Measuring Thermodynamic Properties of Metals and Alloys With Knudsen Effusion Mass Spectrometry

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November 2010
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Summary

This report reviews Knudsen effusion mass spectrometry (KEMS) as it relates to thermodynamic measurements of metals and alloys. First, general aspects are reviewed, with emphasis on the Knudsen-cell vapor source and molecular beam formation, and mass spectrometry issues germane to this type of instrument are discussed briefly. The relationship between the vapor pressure inside the effusion cell and the measured ion intensity is the key to KEMS and is derived in detail. Then common methods used to determine thermodynamic quantities with KEMS are discussed. Enthalpies of vaporization \( \Delta_{\text{vap}} H^\circ \text{TH} \)—the fundamental measurement—are determined from the variation of relative partial pressure with temperature using the second-law method or by calculating a free energy of formation and subtracting the entropy contribution using the third-law method. For single-cell KEMS instruments, \( \Delta_{\text{vap}} H^\circ \text{TH} \) measurements can be used to determine the partial Gibbs free energy if the sensitivity factor remains constant over multiple experiments. The ion-current ratio method and dimer-monomer method are also viable in some systems. For a multiple-cell KEMS instrument, \( \Delta_{\text{vap}} H^\circ \text{TH} \) and activities are obtained by direct comparison with a suitable component reference state or a secondary standard. Internal checks for correct instrument operation and general procedural guidelines also are discussed. Finally, general comments are made about future directions in measuring alloy thermodynamics with KEMS.

Introduction

Accurate measurements of thermodynamic properties in metal and alloy systems are an important part of metallurgy. These measurements are essential for understanding multiple-component solution behavior and making accurate predictions of the stability of a given system under a range of environments. Furthermore, advanced composites contain many interfaces (e.g., the fiber/matrix interface), and accurate prediction of potential interface reactions requires accurate thermodynamic data (Ref. 1). In recent years, there have been dramatic advances in computational thermodynamics, both from a fundamental basis and from a phenomenological basis (Ref. 2), but over the same period we have seen a decline in experimental thermodynamics. Basic experimentally determined thermodynamic data are still necessary, both to check the fundamentals-based calculations and as an input to the phenomenological calculations. The need for accurate thermodynamic data is recognized by a number of groups that have focused on improving the capabilities of the Knudsen effusion mass spectrometry (KEMS) technique to provide routine measurement of relative partial pressure and partial Gibbs energy in alloy systems (Refs. 3 to 5).

The KEMS technique allows the measurement of relative partial pressure of components, which then is used as a means to obtain thermodynamic property data in condensed alloy systems. The advantage of KEMS is that it can be applied to a wide range of technically important alloy systems over relevant temperature ranges. A concise description of the technique is given in the following paragraphs.

A metal or alloy sample is placed in a small enclosure with a well-defined orifice known as a Knudsen cell, or effusion cell, as shown in Figure 1 (Ref. 6). Through careful consideration of the inner shape of the cell, effusion orifice, and surface area of the metallic sample, near equilibrium conditions are attained between the condensed phases and the vapor phase while the orifice continuously samples the vapor by effusion. The distribution of the effusing vapor is defined by the shape of the orifice, and typically only a small solid-angle of the distribution is selected to form a molecule beam that is analyzed with a mass spectrometer. A critical, but often overlooked, issue is correctly defining the thermodynamic system that is actually measured (Refs. 7 and 8). In a Knudsen cell, the boundary of the thermodynamic system is the inner surface of the cell, and thus the alloy sample, cell material, and vapor are all part of the equilibrium state being measured (alloy + cell material + vapor). All additional components and phases introduced by the “container” need to be included in the subsequent analysis and in the use of measured data (the same is true for all experimental thermodynamic measurements made in the past and to be made in the future). In addition to components and phases, the temperature and chemical composition of the system need to be determined. Temperature is a particularly critical measurement in thermodynamics and will be discussed in detail.

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Component activity is a direct measure of the slope of the Gibbs energy surfaces of the stable phases from the direction of the component reference state. The variation of the logarithm of activity with inverse temperature gives the partial molar enthalpy and entropy of mixing of the alloy component $A$, using the second-law method. A well-defined reference state that can be routinely measured is critical for activity measurements. In addition to these thermodynamic quantities, phase transformation temperatures can be determined from changes in the slopes of these plots. The extraction of the various thermodynamic properties from KEMS measurements is discussed later.

**KEMS** has a long history. It was first applied in 1948 (Ref. 11) and was used quite extensively in the 1960s and 1970s to study the vapors above pure compounds. There are a number of excellent reviews on this technique (Refs. 12 to 18). More recently some groups have extended this technique to multiple-component solutions, as discussed in the review by Kato (Ref. 3). Today there are only a small number of groups worldwide that utilize KEMS for both pure compound and solution thermodynamics.

This report discusses the application of KEMS to measure thermodynamic properties in alloy systems. The first part of the report describes each part of a typical magnetic-sector KEMS instrument (Modified Nuclide/MAAS/PATCO 12–90–HT, shown in Fig. 2), with particular emphasis given to the Knudsen-cell vapor source, cell heating, temperature measurement, and molecular beam formation. Although a magnetic-sector mass spectrometer is the best choice for a KEMS measurement, other types of mass spectrometers have been shown to be suitable. The key equation relating the vapor pressure inside the Knudsen cell to ion intensity measured by the mass spectrometer (Eq. (1)) is derived together with a discussion of important features of the ion source design.

Actual measurements are discussed with examples of how to extract meaningful thermodynamic data from the collected data. The critical issue is maintaining constant instrument sensitivity. For a single-cell-configured instrument, constant instrument sensitivity requires a very stable ion source. Without this instrument stability, special procedures must be utilized such as the ion-current ratio technique or monomer-dimer method in a single-cell configuration or an internal standard in a multiple-cell configuration. Each of these approaches is discussed. Then various routine checks for proper system operation are discussed. We conclude with some comments on future directions of KEMS for alloy thermodynamics. Additional information is provided in the appendixes to aid the reader. Appendix A defines the symbols used in the report, Appendix B provides additional information about the SIMION Version 8.0 (Scientific Instrument Services, Inc.) model of the ion source, and Appendix C provides a detailed description of the instrument-control and data-acquisition system.
Knudsen-Cell Vapor Sources and Molecular Beams

Description of Knudsen Cells From the Kinetic Theory of Gases

Figure 1 illustrates a typical Knudsen cell. The Knudsen cell provides a way to probe the vapor in equilibrium with the condensed sample of interest plus cell material. Under the low-pressure conditions used (<10⁻⁴ bar) the fugacities of real gases are equal to their partial pressure, and the behavior of the vapor phase is readily described by the kinetic theory of gases. There are several excellent texts on this subject (Refs. 19 and 20). The key relationship derived from kinetic theory to this technique is the Hertz-Knudsen-Langmuir (HKL) expression, which relates the flux of a molecular species striking a surface, \( J_A \) (mol · area⁻¹ · s⁻¹), to its equilibrium vapor pressure in a closed container:

\[
J_A = \frac{\alpha_v p_A^E}{\sqrt{2\pi M_A RT}}
\]

Here \( \alpha_v \) is the vacuum vaporization coefficient, which accounts for the reduction in the observed vaporization flux relative to the maximum flux calculated for equilibrium conditions, \( p_A^E \). In the general case where the condensed phase vaporizes in an unsaturated vapor phase, where \( p_A \) is less than \( p_A^E \), the flux is given by

\[
J_A = \frac{\alpha_v \left(p_A^E - p_A\right)}{\sqrt{2\pi M_A RT}}
\]

This is the general form of the HKL equation.

Vaporization coefficients vary from ~10⁻⁶ to 1, depending on the material system and molecular species (Ref. 22).
Condensation coefficients may be similarly defined as part of the equilibrium vaporization-condensation process between a vapor and a condensed phase. Vaporization and condensation coefficients are important for ceramics, but for metals these coefficients are generally 1. Therefore in this report we use the HKL equation in the form of Equation (3).

Now consider a vaporizing phase in the Knudsen cell in Figure 1. In the cell, molecule-sample, molecule-wall, and molecule-molecule collisions are important. According to kinetic theory, after a molecule strikes a surface, it desorbs in a completely random direction—that is, the cosine distribution—

\[
\frac{d\rho(\theta)}{J^T} = \left( \frac{N}{V} \right)^2 \cos(\theta) \frac{d\omega}{4\pi} = 2 \left( \frac{N}{V} \right)^2 \cos(\theta) \sin(\theta) d\theta \tag{5}
\]

Here \(d\rho(\theta)\) is the element of flux at an angle \(\theta\) from the normal, \(J^T\) is the incident flux upon the surface, \(d\omega\) is an element of solid angle \(\omega\), as shown in Figure 3(a), and \(d\theta\) is an element of angle \(\theta\).

A plot of \(d\rho(\theta)/J^T\) versus \(\theta\) in three dimensions yields a sphere, as shown in Figure 3(b). The HKL equation and flux distribution described by kinetic theory relate directly to the effusion process, which is most simply described as the loss of material from a cell due to the absence of a wall (i.e., the orifice). The type of flow through the orifice of the Knudsen cell defines the maximum pressure and temperature at which the KEMS technique can be applied. If the probability of molecule-molecule collisions is low over the nominal dimensions of the orifice, then the flow is characterized as “molecular flow” or “effusion” and the Knudsen cell accurately samples the equilibrium vapor. If the probability of molecule-molecule collisions is high, then the flow is “hydrodynamic” and the Knudsen cell does not accurately sample the vapor phase. The general criterion for effusion flow through an orifice is a Knudsen number, \(Kn\), greater than 8; where \(Kn = \lambda^*/2r\) and where \(\lambda^*\) and \(r\) are the mean free path and radius of the orifice. Knudsen numbers from 0.4 to 8 introduce a ~2.5-percent difference between calculated and measured fluxes, which can extend the range of the technique (Ref. 17).

The mean free path is the average distance between molecule-molecule collisions and is defined as

\[
\lambda^* = \frac{1}{\sqrt{2} \pi (P/RT) d^2} \tag{6}
\]

where \(P\) is the total pressure and \(d\) is the molecular diameter. At \(P = 10^{-4}\) bar, \(d = 4 \times 10^{-10}\) m, and \(T = 1273\) K, the mean free path is \(2.4 \times 10^{-4}\) m. Thus, a 1-mm-diameter orifice in a Knudsen cell will accurately sample pressures up to \(10^{-4}\) bar. Molecular flow is also important because it ensures that the vapor in equilibrium with the condensed phases inside the Knudsen cell does not react via molecule-molecule interactions in the orifice as it exits the cell or in the ensuing molecular beam.

Again, the flux of molecules leaving the orifice is described by the HKL equation. For a real orifice, Equation (3) is modified by including the cross-sectional area of the orifice \(C\) and the shape, or Clauding factor, \(W_C\), which is the escaping flux from the orifice normalized to the incident flux integrated over the hemisphere. The rate of molar loss of a vapor species is given by Equation (7), which is used to relate measured ion intensity to the corresponding vapor pressure (where \(t\) is time).

\[
\frac{dN_A}{dt} = \frac{C W_C P_A}{\sqrt{2 \pi M_A R T}} \quad \text{or} \quad j_A = \frac{W_C P_A}{\sqrt{2 \pi M_A R T}} \tag{7}
\]

The shape of a real orifice has a more complex effect than just reducing the transmission probability of effusion molecules as represented by \(W_C\) in Equation (7). Any orifice with a finite length changes the angular distribution of the effusing molecules according to the cosine law. This deviation from ideal behavior can be described analytically or modeled using a Monte Carlo simulation. The pioneering work in the field of Knudsen effusion used the analytical approach (Refs. 23 to 27). The relatively simple behavior of molecular flow through an effusion orifice is easily modeled with a Monte Carlo simulation (Refs. 28 to 30). A simple code can easily be written, and simulations can be conducted with millions of molecules for adequate statistics (Ref. 31, unpublished work). These simulations accurately model Knudsen effusion through an orifice or a small channel.

Monte Carlo modeling of Knudsen effusion easily yields a number of important results. The transmission coefficient, or Clauding factor, can be calculated as the number of escapes divided by the number of molecules entering the orifice. This is shown in Table I. The major advantage of the Monte Carlo approach over analytical approaches is that the number of wall collisions can be counted and averaged. Some of the molecules will go through the channel with no wall collisions. This fraction can also be readily obtained with the Monte Carlo simulation. As the length of the channel increases, the probability that molecules will pass through the orifice without any wall collisions decreases. These results are all listed in Table I. An understanding of this wall collision behavior is important in understanding Knudsen effusion because the molecule would be expected to thermally equilibrate with the wall after a collision.

In addition, the angle to the normal through the channel can be recorded for each molecule. A simple sorting routine then gives the angular distribution emerging from an orifice. A polar plot of \(d\rho(\theta)/J^T\) is shown in Figure 3(c) for a very thin orifice and a channel. Note that the channel gives a more directed distribution. The same results are obtained from the analytical approach (Ref. 27). It is important to note that these Clauding factors are all calculated. The degree of agreement with the actual experiment depends on the accuracy of machining and measuring the actual orifice dimensions and attaining molecular flow conditions.
Figure 3.—Molecules desorbing from a surface or emerging from an orifice. (a) Molecule desorbing from a surface into a solid-angle element; \( \theta \), angle to the normal from a surface; \( d\omega \), element of solid angle \( \omega \). (b) Cosine distribution for a molecule desorbing from a surface. (c) Distribution for a thin orifice and a channel, derived from a Monte Carlo simulation.

<table>
<thead>
<tr>
<th>Length/radius, ( l/r )</th>
<th>Clauanging factor</th>
<th>Average number of wall collisions for returns</th>
<th>Average number of wall collisions for escapes</th>
<th>Fraction of escapes with no wall collisions</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.1</td>
<td>0.9523</td>
<td>1.0513</td>
<td>0.0523</td>
<td>0.9048</td>
</tr>
<tr>
<td>1.0</td>
<td>.6720</td>
<td>1.5870</td>
<td>.7136</td>
<td>.3821</td>
</tr>
<tr>
<td>2.0</td>
<td>.5144</td>
<td>2.2429</td>
<td>1.7694</td>
<td>.1718</td>
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<tr>
<td>4.0</td>
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<td>3.5742</td>
<td>4.7624</td>
<td>.0557</td>
</tr>
<tr>
<td>10.0</td>
<td>.1910</td>
<td>7.5607</td>
<td>20.3455</td>
<td>.0098</td>
</tr>
</tbody>
</table>
The Clausing factor and the distribution of effusing molecules have important ramifications for the accuracy of vapor sampling, the design of the vapor source furnace, and molecular beam formation. In some cases, an orifice with a high Clausing factor is desirable, whereas in other cases a cell with a lower Clausing factor is desirable. A short, or “knife-edge,” orifice has a Clausing factor close to unity and, thus, a near-uniform flux distribution. This orifice also yields the minimum number of wall collisions within the orifice and a large vapor flux. This type of orifice has traditionally been used with the Knudsen effusion weight-loss technique. One disadvantage of this type of orifice is that a large fraction of the effusing molecules are trapped inside the furnace heat shields, which can act as a coaxial “virtual effusion cell” and contaminate the effusate coming from the effusion cell. Also the high rate of material loss attained with a large knife-edge orifice can produce errors in vapor-pressure sampling. The last major problem with knife-edge orifices is that they promote surface diffusion (Ref. 16). Longer, or channel, effusion orifices have a lower Clausing factor and a lower probability of molecules leaving the cell, particularly at high angles from the normal, but there is a higher probability that the effusing molecules will have collided with the orifice wall. The lower Clausing factor helps in attaining solid/vapor equilibrium and accurate sampling of the vapor phase. Low Clausing factors at high angles from the normal greatly reduce the amount of effusate trapped in the furnace and contaminating the molecular beam. Careful selection of the effusate distribution close to the normal and within the orifice to form the molecular beam, limits the sampling of molecules that have collided with the orifice wall. From the authors’ perspective, channel orifices are ideal for the KEMS technique.

