



Progress Toward Electrostatic Radiation Shielding of Interplanetary Spacecraft

Strategies, Concepts and Technical Challenges of Human Exploration
Beyond Low Earth Orbit

Philip T. Metzger¹, John E. Lane²,
and Robert C. Youngquist¹

¹NASA/KSC, The KSC Applied Physics Laboratory

²ASRC Aerospace

April 29, 2004

Space Radiation: A Key Challenge

- We **cannot** explore the solar system until the space radiation problem has been solved
- Three principle sources of space radiation

Galactic Cosmic Radiation (GCR)

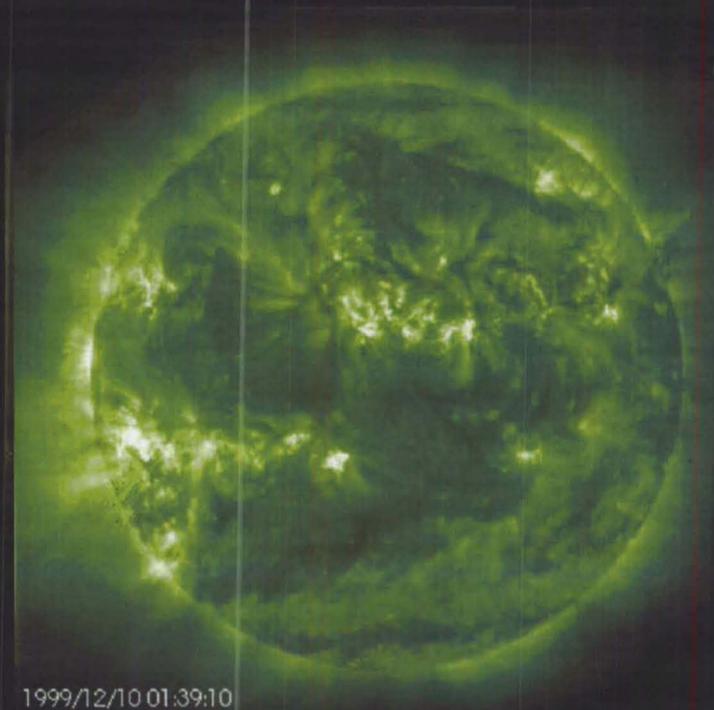
- Charged particles accelerated in the shock waves surrounding supernovae
- Ever-present, low-level flux of high-energy particles
- Large cumulative dose over the course of a mission
- Penetrate our solar system isotropically

Solar Particle Events (SPEs)

- Particles accelerated by solar phenomena
- Sporadic
- High flux when it does occur
- Lower-energy spectrum than GCR
- Approximately isotropic flux due to magnetic interactions in the solar system

