Measurement of separate cosmic-ray electron and positron spectra with the Fermi Large Area Telescope


1W. W. Hansen Experimental Physics Laboratory, Kavli Institute for Particle Astrophysics and Cosmology, Department of Physics and SLAC National Accelerator Laboratory, Stanford University, Stanford, CA 94305, USA
2Istituto Nazionale di Fisica Nucleare, Sezione di Pisa, I-56127 Pisa, Italy
3Istituto Nazionale di Fisica Nucleare, Sezione di Trieste, I-34127 Trieste, Italy
4Dipartimento di Fisica, Università di Torino, I-10125 Torino, Italy
5Istituto Nazionale di Fisica Nucleare, Sezione di Padova, I-35131 Padova, Italy
6Dipartimento di Fisica, Università di Padova, I-35131 Padova, Italy
7Istituto Nazionale di Fisica Nucleare, Sezione di Padova, I-35131 Padova, Italy
8Dipartimento di Fisica, Università degli Studi di Perugia, I-06123 Perugia, Italy
9Dipartimento di Fisica, Università degli Studi di Perugia, I-06123 Perugia, Italy
10Istituto Nazionale di Fisica Nucleare, Sezione di Pisa, I-56127 Pisa, Italy
11Istituto Nazionale di Fisica Nucleare, Sezione di Bari, I-70126 Bari, Italy
12Dipartimento di Fisica "M. Merlin" dell'Università di Bari e del Politecnico di Bari, I-70126 Bari, Italy
13Laboratoire Leprince-Ringuet, École polytechnique, CNRS/IN2P3, Palaiseau, France
14Institut de Ciències de l’Espai (IEEC-CSIC), Campus UAB, 08193 Barcelona, Spain
15INAF-Istituto di Astrofisica Spaziale e Fisica Cosmica, I-20135 Milano, Italy
16Laboratoire AIM, CEA-IRFU/ CNRS/Université Paris Diderot, Service d’Astrophysique, CEA Saclay, 91191 Gif sur Yvette, France
17Artec Inc, 2922 Excelsior Springs Court, Ellicott City, MD 21042, resident at Naval Research Laboratory, Washington, DC 20375, USA
18National Research Council Research Associate, National Academy of Sciences, Washington, DC 20001, resident at Naval Research Laboratory, Washington, DC 20375, USA
19ASI Science Data Center, I-00044 Frascati (Roma), Italy
20Laboratoire Univers et Particules de Montpellier, Université Montpellier 2, CNRS/IN2P3, Montpellier, France
21Department of Physics, Stockholm University, AlbaNova, SE-106 91 Stockholm, Sweden
22The Oskar Klein Centre for Cosmoparticle Physics, AlbaNova, SE-106 91 Stockholm, Sweden
23Royal Swedish Academy of Sciences Research Fellow, funded by a grant from the K. A. Wallenberg Foundation
24Agenzia Spaziale Italiana (ASI) Science Data Center, I-00044 Frascati (Roma), Italy
25Dipartimento di Fisica, Università di Udine and Istituto Nazionale di Fisica Nucleare, Sezione di Trieste, Gruppo Collegato di Udine, I-33100 Udine, Italy
Introduction. Positrons were discovered in cosmic rays (CRs) in 1964 [1]. Subsequent measurements through the 1960s and 1970s [2, 3] showed that the positron fraction, \( e^+/e^+ + e^- \), decreases with energy up to \(~10\) GeV. See [4] and references therein for a summary of these early experiments. Balloon payloads through the 1980s measured CR electrons and positrons with inconsistent results [5, and references therein]. Starting in the mid-1990s, HEAT [6–10] and CAPRICE [11, 12] measured the CR positron spectrum and fraction up to \(~50\) GeV, indicating a predominantly decreasing positron fraction with increasing energy. However, a small excess in the positron fraction above \(\approx 7\) GeV was detected by HEAT and also seen in CAPRICE data, as well as by AMS-01 [13]. Recently, the PAMELA instrument has measured a positron fraction that increases with energy above...
The best established mechanism for producing CR positrons is secondary production: CR nuclei interact inelastically with interstellar gas, producing charged pions that decay to positrons, electrons, and neutrinos. However, this process results in a positron fraction that decreases with energy [4, 16]. The origin of the rising positron fraction at high energy is unknown and has been ascribed to a variety of mechanisms including pulsars, CRs interacting with giant molecular clouds, and dark matter. See [17, 18] for recent reviews.