Design of Knudsen Cells

The considerations discussed thus far can be used to design a cell for optimum vapor sampling. A real cell is not a closed container, and removal of vapor means the sampled flux is not the same as that passing through an imaginary plane near the surface of the sample in a closed container. The presence of the orifice means that there is no condensation/evaporation flux in the area taken by the orifice. Thus, pressure in this region is necessarily lower than in the rest of the cell. Furthermore, not all condensed phases have vaporization and condensation coefficients equal to 1. Thus, the measured vapor pressure must differ from the equilibrium value.

This problem has been approached by several investigators (Refs. 15 and 32 to 35). In general, the closest approach to equilibrium is attained with the following conditions:

1. A large sample surface-area to orifice-area ratio, which ensures that many more molecules are involved in vaporization and condensation than escape from the orifice (ratio values of greater than 100 are recommended (Ref. 16)).
2. A large Clausing factor for vapor transport from the sample surface to the orifice.
3. A low Clausing factor for the cell orifice (as discussed in the previous section).
4. Materials being measured have vaporization and condensation coefficients that are close to unity (e.g., metals).

These factors are summarized in the Whitman-Motzfeld equation (Refs. 32 and 33):

\[ p_e = p_m \left(1 + \frac{W_C C}{D} \frac{1}{\alpha_v} \frac{1}{1 - \frac{1}{W_D} - 2}\right) \]

(8)

Here \( p_e \) is the equilibrium pressure inside the Knudsen cell, \( p_m \) is the measured vapor pressure, \( W_C \) is the Clausing factor for the orifice, \( C \) is the surface area of the orifice, \( D \) is the surface area of the bottom of the cell cavity, \( \alpha_v \) is the vaporization coefficient, and \( W_D \) is the Clausing factor for the cell itself. A number of assumptions have been made in this derivation, most notably that the condensation coefficient equals the vaporization coefficient. However, the important points about the design of a Knudsen cell are captured in the basic Whitman-Motzfeld equation. Our cells have a cavity with a diameter of 10 mm and height of 7.6 mm, and a typical orifice has a diameter of 1.5 mm and a length of 4.0 mm. If tabulated Clausing factors (Ref. 27) and a vaporization coefficient of 1 are assumed, then the ratio \( P_e/P_m \) has a value of 1.005.

The selection of an appropriate material for a Knudsen cell is a challenging problem. No combinations of materials are truly inert, considering solubility and reactivity at high temperatures. Selection of an appropriate material requires examining all possible reactions and solution formation possibilities with phase diagrams and thermodynamic calculations. Table II presents some typical cell materials for different types of samples. Typically, ceramics are used for metals and refractory metals are used for ceramics. Often it is best to select a cell material made of a stable compound in the system under investigation. For example, SiC in a graphite cell can be used to study carbon-saturated SiC (Ref. 36), Si3N4 can be used to study Si-O-N compounds (Ref. 37), and Al in an alumina cell can be used to study Al-saturated Al2O3 (Refs. 38 and 39).

<table>
<thead>
<tr>
<th>Material studied</th>
<th>Knudsen-cell material</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>SiC</td>
<td>Graphite</td>
<td>Rocabois et al. (Ref. 36)</td>
</tr>
<tr>
<td>Si3N4</td>
<td>Si3N4</td>
<td>Rocabois et al. (Ref. 37)</td>
</tr>
<tr>
<td>Al-Al2O3</td>
<td>Al2O3</td>
<td>Copland (Refs. 38 and 39)</td>
</tr>
<tr>
<td>ZrO2-Y2O3</td>
<td>W</td>
<td>Stolyarova and Semenov; Belov and Semenov (Refs. 18 and 40)</td>
</tr>
<tr>
<td>SiO2</td>
<td>Ta with ZrO2 liners</td>
<td>Zmbov et al. (Ref. 41)</td>
</tr>
<tr>
<td>TiC, ZrC, HfC, ThC</td>
<td>W, Ta with graphite liners</td>
<td>Kohl and Stearns (Ref. 42)</td>
</tr>
<tr>
<td>Ti-AL alloys</td>
<td>Y2O3</td>
<td>Eckert et al. (Ref. 43)</td>
</tr>
</tbody>
</table>
Heating System/Furnace Design

Resistance heating or electron bombardment is typically used to heat the Knudsen cells. Both heating methods have their advantages and disadvantages. Below about 1000 °C, resistance heating needs to be used, whereas at higher temperatures either resistance heating or electron bombardment can be used. Resistance heating has the advantage that no arcing or ion formation takes place inside the furnace. A simple passive control system is used and generally voltage is regulated. Thus, temperature is set by a voltage. However, this control system makes temperature sensitive to any changes in resistance in the circuit, and great care needs to be taken when designing all electrical connections and feed-throughs. An issue closely related to resistance heating is that power is proportional to current squared and that high currents are necessary, which means the large-diameter water-cooled power feed-throughs are required. Furthermore, heating cannot be directed to only the cell, so the entire area around the cell needs to be heated, resulting in more power usage. It is generally impractical to heat the cell in a multiple-zone furnace, and extra care must be taken to avoid thermal gradients.

The electron-bombardment heating method allows direct heating of the cell alone, and it is relatively easy to apply two or more zones to remove thermal gradients. However, the circuitry is more complex than that used for resistance heating. Also, the higher voltages of electron bombardment can lead to arcing and interference with spectrometer electronics.

In the authors’ KEMS instrument, resistance heating is used. Heating elements are made of either W or Ta and are illustrated as part of the entire flange assembly in Figures 4 and 5. Ta elements can easily be formed at room temperature, and they heat to temperatures just slightly lower than W elements. The “hairpin” design used with the single-cell flange (Fig. 4) provides uniform heating and allows sighting of side blackbody holes for pyrometer temperature measurements. A 25-µm-thick sheet heating element made from either W or Ta is used in the multiple-cell flange (Fig. 5) and provides the most uniform heating.

The furnace must provide an isothermal hot zone large enough to contain the effusion cell(s): thermal gradients within the Knudsen cell cannot be tolerated (Ref. 44). In most laboratory applications, long tube furnaces are used and provide a uniform heat zone in the center. This is not possible with KEMS. The furnace must be compact to allow placement of the Knudsen cell(s) as close to the ionizer as possible. An isothermal hot zone in such a compact furnace is achieved with a carefully constructed multiple-layer heat-shield pack that completely surrounds the cell, with a small opening for the molecular beam. The heat shields act as a “virtual blackbody” cavity around the Knudsen cell(s) and ensure uniform heating. In addition, the Knudsen cell can be placed inside a conductive envelope or block to further reduce thermal gradients. The use of a conductive envelope (shown in Fig. 5) is vital for a multiple-effusion-cell vapor source. At temperatures below 900 °C, heat transfer by radiation becomes less efficient, and other ways to maintain isothermal conditions need to be considered. Placing the effusion cell inside a heat pipe envelope is an option (Ref. 45).
Figure 5.—Multiple-cell flange used in our system with resistance heating using a sheet element. The x-y position motors are computer controlled. Temperatures are measured with a pyrometer from the bottom.

As noted, the cavity created by the heat shields produces a “virtual Knudsen cell.” Although most of the vapor escapes through the hole in the heat shields, some of it remains in this cavity for a short time. This problem is rarely discussed, but these trapped vapor species would be expected to collide with the inner heat shield and cell block, eventually escaping from the hole in the heat shields. Thus, these species constitute a secondary vapor flux that is not representative of the cell interior. The secondary flux cannot be eliminated completely, but it may be minimized by placing the cell orifice as close to the heat shields as possible.

Temperature Measurement

The importance of precise temperature measurement relative to thermodynamic temperature in experimental thermodynamic measurements cannot be overstated. Perhaps the most difficult challenges with regard to the determination of heats of vaporization and other thermodynamic properties arise from errors in measuring the absolute temperature of the effusion cell. The best approach to measuring absolute temperature is to use the techniques and standards that define or realize the International Temperature Scale (ITS–90, Refs. 46 to 50) and to calibrate the measuring instrument directly in situ with one or more fixed points. This approach ensures that the measuring instrument is as close as possible to the absolute temperature scale and removes the costly and time-consuming procedure of routine calibration at a national standards laboratory. The KEMS technique is ideally suited for in situ calibration because “pure” metals can be placed inside an effusion cell and continuously ramped through the invariant point \( \text{solid + liquid + vapor + container} \) while both the signal from the temperature sensor and relative partial pressures in the equilibrium vapor are monitored (Ref. 51). The fixed points of Ag (1234.93 K), Au (1337.33 K), and Cu (1357.77 K) are the most practical and, together with the Planck radiation law ratio, define ITS–90 above 1234.93 K.

The temperature of the cell must be uniform, and the measuring device must accurately reflect the temperature within the cell. Two methods are commonly used for measuring temperatures—thermocouples and pyrometry. In alloy studies, pyrometry is the more reliable technique, but at temperatures below about 800 °C, the use of thermocouples is necessary. In both cases it is critical to measure the temperature as close to the cell as possible.

Thermocouples

Although thermocouples are generally seen as easy to set up and use for temperature measurement, particular care must
be taken to ensure that accurate values are obtained (Ref. 52). The major challenges encountered when using thermocouples with KEMS are the quality of the junctions, incorrect use of lead wires (the uninterrupted thermocouple wire must extend all the way from the hot junction to the ice-point reference), use of an ice-point reference, prevention of contamination inside the furnace, and routine calibration. The basic thermocouple equation is

\[ V_1 - V_2 = \alpha (T_{j1} - T_{j2}) \]  

(9)

Here \( V_1 - V_2 \) is the measured potential difference, \( J_1 \) is the reference junction and \( T_{j1} \) is its temperature, \( J_2 \) is the measuring junction and \( T_{j2} \) is its temperature, and \( \alpha \) is the Seebeck coefficient. The reference junction should be in a controlled 0 °C ice-point reference, thus the measured voltage directly indicates the temperature of \( J_2 \).

The junctions may be formed with a variety of methods, including an oxyacetylene flame and spot welding. The choice of method depends on the oxidation resistance of the particular type of thermocouple wire used. Typically the actual thermocouple wires are made of high-purity, reference-grade material. Wires with compositional variations may generate secondary voltages and produce erroneous readings.

Although Pt/Pt-Rh thermocouples are widely used for high-temperature studies, we have found a number of critical problems that effectively preclude their use with KEMS alloy studies. The first is contamination of the wires. This is particularly a problem for Pt/Pt-Rh thermocouples, which are not recommended for use in vacuum systems and environments containing metal vapors, as encountered in a KEMS alloy study. Pt readily alloys with metals such as Al and Ag, forming intermetallic compounds. A partial solution is to use a ceramic thermocouple sheath to protect the thermocouple wires as much as possible. Frequent calibration and replacement of contaminated thermocouple wire is essential, but this is very time consuming and expensive. Calibration can be performed by comparison to a National Institute of Standards and Technology (NIST)-traceable standard thermocouple, but fixed routing in the effusion-cell vapor-source furnace makes this impossible. A further factor acting against the use of thermocouples in KEMS studies is that they are not a primary measurement technique used to define and maintain ITS–90. This introduces unnecessary calibration steps and the potential for uncertainty in measuring absolute temperature.

Pyrometry

When the temperatures of interest are in a suitable range, pyrometry is the best technique for measuring the temperature of the Knudsen-cell vapor sources in KEMS instruments. Some of the major advantages are that it is a noncontact technique, with the pyrometer placed outside the furnace and vacuum chamber. Also, one pyrometer can be used to measure temperature at multiple locations, which improves the consistency of calibration. The key advantage is that pyrometry, as stated in the “Temperature Measurement” section, is based on the Planck radiation law, which in ratio form defines ITS–90 at all temperatures above the Ag fixed point (1234.93 K). Thus, pyrometry is the standard method for realizing thermodynamic temperature through the use of Equation (10):

\[
\frac{L_{\lambda}(T_{90})}{L_{\lambda}(T_{90}(X))} = \frac{\exp(c_2 / \lambda T_{90}(X)) - 1}{\exp(c_2 / \lambda T_{90}) - 1}
\]

(10)

where \( L_{\lambda}(T_{90}) \) and \( L_{\lambda}(T_{90}(X)) \) are the radiiances of a blackbody at wavelength \( \lambda \), at the temperature to be determined \( T_{90} \) and the fixed point \( T_{90}(X) \), where \( X \) can equally be Ag (1234.93 K), Au (1337.3 K), or Cu (1357.77 K) and where the second radiation constant \( c_2 = 0.014388 \text{ mK} \) (Ref. 46).

To ensure the correct application of pyrometry, one must take care to design and fabricate blackbody radiation sources in the effusion cell to have an emissivity that approaches unity. Typically, this means that the length-to-radius ratio of the cavity should be at least 10:1. There are a number of similarities in constructing a close-to-ideal blackbody cavity and an effusion cell that accurately samples the equilibrium vapor. When functioning correctly, a blackbody should emit a uniform flux of radiation; and when visually observed at steady-state conditions, it should never appear darker than the surrounding parts of the furnace. If the blackbody source does appear darker, it is likely due to reflected radiation from hotter surfaces like the heating element. If this occurs, radiation shields are needed to prevent radiation from the hotter surface from directly impinging on the blackbody source.

The pyrometer also needs to be carefully aligned with the blackbody sighting holes and chamber window so that there are no obstructions. An instrument that allows sighting through the optics of the pyrometer is invaluable and allows the experimenter to see the source area of the measurement exactly and to confirm that the proper object is being sighted. Furthermore, it is important for the source area to lie fully within the opening of the blackbody and for the measured temperature to be independent of the location of the source area inside the blackbody.

After the blackbody cavities, the next critical issue is the window transmissivity changing with time. Even with a well-designed vapor source to minimize the trapping of effusing vapors, with proper placement of the blackbody source, and with the use of shutters; there will inevitably be some condensation of high-temperature vapors on the window. As a result, the window needs to be cleaned regularly, and the transmissivity of the window needs to be measured. Window changes can be checked routinely using an in situ calibration technique where “pure” Ag, Au, or Cu are placed in an effusion cell and the invariant point {solid + liquid + vapor + container} is ramped through while both temperature and relative partial pressures are monitored. This procedure can be done a number of times during an experiment. Figure 6 illustrates an example of this for Au in a graphite container (Ref. 51). A clear plateau

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Figure 6.—Melting point of Au in a graphite cell, showing variation of the measured ion intensity and temperature with time. The plateau on the measured ion intensity curve shows the existence of an invariant, or triple point.

is observed in relative $p_{\text{Au}}$ which is characteristic of an invariant reaction. The changes in slope of the curve in a temperature-versus-time plot correspond to the start and finish of melting. Because the thermal mass of the sample is small in comparison to the whole furnace and the blackbody is not fully inside the metal sample, the measured temperature is not fixed while the invariant equilibrium exists. Thus, the invariant can only be observed on heat-up, and the first thermal arrest is the invariant temperature. The slower the heating rate, the more accurate these measurements are. The measured current from the photomultiplier at the invariant point is used to determine the window transmissivity for correcting the measured temperature.

