Abstract

The last few years have seen a resurgence of interest in the use of symbolic execution—a program analysis technique developed more than three decades ago to analyze program execution paths. Scaling symbolic execution and other path-sensitive analysis techniques to large systems remains challenging despite recent algorithmic and technological advances. An alternative to solving the problem of scalability is to reduce the scope of the analysis. One approach that is widely studied in the context of regression analysis is to analyze the differences between two related program versions. While such an approach is intuitive in theory, finding efficient and precise ways to identify program differences and characterize their effects on how the program executes has proved challenging in practice.

In this paper, we present Directed Incremental Symbolic Execution (DiSE), a novel technique for detecting and characterizing the effects of program changes. The novelty of DiSE is to combine the efficiencies of static analysis techniques to compute program difference information with the precision of symbolic execution to explore program execution paths and generate path conditions affected by the differences. DiSE is a complementary technique to other reduction or bounding techniques developed to improve symbolic execution. Furthermore, DiSE does not require analysis results to be carried forward as the software evolves—only the source code for two related program versions is required. A case-study of our implementation of DiSE illustrates its effectiveness at detecting and characterizing the effects of program changes.

Categories and Subject Descriptors D.2.5 [Software Engineering]: Testing and Debugging—Symbolic execution

General Terms Verification, Algorithms

Keywords Program Differencing, Symbolic Execution, Software Evolution

1. Introduction

Over the last three decades, symbolic execution [7, 22]—a program analysis technique for systematic exploration of program execution paths using symbolic input values—has provided a basis for various software testing and verification techniques. For each path it explores, symbolic execution builds a path condition, i.e., constraints on the symbolic inputs, that characterizes the program execution path. During symbolic execution, satisfiability checks are performed each time a constraint is added to the path condition to determine the feasibility of the path; if a path condition becomes unsatisfiable, it represents an infeasible path and symbolic execution does not consider any other paths that contain the known infeasible path as its prefix. The set of path conditions computed by symbolic execution can be used to perform various analyses of program behavior, for example, to check conformance of code to rich behavioral specifications using automated test input generation [10, 19].

Initial work on symbolic execution largely focused on checking properties of programs with primitive types, such as integers and booleans [7, 22]. Several recent projects have advanced the core ideas of symbolic execution which enabled it to be applied to programs with more general types, including references and arrays [4, 10, 12, 19, 32]. These generalizations have been complemented by recent advances in constraint solving, which is the key supporting technology that affects the effectiveness of symbolic execution—checking path conditions for satisfiability relies heavily on the capabilities of the underlying constraint solvers. During the last few years, not only has raw computing power increased, enabling more efficient constraint solving, but basic constraint solving technology has also advanced considerably, specifically in leveraging multiple decision procedures in synergy, for example, as in Satisfiability Modulo Theory (SMT) solvers [9].

Scaling symbolic execution, as with other path-sensitive analyses, remains challenging because of the large number of execution paths generated during the symbolic analysis. This is known as the path explosion problem, and despite recent advances in reduction and abstraction techniques, remains a fundamental challenge to path-sensitive analysis techniques. A large body of work has been dedicated to developing techniques that try to achieve better scalability [1, 3, 11, 20]. One alternative approach to solving the problem of scalability is to reduce the scope of the analysis to only certain parts of the program.

Regression analysis is a well known example where the differences between program versions serve as the basis to reduce the scope of the analysis [14, 35, 38]. Analyses based on program
differences are attractive and have considerable potential benefits since most software is developed following an evolutionary process. And, with the recent push toward agile development, differences between two program versions tend to be small and localized. The challenge, however, lies in determining precisely which program execution behaviors are affected by the program changes. Techniques to identify modified parts of the program can be broadly classified into two categories: syntactic and semantic. Syntactic techniques consider differences in the program source code or some other static representation of the source and can be computed efficiently. Semantic techniques are typically more expensive, but also compute generally more precise differences by considering the differences in the execution behaviors of the program.

In Directed Incremental Symbolic Execution (DiSE), our insight is to combine the efficiencies of static analysis techniques that compute program difference information with the precision of symbolic execution. The path conditions computed by DiSE then characterize the differences between two related program versions. The essence of symbolic execution is that it abstracts the semantics of program behaviors by generating constraints on the program inputs. The constraints for a given program execution behavior (path), referred to as a path condition, encode the input values that will cause execution to follow that path. The goal of this work is to direct symbolic execution on a modified program version to explore path conditions that may be affected by the changes. Consider a set of concrete (actual) input values that generate paths $p$ and $p'$ in the original and modified versions of the program respectively. If symbolic execution of $p$ and $p'$ result in different path conditions, then the path condition generated along $p'$ is termed as affected.

Affected path conditions can be solved to generate values for the variables which, when used to execute the program, exhibit the affected program behaviors. The results of DiSE can then be used by subsequent program analysis techniques to focus on only the program behaviors that are affected by the changes to the program. DiSE enables other program analysis techniques to efficiently perform software evolution tasks such as program documentation, regression testing, fault localization and program summarization.

The novelty of DiSE is to leverage the state-of-the-art in symbolic execution and apply static analyses in synergy to enable more efficient symbolic execution of programs as they evolve. Static analysis and symbolic execution form the two phases in DiSE. Program instructions whose execution may lead to the generation of affected path conditions are termed as affected locations or affected instructions. The goal of the first phase is to generate the set of affected program instructions. The static analysis techniques in the first phase are based on intra-procedural data and control flow dependences. The dependence analyses are used to identify instructions in the source code that define program variables relevant to changes in the program. Conditional branch instructions that use those variables, or are themselves affected by the changes, are also identified as affected. In the second phase, the information generated by the static analysis is used to direct symbolic execution to explore only the parts of the programs affected by the changes, potentially avoiding a large number of unaffected execution paths. DiSE generates, as output, path conditions only on conditional branch instructions that use variables affected by the change or are otherwise affected by the changes.