The Large Area Telescope (LAT) is a pair-conversion gamma-ray telescope onboard the Fermi Gamma-ray Space Telescope satellite. It has been used to measure the combined CR electron and positron spectrum from 7 GeV to 1 TeV [19, 20]. The LAT does not have a magnet for charge separation. However, as pioneered by [21] and [22], the geomagnetic field can also be used to separate the two species without an onboard magnet. Müller and Tang [22] used the difference in geomagnetic cutoff for positrons and electrons from the east and west to determine the positron fraction between 10 GeV and 20 GeV. As reported below, we used the shadow imposed by the Earth and its offset direction for electrons and positrons due to the geomagnetic field, to separately measure the spectra of CR electrons and positrons from 20 GeV to 200 GeV. In this energy range, the 68% containment radius of the LAT point-spread function is 0.1° or better and the energy resolution is 8% or better.

Region selection and exposure calculation. The Earth’s magnetic field significantly affects the CR distribution in near-Earth space. At energies below ~10 GeV, a significant fraction of the incoming particles are deflected back to interplanetary space by the magnetic field ("geomagnetic cutoff"). The exact value of the geomagnetic cutoff rigidity depends on the detector position and viewing angle. In addition to the geomagnetic cutoff effect, the Earth blocks trajectories for particles of certain rigidities and directions while allowing other trajectories. This results in a different rate of CRs from the east than the west (the “east-west effect”) [23–25].

Figure 1 shows example trajectories for electrons and positrons. Positive charges propagating toward the east are curved outward, while negative charges are curved inward toward the Earth (Figure 1). This results in a region of particle directions from which positrons can arrive, while electrons are blocked by the Earth. At each particle rigidity there is a region to the west from which positrons are allowed and electrons are forbidden. There is a corresponding region to the east from which electrons are allowed and positrons are forbidden. The precise size and shape of these regions depend on the particle rigidity and instrument location.

We used a high-precision geomagnetic field model (the 2010 epoch of the 11th version of the International Geomagnetic Reference Field [26]) and a publicly available code [27] to trace charged particle trajectories in the magnetic field and determine allowed vs. forbidden regions for each species. We previously used the same magnetic field model and tracer code to perform a precise comparison between predicted and measured geomagnetic cutoff rigidities for the Fermi LAT orbit, finding that the tracer code accurately predicts the geographical distribution of the geomagnetic cutoff [28]. We also tested the model for the 1995 epoch and found that the differences for this analysis were small. We therefore used the static 2010 model for all of the data analyzed here, which spanned June 2008 through April 2011.

Each particle trajectory is traced backward from the spacecraft until it reaches 20 Earth radii from the Earth center or reaches the Earth’s atmosphere, which we approximate with a 60 km thickness (Figure 1). If the trajectory reaches 20 Earth radii, it is an allowed trajectory. If it reaches the atmosphere, it is a forbidden trajectory. We calculate electron-only, positron-only, and both-allowed (control) regions for each 30 s time step using the instantaneous spacecraft latitude and longitude and the nominal orbital altitude of 565 km. The regions are determined for each energy bin, with 10 logarithmically spaced energy bins spanning 20–200 GeV. The 30 s time step (in which the spacecraft travels ~2° longitude) is sufficient to achieve a finely sampled distribution of instantaneous regions and exposures. Although we use binned position data for the exposure calculation, we use the instantaneous spacecraft position at the time of each event to determine which region it lies in, so the event...
with angular distributions similar to that of atmospheric emission, the peak atmospheric positron emission seen by the LAT is produced at an impact parameter of \(~60\) km above the Earth surface. The detection of this population, and its narrow distribution at \(D_+ = 0\), confirms that the magnetic field model and particle tracer code are accurate.

To remove atmospheric electrons and positrons, which are concentrated near \(D_+ = 0\) and \(D_- = 0\), we trim the edges of our selection regions by a "trim width" \(W\) in nadir angle. We evaluated the residual contamination by atmospheric electrons and positrons by performing the full positron flux measurement as a function of \(W\). The contamination decreases significantly from \(W = 0^\circ\) to \(W = 2^\circ\) and is negligible for \(W \geq 4^\circ\). The contamination is \(<10\%\) for \(W = 2^\circ\) and \(<3\%\) for \(W = 4^\circ\). Below 100 GeV we trim by \(4^\circ\). Above 100 GeV we trim by \(2^\circ\); the systematic effect from residual secondaries with this less conservative trimming is larger (10\%) but still smaller than the statistical uncertainty in this energy range.