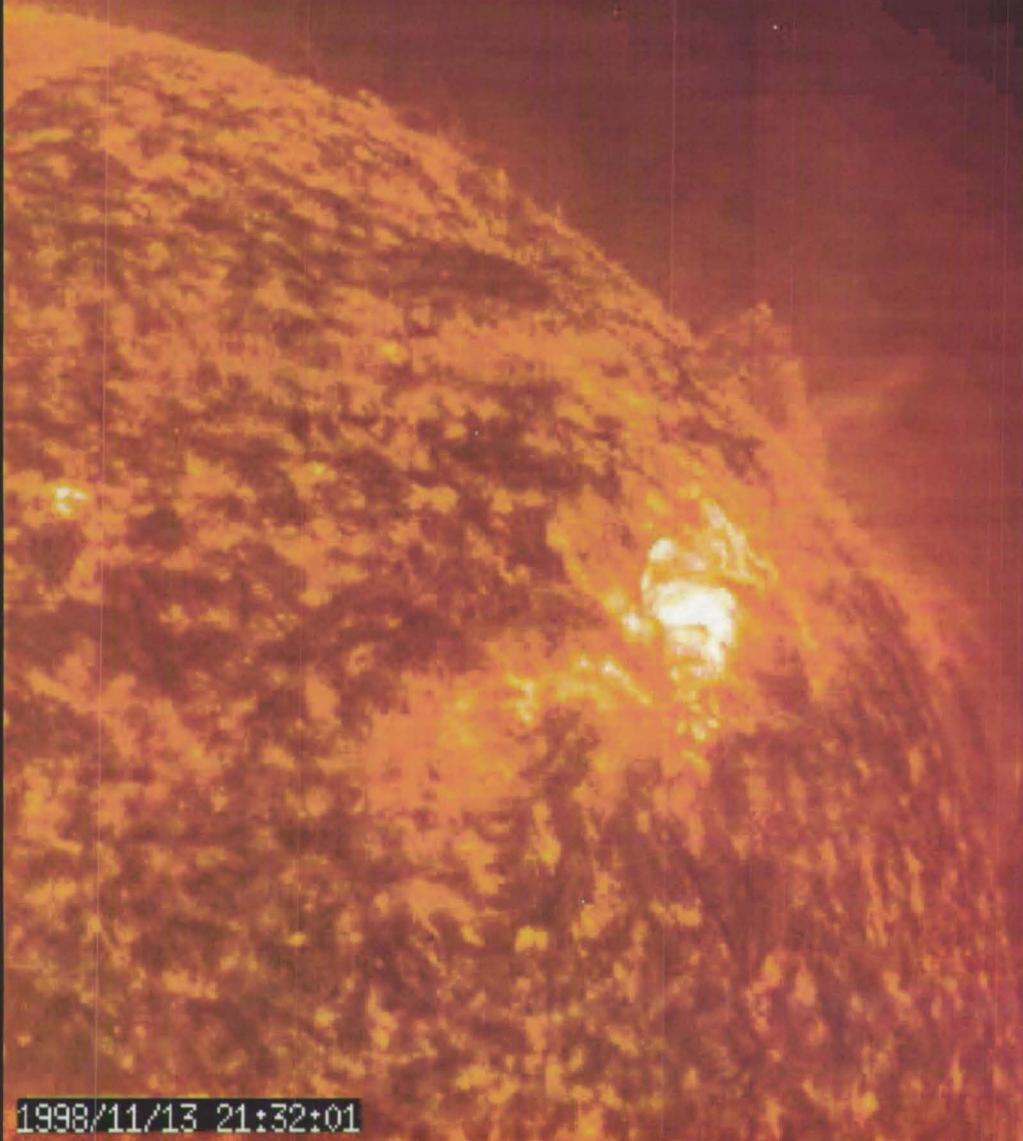
2 Types of SPEs

- Impulsive
 - Occur over a period of several hours
 - Associated with Solar Flares
- Gradual
 - Occur over a period of several days
 - Associated with Coronal Mass Ejections



SOHO (ESA/NASA)

Solar Flares



1998/11/13 21:32:01

SOHO (ESA/NASA)

