3 (bottom part).

![Figure 3 CIFS Considerations](image)

**Total Surface Crack Length**

**IV. Weld Model Results**

The key Ares I-X weld and corresponding results are presented in this section. During this work there were a number of different weld concepts, procedures, and joint types considered. Many of these analyses are omitted here to focus on the important results (see Reference 14 for details). Many of the weld concepts were rejected because the residual stress field produced was not advantageous. In general, it was found that minimizing the weld residual stresses near the inner diameter of the shell-to-flange weld resulted in the largest critical initial flaw size (CIFS). The heat and corresponding weld shrinkage caused by welding lead to residual stresses. These residual stresses were localized to the region of the weld. The residual stresses also cause distortions that can affect fit up and
tolerance requirements throughout the component structure. The residual stresses in the shell and flange prior to welding were not considered in this analysis although in some cases these can be important [15].

**Weld Geometry.** A 10-degree segment of the tuna can shell and flange was modeled as shown in Figure 4. A 96 cm arc length of the can was chosen for analysis and the remainder of the acreage of the can was omitted to reduce the number of degrees-of-freedom. The can was modeled with ABAQUS C3D8R solid elements. A gusset with a ‘mouse hole’ was included to capture the correct stiffness. The gusset weld itself was not of interest and thus was not explicitly modeled. The presence of the gusset causes the problem to be non-axis-symmetric. However, some axis-symmetric analyses were performed during sensitivity studies of modeling parameters. The boundary conditions shown in the left hand side of Figure 4 were chosen to prohibit rigid body motion of the flange segment during the weld process. At both ends of the model (0- and 10-degree locations), free boundary conditions were imposed. Two sets of analyses were performed to verify the local nature of the weld residual stresses. One analysis was performed with the cyclic symmetry boundary conditions imposed at the 0- and 10-degree locations. Another analysis was performed with the cyclic symmetry boundary conditions imposed at the 0- and 10-degree locations. As expected, the weld residual stress influence was local to the weld joint and hence neither the cyclic boundary conditions nor inclusion of the acreage of the can had an important effect on weld residual stress. Finally, as seen in the right side of Figure 4, three meshes of increasing refinement were used to verify that the solution converged (the coarse, medium, and fine models, respectively). The model labeled ‘fine model’ was employed in the analyses that were used for the final CIFS solutions.

The inset of Figure 4 shows the details of the analyzed weld joint. The joint was either a ‘single-V’ or a ‘double-V’ with a fillet weld deposited along the inner diameter of the shell-surface interface. The stiffness of the thick flange ring and the gusset had a profound influence on the weld residual stresses compared to a simple shell groove weld (similar to a large diameter pipe). The welds were made using 5 to 7 weld passes depending on the sequence. The range of weld parameters were: Amps = 200–215, Volts = 25–26, and weld speed = 4–5 mm/sec. The thermo-physical properties used for the thermal analysis and the temperature dependent stress strain curves used for A516-70 steel were presented in Reference 6. Full moving arc analyses were performed since ‘lump pass’ assumptions, where the passes are deposited at once, were found to produce less accurate results.

Figure 4 illustrates the locations where the weld residual stresses were compiled and used in the critical initial flaw size (CIFS) evaluations. As seen in the lower left insert, the stresses were evaluated in the shell at the toe of the fillet weld from the inside diameter (ID) to the outside diameter (OD) of the shell. Axial (perpendicular to the weld, Y-direction) and
hoop (the weld travel, Z-direction or circumferential direction) residual stresses were compiled at locations illustrated in Figure 4. Cut plane 0 ran through the first full hole, cut plane 1 was through the second hole, cut plane 2 was at the gusset 'mouse hole' location, and cut plane 3 was on the other side of the gusset near the weld stop location as illustrated in Figure 4. The stresses at other locations (including the possibility of cracks in the ring) were also considered for the CIF analysis, but it was determined that circumferential crack growth (caused by axial shell stresses at the toe of the weld) were most critical. More details of this analysis can be found in References [11-15].

**Weld Sequences.** A number of factors determine the final weld residual stress and distortion state and weld modeling is often used to design weld methods to either minimize or control residual stresses and/or distortions. Some of the many techniques that have been developed were discussed in detail in References 4 and 5. Some of these factors include weld sequence, weld groove geometry, weld parameters, weld piece constraint, tacking methods, use of heat sinks, thermal tensioning, weld electrode used, and special methods such as pre-cambering, pre-bend, post weld heat treat, among many others. The weld groove geometry and weld sequence were key contributing factors in developing a favorable or unfavorable weld residual stress state in the Ares I-X welds. The weld definitions and weld sequences presented here were defined by the USS design team. A key conclusion from this weld modeling work, which is discussed in detail later, is that the final pass should be deposited at the outer diameter (OD) of the shell to flange weld as illustrated in Figure 5.

The original weld sequence considered was single-V weld geometry (note that Figure 5 only shows a double-V configuration). The fillet weld was deposited first and then the weld joint is sequentially filled from the ID to the OD for a single-V groove. This type of weld joint will typically result in larger out of plane weld distortions since the weld shrinkage progresses from the ID to OD as each pass is deposited. Figure 5 shows the weld sequence for a balanced double-V weld. This weld was balanced about the mid thickness of the shell, tending to minimize the distortions.