**Run and event selection.** Data collected in the LAT standard mode of operation (survey mode) are not suitable for this analysis. In survey mode, the LAT points away from the Earth, keeping the electron-only and positron-only regions (which are centered on the Earth horizon) outside of or at the edge of the field of view. However, the LAT has observed in special modes with the horizon in the field of view. Direct limb-pointed observations have been performed for instrument commissioning and calibration [29]. Pointed observations have also been performed in which a particular celestial direction is tracked for several hours or several days. During these observations the target is tracked until it is occulted by the Earth, during which time the spacecraft tracks along the limb of the Earth, with a 50\% offset toward zenith,
until the target re-emerges from occultation. We used 778 runs from these observation categories with a total observation time of 39.0 days. A LAT run is an interval of data taking that typically spans one orbit.

The recorded events are dominated by a background of CR protons and heavier nuclei. Here we used the same particle classification technique that we developed to measure the combined $e^+ + e^-$ spectrum [19, 20] to separate CR leptons from this background. We use events in the 20–200 GeV range. Below 20 GeV the electron/positron sensitivity is small due to charged-particle rejection by the event filter applied onboard the LAT. Above 200 GeV, the electron-only and positron-only regions become very small; this combined with the steeply falling spectra makes the number of detected events prohibitively small.

**Background subtraction.** The CR hadron flux (predominantly protons) between 20 GeV and 200 GeV is 2–3 (depending on energy) orders of magnitude larger than the electron flux and 3–4 orders of magnitude larger than the positron flux [30]. Our lepton/hadron separation criteria suppress the hadron background to a level that is between 4% and 20% (depending on energy) of the combined electron+positron flux [19, 20].

We used two independent methods to estimate the residual proton contamination remaining after the selection cuts described above. The first background estimation method uses fits applied to the flight data alone; it does not require simulating the detector. The transverse size of showers in the calorimeter provides significant discrimination power for separating electromagnetic showers from hadronic interactions. In this method we use slightly relaxed selection criteria to increase the statistics of both leptons and hadrons and fit the distribution of transverse shower size to statistically estimate the number of signal (and background) events present in the three regions.

Distributions of the transverse shower size are produced for each energy bin and for each region. They are fit with a function that represents the sum of signal and background (Figure 3). The number of leptons in the sample is determined by integrating the signal function up to 35 mm, where we truncate the integral to reduce the effect of the distribution tail. The signal and background shower size distributions are each parameterized with a Gaussian. To evaluate the uncertainty due to small differences between real data distributions and the Gaussian approximation, we applied this fit-based technique to a sample of events collected in survey mode to determine the $e^+ + e^-$ spectrum, and compared it to the previously published LAT measurements [19, 20]. The spectrum determined with the fit-based background subtraction method agrees with the published one within 5%. Tests on Monte Carlo simulations confirm that the choice of Gaussian functions is sufficient to obtain the number of signal events at the few-percent level.

The fit is well constrained in the control (both species allowed) region where the number of events is large and the signal-to-background ratio allows us to easily distinguish protons from electrons/positrons. In the other two regions (electron-only and positron-only), the small statistics are a limiting factor and the fit parameters are not as well constrained. However, the distribution of shower size depends primarily on particle type (lepton or hadron) and on the angle $\theta$ between the particle track and instrument axis. The electron and positron regions correspond to particular $\theta$ distributions (the two distributions are very similar to one another) which we reproduce by assembling a reference set of events from the control region with the same $\theta$ distribution. We then fit the mean and sigma parameters of the signal Gaussian to the values obtained by fitting this reference data set and fit only the normalization to the data sets from the positron-only and electron-only regions. No assumptions are made on incoming angle dependence of the background Gaussian, whose parameters are always free.

We evaluated the uncertainty introduced by this fit stabilization procedure by changing the mean and sigma parameters (within ±3 times the uncertainty of the fit values from the reference data sets) and calculating the resulting variation in the number of signal events. The maximum deviation of the number of signal events is 4% and is included in the systematic uncertainty for each energy bin.