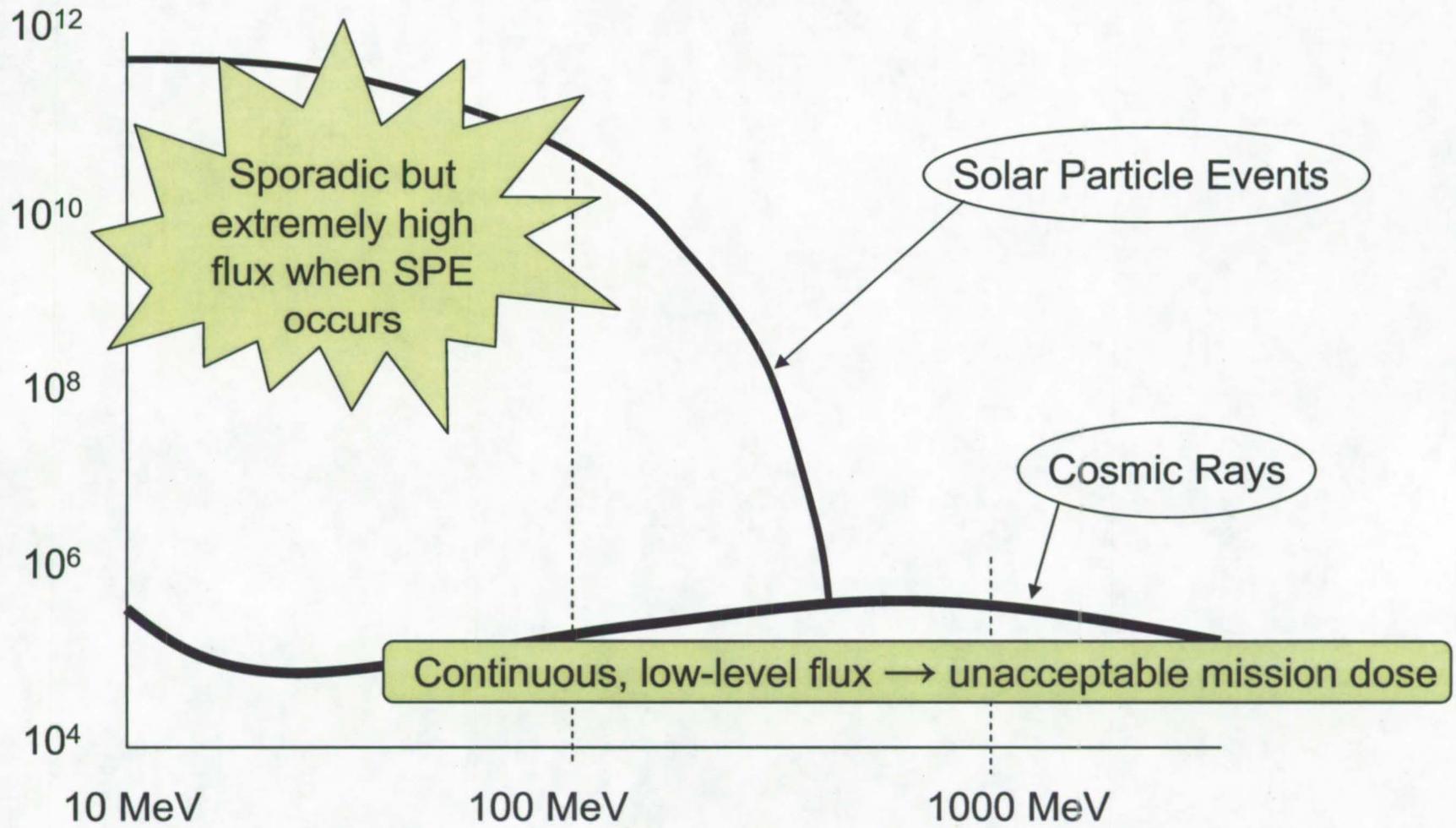
Coronal Mass Ejections



1999/08/01 00:18

SOHO (ESA/NASA)