Traditionally disappearing filament pyrometers have been used, but these are subjective, with measurements varying from operator to operator, and are no longer used. Today, there are a variety of pyrometers available that use a photomultiplier tube to measure radiance at a given wavelength; these have been shown to work very successfully. It is commonly thought that the effusion orifice and cell can be used as a blackbody cavity for temperature measurement. Indeed, this is cited as an advantage of a cross-axis ionizer, as illustrated in Figure 2. However, in practice this involves sighting through the apertures in the ionizer, and alignment becomes difficult. The major problem with sighting the orifice is contamination of the window above the orifice with time. In general, the authors have found that precise temperature measurements are better made from a side or bottom port, as close to the cell as possible.

**Molecular Beams**

In KEMS only a small portion of the total distribution of the molecules effusing from the cell orifice form the molecular beam that is analyzed by the ion-source/mass spectrometer. Thus, the portion of the distribution that is selected is critical to the success of KEMS in general, but it is particularly important for a multiple-effusion-cell-configured KEMS instrument. In the traditional approach, the effusion orifice and the larger diameter entrance orifice or slit to the ion source are considered to define the molecular beam. However, as shown in Figure 7(a), molecules can enter the ion source from a wide angular range (defined by holes in the heat shields and ion source entrance). Clearly this situation allows molecules to enter the molecular beam that do not originate from inside the effusion cell and will result in erroneous results. A technique developed by Chatillon and coworkers (Refs. 5, 45, and 53) can be used to solve this problem. Two small, fixed apertures are introduced between the effusion cell and ion source, as shown in the restricted collimation part of Figures 7(a) and (b). These apertures select a small, well-defined angular range that a molecule must be traveling to enter the ion source, form an ionization volume, and thus be analyzed. The selected angular range is fixed and independent of the vapor source. For the vapor effusing from a Knudsen cell to be sampled, the center of the orifice needs to be moved to be concentric with the fixed apertures. The beam molecules must now have velocities aligned along the axis perpendicular to the orifice and minimal molecule-molecule interactions within the beam. Thus the beam will accurately represent the vapor above the condensed phase in the Knudsen cell. Although a well-defined molecular beam is essential for Knudsen-cell mass spectrometry (Ref. 53), it has not been given adequate attention in the past. Our system uses two apertures above the Knudsen cell to define the beam (see Figs. 1 and 7). Following Morland et al. (Ref. 53), we refer to the fixed aperture closest to the cell as the “field aperture” and the aperture closest to the ion source as the “source aperture.”
A well-defined molecular beam strictly defines the source area and angular range of molecules and restricts the amount of background vapor that reaches the ionizer. Furthermore, by using a small field aperture one can make the source area of the molecular beam smaller than the cross-sectional area of the cell orifice. This definition of the beam effectively removes the effect of the shape of the orifice on the flux distribution of the molecular beam and makes KEMS measurements independent of orifice shape. This effect is analogous to the requirements of sampling the radiation from fully within the blackbody when temperature is measured with a pyrometer.

The major advantage of defining the molecular beam this way is calibration. Consider two Knudsen cells on a multiple-cell flange—one with a pure material that has a known vapor pressure and one with an alloy with a component that has an unknown vapor pressure. Ideally we can use the cell with the pure material to determine the calibration constant that relates measured ion intensity to vapor pressure. However, this determination of a calibration constant only gives a reliable value if the molecular beam from each cell is sampled in exactly the same way.

Our goal in this section is to describe the molecular beam mathematically. We need to understand how the intensity varies a function of the pressure in the cell, the Clausing factor of the cell orifice, the distance from the cell orifice, the area of the cell orifice, and the placement of defining apertures in the
molecular beam. Chatillon and his group (Refs. 5, 45, and 53) discuss defining a molecular beam in the KEMS technique, and we shall follow their arguments.

Molecular beams are analogous to the transmission of light—a stream of photons. Many of the equations developed for light are readily applicable to these molecular beams. First, note that the flux decays as $1/a^2$, where $a$ is the distance from the source to the receiver. The basic equations for the flux received from a radiating element are derived in the paper and textbook by Walsh (Refs. 54 and 55). The problem germane to the collimation of a molecular beam in a mass spectrometer is the transmission of the beam from one disk source to another coaxial disk source. The fraction of molecules that leave the radiating disk and arrive at the receiving disk is given by

$$ F(\eta_1, r_2, a) = \frac{\left(r_1^2 + r_2^2 + a^2\right) - \sqrt{(r_1^2 + r_2^2 + a^2)^2 - 4r_1^2r_2^2}}{2r_1^2} $$

(11)

Here $a$ is the distance between the two disks, $r_1$ is the radius of the radiating disk, and $r_2$ is radius of the receiving disk. This quantity is the unit of the solid angle that reaches the second aperture (Ref. 53). Thus, the flux reaching the second aperture can be described as

$$ j_A = \left(\frac{W_C(\theta') p_A}{\sqrt{2 \pi M_A R T}}\right) F(\eta_1, r_2, a) $$

(12)

Here $W_C(\theta')$ is the Clausing factor discussed earlier in Equation (7), but it is for the limited angle from the normal $\theta'$ defined by the field and source apertures (Eq. (13)). Note also that the number distribution is not the same everywhere in the molecular beam. This is evident if a portion of the beam distribution is sampled as shown in Figure 3(c). If the center of the collimating apertures is collinear with the center of the distribution, then there is a symmetric number density about this center.

$$ W_C(\theta') = \int_0^{\theta'} \bar{T}(\theta, L) \, d\theta $$

(13)

The function $\bar{T}$ is the angular distribution of a real orifice relative to an ideal cosine distribution, as discussed previously. We select the function for the smaller angles about the normal to the orifice. Equation (13) then describes the flux reaching the ionization chamber in terms of the critical beam-defining parameters. Figure 7(b) shows the fixed apertures that select a portion of the effusate distribution to form a molecular beam. In our system the molecular beam includes the solid angle about 0.76° from the normal, and the furnace is translated on an $x$-$y$ plane to ensure that all cells that are sampled form the same angle to the normal. Such variations from cell-to-cell in the multiple-cell system are accounted for in the experimentally determined geometry factor ratio (GFR), as discussed later in the “Derivation of the KEMS Equation” section.

**Mass Spectrometric Analysis of the Molecular Beam**

Although the quantitative nature of the KEMS technique was indicated in the introduction, it is important to reiterate it at the start of a discussion of the ion source, mass analysis, and detector. The aim of this technique is to measure the relative partial pressure of vapor species in equilibrium with a condensed sample as a function of sample composition and absolute temperature. The pressure of each species is measured indirectly by sampling its flux in a molecular beam by ionization and analysis of the ion beam. Ideally, the ion-beam composition is representative of the molecular beam; however, the indirect nature of these measurements introduces a range of factors that affect the measured intensities in the mass spectra. These factors are unrelated to sample composition and temperature. Clearly then, the goal is to reduce the effect and variation of these other factors to allow the accurate study of vapor pressure with temperature and sample composition. The next section briefly discusses the aspects of mass spectrometry with the aim of identifying the other factors and indicating how their effects can be reduced to provide accurate measurement of thermodynamic activities.

**Instrument Description**

All mass spectrometers consist of four common elements: (1) the ion source, (2) the mass analyzer, (3) the detector, and (4) the vacuum system (Ref. 56). Quadrupole, time-of-flight, and magnetic-sector instruments have all been used successfully to obtain thermodynamic data from metallic and alloy systems. It is important that the instrument introduce no mass-discrimination effects or that corrections be applied for these effects. Thus, in general, magnetic-sector instruments are preferred because they can be designed to minimize mass discrimination.

**Ionizer**

The purpose of the ion source is to produce ions representative of the sample and to accelerate and focus them through a static potential field to form a well-defined ion beam that is directed to the mass analyzer. The vapor is introduced to the ion source as a well-defined molecular beam that is selected from the material effusing out of a Knudsen-cell vapor source. There are a variety of methods available for producing ions from gases (thermal ionization, vacuum discharge, ion impact, photo ionization, and electron impact), all of which have advantages for specific applications. Electron impact is the most versatile for high-temperature inorganic vapors and is generally used. The main advantages offered by electron impact are good sensitivity, ease of forming a controlled
electron beam, and the ability to vary the energy of the electrons over a wide range.

Ion sources used for KEMS have either (1) a mutually perpendicular arrangement of molecular, electron, and ion beams or (2) parallel molecular and ion beams with a perpendicular electron beam. As shown in Figures 1 and 7(b), our mass spectrometer has a mutually perpendicular arrangement. Although this arrangement may reduce sensitivity, it has the advantages that collisions between ions and neutral molecules are reduced following ionization and that the kinetic energy spread of the molecules (due to the temperature of the vapor source) is not transferred to the ionization process and to the ion beam. This arrangement results in a smaller energy spread in the ion beam, which results in better ion-beam focusing and resolution in the mass analyzer. In a static electric field, ions with the same starting location, direction, and kinetic energy per unit charge will traverse an identical path (i.e., no mass discrimination) (Refs. 56 and 57).

Understanding ionization processes is important for interpreting measured mass spectra because it provides a method to identify possible "parent molecule(s)" for each ion species. The identification process typically involves determining the relative ionization potential (IP)/appearance potential (AP) of each parent and fragment ion and studying the shape of their ionization efficiency (IE) curves. This information allows the selection of an electron energy that will ensure that the measured ion intensity is not, in part, due to a fragmentation process, while providing the maximum instrument sensitivity. Electron impact ionization, ionization-efficiency curves, and ionization cross sections have been discussed in detail by many investigators (Refs. 14, 56, 58, and 59). Therefore, only the basic aspects of the ionization process important to activity measurements are summarized here.

An electron with kinetic energy \( E \) collides inelastically with a neutral atom or molecule, some kinetic energy is absorbed, and the valance electron configuration of that atom takes an excited state. Above a threshold energy \( E_\text{th} \), the collision results in the removal of a valance electron and the formation of a stable ion. The energy for this process is the IP.

\[
A(g) + e^- \rightarrow A^+(g) + 2e^-
\]  

(14)

Simple ionization of a molecule may also occur:

\[
AB(g) + e^- \rightarrow AB^+(g) + 2e^-
\]

(15)

where \( B \) represents another alloy component. However, many other processes may occur besides these simple ionization processes. Fragmentation may occur:

\[
AB(g) + e^- \rightarrow A^+(g) + B(g) + 2e^-
\]

(16)

Double ionization and ion-neutral molecule reactions may also occur. An understanding of the ionization process is important for correlating the correct magnitude of ion intensity with partial pressure in Equation (1).

One important tool in understanding ionization processes of high-temperature inorganic vapors is an IE curve or a plot of the energy of the ionizing electrons versus ion current. Drowart and Goldfinger (Ref. 13) and Grimley (Ref. 14) discuss the use of IE curves to understand fragmentation patterns. Figure 8 is a typical IE curve. The most common type of information obtained from such curves is the AP shown in the figure. The AP for the ionization of the molecule \( AB \) is composed of

\[
AP_{AB} = IP_{AB} + D_{AB} + \Sigma E
\]

(17)

Here \( D_{AB} \) is the dissociation energy of \( AB \), and \( \Sigma E \) is the sum of any excitation levels of the molecule.

In the case of an atomic species, there is, of course, no dissociation term. Generally, one of two methods is used to obtain the AP—the linear extrapolation (LE) or the critical slope (CS) method. The LE method involves fitting a straight line to the apparently linear section of the IE curve, extrapolating back to the electron energy axis, and taking the intercept as the AP. This method is subjective, since a series of data points are approximated by the operator to be linear. Fundamentally, the IE curve is never linear (Ref. 60). The CS method is based on assigning the initial curvature of the IE at the IP to a Maxwellian energy distribution of the thermally emitted electrons (Refs. 56 and 58).

It should be noted that this is an approximation, since the energy distribution of thermally emitted electrons shows deviations from a pure Maxwellian distribution (Refs. 61 and 62). A plot of the logarithm of ion intensity versus electron energy exhibits a linear region below the AP. The slope of the linear region is typically independent of material. The point where this curve deviates from linearity is the IP for the ion. The CS method is generally considered to be more reliable than the LE method (Ref. 58). APs are generally instrument specific (Ref. 56) because of the spread of electron energies and the method of calculation. A stable and known electron energy across multiple experiments is critical in thermodynamic measurements because it ensures a consistent IE for each species. Figure 8 is a representative IE curve with the LE method used to obtain an AP for Al. To select a consistent operating electron energy, it is essential to measure IE curves as part of each experiment in a thermodynamic study and to set the electron energy relative to the measured APs.

The probability of ionization by electron impact is put into a quantitative context through the concept of ionization cross section. An ionization cross section, \( \sigma_A(E) \) (dimensions \( \times \) area/molecule), is specific to an ionization reaction (e.g., \( A(g) + e^- \rightarrow A^+(g) + 2e^- \)). It is defined experimentally by the following relation (Ref. 58):

\[
\sigma_A(E) = \frac{dI^*_A(E)}{I_e^*(E)e\rho_A}
\]

(18)
Figure 8.—Example of an ionization efficiency (IE) curve showing the appearance potential (AP) derived from the linear extrapolation (LE) method, where the coefficient of determination, $R^2$, is 0.9634 and AP is 6.83 eV.

\[ y = 41570x - 299307 \]

The ionization cross section is a central quantity in the sensitivity factor in Equation (1). Difficulties in obtaining reliable, absolute cross sections result in the use of calculated atomic cross sections (Refs. 63 to 66) together with the additive rule for molecules species for absolute pressure calculations with KEMS. More recently, better experimental results have been published for absolute cross sections and for cross-section ratios for various species (Refs. 67 to 77), but there are still many molecular species with no data, and there is little agreement between reported values of commonly studied species (Ref. 17). The problem is clear for atoms, and it is even greater for molecules. For molecules, the atomic cross sections are commonly summed and then multiplied by 0.75 (Ref. 4). This method for molecules is an estimate, and errors are up to 30 percent or more. These problems suggest that the best approach for KEMS is to use measured cross-section ratios (made with the specific instrument), and this is best achieved with a well-designed multiple-cell configuration. Improved calculations also lead to more reliable cross sections (Ref. 66).

**Mass Analyzer**

The resulting ion beam is sorted according to its mass-to-charge ratio by the mass analyzer. Mass spectrometers are generally classified according to the mass analysis method—magnetic-sector, quadrupole, or time-of-flight. Our instrument is a 90° magnetic-sector instrument that uses the force exerted on a moving charged particle in a magnetic field to separate the beam according to ion momentum. For the conditions of equal kinetic energy and charge, these momentum spectra become mass-to-charge spectra. The key equation is then
\[ \frac{m}{q} = \frac{r^2 B^2}{2V} \]  

Equation (19)

Here \( m \) is the mass of the ion, \( q \) is the charge, \( r \) is the radius of deflection, \( B \) is the magnetic field, and \( V \) is the accelerating voltage. Thus the mass-to-charge ratio varies as the square of the magnetic field and the inverse of the voltage. Generally, spectra are scanned by varying the magnetic field. However, finer scanning may be accomplished by varying the voltage.