The second method of estimating the residual proton background is based on a Monte Carlo (MC) simulation. The LAT detector MC is based on GEANT 4 [31] and has been validated using comparisons to flight data and pre-flight beam test data. We produced a set of 40 billion Monte Carlo protons between 4 GeV and 10 TeV
(≈3% of which pass the trigger and onboard filter) with a power-law distribution in energy with an index of 1.5. We also simulated 150 million electron events between 4 GeV and 1 TeV (~13% of which pass the onboard filter) with a spectral index of 1. The spacecraft orbit in the simulation matched the actual flight orbit for the data set used. We re-weight the MC proton flux to the spectrum measured by the AMS-01 experiment (index 2.78) [13], and the MC electron flux to the spectrum measured by the Fermi LAT [19, 20] (index 3.08). We apply the same analysis cuts to the MC and flight data in order to estimate the residual proton contamination. Roughly 0.1% of the protons that pass the onboard filter also pass the analysis cuts we designed to reject them. We traced the trajectories of surviving events and removed events due to atmospheric lepton contamination. The systematic uncertainty of atmospheric positron contamination is asymmetric because such contamination can only cause us to overestimate, not underestimate, the CR positron flux. Systematic uncertainties present only for the flight data fitting method are 5–10% (depending on energy and region) due to discrepancies between the fit shape and the actual distribution and 2–4% due to using the reference θ distribution. Components present only for the MC method are ≈8% due to discrepancies between MC protons and flight data, and 2–10% CR proton spectral index uncertainty, evaluated using three recent CR proton spectrum measurements (BESS [32], AMS-01 [13], and PAMELA [33]). Summing systematic uncertainty components in quadrature (we expect them to be uncorrelated), we estimate 10–16% (depending on energy) uncertainty in the positron flux for the fit method and 8–19% for the MC method. To determine the final uncertainty of each spectral point we add the statistical uncertainty in quadrature; for the MC method this includes both signal and background statistics.

The two independent background subtraction methods produce spectra that are consistent with one another in each of the three regions (positron, electron and control). Our best estimates of the spectra are shown in Figure 4 and Table I. We chose the fit method for all energy bins except the highest, because this method has slightly smaller uncertainty. For the highest energy bin we use the MC method because the statistics are not sufficient for fitting. The positron fraction \( \frac{J(e^+)}{J(e^+)+J(e^-)} \) is shown in Figure 5. Error propagation is performed independently for the fit method and for the individual spectra; the contribution due to effective area uncertainty cancels in the fraction.

![Figure 4: Energy spectra for e\(^+\), e\(^-\), and e\(^+\) + e\(^-\) (control region). In the control region, where both species are allowed, this analysis reproduces the Fermi LAT results reported previously for the total electron plus positron spectrum [19, 20] (gray). The small difference between the combined e\(^+\) + e\(^-\) flux we measured in the control region and the total flux we reported previously is due to instrument response functions that have been updated to account for "ghost events" [34]. Previous results from HEAT [9] and PAMELA [15] are shown for reference. The bottom panel shows that the ratio between the sum and the control flux is consistent with 1 as expected.](image-url)

![Table I: Flux (GeV\(^{-1}\) m\(^{-2}\) s\(^{-1}\) sr\(^{-1}\)) and positron fraction as a function of energy (GeV). Uncertainties are ±stat ±sys.](table-url)
the electron spectrum is \((2.07 \pm 1.3 \times 10^{-2} \text{ GeV}^{-1} \text{ m}^{-2} \text{ s}^{-1} \text{ sr}^{-1}) (\frac{K}{E_{\text{GeV}}})^{-3.19 \pm 0.07}\). The uncertainties are determined by including the total (statistical plus systematic) uncertainty of each energy bin. The fitted indices are consistent with the index we reported previously for the total electron plus positron spectrum \((3.08 \pm 0.05)\) \([19, 20]\).

**Conclusion.** We measured the CR positron and electron spectra separately between 20 and 200 GeV, using a novel separation technique which exploits the charge-dependent displacement of the Earth’s shadow due to the geomagnetic field. While the positron fraction has been measured previously up to 100 GeV \([18]\) and the absolute flux has been measured previously up to 50 GeV \([9, 36]\), this is the first time that the absolute CR positron spectrum has been measured above 50 GeV and that the fraction has been determined above 100 GeV. We find that the positron fraction increases with energy between 20 and 200 GeV, consistent with results reported by PAMELA \([14]\). Future measurements with greater sensitivity and energy reach, such as those by AMS-02, are necessary to distinguish between the many possible explanations of this increase.

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**FIG. 5:** Positron fraction measured by the Fermi LAT and by other experiments \([10, 14, 35]\). The Fermi statistical uncertainty is shown with error bars and the total (statistical plus systematic uncertainty) is shown as a shaded band.