In this work, we develop a conceptual framework for DiSE, implement a prototype of our framework in the Java PathFinder symbolic execution framework [26, 28, 36], present a case-study to demonstrate the effectiveness of our approach, and demonstrate, as a proof of concept, how the framework enables incremental program analysis to perform software evolution related tasks. For the examples used in our case-study, DiSE consistently explores fewer states and takes less time to generate fewer path conditions compared to standard symbolic execution when the changes affect only a subset of the program execution paths. This demonstrates the effectiveness of DiSE in terms of reducing the cost of symbolic execution of evolving software. Furthermore, we apply the results of our analysis to test case selection and augmentation to demonstrate the utility of such an analysis.

We make the following contributions:

- A novel incremental analysis that leverages the state-of-the-art in symbolic execution and applies a static analysis in synergy to enable efficient symbolic execution of programs as they undergo changes.
- A technique for characterizing program differences by generating path conditions affected by the changes.
- A case-study that demonstrates the effectiveness of DiSE in reducing the cost of performing symbolic execution and illustrates how DiSE results can be used to support software evolution tasks.

### 2. Background and Motivation

We begin with a brief explanation of symbolic execution, the underlying algorithm used in DiSE. Next, we present an example to demonstrate the motivation for the development of DiSE.

#### 2.1 Symbolic Execution

Symbolic execution is a program analysis technique for systematically exploring a large number of program execution paths [7, 22]. It uses symbolic values in place of concrete (actual) values as program inputs. The resulting output values are computed as expressions defined over constants and symbolic input values, using a specified set of operators.

A symbolic execution tree characterizes all execution paths explored during symbolic execution. Each node in the tree represents a symbolic program state, and each edge represents a transition between two states. A symbolic program state contains a unique program location identifier (Loc), symbolic expressions for the symbolic input variables, and a path condition (PC). During symbolic execution, the path condition is used to collect constraints on the program expressions, and describes the current path through the symbolic execution tree. Path conditions are checked for satisfiability during symbolic execution; when a path condition is infeasible, symbolic execution stops exploration of that path and backtracks. In programs with loops and recursion, infinitely long execution paths may be generated. In order to guarantee termination of the execution in such cases, a user-specified depth bound is provided as input to symbolic execution.

We illustrate symbolic execution with the following example:

```java
int y;
...
int testX(int x){
  1:  if (x > 0)
  2:    y = y + x;
  3:  else
  4:    y = y - x;
  5:  }
```

This code fragment introduces two symbolic variables: $Y$, the symbolic representation of the integer field $y$, and $X$, the symbolic representation of the integer argument $x$ to procedure testX. For this example, symbolic execution explores the two feasible behaviors shown in the symbolic execution tree in Figure 1. When program execution begins, the path condition is set to true. When $X > 0$ evaluates to true at line 1 in the source code, the expression $Y + X$...
is computed and stored as the value of y. When !(X > 0), the expression Y − X is computed and stored as the value of y. A symbolic summary for procedure testX is made up of path conditions that represent the feasible execution paths in testX. The path conditions in the symbolic summary can be used as input to a subsequent analysis, e.g., the solved path conditions can be used as regression test case inputs.

2.2 Motivating Example

We use the example in Fig. 2 to illustrate how DISE leverages information about program changes to direct symbolic execution and generate path conditions affected by the changes. Consider the source code for method update (int PedalPos, int BSwitch, int PedalCmd) shown in Fig. 2(a). The update procedure sets the value of two global variables, AltPress and Meter, based on the input values of its arguments. Assume a change was made to the update method at line 2 in Fig. 2(a) where the comparison operator is changed from == to <, as shown in the modified version of update presented in Fig. 2(a). Using full symbolic execution to validate this change results in 21 path conditions, each of which represents a program execution path of the modified version of update. As expected, the results of full symbolic execution include all execution paths for update, and no distinction is made between affected and unaffected program paths. And, as a result, any validation technique which uses these results may unnecessarily analyze unaffected program behaviors. For a small example such as this, a full analysis may be feasible; however, for larger methods or when complex constraints are involved, a full analysis may be infeasible.

To characterize the effects of the change to update using DISE, we first compute the set of affected program locations. Consider the CFG computed for the modified version of update shown in Fig. 2(b). Each node corresponds to a program location in the source code; we use the node label to identify the corresponding code statement(s), and a node identifier appears in italics just outside the node, e.g., n1, n2, etc. Edges between the nodes represent possible flow of execution between the nodes. Nodes in the CFG that correspond to affected and changed program instructions are termed as affected and changed nodes respectively.

DISE uses the results of a lightweight source file differential analysis to identify n0 as having a direct correspondence to the change at line 2. The results of the static analysis computing affected locations indicate that nodes n1, n2, n4, n5, n11, n13, and n14 are affected write nodes–nodes that because of the change at line 2, may affect subsequent execution of a control node. Nodes n0, n2, n11, and n12 are affected control nodes–nodes that may be affected by the change and that may affect the path condition. The information about affected locations is used to direct symbolic execution of the modified version of update to explore only the program behaviors affected by the change.

Pruning. To illustrate how DISE prunes symbolic execution using the set of affected locations, consider a feasible execution path, p0 := (n0, n1, n2, n5, n7, n9, n10, n11), generated during directed symbolic execution. The path p0 contains the sequence of affected nodes, (n0, n1, n2, n5, n10, n11), and the sequence of unaffected nodes, (n6, n7). However, another feasible path, p1 := (n0, n1, n2, n4, n5, n7, n9, n10, n11), is pruned during symbolic execution because the sequence of affected nodes is already covered by p0. The only difference between p0 and p1 is the sequence of unaffected nodes—p1 contains (n6, n8) as the sequence of unaffected nodes. DISE applies the same pruning technique throughout symbolic execution to generate a total of seven path conditions for update, versus the 21 path conditions generated by full symbolic execution. Each path condition generated by DISE characterizes a program execution path that is affected by the change to update.

Figure 1. Symbolic execution tree for testX()
3. Directed Incremental Symbolic Execution

In this section we first provide a high level overview of our DiSE technique. We then present the two main algorithms of DiSE that compute the set of affected path conditions.