Magnetic-sector instruments are generally preferred for high-temperature vapor studies because of their lack of mass-discrimination effects. The identity of an ion species is determined from the mass-to-charge ratio, whereas its relative abundance is determined from the intensity of its peak in the mass spectrum. The isotopic “fingerprint” is also useful in identifying peaks. Tables and computer codes are available to calculate isotopic abundances to check these values. The analyzer must sort the ions so that adjacent mass numbers are sufficiently separated. The resolution \( \text{Res} \) of an instrument is defined for two adjacent masses, \( M \) and \( M + \Delta M \) (Ref. 56), in Equation (20):

\[ \text{Res} = \frac{M}{\Delta M} \]  

The units of \( M \) and \( \Delta M \) can be mass units, voltage, or magnetic field units. If the desired distance between the peaks is taken as the base width, then the distance between the peaks becomes 1. However, a 10-percent peak-overlap criterion is typically used. In a magnetic-sector instrument, resolution is set by the beam-defining slits at the exit of the ionizing chamber (electrostatic accelerator) and entrance to the multiplier. These are vertical slits whose widths are adjustable from the outside of the instrument. These slits define the beam and resolution (Ref. 56):

\[ \text{Res} = \frac{\text{Radius}}{\text{(Source slit + Detector slit)}} \]  

In our system the radius is 305 mm, the source slit is \(~0.25\) mm, and the detector slit is \(~0.025\) mm. Thus, a resolution of \(~1100\) is calculated. For an inorganic species with a molecular weight of 100, we can separate an adjacent species of 100.1. We have found that this resolution is adequate for at least partial separation of the inorganic vapor species from background hydrocarbons.

**Detector**

The intensity of each mass-to-charge peak is measured by the detector—generally a Faraday cup or multiplier. Our instrument uses a 22-dynode electron-multiplier detector where the selected ions pass through a defining slit and impinge on the first dynode, producing secondary electrons. These electrons are then measured with a sensitive electrometer (ion-current measurement) or an ion counter (Refs. 56 and 78).

The output of the Faraday cup goes to a precision \( 2 \times 10^{11} \Omega \) resistor, and the output of the last dynode in the multiplier goes to a precision \( 1 \times 10^7 \Omega \) resistor. The voltage drop \( V_A \) across the resistor \( R \) is measured with an electrometer, and the ion current \( I_A \) is simply calculated from Ohm’s law:

\[ I_A = \frac{V_A}{R} \]  

(22)

Ion currents can be converted to counts per second, since 1 ampere equals \( 6.24 \times 10^{18} \) counts per second. Multiplier gains are determined from comparison of the multiplier output to the Faraday cup output. The major disadvantage of ion-current measurements is the dependence of sensitivity on mass number (Ref. 56). This problem of dependence on mass number is introduced in the first, or conversion, dynode, where the number of electrons ejected from a single ion depends on the mass of that ion (Ref. 78). Generally, for masses greater than ~50 amu,

\[ \gamma_A = K \sqrt{M_A} \]  

(23)

Here \( \gamma_A \) is the gain, \( K \) is a constant, and \( M_A \) is the mass. To avoid these mass bias effects, we do most of our measurements with ion counting. If the system is set up so that >99 percent of the pulses are counted, then the efficiency of the first dynode does not matter and the multiplier introduces no mass-discrimination effects (Ref. 79).

An important aspect of detection efficiency is the “dead time,” \( \tau \), of the detector. This is the period of time required by the detector to process an event and is related to the duration of the pulse (Ref. 78). As the count rate increases, the time between events approaches \( \tau \) and the probability of multiple events occurring during the processing time increases, resulting in possible errors. Our detector is nonextendable and multiple events occurring during this processing time simply go unnoticed and are lost. To avoid large dead-time effects, one should keep count rates low. However, the need for a large dynamic measurement range makes this difficult, and a correction is thus needed for high count rates. The empirical correction is quite simple with the true count rate \( R_T \) given by

\[ R_T = \frac{R_m}{(1 - \tau \cdot R_m)} \]  

(24)

where \( R_m \) is the measured count rate and \( \tau \) is the dead time. The major factor determining \( \tau \) is the shape of the pulse leaving the multiplier and how detector electronics modify this shape during amplification prior to counting. It is worth determining if the detector amplifier changes the pulse shape, which can be done with a representative pulse provided by a signal generator and oscilloscope. It is critical to avoid
excessive gain and “clipping” of the amplified pulse, which increases pulse duration and can introduce spurious secondary pulses. A typical multiplier pulse has an amplitude of ~10 mV and is ~5 ns in duration. Provided that the amplified pulse remains less than 20 ns, there is very minimal dead time loss at count rates approaching 5 MHz. If needed, $\tau$ can be determined experimentally by measuring the ion intensity of two isotopes over a wide range of count rates. Provided that the isotopic abundance $K_T$ is unaffected by the changing experimental conditions, the ratio of the measured isotopes is given by Equation (25), from which the dead time can be extracted.

$$K_T = \frac{1}{2} \frac{R_T}{R_f} = \frac{1}{2} \frac{1 - \tau \cdot 2 R_m}{1 - \tau \cdot 1 R_m}$$  \hspace{1cm} (25)$$

To ensure good accuracy of this measurement, it is important to use an element with the largest possible isotopic abundance ratio (i.e., >10).

**Vacuum System and Separation of Signals From Background Gases**

A reliable, oil-free vacuum system is an essential part of a KEMS system. The location of the pumps on our system are shown in Figure 2. The vacuum system of course allows proper operation of the air-sensitive components such as the ionizer and the detector. An oil-free system minimizes background, which may block the ions formed from the molecular beam. Today’s oil-free turbopumps and backing pumps, together with ion pumps, allow the construction of a true oil-free pumping system throughout.

In addition to minimizing the overall background, ions formed from the species in the cell can be distinguished from any specific background peaks by high resolution in the ion sorter, by the measurement of isotopes, and by use of a “shutter profile.” The shutter, which is typically a small paddle with a slot, can be externally scanned across the molecular beam. The shape of the resultant profile gives some information about the origins of the molecular beam (Ref. 13). The intensity of the beam is thus taken as the difference between the maximum (peak + background) and the minimum (background). In our system, the precision movement of cells in one direction, in both single- and multiple-cell flanges, together with a small field aperture, allows a shutter profile to be obtained. Alternatively, in the multiple-cell flange, an empty cell can be used for background measurements.

**Derivation of the KEMS Equation**

The central KEMS equation can be derived now that the Knudsen-cell vapor source and mass spectrometer have been described. This follows directly from the vapor flux in the molecular beam selected from the distribution of material effusing from the Knudsen cell (molecular-beam flux equation) and the definition of the ionization cross section (Eq. (18)). However, in accordance with the aim of identifying factors that affect the measured ion intensity and that are unrelated to sample temperature and composition, it is useful to rewrite Equation (18) in terms of the number of ions produced per second in the elementary volume $dv$ in the region defined by the intersection of the molecular and electron beams, $n_A^x(E)$ (Refs. 71 and 80) (this is prior to the formation of the ion beam):

$$n_A^x(E) dv = \int I^e(E) f_i \rho_i \sigma_A(E) dv$$

$$= \int I^e(E) f_i \sigma_A(E) \frac{j_A}{e_A} dv$$  \hspace{1cm} (26)$$

Here $j_A$ is the flux of molecular beam. The region defined by the intersection of the electron and molecular beams is the ionization volume and, provided that the electron beam is wider than the molecular beam, it has an approximately cylindrical shape (Fig. 7(b)).

Consider first an electron beam with a uniform flux. A uniform electron flux requires a specially designed electron gun (Refs. 81 and 82). Further consider a molecular beam with uniform flux across a plane perpendicular to its direction. As noted, the intensity of the molecular beam decreases with $1/a^2$, where $a$ is the distance from the cell orifice to the ionization region. Provided that the molecular beam stays fully within the electron beam, this will result in a uniform rate of ion production across the ionization volume.

KEMS typically uses thermally generated electrons, so the electron beam does not have a uniform flux across a plane perpendicular to its direction. Furthermore, the molecular beam is not uniform across a plane perpendicular to its direction, as shown in Figures 3(c) and 7(b). The mathematical description of a molecular beam has been discussed previously with Equation (12). Here the differential of the molecular beam flux can be written as follows:

$$j_A = \int S \left( \frac{W_C(\theta') p_A}{\sqrt{2 \pi M_A R T}} \right) \frac{1}{a^2} ds$$  \hspace{1cm} (27)$$

where $ds$ is an element of a surface perpendicular to the molecular beam. Substitution of Equation (27) into (26) with the assumption of a homogeneous electron beam and integrating, results in the total number of ions produced per unit time, $N_A^x(E)$:

$$N_A^x(E) = \int n_A^x(E) dv$$

$$= \int_S \int I^e(E) f_i \left( \frac{W_C(\theta') p_A}{\sqrt{2 \pi M_A R T}} \right) \frac{1}{a^2} \sigma_A(E) \frac{1}{e_A} ds dv$$  \hspace{1cm} (28)$$
Here $N_A^+(E)$ is the total number of ions produced per unit time (ion intensity). The integral in Equation (28) is difficult to evaluate for these reasons:

1. Coordinate systems—The molecular beam is best described with polar coordinates such that $r$ is measured perpendicular to the beam. As noted, a beam of thermally generated electrons is difficult to describe in any coordinate system. If we assume that the electron beam apertures create a cylindrical electron beam, then the electron beam may be described with polar coordinates such that its radius is perpendicular to the direction of the electron beam. These two coordinate systems must be consistent for the integration.

2. Electron beam—As noted, the mathematical description of this is not known.

Nonetheless, the dependency of ion intensity is clearly indicated in Equation (28). The ion intensity is a function of the ionization volume, the electron beam flux, the vapor pressure in the cell, the temperature of the cell, the size of the orifice, the distance from the effusion orifice, and the ionization cross section. We can easily extract the KEMS equation (Eq. (1)) from Equation (28):

$$N_A^+(E) = \int_{\gamma} \int_{\sigma} \frac{W_\sigma(0') P_A}{\sqrt{2\pi M_A RT}} \left(\frac{1}{a^2} \sigma_A(E) \sqrt{\frac{\pi M_A}{8RT}} \right) ds dv$$

$$= \frac{k p_A \sigma_A(E)}{T}$$ (29)

Here $k$ is a constant incorporating all the factors in Equation (29).

The final stage in deriving Equation (1) is converting the rate of ion production to the measured ion intensity in the mass spectra. This involves extracting ions from the ion source, forming an ion beam, separating the beam according to mass-to-charge analysis, and measuring intensities. Therefore the measured ion intensity $I_A(E)$ depends on the ion-extraction efficiency $\varepsilon(x, y, z)$, the transmission probability of the mass analyzer $\tau_{ion}$ the detection coefficient $\gamma_A$, and the isotopic abundance $f_A$. The ion-extraction efficiency is a nonuniform function of the position in the ion source, which highlights the need for a consistent ionization volume to ensure that the ion beam accurately represents the rate of ion formation. The mutually perpendicular design of the ion source and small ionization volume ensure that the ions have similar starting positions and that their initial velocity in the ion-beam direction is negligible. Therefore, the transition probability should be identical for all ions:

$$I_A(E) = \frac{k p_A \sigma_A(E)}{T e(x,y,z) \tau_{ion} \gamma_A f_A}$$ (30)

Substitution of Equation (30) into (29) gives the KEMS equation:

$$I_A(E) = \frac{k p_A \sigma_A(E)}{T e(x,y,z) \tau_{ion} \gamma_A f_A}$$ (31)

Commonly, this equation is written in the following form:

$$p_A = \frac{k' I_A(E) T}{\sigma_A(E)}$$ (32)

where $k'$ is a constant relating ion intensity and temperature to pressure.

Much of this report has focused on our multiple-cell vapor-source flange and the use of an in situ standard. The proper use of a multiple-cell configuration requires that the molecular beam from different cells be sampled in a consistent manner. Consistent sampling is only possible if the shape of the ionization volume is constant and the same portion of the flux distributions of the molecular and electron beams intersect (i.e., the relative positions of the beams do not change).

Consistent sampling is achieved by introducing two fixed apertures (the field-aperture and the source-aperture) between the Knudsen-cell vapor source and the ion source, as discussed previously. These apertures fully define the shape and position of the molecular beam in the ion source. Also, the apertures limit the trajectory of molecules that can enter the ion source to a small, clearly defined solid angle, which removes the possibility of direct contamination of the molecular beam from adjacent effusion cells, revaporization flux from the shields, and general background. The ionization volume is then fixed by the stability of the potential surface in the ion source, which determines electron beam shape. Part of tuning the ion source, therefore, involves adjusting this potential surface to align the maximum flux portions of the electron and molecular beams. The final aspect is the consistent alignment of the effusion orifice with the fixed apertures so that the same portion of the effusate distribution from each effusion cell is selected by the molecular beam. It is helpful to consider the molecular beam to be independent of the molecular species it samples. Alignment of the cells is very important and is checked visually with a camera sighting the effusion orifice through the molecular beam apertures (Fig. 2) and through scans of ion intensities with cell position.

If the conditions of consistent ionization volume, a fixed electron beam, and consistent alignment of the cell orifice with the molecular beam-defining apertures are met, the integration over the electron beam and the molecular beam shape in Equation (29) will be constant from cell to cell. Provided that the cells are isothermal, for a comparison between different molecular beams, the ion ratio is given by

$$\frac{I_A(E)}{I_B(E)} = \frac{\sigma_A(E) p_A C_1}{\sigma_B(E) p_B C_2}$$ (33)

where $C_1$ and $C_2$ are constants relating only to variations in the flux distribution in the molecular beam because of differences in effusion orifice geometry. Since the true nature of the
electron and molecular beam flux distributions and the exact shape of the ionization volume are not known, absolute measurements cannot be made. However, consistent sampling allows accurate relative measurements. When different species coming from one cell are compared, the GFR for a nonideal effusate distribution is the same for both species and it cancels out.

From this discussion, the effect of orifice shape on the effusate distribution needs to be removed before the relationship between vapor pressure and sample composition and temperature can be studied accurately. The calibration of orifices is easily achieved with a multiple-cell configuration by putting the same material in different cells and comparing the rate or ion production at the one temperature. This calibration procedure is referred to as a GFR measurement:

\[
\text{GFR} = \frac{I_{A-\text{cell} \, I}(E)}{I_{A-\text{cell} \, II}(E)} = \frac{C_I}{C_{II}} \tag{34}
\]

**Measurement of Thermodynamic Properties of Metals and Alloys**

**Single-Cell Techniques for Pure Materials**

Most KEMS instruments use a single Knudsen-cell vapor source. A variety of techniques have been developed for measuring thermodynamic quantities with a single-cell configuration (Refs. 12 to 17). First consider a pure metal. The determination of the heat of vaporization \( \Delta_{vap} H^0(A) \) is one of the most common measurements in KEMS for

\[
A(s)A(g) \\
K_{eq} = p_A 
\]

This is done with two related methods (Ref. 12): the second-law method and the third-law method. The second-law method is based on the van’t Hoff equation:

\[
\frac{d\ln K_{eq}}{d(1/T)} = -\frac{\Delta_{vap} H_{Tm}^0(A)}{R} \tag{36}
\]

where \( K_{eq} \) is the equilibrium constant.

As indicated by Equation (32), \( p_A \) is proportional to \( I_A(E)T \). In most metals it has been found that the primary ionized species is \( A^+ \) and is representative of the vapor. Thus a plot of \( \ln(I_A(E)T) \) versus \( 1/T \) gives a linear plot with slope \( \Delta_{vap} H_{Tm}^0(A)/R \), where \( Tm \) is the average temperature of the measurement. Figure 9 is an example of such a plot for Au and Ni.