Figure 6 illustrates the Von Mises and axial weld residual stress profiles for the double-V weld sequence. The stresses vary with position because of the moving weld arc, the stiffness supplied by the gusset, and to some extent, the holes in the flange. Figure 7 illustrates the axial weld residual stress state at the critical location under the 'mouse hole', or cut-2 location in Figure 4. Note that the global coordinate system is shown in Figure 4 while the local coordinate system is shown in Figure 7. The line A-A in Figure 7c was the critical location at the top of the fillet weld and shows the variation of axial weld residual stresses at this critical location. Note, that for the final pass deposited on the OD, the weld residual stresses at the ID were compressive.
Figure 8 is a plot of the weld residual stresses at the four cut locations in the fine model. These axial stresses are plotted from the shell inner diameter through the wall thickness (12.7 mm or 0.5-inch) at the fillet weld toe as illustrated in the inset of Figure 8. Axial stresses can contribute to circumferential cracks in the shell at the toe of the fillet and were used in the CIFS analyses.

The tuna can weld analysis of the double-V geometry was performed using three different mesh refinements. This was done for two reasons: (1) to ensure the solution converged and (2) to ensure there were no solution errors since the analyses were performed separately and independently. There were some differences in the weld residual stress magnitudes using the different mesh refinements [14], but the stress patterns were very similar. The results from the fine mesh solution were used for the CIFS analysis.

![Figure 7 Finite element weld model. (a) local finite element weld mesh and sequence schematic, (b) location of weld residual stress calculations, and (c) axial weld residual stress contour plot.](image)

Typically, for welds with only a few passes, the final weld beads tend to control the location of axial tensile weld residual stresses (here only 7 total passes were used). The 'hoop' weld residual stresses in the direction of welding are usually fully tensile for shells of this thickness. This is illustrated in Figure 9, where the axial weld residual stresses for two different 7-pass sequences at the critical cut-2 location using the finest finite element model are shown.

Weld Constraint, Fracture, and Shakedown. The weld process can produce a residual stress state in the weld joint that increases the constraint thereby reducing fracture toughness. Plane strain fracture toughness is typically lower than plane stress because the amount of plasticity that can develop under plane strain conditions is reduced. The development of direct relationships between constraint and fracture toughness is a topic of current research in the fracture community. However, it is known that for a given material the higher the tensile constraint in the joint, the lower the toughness. The constraint in the Ares 1-X weld joint was quite high, as discussed in reference 14. Also, weld residual stresses may decrease (shakedown) due to the initial service loading. The typical service loading for the Ares 1-X vehicle was applied to this joint, and released. The analysis predicted that the resulting shake down was not significant [14].
V. CIFS Analysis

The CIFS analysis was conducted to determine the largest crack in the weld region that will not grow to failure within 4 lifetimes, as required by the NASA standards. The CIFS analysis used linear elastic fracture mechanics assumptions to predict the fatigue crack growth rate of surface and embedded cracks in the inside (ID) and outside (OD) surfaces of the flange-to-skin weld. The analyses used a number of assumptions, the majority of which were very conservative, to account for the unknowns and uncertainties of the problem [11]. The analyses considered two different mean stress assumptions to account for the weld residual stresses and fit-up stresses:

- Residual stresses calculated from a 7-pass weld sequence with the last pass on the ID and the worst case fit-up stresses (largest fit-up stresses calculated with the largest mismatch in the flange flatness).
- Residual stresses calculated from a 7-pass weld sequence with the last pass on the OD and the worst case fit-up stresses.

The location of the last pass had a significant influence on the weld residual stresses, as shown in Figure 9. The largest compressive residual stresses were predicted on the surface that was opposite the location of the last pass in the sequence. A local stress analysis was performed for the flange-to-skin joint and found that the cyclic axial stresses were the highest on the ID. Thus, the combination of the high cyclic stresses on the ID and the tensile residual stresses at the same location for the weld sequence with the last pass on the ID resulted in a much lower CIFS, as shown in Figure 10. These results illustrate the importance of the design of the weld sequence relative to the fatigue and fracture resistance of a welded structure.
VI. Concluding Remarks

Weld residual stress analyses performed supporting the critical initial flaw size (CIFS) assessment of the Ares I-X USS common segments. Here, a series of weld analyses are performed to determine the residual stresses in a critical region of the USS. Weld residual stresses increase both the constraint and the mean stress thereby having an important effect on fatigue life. The results of this effort served as one of the critical load inputs required to perform a critical initial flaw size (CIFS) assessment of the same segment. It is clear that careful design of weld fabrication procedures, including joint type, weld parameters, weld sequence, fabrication constraints, and distortions are very important to improve the life of welded fabrications.

A large number of weld sequences, weld parameters, and weld geometries were investigated. Each weld pass was modeled by using a moving heat source as the weld is deposited. A 10-degree segment was modeled with appropriate boundary conditions. The analysis suggests that a 7 pass balanced weld sequence with the final pass deposited on the OD is the optimum since it induces compressive axial residual stresses on the ID at the toe of the weld and this location was determined to be the critical CIFS location.

References