3.1 Overview

Inputs to DiSE. The inputs to DiSE are the control flow graphs (CFGs) for two versions of a procedure, base and mod, and the results of a lightweight differential (diff) analysis (e.g., source line or abstract syntax tree diff) comparing the two versions of the procedure. The results of the diff analysis identify the locations of the differences in the source code between base and mod. During a pre-processing step, DiSE maps the change information to the corresponding nodes in each CFG. The CFG for the base version, $CFG_{base}$, has nodes marked as removed, changed, or unchanged with respect to the CFG of the modified version, $CFG_{mod}$. The nodes in $CFG_{mod}$ are marked as added, changed, or unchanged with respect to $CFG_{base}$. The results of the diff analysis are also used to compute a map $diffMap$ that stores information relating nodes in $CFG_{base}$ to their corresponding nodes in $CFG_{mod}$.

Computing Affected Locations. DiSE begins by performing a conservative, intra-procedural analysis to compute the set of nodes in $CFG_{mod}$ that may be affected by the $removed$ nodes in $CFG_{base}$ or by the $changed$ and $added$ nodes in $CFG_{mod}$. This static analysis uses control dependence and data flow information to generate the set of affected CFG nodes in $CFG_{mod}$. These nodes correspond to conditional branch statements and to write statements in the modified version of the program that either influence, or may be influenced by the modifications made to the procedure, and as a result, may affect the path conditions computed by symbolic execution. Affected conditional branch nodes directly lead to the generation of affected path conditions. Affected write nodes indirectly lead to the generation of affected path condition—they either define a variable that may be subsequently read at an affected branch node, or the reachability of the affected write nodes is control dependent on an affected conditional branch node.

Directed Symbolic Execution. During this step, DiSE performs a form of incremental symbolic execution on the modified version of the procedure, leveraging the information computed during the previous step to explore only the parts of the program that are changed with respect to the base version of the procedure. The affected nodes information directs DiSE to explore only (feasible) paths where one or more affected nodes in $CFG_{mod}$ are reachable on that path, and that sequence of affected nodes has not yet been explored. If either of these conditions is not met, then symbolic execution backtracks. By effectively “pruning” paths that generate unaffected path conditions, DiSE avoids the cost of exploring execution paths in the modified program version that are not affected by the change(s) to the program. The resulting set of path conditions computed by DiSE then characterizes the set of program execution behaviors in the modified version of the procedure that are affected by the change(s). Each (directly or indirectly) affected conditional branch node on the path is represented by a constraint in the path condition; conditional branch nodes that are not affected by the changes are represented by a constraint that represents a feasible path through the unaffected parts of the program.

3.2 Computing Affected Locations

The set of affected program locations is computed using conservative static analyses based on control and data dependences. The analysis is performed at an intra-procedural (per-method) level. The corresponding ramification is that DiSE does not generate affected path conditions arising from changes at the inter-procedural (sequence of methods) level. Extending the technique for inter-procedural system level analysis is part of our future work. We first present background definitions related to control flow graphs, control dependence, and data flow in order to define the rules DiSE uses to generate the affected locations sets.

Definition 3.1. A Control flow graph (CFG) of a procedure in the program is a directed-graph represented formally by a tuple $(N, E)$. $N$ is the set of nodes, where each node is labeled with a unique program location identifier. The edges, $E \subseteq N \times N$, represent possible flow of execution between nodes in the CFG. Each CFG has a single begin, $n_{begin}$, and end, $n_{end}$, node. All the nodes in the CFG are reachable from the $n_{begin}$ and the $n_{end}$ node is reachable from all nodes in the CFG.

Definition 3.2. (Check for a CFG Path) is a map $IsCFGPath : N \times N \mapsto \{T, F\}$ that returns true for a pair of nodes $(n_i, n_j)$ if there exists a sequence of nodes $\pi := (n_0, n_1, \ldots)$ such that $(n_k, n_{k+1}) \in E$ for $0 \leq k \leq |\pi| - 1$ and $n_0 = n_i$, $n_{|\pi|-1} = n_j$; otherwise it returns false.

Definition 3.3. $Vars$ is the set of variable names that are either read or written to in a procedure.

Definition 3.4. $Cond$ is the set of nodes $Cond \subseteq N$ where $n \in Cond$ is a conditional branch instruction.

Definition 3.5. $Write$ is the set of nodes $Write \subseteq N$ where $n \in Write$ is a write instruction.

Definition 3.6. $(Variable\ Definitions)$ is a map $Def : N \mapsto Vars \cup \{\bot\}$ that returns a variable $v \in Vars$ if the variable, $v$, is defined at node $n \in N$; otherwise returns $\bot$.

Definition 3.7. $(Variable\ Uses)$ is a map $Use : N \mapsto 2^{Vars \cup \{\bot\}}$ that returns a set of variables $V \subseteq Vars$ where $v \in V$ is a variable being read at node $n$; otherwise returns $\bot$.

Definition 3.8. $(Post\ Dominance)$ is a map $postDom : N \times N \mapsto \{T, F\}$ that returns true for an input pair of nodes $(n_i, n_j)$ if, for each CFG path from $n_i$ to $n_{end}$, $\pi := (n_0, \ldots, n_{end})$, there exists a $k$ such that $n_j = n_k$ where $i \leq k \leq |\pi| - 1$ (post dominates $n_j$); otherwise it returns false.

Definition 3.9. $(Control\ Dependence)$ is a map $controLD : N \times N \mapsto \{T, F\}$ that returns true for an input pair of nodes $(n_i, n_j)$ if node $n_i$ has two successors $n_k$ and $n_l$ such that $(n_i, n_k), (n_i, n_l) \in E$, $n_k \neq n_l$, $postDom(n_k, n_i) = T$, and $postDom(n_l, n_i) = F$; otherwise it returns false.

We provide intuitive descriptions for some definitions above using the example in Fig. 2. The set of $Vars$ contains variables $AltPress$, $PedalPos$, $PedalCmd$, $BSwitch$, and $Meter$. $Def(n_{ ped})$ returns the variable $Meter$ which is defined at line 13. Similarly, the map $Use(n_{ ped})$ returns $PedalCmd$, the variable being used at line 15. The map $postDom(n_{ ped}, n_{ ped})$ returns true because all paths from node $n_{ ped}$ to $n_{ end}$ have to go through $n_{ ped}$; an example path is $(n_{ ped}, n_1, n_3, n_5, \ldots, n_{ end})$. Finally, node $n_{ ped}$ is control dependent on $n_{ ped}$. The node $n_{ ped}$ has two successors $n_1$ and $n_2$, where $postDom(n_1, n_{ ped})$ is true and $postDom(n_2, n_{ ped})$ is false.