Equation (36) implies that \( \Delta_{vap} H^0(A) \) is independent of temperature, but given that the heat capacities of the condensed and gaseous phases are different, \( \Delta_{vap} H^0(A) \) is temperature dependent. This makes it difficult to compare measured

![Figure 9.—Example of Au and Ni heat of vaporization, \( \Delta H_v \), measurement; \( I_A(E) \), ion intensity in counts per second from \( A(g) \); \( E \), energy of ionizing electrons; and \( T \), absolute temperature.](image-url)

\[
\Delta H_v \text{ (Au)} = -345.5 \pm 0.3 \text{ kJ/mol} \\
\Delta H_v \text{ (Ni)} = -423.3 \pm 0.7 \text{ kJ/mol}
\]
data taken at different temperatures. It is better to report the enthalpy for the reaction at the standard temperature (298 K), which is done with the Σ’ method (Refs. 51, 83, and 84) using the free-energy functions (FEF298.15) for the condensed and vapor phases, which are known for the pure metals.

\[ \Delta\{(\text{FEF298.15})\} - R \ln(p_A) = P + Q/T \]  

(37)

where FEF298.15 = \((G_A^o - H_A^o\text{ FEF298.15})/T\) for each phase. If the left-hand side of Equation (37) is plotted versus 1/T, the slope (Q) gives \(\Delta_{\text{vap}}H_A\) at 298.15 K and the intercept \(P\) gives an estimate of instrument sensitivity factor for species A (Ref. 51). The third-law method is similar but requires the conversion of the measured ion intensities into absolute vapor pressures:

\[ T[\Delta\{(\text{FEF298.15})\} - R \ln(p_A)] = \Delta_{\text{vap}}H_A^o(298.15) \]  

(38)

Of course, free-energy functions referenced to zero kelvin can be used to derive a heat at zero. Basically the Gibbs free energy is calculated at a series of data points, and the entropy contribution is subtracted. This results in the heat of vaporization for each data point. The average is taken from all the data points, and this method can give a very accurate heat-of-vaporization measurement. However, as has been discussed, all the factors necessary to accurately convert ion intensities to vapor absolute pressures are not typically known.

### Activity Measurements

Thermodynamic activities \(a_A\) provide a measure of the reactivity of a component \((A)\) in a solution phase, designated here as \(\beta\), relative to a reference state indicated by the superscript \((\star)\). Activities are fundamental to understanding the thermodynamics of multiple-component systems (Refs. 8 to 10). Activity is defined as the ratio of a component’s fugacity (Ref. 8) in the solution to the reference state and also in terms of the change in Gibbs free energy for a mixing or solution reaction, which provides relative chemical potentials, \(\mu_A^\beta - \mu_A^\star\), \(\left(\mu_A^\beta = (\partial G^\beta/\partial N_A)_{T,P,n_{A\neq A}}\right)\), where \(N_i\) is the number of moles of \(A(g)\), as shown in Equation (39):

\[ \Delta_{\text{mix}}\mu_A^\beta = \mu_A^\beta - \mu_A^\star = RT \ln \frac{f_A}{f_A^\star} = RT \ln a_A \]  

(39)

The value of the activity depends on the choice of the reference state. The selection of a reference state is arbitrary, but it must be clearly defined and, ideally, something that can be routinely realized in an experiment (Refs. 9 to 10). A typical experimental reference state is the stable defect-free phase of the pure-element at the temperature of interest (Raoultian reference state). The mixing or solution reactions that represent the activity of components \(A\) and \(B\) in the binary solution phase \(A,B^\beta\) are shown in Equations (40a) and (40b) (Ref. 10). The reference states for pure \(A\) and \(B\) are the \(\delta\)-phase and \(\epsilon\)-phase, respectively. In these reactions, the amounts of \(A\) and \(B\) added are so small relative to the amount of \(A,B^\beta\) that the composition of the solution does not change:

\[ A^\delta + A_xB_y^\beta = A_{x+1}B_y^\beta \]  

(40a)

\[ \Delta_{\text{mix}} \mu_A^\beta = \mu_A^\beta - \mu_A^\star = RT \ln a_A \]

\[ A^\epsilon + A_xB_y^\beta = A_{x+1}B_y^\epsilon \]  

(40b)

\[ \Delta_{\text{mix}} \mu_B^\beta = \mu_B^\beta - \mu_B^\star = RT \ln a_B \]

Each partial mixing reaction is studied directly by comparing the vaporization behavior of \(A\) from the reference and solution-phase equations (Eqs. (41a) and (41b)). For pure \(A\) in the \(\delta\)-phase, \(a_A = 1\) and \(p_A = p_A^\delta\) by definition. At high temperatures and low pressures, the equilibrium vapor approaches ideal behavior and fugacity can be determined by measuring the partial pressure of a characteristic vapor species. The mixing reaction equation (Eq. (40a)) is obtained by subtracting the vaporization reactions (Eq. (41a) – (41b)), and the activity of component \(A\) in \(A,B^\beta\) is determined directly at \(T\) by comparing \(p_A\) in equilibrium with the solution and reference state (Eq. (2)).

\[ A^\delta = A(g) \]  

(41a)

\[ \Delta_{\text{vap}}G^\delta = -RT \ln p_A^\delta \]

\[ A_{x+1}B_y^\beta = A_xB_y^\beta + A(g) \]  

(41b)

\[ \Delta_{\text{vap}}G_A^\beta = -RT \ln p_A \]

\[ a_A = \frac{p_A}{p_A^\delta} \]  

(2)

If the composition and activity of all components in a system are measured, then the total Gibbs energy of mixing can be determined by Equation (42), where \(x_i\) is the mole fraction. Measuring the partial pressure of all components is not common, but in this case the temperature dependence of the logarithm of activity can be used to determine the partial molar heat of mixing as shown in Equation (43).

\[ \Delta_{\text{mix}} G^\beta = RT \sum_i x_i \ln a_i \]  

(42)

\[ \frac{d \ln(a_i)}{d(1/T)} = \frac{\Delta_{\text{mix}} \bar{H}_i^\beta}{R} \]  

(43)

where \(\Delta_{\text{mix}} \bar{H}_i^\beta\) is the partial molar heat of mixing.

If partial molar heat can be obtained for each of the alloy’s components, then the integral heat of mixing can be calculated.
and compared with that obtained from calorimetric measurements or other techniques (Ref. 43).

$$\Delta_{mix} H^\beta = \sum_i x_i \Delta_{mix} H_i^\beta$$  \hspace{1cm} (44)

**Single-Cell Techniques for Alloys**

Even though thermodynamic activity is a pressure ratio or relative measurement, a single-cell KEMS instrument can be used if absolute pressures can be determined and the instrument sensitivity does not vary from run to run. Constant sensitivities are difficult to achieve because of changes in cell position and the molecular and electron beam alignment in the ionizer, which all lead to changes in the ionization volume. Some investigators have a valve that isolates the ionizer in a highly rigid cell-holding system to minimize this problem (Refs. 85 and 86), but this may not be possible on all instruments. Several methods have been developed to overcome the problem of a nonconstant sensitivity factor in a single-cell configuration. The ion-current-ratio technique is widely applied, and several groups are associated with the development of this technique (Refs. 87 to 89), which is based on the Gibbs-Duhem relationship (Ref. 8). For a binary alloy,

$$x_A \ln a_A + x_B \ln a_B = 0$$  \hspace{1cm} (45a)

$$d \ln a_A = x_B d \ln \left(\frac{a_A}{a_B}\right) = x_B d \ln \left(\frac{I_A}{I_B}\right)$$  \hspace{1cm} (45b)

$$\ln a_A = - \int_{x_A=1}^{x_A=x_B} x_B d \ln \left(\frac{I_A}{I_B}\right)$$  \hspace{1cm} (45c)

$$\ln \gamma_A = - \int_{x_A=1}^{x_A=x_B} x_B d \left[ \ln \left(\frac{I_B}{I_A}\right) - \ln \left(\frac{x_B}{x_A}\right) \right]$$  \hspace{1cm} (45d)

Here, $x_i$ is the mole fraction of a component. Equation (45d) is equivalent to Equation (45c), where $\gamma_A$ is the activity coefficient of component $A$, which is given by $a_A/x_A$. This change is made because Equation (45d) is readily integrated graphically. Similar to Equation (43), the partial molar heats of mixing are obtained by the temperature dependence of activity or in terms of ion-current ratios as

$$\Delta_{mix} H_A^\beta = -R \int_{x_A=1}^{x_A=x_B} x_B \left[ \frac{d \ln (I_B/I_A)}{d(1/T)} \right]$$  \hspace{1cm} (46)

It is important to recognize that the ion-current technique requires that measurements are taken at a series of compositions starting from a “pure” component at one side of the system. Some representative measurements from our laboratory on Fe-Al alloys are given in Figure 10 (Ref. 90). This is a very valuable technique and has been used extensively with binary alloys and even some ternary alloys (Refs. 3, 4, 91, and 92).

The ion-current ratio or pressure ratio is a sensitive indicator of phase changes. The ratio represents the ratio of the slope

![Figure 10: Example of activity data for the Fe-Al system at 1573 K from the ion-current ratio method (Ref. 90).](image-url)
of the Gibbs energy surface from two corners of the system, which can change dramatically as the phase equilibria in the system change (Refs. 3 and 93):

\[ \ln \left( \frac{I_A}{I_B} \right) = \frac{\Delta_{vap} \bar{G}^G_{i} - \Delta_{vap} \bar{G}^G_{B}}{RT} + \tilde{C} \]  

(47)

Here \( \Delta_{vap} \bar{G}^G_{i} \) and \( \Delta_{vap} \bar{G}^G_{B} \) are the partial molar free energies of vaporization from a solution \( i \) of \( A \) and \( B \), respectively, and \( \tilde{C} \) is a constant. A linear slope for \( \ln \left( \frac{P_A}{P_B} \right) \) versus \( 1/T \) is obtained for a liquid solution over a narrow temperature range. When the liquidus is reached, crystals of the solid will precipitate. The partial molar quantities would change dramatically with composition, and hence the plot would no longer be linear. Such plots for a range of compositions can yield quite accurate solidus and liquidus lines. Nunoue and Kato (Ref. 94) have used this technique with the Fe-Ge system, and Copland has used this technique with the Ni-Al-O system (Ref. 95), as shown in Figures 11(a) and (b). Note the discontinuity in the activity ratios in Figure 11(a), which clearly establish the liquidus lines. The convergence at 1640 °C of five phases (not possible according to the phase rule) indicates the need to look in detail at this region. This convergence is shown in more detail in Figure 11(b), which indicates eutectic and peritectic points over a small temperature region. This phase change is further confirmed with the activity ratios of Ni-23Al and Ni-27Al, which show the three-phase field of \( \gamma + \beta + Al_2O_3 \) (Fig. 11(c)).

Another technique used to measure activity in alloys with a single-cell KEMS is the dimer-monomer method that was developed by Berkowitz and Chupka (Ref. 96). This requires a system that has a dimer-monomer equilibrium, which is not common:

\[ 2A(g) = A_2(g) \]  

(48)

The equilibrium ratio over the alloy is compared with that over the pure component \( A \), and the activity is given by

\[ a_A = \frac{I_{\text{Alloy}} A_2}{I_{A}^\text{Alloy} I_{A_2}^\text{Alloy}} \]  

(49)

Here \( I_{\text{Alloy}}^A \) and \( I_{\text{Alloy}}^{A_2} \) are the ion intensities of the monomer and dimer, respectively, for the alloy, and \( I_{A}^{\text{Alloy}} \) and \( I_{A_2}^{\text{Alloy}} \) are the ion intensities of the monomer and dimer, respectively, for the pure material. In situations where the monomer and dimer are measurable, this is a valuable technique for single-cell systems (Ref. 4).

![Figure 11](https://example.com/figure11.png)

Figure 11.—Measurement of phase boundaries in the Ni-Al-O system (from Ref. 95). Copyright Elsevier; used with permission. (a) Measurement of phase boundaries \( (\gamma, \beta, \gamma', \gamma' + \beta) \) using ion activities. (b) Focus on the region near 1640 °C. (c) Activity ratios of Ni-23Al and Ni-27Al showing phase boundaries.
Multiple-Cell Techniques—Direct Measurement of Activities

The critical need for a constant sensitivity factor is solved with a multiple-cell-configured KEMS, as shown in Figures 5 and 7(b). Critical conditions for this method are (1) a fixed ionization volume that allows consistent sampling of the molecular flux from all cells, (2) the ability to consistently sample a well-defined portion of the effusate distribution from each cell, (3) an isothermal furnace that allows sampling from all cells at one temperature, and (4) the ability to accurately measure the absolute temperature of the samples. Once these conditions are achieved, the multiple-cell configuration provides two independent methods for determining component
activities in alloy systems. The most general method is the direct comparison of the measured ion intensities \( I_s \) of a characteristic species \( A \) over the alloy and the pure component \( 5^\circ \) at a single temperature (Refs. 5, 13, 97, and 98). The activity of \( A \) \((a_A)\) is obtained from the following equation:

\[
a_A = \frac{p_A}{p_A^0} = \frac{I_A}{I_A^0} \cdot \frac{S_A^0}{S_A} = \frac{I_A}{I_A^0} \cdot \frac{C_{II}^0}{C_1} \tag{50}
\]

where \( S_A \) is the instrument coefficient that has been discussed. Since identical molecular species are compared, all factors associated with the ion-extraction and mass-sorting processes are constant, and provided that the ionization volume remains constant, the instrument coefficient ratio reduces to the difference in effusate distribution due to the variation in the shape of each orifice, which is represented by the GFR: \( C_{i}/C_{II} \) (Refs. 5, 13, 17, and 97 to 99). The GFRs are determined for each pair of cells in a separate run. A standard substance is placed in all cells, and provided that the cells are isothermal, the vapor pressures will be identical. This vapor pressure combined with the assumption of a constant ionization volume allows the GFRs to be determined by a comparison of the ion intensities for each pair of cells (Refs. 5, 17, 97, and 98), as discussed previously and described by Equation (34).

This method does not involve the determination of absolute pressures and requires no comparison with tabulated thermodynamic data, and therefore gives activities directly. An additional advantage is that the requirement for accurate absolute temperature measurement can be relaxed to some degree because all intensities are measured at a single temperature in an isothermal block (Ref. 5). Unfortunately, the high reactivity and high vapor pressures of pure components prohibit the use of direct measurements in some systems. The most important example is pure Al for Al-containing alloys. For these cases, a second method of determining activities is required.

The second method of determining activity is an indirect procedure that uses a secondary reference, for which \{Au(s,I) + graphite\} is ideal. In this method, activities are determined at each \( T \) by comparing the measured ratio, \( p_A/p_A^0 \) or \( I_A/I_A^0 \), to the accepted vaporization behavior \( p_A^{oo}/p_A^{oo} \) of \{Au(s,I) + graphite\} and the pure-element reference, Equation (51):

\[
a_A = \frac{p_A}{p_A^{oo}} = \frac{I_A/I_A^0}{S_A/S_A^0} \cdot \frac{C_{II}^0}{C_1} \cdot \frac{p_A^{oo}}{p_A^{oo}} \cdot \frac{S_A}{S_A^0} \tag{51}
\]

The ratio \( C_{i}/C_{II} \) is the GFR and accounts for variations in effusion orifices. GFRs are routinely measured to be 1.00 \( \pm \) 0.01 for a pair of cells of the same size and source apertures in place (Refs. 100 to 102). The \( S_A/S_A^0 \) term is an instrument sensitivity (or ionization cross-section) ratio that relates the secondary reference, \( p_A^{oo} \) of \{Au(s,I) + graphite\}, to the pure-element reference, \( p_A^{oo} \). These terms are determined in a separate experiment comparing the measured ratio of the vaporization behavior of \( A \) and the pure-element reference \( p_A^{oo}/p_A^{oo} \) of \{Au(s,I) + graphite\}, to the accepted vaporization behavior \( p_A^{oo}/p_A^{oo} \). The \( S_A/S_A^0 \) terms must be independent of \( T \); thus the accepted vaporization behavior for both must be correct and able to be measured routinely (Refs. 100 to 102).

\[
\frac{S_A}{S_A^0} = \frac{I_A^0}{I_A} \cdot \frac{C_{II}^0}{C_1} \cdot \frac{p_A^{oo}}{p_A^{oo}} \tag{52}
\]

Although it is more complex than the direct method (Eq. (50)), this procedure provides the following advantages: (1) multiple alloys can be measured in a single experiment (depending on the number of effusion cells in the furnace); (2) \( T \) measurements are calibrated with the Au melting in each experiment \( T_{mp}(Au) = 1337.33 \) K is a fixed point defining ITS-90 (Ref. 46); and (3) routine measurements of \( \Delta_c a H^0_{298.15}^{\gamma}(Au) \) by the second- and third-law methods provide a systematic accuracy check (Refs. 51 and 103). Examples of the successful use of this second indirect method of measuring activities are given for the Ni-Al-O system in Figures 11(a) and (c) and for the Ni-Al-Pt(-O) system in Figure 12 (Refs. 95 and 101). The data in Figure 12 show how maintaining a constant Ni/Al ratio and varying the Pt content affects the activities of Ni and Al (Refs. 100 and 102).