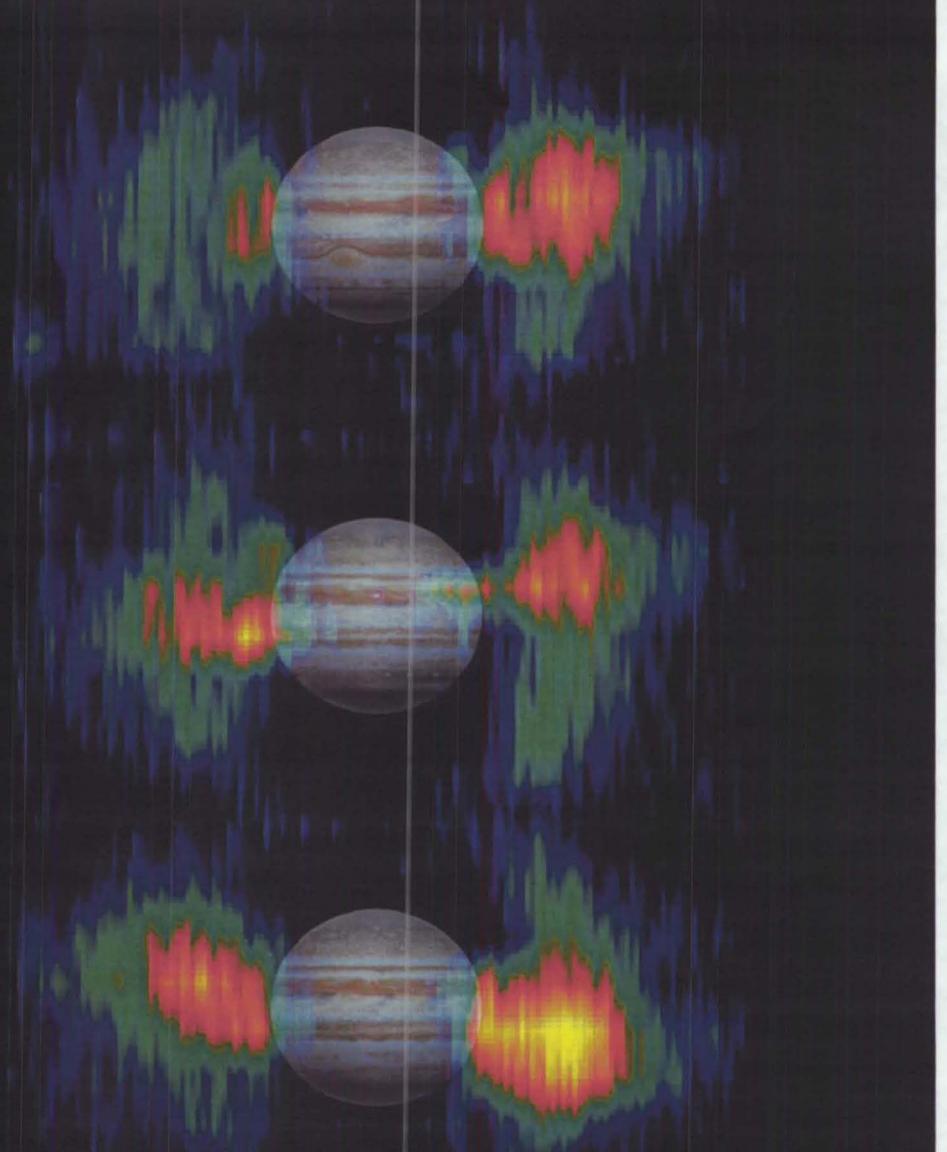
Space Radiation Spectrum



Ref. Silberberg *et al.*, 1984

Planetary Radiation Belts

- Earth
- Jupiter and other giant gas planets
 - Significant radiation belts
 - Especially bad at Europa