Approach. Two sets of affected nodes are computed and used by DiSE—the set of affected conditional (branch) nodes, $ACN$, and the set of affected write nodes, $AWN$. These sets contain nodes in $CFG_{mod}$ that are marked as changed or added by the source line diff analysis. (Handling changes arising from remove nodes in $CFG_{base}$ is described later in this section.) The $ACN$ and $AWN$ sets are updated by applying the rules specified in Fig. 3 until the sets reach a fixed-point. The analysis is guaranteed to terminate since the $ACN$ and $AWN$ sets contain nodes in a CFG – even nodes that are part of a loop are added at most once to these sets. The rules in Fig. 3 specify the conditions under which DiSE adds nodes from $CFG_{mod}$ to the affected sets based on the con-
if \( n_i \in ACN \land n_j \in Cond \land controlD(n_i, n_j) \)
then \( ACN := ACN \cup \{n_j\} \) \hfill (1)

if \( n_i \in ACN \land n_j \in Write \land controlD(n_i, n_j) \)
then \( AWN := AWN \cup \{n_i\} \) \hfill (2)

if \( n_i \in AWN \land n_j \in Cond \land Def(n_i) \in Use(n_j) \)
\( \land Def(n_i) \neq \perp \land IsCFGPath(n_i, n_j) \)
then \( ACN := ACN \cup \{n_j\} \) \hfill (3)

Figure 3. Updating affected sets based on control and data flow dependence.

if \( n_i \in Write \land (n_j \in AWN \lor n_j \in ACN) \)
\( \land Def(n_i) \in Use(n_j) \land Def(n_i) \neq \perp \land IsCFGPath(n_i, n_j) \)
then \( AWN := AWN \cup \{n_i\} \) \hfill (4)

Figure 4. Updating \( AWN \) set based on reaching definitions.

diffMap is used to replace the elements in the affected sets (lines 4-9 in Fig. 5(a)). Recall that the source line differential analysis provides diffMap that maps unchanged and changed nodes corresponding from \( CFG_{base} \) to \( CFG_{mod} \). For the nodes marked as removed in \( CFG_{base} \) the get method on diffMap returns the empty set.

The removeNodes algorithm in Fig. 5(a) generates all nodes affected by program instructions removed from the base version. Finally, the affected set is updated with any other changed or added nodes present in \( CFG_{mod} \) and the computing affected set algorithm as presented earlier is applied to generate the final affected sets.

Example. To illustrate how affected sets are generated, consider the example in Fig. 2. Suppose, the conditional branch at line 2 has the predicate PedalPos \( = 0 \) in the base program version that is modified to PedalPos \( \leq 0 \) as shown in Fig. 2. The CFG node corresponding to line 2 in Fig. 2(a) is \( n_0 \) in Fig. 2(b). The \( ACN \) set is initialized to the lone element \( n_0 \) (a changed node) and the \( AWN \) set is initialized as empty. The sets are updated based on the rules in Fig. 3 as shown in Fig. 5(b).

Node \( n_2 \) in Fig. 2(b) is a conditional branch statement that is control dependent on \( n_0 \) causing \( n_2 \) to be added to \( ACN \). The write statements at nodes \( n_1, n_3, \) and \( n_4 \) are added since they are control dependent on nodes \( n_0 \) or \( n_2 \). Nodes \( n_{10} \) and \( n_{12} \) are added to \( ACN \) since they use the variable PedalCmd that is defined in nodes \( n_1, n_3, \) and \( n_4 \) contained in \( AWN \). The write statement at node \( n_{12} \) is control dependent on \( n_{10} \), while the write statements at nodes \( n_{13} \) and \( n_{14} \) are control dependent on \( n_{12} \); hence nodes \( n_{13}, n_{11}, \) and \( n_{14} \) are added to \( AWN \). Finally, when the sets \( ACN \) and \( AWN \) reach a fixed-point after applying the rules in Fig. 3, the Eq. (4) in Fig. 4 is applied. Applying the Eq. (4) rule adds the write statement at node \( n_5 \) that defines the variable PedalCmd and is also used at nodes \( n_{10} \) and \( n_{12} \).

The affected sets are used to direct the symbolic execution in the next phase of DiSE.
The inputs to the algorithm are the sets $ACN$ and $AWN$, and a user-specified depth bound for the symbolic execution. The DiSE procedure is invoked with the initial symbolic state of the program. Recall that the symbolic state contains a current program location, a symbolic representation of the variables, and a path condition. There are four global sets initialized on lines 3 and 4 in Fig. 6. The sets $ExCond$ and $ExWrite$ track which of the affected nodes have been “explored” during symbolic execution while the sets $UnExCond$ and $UnExWrite$ track those nodes that are “unexplored” and still need to be explored. Hence, $ExCond$ and $ExWrite$ are initialized as empty whereas $UnExCond$ and $UnExWrite$ are initialized to the $ACN$ and $AWN$ sets respectively.

The DiSE procedure in Fig. 6 provides the basic search strategy. At line 5, if the current state is at a depth bound greater than the user-specified depth bound or the state is an error, then the search returns to explore an alternate path; otherwise exploration continues along the same path. The $getCFGNode$ method on line 6 takes as input the symbolic state, $s$, and returns the corresponding CFG node, $n$. Note that the current program location of the symbolic state is used to map a symbolic state to its CFG node. The procedure $UpdateExploredSet$ is invoked with CFG node $n$. For each successor state of $s$ that the procedure $AffectedLocIsReachable$ returns true the DiSE procedure is invoked with the corresponding successor state, exploring the relevant states in a depth-first manner.

The $UpdateExploredSet$ procedure checks whether the input node $n$ is contained in one of the unexplored sets ($UnExWrite$ or $UnExCond$) in order to track the affected nodes explored during symbolic execution (lines 30–35). If the node $n$ is contained in the unexplored write set, $UnExWrite$, then $n$ is removed from $UnExWrite$ and added to the explore write set, $ExWrite$. Similarly, a corresponding update is performed on $UnExCond$ and $ExCond$ when $n$ is contained within $UnExCond$. The procedure $ResetUnExploredSet$, shown at lines 37–42, does the reverse; removes the input node from the explored sets and adds it to the respective unexplored set.