In addition to absolute activities, the multiple-cell KEMS technique allows relative activities to be determined directly by comparing the relative partial pressure of species in equilibrium with different samples, with compositions I and II, and in adjacent effusion cells in a single experiment, according to Equation (53a), where any difference in flux distribution of the molecular beams is again represented by the GFR. Relative activities are the most direct measure of any differences between the solution behavior and phase equilibrium of two samples. According to Equation (39), relative activities provide a direct measure of the difference in chemical potential between the two compositions, as in Equation (53b):

\[
a_A(I-II) = \frac{p_A(I)}{p_A^0(I)} \cdot \frac{C_{II}^0}{C_1} \tag{53a}
\]

\[
RT \ln a_A(I-II) = \mu_A(I) - \mu_A^0(II) \tag{53b}
\]

This method is particularly powerful for studying phase transformations when the compositions are chosen so that they are on different sides of a single phase. An example of this is shown in Figure 11(c), where the measurement compares Ni-23Al and Ni-27Al, on either side of \( \gamma'\)Ni3Al, in the \{\(\gamma' + \gamma + Al_2O_3\)\} and \{\(\gamma' + \beta + Al_2O_3\)\} three-phase fields. This result clearly shows that the \(\gamma'\)-Ni3Al phase decomposes prior to melting (Ref. 95).
Activity Calculation for Species That Cannot Be Measured Directly

The preceding discussion was based on the situation where an alloy component vaporizes or sublimes directly to characteristic species that can be measured easily. This situation is not the case in some reactive alloy systems because one component may not vaporize directly or its vapor pressure may be too low to measure. However, its activity can be calculated through an additional equilibrium between the components and easily measurable vapor species. Ti-Al-O is an important example of this type of system. Here interstitial O has a very high solubility in Ti-rich compositions, and O concentrations less than 10 at.% have O partial pressures $p_O$ lower than about $10^{-20}$ atm at 1500 K. Clearly, these low partial pressures are not measurable by KEMS. Fortunately, both Al($g$) and Al$_2$O($g$) are present with significant vapor pressures in this highly reducing system, and they are related by the reaction $2\text{Al}(g) + \text{O}(g) = \text{Al}_2\text{O}(g)$. This relation is used to calculate the $p_O$ in equilibrium with the atomic O in these alloys. The following equilibria can all be studied with a pure-Al($l$) + α-Al$_2$O$_3$ standard:

$$\text{Al}(s,l) = \text{Al}(g)$$

$K = p_{\text{Al}}^\alpha$ (54a)
\[
\frac{4}{3} \text{Al}(s,l) + \frac{1}{3} \alpha\text{-Al}_2\text{O}_3(s) = \text{Al}_2\text{O}(g)
\]
K = \(p_{\text{Al}_2\text{O}}^0\)

\[
2 \text{Al}(s,l) + 3\text{O}(g) = \alpha\text{-Al}_2\text{O}_3(s)
\]
K = \(\frac{1}{(p_{\text{Al}_2\text{O}}^0)^3}\)

The \{\text{Al} + \text{Al}_2\text{O}_3\} mixture allows the simultaneous determination of \(S_{\text{Au}}/S_{\text{Al}}\) and \(S_{\text{Au}}/S_{\text{Al}_2\text{O}}\) according to Equation (52), which allows \(a_{\text{Al}}\) and \(a_{\text{Al}_2\text{O}}\) to be determined above the alloy according to Equation (51). The activities of O and Al\(_2\)O\(_3\) in an \{alloy + oxide\} system can be determined provided that both \(p_{\text{Al}}\) and \(p_{\text{Al}_2\text{O}}\) can be measured according to the gas-condensed phase reactions, Equations (54d) and (54e), and that the chemical potential of Al\(_2\)O and Al\(_2\)O\(_3\) can be defined in terms of \(\mu_{\text{Al}}\) and \(\mu_0\) (i.e., \(\mu_{\text{Al}_2\text{O}} = 2\mu_{\text{Al}} + \mu_0\) and \(\mu_{\text{Al}_2\text{O}_3} = 2\mu_{\text{Al}} + 3\mu_0\)) (Ref. 9).

\[
2\text{Al}(g) + \text{O} = \text{Al}_2\text{O}(g)
\]
\[a_0^* = \frac{a_{\text{Al}_2\text{O}}}{a_{\text{Al}}^2}\]

\[
4\text{Al}(g) + \text{Al}_2\text{O}_3 = 3\text{Al}_2\text{O}(g)
\]
\[a_{\text{Al}_2\text{O}_3} = \frac{a_{\text{Al}_2\text{O}}^3}{a_{\text{Al}}^4}\]

The \{\text{Al} + \text{Al}_2\text{O}_3\} standard state defines a very stable reference state for O, and as a result, a measured \(a_0^*\) greater than unity is common (Refs. 100 and 101). An interesting problem encountered with this procedure is the large discrepancy with the published data for the reactions (Eqs. (54a) and (54d)). Clearly more accurate measurements of the vapor species in the Al-O system are needed (Refs. 38, 39, 95, and 100 to 102).

A similar situation exists for alloys where a component pressure is not measurable under the temperatures for measurable vapor pressures of other components. Examples are Hf in Ni-Al-Hf (Ref. 85), Cr in Mn-Cr (Ref. 104), and rare earth (RE) in Mg-RE alloys (Ref. 105). The latter study was based on a Knudsen cell without the use of a mass spectrometer. Nonetheless the approach is applicable to a mass spectrometric study. Depending on the alloy system, several approaches can be taken. In some cases the effect of controlled additions of the low-pressure component on a fixed ratio of the measured elements is the only required information (Refs. 100 and 102). Albers et al. (Ref. 85) and Zaitsev et al. (Ref. 104) did a Gibbs-Duhem integration to obtain the activities of the low-vapor-pressure component. Pahlman and Smith (Ref. 105) assumed Raoultian behavior in the terminal RE-Mg solution and moved across the phase diagram to derive the activity of the RE component in each two-phase region.

 Checks for Correct Operation and Consistency in Measurements

Consistency in Ion Intensity Measurements

The most basic check is the reproducibility of ion intensity \(I_a\) at each experimental measurement temperature. The typical cycle consists of taking six ion-intensity measurements from each effusion cell in turn and then repeating the process. Therefore, 12 measurements are taken for each cell at each experimental temperature. Provided that the furnace maintains a constant temperature, \(I_a\) remains consistent between the two measurements (typically within 1 percent) if the effusion orifice was consistently aligned with the fixed molecular beam (i.e., sampling the same portion of the effusate distribution). Orifice alignment is checked visually by sighting through the ion-source and field apertures. In addition to variations in temperature and effusate sampling, inconsistencies in \(I_a\) may be due to the kinetics of a phase transformation occurring in the effusion cell. Therefore, it is important to compare the behavior of all the measured ion intensities (assuming that all the phase changes of the in situ standard are known). If a similar variation is observed in both the in situ standard and alloy, then typically the furnace has changed temperature between measurements. If the furnace temperature and the in situ standard intensities are consistent but the alloy intensities vary, then a phase change may have occurred in the sample. The consistency of measured ion intensities is a check that equilibrium and/or steady-state conditions are achieved in the effusion cell.

As discussed at the beginning of this report, it is safe to assume that the vaporization coefficient of the common metals is 1. However, nonmetallic constituents of an alloy, such as phosphorous, may not have a vaporization coefficient of 1, and this needs to be considered (Ref. 106, personal communication).

Consistency in Vapor Sampling and Temperature Measurement

A good real-time check for the consistent sampling of vapor pressure and temperature measurements is the straight-line correlation of the raw data in a \(ln I_a T\) versus 1/T plot. This works best with the in situ standard because all the phase changes are clearly known. A correlation that is clearly a straight line with a resultant heat of \(\pm 1\) kJ/mol or less indicates that there have been no systematic errors in either sampling the vapor pressure or measuring the temperature, as shown in Figure 9. That is, the electron beam and the electric fields have remained constant in the ion source, neither the cell orifice nor the effusate aperture have clogged, there have been no discontinuities in the temperature measurements, the electron multiplier operation has remained stable, and the effusate distribution is being consistently sampled by aligning the orifice with the molecular beam. Provided that there is a clear straight-line
correlation for the in situ standard, any subsequent error observed for \( \Delta_{\text{vap}}H^\circ \) is probably due to a systematic error in the measurement of absolute temperature.

**Accuracy of Absolute Temperature Measurement**

The most fundamental check for the accuracy of absolute temperature is to measure a range of thermodynamic invariant points that define the ITS–90 (Ref. 46). In our case the most applicable are the triple points of Ag, Au, and Cu. For a unary system (or a eutectic or peritectic point in the binary system when the graphite container is included), this is an invariant point (zero degrees of freedom) that is clearly identified as a plateau in the measured ion intensity of a characteristic vapor species on heating through the triple point. Changes in the slope of the ion intensity versus temperature curves, corresponding to the start and finish of melting, are also clearly observed, as shown in Figure 6. These measurements can only be made on heating because the sample volume is small and the temperature measuring device is not embedded in the sample. A slower heating rate leads to more accurate measurements. A measurement of the Au invariant reaction is made using the in situ standard for every alloy experiment (Ref. 51). This is also suited to measurements made with a pyrometer since this is the definition of temperature above the melting points of either Ag, Au, or Cu. Temperature measurements made with thermocouples are more susceptible to errors because of contamination and the need for calibration with a series of fixed points that span the whole range of measured temperatures. The assumption of a constant temperature offset over an extended temperature range cannot be made for thermocouples.

The measured enthalpy of vaporization \( \Delta_{\text{vap}}H^\circ \) of the in situ standard is an excellent check of the accuracy of the temperature-pressure measurements (Ref. 51). If the difference between the measured and accepted value for \( \Delta_{\text{vap}}H^\circ \) is within the experimental error, then the temperature-pressure measurements can be assumed to be accurate. In addition, it is useful to check for variation of the sensitivity factor \( S_\lambda \) with temperature, according to Equation (1), with a third-law-type method.

**Geometry Factor Ratio**

A major aspect of all multiple-cell measurements is the relative difference in the effusate distribution due to variations in cell orifice shape, represented by the GFR. This needs to be measured for each set of effusion cells in a complementary run with a standard substance in each cell. Typically these runs are done between activity measurement runs. This is a good opportunity to systematically check the isothermal nature of the effusion cells, as discussed later. With suitable field and source apertures, the source of the molecular beam lies fully within the cross section of the effusion orifice. In this case the flux distribution in the selected molecular beam is effectively independent of the variation in the effusion orifice shape.

**Isothermal Effusion Cells**

The condition that the cell or cells be isothermal is a fundamental requirement of both single-cell and multiple-cell configurations that should be continually checked. This check can be done in three ways, depending on the methods used to measure the temperature. If thermocouples are used on each cell, then an isothermal condition can be verified at the invariant point of the in situ standard by observing the onset of melting, as an arrest point in a plot of \( T \) versus time, at the same time in all cells during a slow ramp. If a pyrometer is used, an isothermal condition can be verified by repeatedly measuring the temperatures from all cells, which should be the same. The third method involves comparing the measured enthalpy of sublimation \( \Delta_{\text{vap}}H^\circ \) of the in situ standard with the ion intensities taken from all cells but with the temperature measurements from one cell. If the cells are isothermal, all enthalpies will be identical within the experimental error. This last method can be used with either thermocouples or a pyrometer.

**Importance of Constant Electron Energy**

Previous sections have highlighted the importance of defining an appropriate electron energy and reproducing this for all experiments when an in situ standard is used in activity measurements. A suitable electron energy is identified by considering the ionization-efficiency curve for all species and finding an energy that gives the maximum ion intensities without fragmentation of complex molecules. Because the shape of the IE curve of each species is different, any variation in electron energy will result in a change in the relative ion intensities that is unrelated to either alloy composition or temperature. Therefore, the electron energy must remain constant between runs. This is best achieved by defining the experimental electron energy relative to the AP and/or maximum intensity of the in situ standard, as seen in Figure 8. This removes any calibration errors in the indicated electron energy.

**Measurement Procedures**

This section reviews some practical procedures that outline an experimental run. The major points of the measurement procedure are presented in the following lists: (1) sample exchange, (2) instrument configuration, and (3) taking measurements. Since there are many types of KEMS instruments and cell configurations, all of which can generate excellent data, a detailed universal operating procedure for alloy measurements cannot be written. Rather we list some general points for the correct operation of a KEMS instrument. These lists should apply to single-cell or multiple-cell configurations and
any type of mass analyzer. Thus, it is by no means all-inclusive.

**Sample Exchange**

The procedure for exchanging samples follows:

- Have a clean set of effusion cells and fresh samples ready to load. The least damaging method of cleaning effusion cells is a high-temperature bake-out. For some ceramic cells, an aqua regia (HNO₃:HCl-1:3) soak prior to bake-out will help remove bulk quantities of residual metals without damaging the cell.
- Weigh the metal or alloy, and load the cell about one-half full.
- Close the high-vacuum valves, and vent the chamber slowly. We use a leak valve for venting.
- Unbolt and remove the Knudsen-cell flange. It is convenient to have a flange lift or elevator so that the flange does not need to be carried.
- Check that the orifices of the cell(s) were not obstructed by the holder or heat shields during the previous run.
- Check the alignment of each cell orifice with a fictitious molecular beam prior to removing the heat shields and effusion cells. This is done by installing an alignment fixture that contains a laser pointer mounted in the center, which represents the molecular beam. This fixture remains in place until the new cells have been loaded and the cell positions have been checked.
- Clean the condensed vapor from the bottom of the plate separating the furnace and ion-source chambers, and check the aperture for clogging.
- Take great care to avoid damaging the heating element when removing and replacing the heat shields, cell holder, and effusion cell(s).
- Load the cell(s) into the cell holder, ensuring that all orifices are unobstructed.
- Check the alignment of each orifice with the fictitious molecular beam; physically set the center position, and adjust the designated position of each cell in the stage-control window as required.
- Reinstall the heat shields at the standard height, and recheck for any obstruction of the orifices. Remove the alignment fixture.
- Move the cell and cell holder into the appropriate position.
- Raise the flange into position, and bolt it in place.
- To ensure that the cells do not move, pump down the chamber slowly. We pump through a leak valve with an oil-free pump, taking about 2 hr to pump from atmospheric pressure to 5 torr. Below 5 torr, we close the leak valve and open the high-vacuum valve for the furnace chamber to continue pumping with a turbomolecular pump.
- Pump down the chamber overnight until the base pressures are reached.