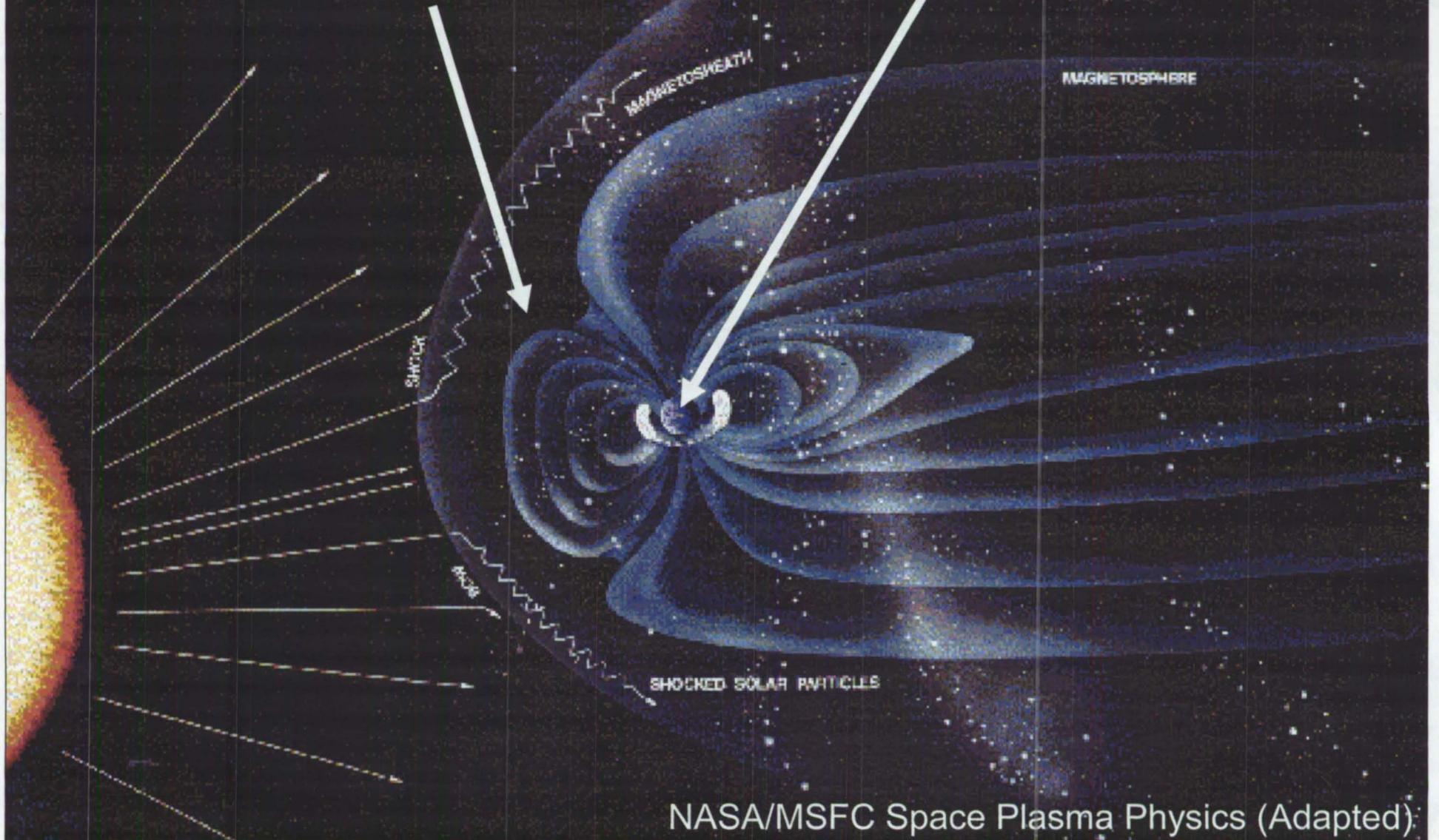


Measured by Cassini spacecraft
Image courtesy JPL

Our Protection on Planet Earth

Global Magnetic Field

Thick Atmosphere



NASA/MSFC Space Plasma Physics (Adapted)

Effects in Spaceflight

- Biological Effects
 - Delayed: cumulative effects of total dose
 - Acute: immediate effects of intense exposure
 - A single SPE may be lethal
- Electronic Effects

Passive (Material) Shielding

- The most successful method, to date
- Foams or other materials on outside of spacecraft
- The spacecraft structure, itself
- Consumables placed strategically
 - food
 - drinking water

Passive Shielding, continued

- Problems
 - Spacecraft Mass may become excessive
 - Secondary radiation
 - Some radiation gets through, *which is not acceptable during the high flux of SPEs*
- “Storm-Shelter approach”