The $AffectedLocIsReachable$ returns true if the CFG node, $n_i$, corresponding to the input symbolic state, $s_i$, can reach a node in the set of unexplored affected nodes to indicate that exploration should continue along the path that contains $s_i$; else, it returns false indicating that the path containing $s_i$ is not influenced by the modifications to the program and should be pruned. The CheckLoops procedure is invoked to handle generating sequences of affected nodes through loops at line 15. A temporary set $UnExplored$ is initialized with the nodes in $UnExWrite$ and $UnExCond$, while $Explored$ is initialized with nodes from $ExWrite$ and $ExCond$ at lines 16 and 17. Next, when an affected node in $UnExplored$, $n_i$, is reachable from $n_i$; first, the variable $isReachable$ is set to true, and second the nodes in the explored sets that are reachable from unexplored sets along the execution path containing $n_i$ are moved back to the unexplored set (by invoking the $ResetUnExploredSet$ procedure). Resetting the unexplored sets enables directed symbolic execution to explore all sequences of affected nodes that lie along feasible execution paths.

The CheckLoops procedure allows DiSE to explore sequences of affected nodes that are contained within loops. If the input node, $n$, to CheckLoops is the entry node to a loop (line 26), then for all the nodes that are part of loop (strongly connected component containing $n$—$GetSCC(n)$) are added to the unexplored set if they have been previously explored by invoking the $ResetUnExploredSet$. A strongly connected component is a set of nodes where each node can be reached from all of the other nodes, and a strongly connected component has a single entry and a single exit point.

Example. In Table 1 we show part of the directed symbolic execution performed for the example in Fig. 2. For brevity, we refer to
DiSE explores a feasible path, $p$, during initialization. Assume $n_0$, an affected conditional node is moved from $UnExCond$ to $ExCond$ at line 2 in Table 1; similar updates occur at lines 3, 4, 5, and 7. At lines 4 and 5 in Table 1, execution continues along the successor states since a node in an unexplored set is reachable from the last node in the sequence. For example, at line 4, node $n_{10}$ in $UnExCond$ is reachable from $n_0$ at the end of the sequence $\langle n_0, n_1, n_5 \rangle$. There is no path to any nodes in the unexplored sets at line 10 in Table 1 from $n_8$.

In Table 1, at line 11, nodes are moved from explored to the unexplored set. The explored conditional branches $n_{10}$ and $n_{12}$ are reachable from node $n_2$, and the explored write instructions $n_3$, $n_11$, $n_13$, and $n_14$ are reachable from $n_2$ as seen in Fig. 2(b); as node $n_2$ is added to explored set, nodes $n_5$, $n_{10}$, $n_{12}$, $n_{13}$, and $n_{14}$ are added back to the unexplored sets in order for DiSE to explore different sequences of affected nodes and generate corresponding feasible execution paths (if possible).

**Theorem 3.10.** For any sequence of affected nodes that lie on some feasible execution path within the specified depth bound, DiSE explores one execution path containing that sequence of nodes.

**Proof Sketch.** By contradiction. There are two cases to consider: I) There exists a feasible path (within the specified depth bound) that contains a sequence of affected nodes, which DiSE does not explore and II) DiSE explores more than one feasible execution path for some sequence of affected nodes (within the specified depth bound).

**Case I** Let $q := \langle n_1, \ldots, n_k \rangle$ be a sequence of affected nodes, which is not explored by DiSE but is contained in a feasible execution path. By construction, DiSE must explore $n_1$, since it is an affected node that is added to $UnExWrite$ or $UnExCond$ during initialization. Assume $n_1$ is the first node in $q$ such that DiSE explores a feasible path, $p$, that contains the sub-sequence $\langle n_1, \ldots, n_{i-1} \rangle$ but does not explore an execution path that contains the sub-sequence $\langle n_1, \ldots, n_i \rangle$. Consider DiSE’s exploration of $p$ when it processes node $n_{i-1}$. Since $n_1$ is reachable from $n_{i-1}$ and is an affected node, $n_1$ must be contained in $UnExWrite$ or $UnExCond$ (line 23). Hence DiSE will explore a path that contains the sub-sequence $\langle n_1, \ldots, n_i \rangle$. Contradiction.

**Case II** Assume for a sequence of affected nodes that lie on path $p$ explored by DiSE, it explores another path $p'$ containing the same sequence of affected nodes. Let $n$ be the last affected node on path $p$ such that the $p$ and $p'$ have the exact same sub-sequence of affected and unaffected nodes up to and including $n$. Let $q := \langle n, n_1 \ldots n_k, m \rangle$ be the sub-sequence of nodes on $p$ such that each $n_i$ is an unaffected node and $m$ is an affected node. Let $q' := \langle n, n'_1 \ldots n'_j \rangle$, be the corresponding sub-sequence of nodes on $p'$. By the construction of the algorithm in Fig. 6, when DiSE considers the affected node $n$, it only explores one path and prunes the others by controlling the unexplored and unexplored sets in the $UpdateExploredSets$ and $ResetUnExploredSet$ until the next affected node, which in this case is $m$. Hence, $q$ and $q'$ are identical. Contradiction.

**4. Evaluation**

In this section we evaluate the effectiveness of DiSE at generating affected path conditions relative to the path conditions generated by full symbolic execution of the changed methods in evolving software. To perform our evaluation, we implemented DiSE and performed a case-study on three Java applications, comparing the results of DiSE with full, traditional symbolic execution of the changed versions of the method. We begin this section with a description of our implementation of DiSE.