**Instrument Setup and Configuration**

The procedure for setting up and configuring the instruments follows:

- Start heating about 12 hr before beginning the experiment. Use a slow heating rate to reach a temperature well below that of the experiment. This procedure will create a well-baked-out system.
- Begin experiments in the middle of the temperature range of interest. This will give an adequate signal for tuning and will allow clear observation of the cell orifice (if the system is so configured).
- Make sure that the cell is centered visually and is positioned for maximum signal.
- If using a pyrometer, check that there are no obstructions and that a reasonable temperature is measured.
- Check the electron energy calibration of the ion source by measuring the ionization-efficiency curve and determining the AP of several important vapor species. Set the electron energy for the current run relative to the measured APs. This will ensure that all experiments will take place at an identical region of the ionization-efficiency curves of the sample and reference materials and will allow direct comparison of the results from different runs.
- Tune the ion source to give the maximum ion intensity. It is important to note that this is also a function of the alignment of the effusion orifice with the molecular beam, and the position of the orifice at the maximum intensity should be recorded. The effusion orifices of the cell(s) must always be moved to this position when taking data. Generally, broad tuning maxima are preferred.
- Record the furnace temperature $T$, voltage $V$, and current $I$ at each experimental temperature. Plot $T$ versus $VI$ and $T$ versus $V$, and compare the results with those of previous runs. Update the furnace-control function before the next experiment if significant variation is observed. (Such variations typically only occur when there has been a physical change in the furnace, and it is important to identify the cause of any observed changes.)
- Measure the melting point of a reference material (typically Au) in each experimental run. This is used for the thermocouple or pyrometer calibration and instrument sensitivity determination.

**Taking Measurements**

These procedures depend on the type of measurement being taken (e.g., multiple cell, ion-current ratio, or monomer-dimer equilibria). However, we can identify these general procedures:

- Prior to starting an experimental run, identify a temperature range and determine a random sequence of equally spaced measurement temperatures that span the entire
temperature range. This will help eliminate the natural tendency to take a series of measurements with a continuously ramping temperature.

- The involved nature of these requirements means that the maximum number of data points need to be taken in each experimental run. Therefore, a typical run should involve at least 14 temperatures, which will take at least 40 hr over 3 days.

- The following considerations apply to a multiple-cell measurement:
  - A relative measurement of the effusate distribution of each pair of cells (GFR) is needed.
  - An electron-energy calibration of the ion source is needed.
  - A known ionization cross-section ratio at a defined electron energy is needed.
  - Accurate absolute temperature measurements are essential.
  - An instrument sensitivity coefficient $S_A$ for the in situ reference material is necessary.
  - The cells must always be in the same position. For a given ion-source tuning, the effusion orifice of each cell must be returned to the same alignment used in the tuning procedure. This ensures that the same portion of the effusate distribution is sampled by the electron beam in each measurement. This must be visually checked for each measurement.
  - To ensure that the vapor from all cells is sampled isothermally, it is essential to continually monitor the temperature of the furnace and the current supplied to the furnace with time.
  - Typically it takes 40 to 60 min for the furnace to reach a steady state after the voltage is changed. The time to reach a steady state appears to be a function of the magnitude of the voltage change (whether the change is heating or cooling) and the temperature of the furnace. An extended flat region (for more than 5 min) in the temperature-versus-time chart indicates a steady state, and this should always be used to determine when to start sampling the vapor pressures.
  - In addition to comparing the measurements taken at a uniform temperature, using a plot of $\ln I / T$ versus $1 / T$ is very useful for determining the quality of the measurements. This works best with a pure reference material ($A$) because any phase changes are known. If there is a strong straight-line correlation (typically $R^2 > 0.995$), it can be assumed that there have been no physical changes associated with the vapor sampling processes: that is,
    - The effusate distribution has been consistently sampled.
    - The ion source (electron beam and ion accelerator tuning) has remained stable, and there has been a consistent ionization volume.
    - There have been no changes to the cell orifice during the experiment.
    - There are no obstructions to the molecular beam.
  - The electron multiplier and detector have remained stable.
  - If the preceding conditions are met, it is valid to assume that any subsequent difference observed between the measured $\Delta H^\circ$ and the tabulated value for the pure reference material is solely due to an error in the measurement of the absolute temperature.
  - Any thermodynamic measurement must be repeated at least once. The initial run should consist of evenly spaced data points over the widest possible temperature range. Regions of interest should be identified from this initial run. The subsequent measurements should be spaced between the data from the previous runs but should also focus on regions of interest.

**Future Directions**

In many ways, the application of KEMS to alloys is only in its infancy. As indicated, this technique offers great potential for accurate thermodynamic measurements on many alloys. There are many refinements possible, particularly with current advances in instrumentation and data acquisition.

We have devoted a large section to the derivation of the KEMS equation, relating ion intensity to vapor pressure. As noted, it would be beneficial to understand some of the variables in this relationship further. In particular, an independent measurement of the total vapor flux would be helpful. This could be accomplished with an in situ weight-loss measurement or target collection system. Improved molecular beam definition could include better positioning of the cell, with more precise $x$, $y$, and $z$ coordinates.

In regard to the ionization process, an electron gun with a narrow spread of electron energy would define APs better. Although the problem of ionization cross sections can be partially circumvented in a multiple-cell system, better measurements and/or calculations of absolute cross sections for atoms and molecules would be helpful. Currently, the lower limit of measurement with a KEMS apparatus is $10^{-10}$ to $10^{-11}$ atm, and it may be possible to extend this lower limit with more efficient ionization processes.

In regard to the Knudsen-cell vapor source, improvement in micromachining techniques may give a more uniform orifice and the measured GFR may be closer to 1. An intriguing idea explored by only a few investigators is the electrochemical Knudsen cell (Refs. 107 and 108). In such a case, it should be possible to fix the activity of one component or follow changes in stoichiometry as the contents of the cell vaporize over long periods (Ref. 16).

Finally, data acquisition in mass spectrometry has come a very long way. Although the assignment of parent neutral molecules to ions for inorganic vapors over alloy systems is probably best done with operator judgment (utilizing the mass number, isotopic fingerprint, and ionization-efficiency curve appearance), the actual acquisition and processing of thermodynamic data is best done with a computer. Some single-cell systems are fully automated; our multiple-cell system is
partially automated. In principle, a multiple-cell system could be fully automated as well. The use of computers for acquiring and manipulating data will undoubtedly continue to be a growing area.

**Summary and Conclusions**

KEMS is a tremendously powerful technique for obtaining thermodynamic data on metals and alloys. Such information as heats of vaporization, thermodynamic activities of components, partial and integral molar heats of mixing, and phase transformation temperatures can be obtained with a high degree of accuracy. General experimental aspects of the technique have been discussed with particular emphasis on the Knudsen-cell vapor source. Critical considerations with the Knudsen cell include geometry, orifice size and shape, resultant effusate distribution, uniform heating, and temperature measurement. In particular, temperature measurement is one of the most important aspects of KEMS. It is also critical that the effusate from the cell form a well-defined molecular beam into the mass spectrometer. The basic aspects of a mass spectrometer, as required for these measurements, were discussed in this report. Generally, instruments with a magnetic ion sorter and ion-counting detection are favored to avoid mass-discrimination effects. A detailed derivation of the KEMS equation, which relates partial pressure in the cell to ion intensity, also was provided.

Both single-Knudsen-cell and multiple-Knudsen-cell systems can be used for thermodynamic measurements of metals and alloys. The critical consideration is the sensitivity constant \( S_A \) from Equation (1):

\[
p_A = \frac{I_A T}{S_A}
\]

where for a gaseous species \( A \) that forms an ion \( A^+ \), Equation (1) gives the relationship between \( A \)'s partial pressure \( p_A \) in the effusion cell and the measured intensity in the ion beam \( I_A \) and absolute temperature \( T \). The constant \( S_A \) is derived from the instrument configuration and the ionization process.

Some single-cell systems have been designed for a constant sensitivity factor. Other methods to circumvent this problem include the ion-current ratio method and the dimer-monomer method. The ion-current ratio is based on a Gibbs-Duhem integration and has been used extensively for alloys with similar vapor pressures. The multiple-cell technique offers great flexibility for studying the thermodynamics of different alloy systems. Thermodynamic quantities can be obtained by either direct comparison with a standard for the component in question or with a secondary standard. Internal checks for correct instrument operation and some general procedural guidelines were discussed for both single- and multiple-cell systems. These include guidelines on sample exchange, instrument setup, and actual measurements. Finally, some general comments were provided about future directions for measurements of alloy thermodynamics with the KEMS technique.

Glenn Research Center
National Aeronautics and Space Administration
Cleveland, Ohio, October 6, 2010
Appendix A.—Symbols

\( A, B \) general designations for alloy components
\( A^+ \) ion of alloy element \( A \)
\( A, B, \beta \) general composition of alloy \( AB \) in phase \( \beta \)
\( \text{AP}_{AB} \) appearance potential of gaseous molecule \( AB \)
\( a \) distance between two disks; distance from cell orifice to ionization region
\( a_A \) thermodynamic activity of component \( A \)
\( \bar{a}_i \) constants in conversion of voltage to temperature
\( \bar{B} \) magnetic field strength
\( C \) cross-sectional area of orifice of Knudsen cell
\( C_i, C_{i1} \) combined constants involved in the interaction between nonuniform molecular beams and electron beams inside the ion sources for “identical” cells
\( \bar{C} \) general constant in deriving ion current ratio dependence on phase changes
\( c_2 \) second radiation constant
\( \bar{c}_i \) constants in conversion of field to digital-to-analog controller (DAC) number
\( \bar{\tau}_A \) average molecular speed of atom \( A \)
\( D \) surface area of bottom of Knudsen cell
\( D_{AB} \) dissociation energy of gaseous molecule \( AB \)
\( d \) diameter of molecule
\( \bar{d}_i \) constants in conversion of mass to digital-to-analog controller (DAC) number
\( E \) kinetic energy of ionizing electrons
\( \Sigma E \) sum of excitation levels of gaseous molecule \( AB \)
\( E_c \) threshold energy below which no ionization occurs
\( e \) effective emissivity
\( e^- \) ionizing electron
\( F(r_1, r_2, a) \) fraction of molecules that leave radiating disk and arrive at receiving disk
\( \text{FEF}_{298} \) free-energy function referenced to 298 K
\( f_A \) isotopic abundance
\( G_T \) Gibbs free energy at temperature \( T \)
\( \text{GFR} \) geometry factor ratio
\( (g) \) gaseous state
\( H_{298}^\circ \) enthalpy at 298 K
\( \bar{I} \) detector current in pyrometer
\( I_A \) ion intensity in counts per second from \( A(g) \)
\( r^\text{alloy}_{A}, r^\text{alloy}_{A_2} \) ion intensities for the monomer and dimer of the alloy
\( I_A^\prime \) ion intensity of \( A \) over pure component
\( I_c(E) \) current of impacting electrons
\( II_A^\prime(E) \) ion current immediately after ionization and before extraction
\( \text{IP}_{AB} \) ionization potential of gaseous molecule \( AB \)
\( j_A \) flux of \( A \) (mole/unit time-unit area)
\( j^E_\lambda \) flux \( j_A \) related to \( A \)'s equilibrium vapor pressure in a closed container
\( j^F \) incident flux on a surface
\( \bar{J}_1 \) reference junction
\( \bar{J}_2 \) measuring junction
\( J_1 \) to \( J_7 \) focusing plates in ionizer
\( j_i(\theta) \) flux of molecules leaving an orifice at angle \( \theta \)
\( j_\ell \) flux of \( A \) effusion from the effusion cell (mole/unit time-unit area)
\( K \) constant for relating multiplier gain to mass number
\( \text{Kn} \) Knudsen number
\( K_{eq} \) equilibrium constant
\( K_T \) isotopic abundance
\( k \) constant relating ion flux to pressure
\( k' \) constant relating ion intensity and temperature to pressure
\( L_\lambda(T_{90}) \) radiance of blackbody at wavelength \( \lambda \) and absolute temperature \( (T_{90}) \)
\( L_\lambda(T_{90}(X)) \) radiance of blackbody at wavelength \( \lambda \) and a fixed temperature point, where \( X \) is a fixed point material such as Ag (1234.93 K), Au (1337.3 K), or Cu (1357.77 K)
\( (l) \) liquid state
\( l_c \) collision path of electrons through the vapor
\( M \) mass number
\( M + \Delta M \) mass number of peak adjacent to peak with mass number \( M \)
\( m \) mass of ion
\( \Delta_{mix} \bar{G}^\beta \) partial molar free energy of mixing
\( \Delta_{mix} \bar{H}^\beta \) partial molar heat of mixing
$N_d$ moles of $A$

$N_d^*(E)$ total number of ions produced in the ion source per unit time

$n_d^*(E)$ density of ions of $A$

$n_d$ density of $A(g)$

$P, Q$ fitting parameters

$p_d$ partial pressure of $A$ over alloy

$p_d^E$ equilibrium partial pressure of $A$ in a closed container

$p_A'$ partial pressure of $A$ over pure component

$p_m$ measured vapor pressure

$q$ charge of ion

$R$ gas constant

$Res$ resolution of mass spectrometer

$R_m$ measured count rate

$R_I$ true count rate

$\tilde{R}$ value of precision resistor in detector

$r_d$ radius of deflection

$r_1$ radius of radiating disk

$r_2$ radius of receiving disk

$S_A$ sensitivity factor

$ds$ element of surface perpendicular to molecular beam

$(s)$ solid state

$T$ absolute temperature

$T_{j1}$ temperature at reference junction

$T_{j2}$ temperature at measuring junction

$T_m$ average temperature of measurement

$T_{mp}$ temperature at melting point

$T_{90}$ absolute temperature (based on ITS–90)

$\tilde{T}$ angular distribution of real orifice relative to ideal cosine distribution

$t$ time

$V$ accelerating voltage of ion source

$V_A$ voltage for signal from ion current of $A$

$V_1$ voltage generated at a reference junction

$V_2$ voltage generated at a measuring junction

$\tilde{V}$ volume

$\Delta_v G_B$ partial molar free energies of vaporization from a solution $i$ of $A$ and $B$

$\Delta_v H^o (A)$ heat of vaporization of $A$

$\Delta_v H_T^o$ heat of enthalpy at temperature $T$

$W_C$ Clasing factor

$W_C(\theta')$ Clasing factor for limited angle from normal defined by the field and source apertures

$x, y, z$ coordinates

$x_A, x_B$ mole fractions of $A$ and $B$

$\alpha$ Seebeck coefficient

$\alpha_v$ vaporization coefficient

$\alpha'_v$ vacuum vaporization coefficient

$\beta$ solution phase of alloy $AB$

$\gamma$ multiplier gain

$\gamma_A$ detection coefficient

$\delta$ phase of $A$ in the reference state

$\epsilon$ phase of $B$ in the reference state

$\varepsilon(x, y, z)$ extraction efficiency of ion source

$\theta$ angle to the normal from a surface

$\lambda$ wavelength

$\overline{\lambda}$ mean free path

$\mu_A^\beta, \mu_A^\epsilon$ relative chemical potentials

$\nu$ elementary volume

$\rho_A$ density of gas in ionizing region

$\sigma_A(E)$ ionization cross section

$\tau$ dead time

$\tau_{ion}$ transmission efficiency of ion source

$\Delta\omega$ element of solid angle $\omega$
Appendix B.—SIMION 8 Model of the Ion Source and Analyzer

The ion source in our instrument was provided by the manufacturer (Nuclide/PATCO/MAAS Cross Axis Ionizer) and fitted with additional apertures. Figure 13 is a drawing of the ionizer “box” with the added apertures; Figure 14 is a drawing of the entire ionizer.