**4.1 Tool Support**

We implemented DiSE in Symbolic PathFinder (SPF) [26, 28], a symbolic execution extension to the Java PathFinder model checker—a Java bytecode analysis framework [36]. SPF is an open source execution engine that symbolically executes Java bytecode. SPF supports a variety of constraint solvers/decision procedures for solving path conditions; in this work we use the Choco constraint solver [6]. In general, state matching is undecidable when states represent path conditions on unbounded input data. Hence, SPF does not perform any state matching and explores the symbolic execution tree using a stateless search. Furthermore, if the solver is unable to determine the satisfiability of the path condition within a certain time bound, SPF treats the path condition as unsatisfiable. While this situation does not occur for any of the artifacts in our study, this limitation of constraint solvers could affect DiSE, causing it to miss generating affected path conditions in the modified program. Loops and recursion can be bounded by placing a depth limit on the search depth in SPF or by limiting the number of constraints encoded for any given path; SPF indicates when one of these bounds has been reached during symbolic execution. There are no loops or recursive calls in the artifacts used in our empirical study, hence, we do not specify a depth bound.

DiSE extends SPF by implementing custom data and control dependency analyses that compute a conservative approximation of the the affected locations for a changed method. DiSE performs these analyses when the the modified method is invoked during symbolic execution, and then uses the information about the affected locations to direct symbolic execution within SPF.
4.2 Case-Study

The goal of our technique is to direct symbolic execution on a modified program version to explore only program conditions that are affected by the changes to the source code. We evaluate the cost and effectiveness of DiSE relative to full symbolic execution on the changed methods by considering the following research questions:

RQ1: How does the cost of applying DiSE compare to full symbolic execution on the changed method?

RQ2: How does the number of affected path conditions generated by DiSE compare with the number of path conditions generated by full symbolic execution?

4.2.1 Artifacts

To evaluate DiSE we compared method versions from three Java artifacts. The first program, the Wheel Brake System (WBS), is a synchronous reactive component from the automotive domain. The Java model is based on a Simulink model derived from the WBS case example found in ARP 4761 [17, 30]. We use the update(int PedalPos, boolean AutoBrake, boolean Skid) method in WBS to evaluate DiSE. This method determines how much braking pressure to apply based on the environment. The Simulink model was translated to C using tools developed at Rockwell Collins and manually translated to Java. It consists of one class and 231 source lines of code.

Our second artifact is a version of the Java program used to model NASA’s On-board Abort Executive (OAE). The OAE models the Crew Exploration Vehicle’s prototype ascent abort handling software, receiving its inputs from sensors and other software components. The inputs are analyzed to determine the status of the ascent flight rules and to evaluate which ascent abort modes are feasible. Once a flight rule is broken and an abort mode is selected, it is relayed to the rest of the system for initiation. The version of OAE evaluated for this work consists of five classes. The method under analysis consists of approximately 150 source lines of code.

The third artifact we used to evaluate DiSE is the Altitude Switch (ASW) application. This program is a synchronous reactive component from the avionics domain. It turns power on to a Device Of Interest (DOI) when the aircraft descends below a threshold altitude above ground level (AGL). It was developed as a Simulink model, and was automatically translated to Java using tools developed at Vanderbilt University [34].

To evaluate DiSE in an empirical study we required multiple versions of each method being analyzed. Because multiple versions of these artifacts were not available, we generated versions by manually creating mutants of the base version (v0) of the method under analysis. When creating mutants, we considered a broad range of changes that can be applied to the code: change location, change type and number of changes. We introduced changes at the beginning, middle and end of each method. We also considered the control structures in the code, and make changes at various depths in nested control structures. Each mutant has one, two or three changed Java statements, resulting in up to nine changed nodes in the CFG for the changed version of a method as shown in Table 4.2.1.

In the WBS example, versions 1–6 contain a single changed Java source statement, versions 7–11 contain two changed statements and versions 12–16 contain three changed statements. For the ASW example, we made a single change to versions 1–11, and two changes to versions 12–15. For the OAE example, a single change was made to versions 1–6, two changes are made to versions 7 and 8, and version 9 contains three changed Java source statements. The versions that contain multiple changes were created by combining the individual mutations made to versions with a single change. For example, version 12 of the WBS example contains the same individual changes as versions 1, 4, and 5. Each change involved the addition, removal or modification of a statement. Control statements were modified by mutating the comparator operator, e.g., from < to <=, or the operand, e.g., mutating the program variables involved in the comparison. Non-control statements were modified by changing the value assigned to a program variable.

4.2.2 Variables and Measures

The independent variable in our study is the symbolic execution algorithm used in our empirical study. We use the DiSE algorithm, and as a control, we use full (traditional) symbolic execution as implemented in the SPF framework. For our study, we selected three dependent variables and measures: 1) time, 2) states explored, and 3) number of path conditions generated. Time is measured as the total elapsed time reported by SPF. It includes the time spent computing the affected program locations and the time spent performing symbolic execution. States explored provides a count of the number of symbolic states generated during symbolic execution. Number of path conditions generated provides a count of the number of program execution paths generated by a given technique. Time and states explored are used to relate the cost of DiSE to the cost of full symbolic execution of the changed method (RQ1), while number of path conditions generated is used to judge the effectiveness of DiSE relative to full symbolic execution (RQ2).

4.2.3 Experiment Setup

To perform our study, we compiled all of our artifacts using Java version 1.6.0_22. We used a custom Java application to perform a lightweight diff analysis comparing the abstract syntax tree (AST) for each mutant with the AST for the base version of the program. We then analyzed each mutant version with DiSE, using the results of the AST diff. We also performed symbolic execution on each mutant using standard symbolic execution in SPF (JPF v6). The study was performed on a MacBook Pro running at 2.26 GHz with 2 GB of memory and running Mac OS X version 10.5.8.

4.2.4 Threats to Validity

The primary threats to external validity for our study are (1) the use of SPF within which we implemented our technique, (2) the use of Choco as the underlying constraint solver, (3) the selection of artifacts used to evaluate DiSE, and (4) the changes applied to create the mutants. Implementing DiSE in another framework or using another constraint solver could produce different results; however, replicated studies with other tool frameworks would address this threat. The artifacts selected for our study are control applications that are amenable to symbolic execution. The artifacts are comparable in structure and complexity to other artifacts that we are aware of that are used to evaluate symbolic execution techniques. The mutant versions we used to perform our study were created manually, and may or may not reflect actual program changes; however, the mutations were developed in a systematic way that considered program location, change type, and number of changes. Further evaluation of DiSE on a broader range of program types and on programs with actual version histories would address this threat.

The primary threats to internal validity are the potential faults in the implementation of our algorithms and in SPF. We controlled for this threat by testing our algorithms on examples that we can manually verify. With respect to threats to construct validity, the metrics we selected to evaluate the cost of DiSE are commonly used to measure the cost of symbolic execution.
### 4.2.5 Results and Analysis

In this section, we present the results of our case-study, and analyze the results with respect to our two research questions. In Tables 4.2.1(a)–(c) we list the results of running DiSE and full symbolic execution on each version of each Java artifact. For each mutant version of the method, we list the number of CFG nodes changed (Changed), the number of CFG nodes affected by the changes (Affected), and the metrics described in Section 4.2.2 – the time to perform DiSE and the time to perform traditional symbolic execution of the mutant version as reported by SPF, the number of states explored during execution of each technique, and the number of path conditions generated by each technique in the resulting method summary. The results for DiSE are listed under the subheading DiSE and the results for traditional symbolic execution are listed under the subheading Full Symbc.

**RQ1 (Cost).** In Tables 4.2.1(a)–(c), we can see that for all versions of the ASW and OAE examples, and for the majority of versions in the WBS example, DiSE takes considerably less time than full symbolic execution. In many cases, the differences in time is several orders of magnitude. For all of the examples, when the changes to the program do not affect all path conditions (program paths), DiSE takes at most 20% of the time taken by full symbolic execution. For the five versions of the WBS example (v1, v7, v10, v14, and v15) where DiSE explores the same number of states as full symbolic execution, the time taken by DiSE is 9%–30% longer than symbolic execution. This extra execution time accounts for the overhead of computing the affected locations and supporting data structures.

For all of our examples, there is considerable variation in the number of states explored by DiSE; our intuition is that other factors beyond the number of changes, e.g., location and nature

<table>
<thead>
<tr>
<th>Version</th>
<th>CFG Nodes Changed</th>
<th>CFG Nodes Affected</th>
<th>Time (mm:ss)</th>
<th>States Explored</th>
<th>Path Conditions</th>
</tr>
</thead>
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<td>DiSE Full Symbc</td>
<td>DiSE Full Symbc</td>
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<td>DiSE Full Symbc</td>
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<td>00:20 18:07</td>
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(a) ASW Example

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</tr>
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<tr>
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<td>56</td>
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<td>14</td>
</tr>
<tr>
<td>v6</td>
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<td>56</td>
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<td>v7</td>
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<tr>
<td>v8</td>
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<td>57</td>
<td>00:29 03:45</td>
<td>39,610 1,317,048</td>
<td>14</td>
</tr>
<tr>
<td>v9</td>
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<td>4</td>
<td>00:33 02:41</td>
<td>65,976 677,976</td>
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(b) WBS Example

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<th>States Explored</th>
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<td>13,082 130,820</td>
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<td>2</td>
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<td>3,926</td>
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<td>2</td>
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<tr>
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</table>

(c) OAE Example

Table 2. DiSE and Symbolic Execution Results
5. Discussion

The goal of DiSE is to enable more efficient symbolic execution by focusing it on generating affected path conditions. DiSE uses a conservative analysis to identify affected program locations. Thus, in principle, DiSE may generate some path conditions that represent unchanged paths. However, as experimental results demonstrate, DiSE is effective at focusing symbolic execution on affected program behaviors and enables efficient incremental symbolic execution.

5.1 Bug finding using DiSE

DiSE can handle programs with assertions when the “assert” statements are de-sugared into “if” and “throw” statements. In Java programs, this de-sugaring takes place when assert statements in Java source are compiled into Java byte code. Since DiSE performs symbolic execution on Java byte code, DiSE will not miss detecting a failed assertion violation caused by a program change. Thus, DiSE supports finding bugs when assertions are present and assertion failures characterize bugs. If the assertions were written in a language other than the underlying programming language (e.g., Alloy [16] assertions in Java programs), our technique would work with the assertions translated to Java (e.g., from Alloy).

5.2 Software evolution

The results of DiSE can be used to support various software evolution tasks. We present one such application—regression testing as it relates to test case selection and augmentation. We note that our goal is not to demonstrate the effectiveness of test case selection and augmentation, but, rather to demonstrate one application of DiSE results to support software evolution tasks.

SPF outputs values that can be used for the method arguments (test inputs) based on the generated path conditions. The test inputs are produced by solving the constraints in the path condition and using the resulting values to generate a call to the method under analysis. The results are output in string format. Our implementation of test case selection and augmentation is trivial in its approach—it simply performs a string comparison of the test cases generated for the original version (by full symbolic execution) with the tests generated by DiSE. Tests generated for the original version of the method represent an existing test suite. Tests generated by DiSE that are also found in the tests generated for the original version are marked as selected, while the other tests are considered tests to be added to augment the test suite.

The results of using DiSE to perform test case selection and test case augmentation for our three examples are shown in Tables 3(a)–(c). The combination of selected and added tests execute all of the branches in the program that are in some way affected by the changes made to the method under analysis. Full symbolic execution on the original version of ASW generates 256 tests, while full symbolic execution on the WBS and OAE examples generates 24 tests and 2,920 tests respectively. Note that the number of test cases generated by symbolic execution and by DiSE differs from the number of path conditions generated by each technique; this is due to the fact that the current implementation of the test generation tool computes input values only for the method arguments, i.e., a partial state. As a result, when fields are represented by symbolic values, multiple path conditions generated by a given technique may map to a single set of concrete inputs to the method under analysis.

For all of the artifacts in our study, the results of DiSE can be used to identify test cases that can be re-used, and the test cases that exercise affected path conditions for which test cases must be generated. For the ASW and WBS examples, DiSE results show that only a small number of test cases is necessary to augment the test suite for the new version of the program. For the OAE exam-
ple, the results of DiSE indicate that for some changes, e.g., v3, it is unnecessary to generate any new test cases. For versions v6 and v8, however, only two of the existing test cases are valid for the new version of the program and 584 test cases are necessary to test the program behaviors identified as affected by DiSE. When only a subset of the path conditions is affected by the changes to a program, using DiSE results for test case selection and augmentation of the artifacts in our study would result in executing between 20% and 70% of the test cases that would be run in a re-test all approach, reducing the time necessary for regression testing of the new version of the program.