It is useful to have a qualitative image of the shape of the electron and ion beams in this ion source and ion extraction/focusing region. This will aid in tuning the ion source and identifying any possible problems in ion-beam definition. SIMION Version 8.0 (Scientific Instrument Services, Inc., Ref. 109) was used to model the electrostatic potential surfaces in both the ionizer and ion-focusing region. This important tool allowed a systematic qualitative study of how varying electrode potentials affect, in theory, the focusing and deflection of the electron and ions beams. The intent was to answer these questions about the ionizer and ion-focusing region:

1. What ion-source variables affect the path of the electron beam?
2. What range of deflection is expected (within usual ion-source settings), and will this result in changes to the interaction volume?
3. How does varying each electrode potential in the ion-focusing plates affect the ion beam?
4. Does the spatial range of ion generation within the ion source affect an ion’s transport through the ion-focusing plates?
5. In theory, what are the best ion-focusing settings?

Modeling involved constructing an array of electrode and non-electrode points in a three-dimensional space inside the SIMION 8.0 program. The success of such a model is predominately based on how accurately its dimensions and potentials match reality. Figure 15 shows the model of the ion source and ion accelerator with all parts identified. The ion accelerator is operated at 10,000 V. The actual electronic circuit allows the range of voltages in Table III:

<table>
<thead>
<tr>
<th>TABLE III.—RANGE OF POTENTIAL SETTINGS FOR ION SOURCE AND ION ACCELERATOR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Electron energy ( ^a ) ........................................... 10,000 + ( E )</td>
</tr>
<tr>
<td>Repeller, V .......................................................... 10,000 + (0 to 50)</td>
</tr>
<tr>
<td>Trap, V .......................................................... 10,000 + (0 to 100)</td>
</tr>
<tr>
<td>J1, V .................................................. 10,000 + (0 to 100)</td>
</tr>
<tr>
<td>J2, V .................................................. 9540 to 10,000</td>
</tr>
<tr>
<td>J3, V .................................................. 9082 to 10,000</td>
</tr>
<tr>
<td>J4, V .................................................. 9082 to 10,000</td>
</tr>
<tr>
<td>J4(a-b), V .................................................. 6582 to 9400</td>
</tr>
<tr>
<td>J5 .......................................................... Ground</td>
</tr>
<tr>
<td>J6, V .................................................. 115 to 120</td>
</tr>
<tr>
<td>J7, V .................................................. 115 to 120</td>
</tr>
<tr>
<td>Exit slit .................................................. Ground</td>
</tr>
</tbody>
</table>

\( ^a \) \( E \), kinetic energy of electrons.

Figure 13.—Electron impact ionizer with added apertures.
Figure 14.—Ionizer and ion-extraction and focusing plates.

Figure 15.—SIMION 8.0 model of ionizer and focusing plates.
The potential of J3 is a function of J2. The potential of both parts of J4 is also a function of J2 but is independent of J3. The potentials identified for the split plates, J4(a-b), J6, and J7 are the sum of both halves.

To answer the first two questions, only the ion source was considered and the potentials of the trap, J2, filament, and repeller were systematically varied from their typical range for a J1 potential of 10 kV. The “electrons” flown in these simulations were arbitrarily assumed to have a constant initial kinetic energy of 0.1 eV (due to thermal emission) with a trajectory toward the ionization chamber. The starting position spanned the width of the W filament (2 mm) where maximum electron emission was expected. The variables in order of decreasing effect on the electron beam trajectory (for any given electron energy) are the repeller voltage, the J2 voltage, and the trap voltage. The trap voltage has no apparent effect on the electron trajectory inside the chamber, but as its name suggests, it stops reflected electrons from reentering the chamber and should be set at a higher voltage (positive relative to J1) than the electron energy (negative relative to J1). Typically our trap was set to 40 V. The purpose of the repeller is to aid ion extraction from the source, but it must be balanced against its effect on the electron beam. The repeller voltage (positive relative to J1) always has the largest effect in attracting the electron beam to the repeller plate. This effect decreases with increasing electron energy, and typically no major effect is observed for repeller voltages less than 2 V. (Typically operation is less than 1 V.) J2 affects the electron beam trajectory by leaking the accelerator potential field into the ionization chamber through the ion exit slit. This field leakage (negative relative to J1) also forces the beam toward the repeller plate. The expected electron-beam shape for low-energy electrons (electron, 4 eV; repeller, 2 V; J2, 9600 V; and trap, 40 V) is shown in Figure 16, and a portion of the molecular beam is clearly missed by the ionizing electrons. However, this is not a great problem because it occurs below 5 eV, which is less than the typical ionization potential of the species being examined and is below the energy required for emission control of the electron gun.

The shape of the electron beam for typical operating conditions (electron, 25 eV; repeller, 1 V; J2, 9600 V; and trap, 40 V) together with the expected position on the molecular beam is shown on Figure 17, and little change is observed as the electron energy is increased to 70 eV. As shown in this figure, the electron beam flux is nonuniform and its width is close to that of the molecular beam, highlighting the requirements of a consistent ionization volume and fixed relationship between the beams. In addition, a large portion of the electron beam is attenuated by the apertures of the ionization chamber, which agrees with the pattern of deposits (“electron dirt”),
observed on the ion source after operation. Clearly an electron gun design with an electrostatic lens system that confines the electron beam and allows accurate beam steering (Ref. 110) would greatly improve the rate of ion production and the accuracy of these measurements. Note also that the ion source and accelerator should be shielded from all magnetic fields to ensure that there is no mass discrimination.

The ion beam must accurately sample the ions produced in the source and have the highest possible flux at the detector. This requires the highest possible ion extraction and the formation of a nondivergent beam that has the highest transmission through the fixed ground potential slits at J5 and the beam-defining exit slit. To model the ion beam, we considered particles with a single atomic charge with a range of atomic weights. The ions were created at a range of positions that fully spanned the ionization volume and were assumed to have no initial kinetic energy. As expected, no variation was observed in the shape of the ion beam with atomic weight, indicating no mass discrimination. Ions corresponding to Au⁺ (196.97 amu) were used in Figures 18 to 20, which show representative ion-beam shapes.

The repeller voltage and the field induced by J2 are the only factors involved in ion extraction. The best ion-beam shape occurs with the repeller voltage set to zero. Increasing the repeller voltage increases the beam width and results in attenuation at the exit slit and J5. The model indicates that the repeller should always be set at less than 1 V. The field induced by J2 is the most useful force for ion extraction, and J2 should always be set at the lowest voltage (9540 V). The model also suggests that all produced ions are successfully extracted, and therefore the ion beam would accurately represent the ionization volume.

The best beam shape appears to be characterized by the formation of two focal points, as shown in Figures 19 and 20. The first in the extraction slit in J1 and the second in the region of J2. The relative potentials of J3 and J4 determine the final shape of the ion beam. There appears to be two configurations that produce a nondivergent beam: (1) J4 set at its lowest (6582 V) and J3 set about 200 V lower than J2 (~9400 V) and (2) J4 set at the midrange (~8000 V) and J3 set to the minimum (9082 V). J4(a-b) provides the field to steer the beam through the aperture in J5. When J5 reaches ground potential, the shape of the beam is fixed. Therefore, it is important to adjust J4(a-b) before and after other potentials are changed to ensure that the beam is always centered on J5. Following J5, limited steering of the beam is provided by changing the potentials of J6(y) and J7(z), which allows the beam to be centered on the exit slit. As with J4(a-b), J6 and J7 should be adjusted before and after any changes are made to the repeller, J2, J3, and J4.

Figure 18.—Poor ion-beam shape. A large portion of the ions are lost by not passing through the ion source exit slit. Repeller, 10 005 V; J2, 9540 V; J3, 9390 V; J4, 8500 V.
Figure 19.—Ideal thin, nondivergent ion-beam shape. Repeller, 10 000 V; J2, 9560 V; J3, 9390 V; J4, 6500 V.

Figure 20.—Ideal thin, nondivergent ion-beam shape. Repeller, 10 001 V; J2, 9540 V; J3, 9090 V; J4, 8000 V.
Appendix C.—Instrument-Control and Data-Acquisition System

There are many approaches to controlling a KEMS instrument and acquiring data (Refs. 111 to 114). Our instrument-control and data-acquisition system for KEMS was written in Delphi (Ref. 115) by Judith Auping of the NASA Glenn Research Center. Most of the functions connect to the computer via a General Purpose Interface Bus (GPIB), which allows a number of devices to be integrated and “daisy chained” together. A National Instruments NI–488.2 card is used together with a Dell Duo-Core Desktop Computer. The following devices are controlled:

1. Magnet: The magnet current controls the magnetic field strength for sorting the mass-to-charge ratio—GPIB interface.
2. Electron energy selector: The selector controls the energy of ionizing electrons to determine appearance potential curves—GPIB interface.
3. Furnace: The fixed and ramp voltage are set to control the temperature of the Knudsen-cell furnace—GPIB interface.
4. Ion counter: The output of the ion counter is monitored with the magnetic field strength to measure ion intensity—GPIB interface.
5. Pyrometer: The output of the nano-ammeter attached to the pyrometer is read, and the temperature is calculated—GPIB interface.
6. Thermocouple: The thermocouple connected to the Agilent 34970A data acquisition/switch unit with the GPIB interface is read.
7. Stepper motors: The stepper motors on the x-y table are controlled to support the Knudsen-cell furnace and molecular beam shutter. These move the furnace and cells so that the molecular beam is sampled from different effusion cells. Thus, the shutter allows analysis of the molecular beam source. The controllers for these motors are “daisy chained” together via an RS485 interface.
8. Electrometer: A data translation DT–333 16-bit analog-to-digital interface card gives the option to read the large dynamic range of the electrometer.

Initial parameters are read from the HTMS.ini file, shown in Figure 21. This gives the parameters for calibrating the magnetic field. We will follow the manual for the first-generation data system, which was supplied with the instrument (Ref. 114). The magnetic field may be scanned as either the mass number, field strength, or digital-to-analog controller (DAC) number. A DAC number of 65 535 corresponds to 10 V to the magnet regulator, which is the maximum field strength. As discussed, mass is proportional in theory to the square of the magnetic field. A two-term equation is used:

\[
\text{field} = \tilde{c}_1 + \tilde{c}_2 \text{(DAC)}
\]

\[
\text{mass} = \tilde{d}_1 \exp(\tilde{d}_2 \ln(\text{DAC}))
\]  

The constants \(\tilde{c}_i\) and \(\tilde{d}_i\) are determined via experiments with known mass and field numbers.

Figure 21.—HTMS.ini file showing calibration values for the magnet, furnace, and pyrometer.
A similar polynomial is used for the calibration of the heater. Here temperature is related to voltage via a simple cubic equation:

\[ V = a_o + \tilde{a}_1 T + \tilde{a}_2 T^2 + \tilde{a}_3 T^3 \]  

(C2)

The constants are determined and continuously checked using the relationship between furnace voltage setting, measured current, and the temperature measured with a pyrometer. Small changes in the resistance in any connections in the furnace power system can have a significant effect on the voltage/temperature relationship, and this must be checked routinely.

The temperature from the pyrometer is calibrated via the following polynomial:

\[ \frac{1}{T} = a_o + \tilde{a}_1 \ln \left( \frac{I}{e} \right) + \tilde{a}_2 \ln \left( \frac{I}{e} \right)^2 + \tilde{a}_3 \ln \left( \frac{I}{e} \right)^3 \]  

(C3)

Here the calibration constants \( \tilde{a}_1 \) are supplied by the pyrometer manufacturer (Mikron Instruments) from a factory calibration, and \( e \) is the effective emissivity. There is an option for selecting either the first two terms or the full cubic. The parameter \( \tilde{I} \) is the detector current in nanoamperes from the pyrometer photomultiplier tube. The value of \( e \) is determined by a temperature calibration, where the measured detector current at a fixed reference temperature is used to determine the effective emissivity. This effective emissivity is a combination of the emissivity of the blackbody source and the transmissivity of the vacuum chamber window. The transmissivity of the window can change from experiment to experiment because of condensation. This procedure is discussed in more detail in the main portion of this report.

The main control screen is shown in Figure 22. This shows each of the functions that are controlled by the computer—the magnet, the electron energy, the ion counter, the heater, and the stage position. This also displays the individual peaks as they are being scanned. Typically a peak is scanned as a first step in a measurement. Then the magnetic field might be moved to the center of the peak for cell-position scanning or ionization efficiency curve (electron energy) scanning. For determining the temperature dependence of ion intensity, the peak might be scanned and the peak height and temperature recorded. The flexibility of the Windows operating system allows these values to be easily copied to the clipboard and transferred to a plotting program.

Figure 22.—Main control screen.
The program is written to be as flexible as possible. Nearly all measurements are performed by holding some parameters fixed and scanning another. The screen for scanning is shown in Figure 23, and examples are given in Table IV.

Initially there was an option to scan the shutter position, but the cell position movement encompasses this functionality. As discussed in the body of this report, cell position is particularly important. Figure 24 is the screen for scanning cell position. This controls the stepper motors on the multiple cell flange. Signals are peaked in both the x and y directions. This should correspond to the view of the cell orifice with the video camera. These positions for maximum signal correspond to the proper position of each cell and are recorded as the location for each cell.

The program has a built-in plotting routine for the peak intensity versus mass to charge ratio, peak intensity versus electron energy, and peak intensity versus cell position. For a standard ln(I/T) versus 1/T plot, measured ion intensities and temperatures can be “cut” from the program and “pasted” directly into various plotting programs for final data presentation.

<table>
<thead>
<tr>
<th>TABLE IV.—FIXED AND SCANNED PARAMETERS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hold</td>
</tr>
<tr>
<td>Temperature, electron energy, cell position</td>
</tr>
<tr>
<td>Temperature, mass number, cell position</td>
</tr>
<tr>
<td>Mass number, cell position, electron energy</td>
</tr>
<tr>
<td>Temperature, mass number, electron energy</td>
</tr>
</tbody>
</table>

![Figure 23.—Scan screen.](image_url)
Figure 24.—Screen showing x-y table position.
References


Measuring Thermodynamic Properties of Metals and Alloys With Knudsen Effusion Mass Spectrometry

This report reviews Knudsen effusion mass spectrometry (KEMS) as it relates to thermodynamic measurements of metals and alloys. First, general aspects are reviewed, with emphasis on the Knudsen-cell vapor source and molecular beam formation, and mass spectrometry issues germane to this type of instrument are discussed briefly. The relationship between the vapor pressure inside the effusion cell and the measured ion intensity is the key to KEMS and is derived in detail. Then common methods used to determine thermodynamic quantities with KEMS are discussed. Enthalpies of vaporization $\Delta_{vap}^H T$—the fundamental measurement—are determined from the variation of relative partial pressure with temperature using the second-law method or by calculating a free energy of formation and subtracting the entropy contribution using the third-law method. For single-cell KEMS instruments, $\Delta_{vap}^H T$ measurements can be used to determine the partial Gibbs free energy if the sensitivity factor remains constant over multiple experiments. The ion-current ratio method and dimer-monomer method are also viable in some systems. For a multiple-cell KEMS instrument, $\Delta_{vap}^H T$ and activities are obtained by direct comparison with a suitable component reference state or a secondary standard. Internal checks for correct instrument operation and general procedural guidelines also are discussed. Finally, general comments are made about future directions in measuring alloy thermodynamics with KEMS.