The requirements for soundness and completeness of an analysis are driven by the needs of the specific evolution task that uses the results of the analysis. For example, the test selection and augmentation application considered here covers all the branches in the method that are affected by the change. However, it does not necessarily provide full (bounded) path coverage. To achieve full path coverage, additional information could potentially be recorded from a previous run, and combined with DiSE results. As future work, we plan to investigate the use of DiSE for other software evolution tasks.

6. Related Work

Recent years have seen a significant growth in research projects based on symbolic execution, first introduced in the 1970’s by Clarke [7] and King [22]. These projects have pursued three primary research directions to enhance traditional symbolic execution: (1) to improve its effectiveness [4, 10, 12, 19, 32]; (2) to improve its efficiency [1, 3, 5, 11, 20, 31, 37]; and (3) to improve its applicability [8, 18, 27, 33]. The novelty of DiSE is to leverage state-of-the-art symbolic execution techniques and apply a static analysis in synergy to enable symbolic execution to perform more efficiently as a program undergoes changes.

The projects to enhance the effectiveness of symbolic execution have focused on two areas. First, is to enable symbolic execution to handle programs written in commonly used languages, such as Java and C/C++, by providing support for symbolic execution over the core types used in these languages [4, 10, 12, 19, 32]. The second area of focus is to enable symbolic execution to work around the traditional limitation of undecidability of path conditions through the use of mixed symbolic/concrete execution to attempt to prevent the path conditions from becoming too complex [12, 32].

Research to enhance the efficiency of symbolic execution has followed three basic directions. One, to use abstraction with symbolic execution to reduce the space of exploration [1, 20]. Two, to perform compositional symbolic execution, where summaries of parts of code are used in place of an actual implementation to enable the underlying constraint solvers to perform more efficiently [3, 11]. Three, to enable symbolic execution to find bugs faster through the use of heuristics, e.g., genetic algorithms [37], that directly control the symbolic exploration and focus it on parts that are more likely to contain bugs.

Static analysis has also been used effectively for guiding symbolic execution. Chang’s recent doctoral dissertation [5] uses a def-use analysis based on user-provided control points of interest, and applies a program transformation that incorporates boundary conditions on program inputs into the program logic to enable more efficient bug finding. Sauterlinces and Harrold [31] use control and data dependencies to symbolically execute groups of paths, rather than individual paths to enable scalability. The key difference between DiSE and previous work is the ability of DiSE to utilize information about program differences for efficient symbolic execution as code undergoes changes.

While several projects have made significant advances in applying symbolic execution to test input generation and program verification – two traditional applications of symbolic execution – recent projects have used it as an enabling technology for various novel applications, including program differencing [27], data structure repair [18], dynamic discovery of invariants [8], as well as estimation of energy consumption on hardware devices with limited battery capacity [33].

An application of symbolic execution with a focus on program differences is regression testing [14, 15, 25]. Several recent projects use symbolic execution as a basis of test case selection and augmentation [29, 35, 38]. DiSE differs from these projects in its focus on the core symbolic execution technique to enable a variety of software evolution tasks – not only regression testing.

Program differencing, in general, is a well-studied research area with several techniques for computing differences [2, 21, 27] as well as leveraging them to enable various software evolution tasks [23]. Research in utilizing differences to speed-up symbolic execution by focusing it on code changes has only recently begun. Godfrey et al. [13] consider the problem of statically validating symbolic test summaries against changes, specifically for compositional dynamic test generation. Our approach is complementary since it uses change impact information to explore only the paths of the symbolic execution tree that are affected by the change, thereby reducing the cost of recomputing symbolic summaries.

The Java PathFinder model checker [36] has previously been used for incremental checking of programs that undergo changes [24, 39]. Incremental state-space exploration (ISSE) [24] focuses on evolving programs and stores the explored state space graph to use it for checking a subsequent version of the program, and reduces the time necessary for state-space exploration by avoiding the execution of some transitions and related computations that are not necessary. Regression model checking (RMC) [39] presents a complementary approach to ISSE and uses the difference between two versions to drive the pruning of the state space when model checking the new version. RMC computes reachable program coverage elements, e.g., basic blocks, for each program state during a recording mode run of RMC on the original version. Impact analysis is then used to calculate dangerous elements whose behavior may now differ because of changes. This is done by comparing the bytecodes and control-flow graphs for the two program versions. The dangerous elements information is then combined with the reachable elements information to prune safe sub-state spaces during a pruning mode run of RMC on the modified version of the program. DiSE takes inspiration from RMC and supports incremental symbolic execution, which is not addressed by RMC and ISSE. Moreover, a key difference between DiSE and previous work is that to analyze the current program version DiSE does not require the availability of the internal states of the previous analysis run – ISSE requires the state-space graph and RMC requires the dynamic reachability information for program coverage elements.

7. Conclusions and Future Work

In this paper, we introduced Directed Incremental Symbolic Execution (DiSE), a novel technique that leverages program differences to guide symbolic execution to explore and characterize the effects of program changes. We implemented DiSE in the symbolic execution extension of the Java PathFinder verification framework, and evaluated its cost and effectiveness on methods from three Java applications. The results of our case-study demonstrate that DiSE efficiently generates the set of path conditions affected by the change(s) to a program. We demonstrate the utility of our technique by using DiSE results to perform test case selection and test input generation for the examples in our study.

DiSE is an intra-procedural, incremental analysis technique that generates and characterizes method-level differences. DiSE does not generate affected path conditions arising from the control and
data flow between various methods. As a result, DiSE does not consider the effects of the return value of a changed method flowing back to its calling context, nor does DiSE capture the effects of changes to the global state if whatever change is causing the effect does not also affect the path condition. We plan to extend DiSE to use an inter-procedural analysis to generate affected path conditions over the entire system as part of our future work.

We observed from our study that the number of changes is only one factor affecting which (and how many) path conditions are changed; program structure and the nature of the change also appear to have a considerable effect. We expect these factors to also affect the number of path conditions that are changed when we evaluate DiSE on a more diverse set of programs. In future work, we plan to conduct a more comprehensive evaluation of our DiSE technique.

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