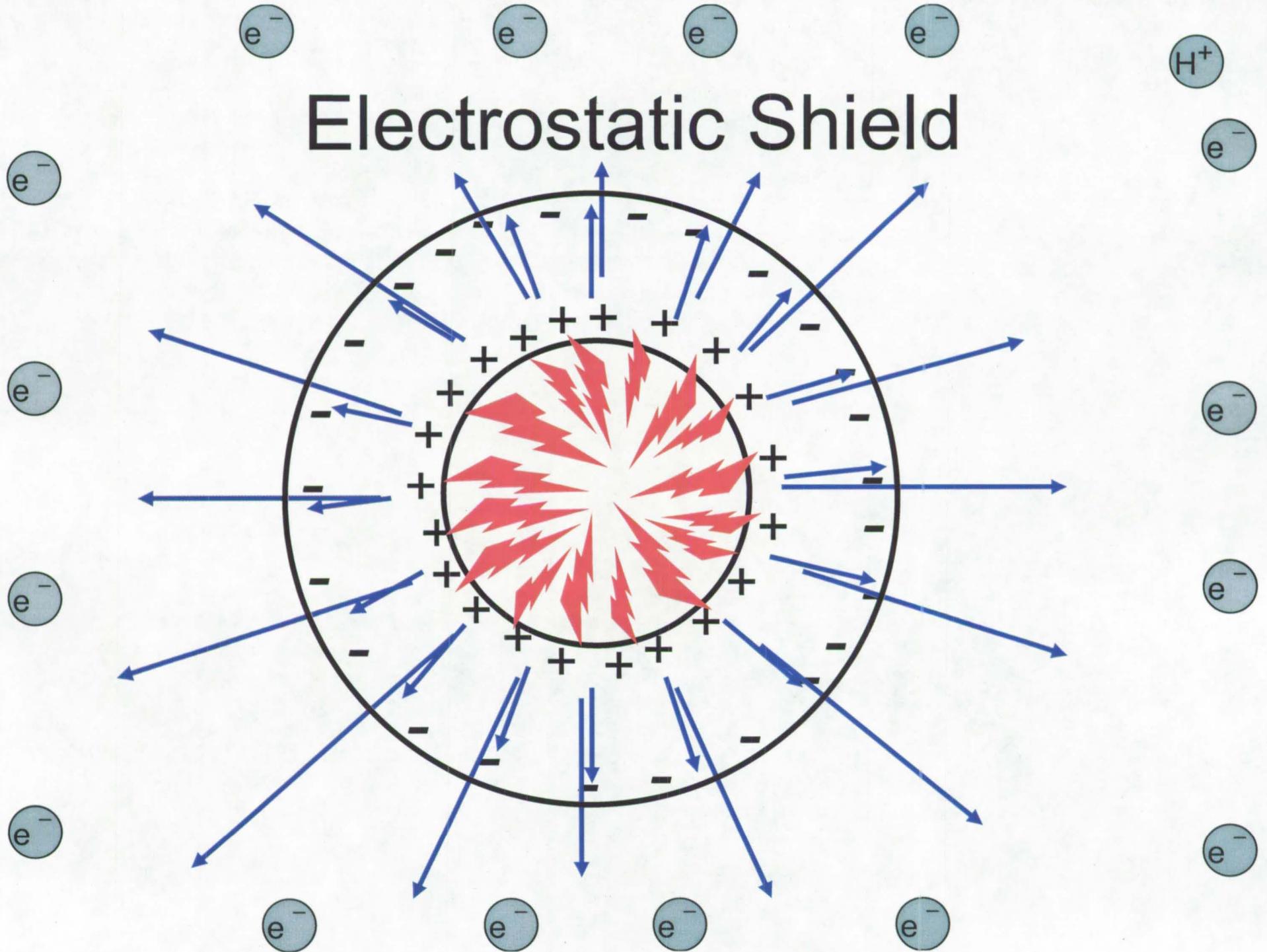
Problems with Storm Shelters

- Excessive time in cramped quarters
 - Gradual SPE may last 4 or 5 days
 - Psychologically and physically distressing
- Provides no protection for spacecraft electronics
 - May suffer irreparable damage resulting in eventual loss of mission and crew
- Crew unable to attend to the spacecraft
 - During the precise time when it needs the *most* attention (due to radiation effects)
 - May need quick response to manage spacecraft

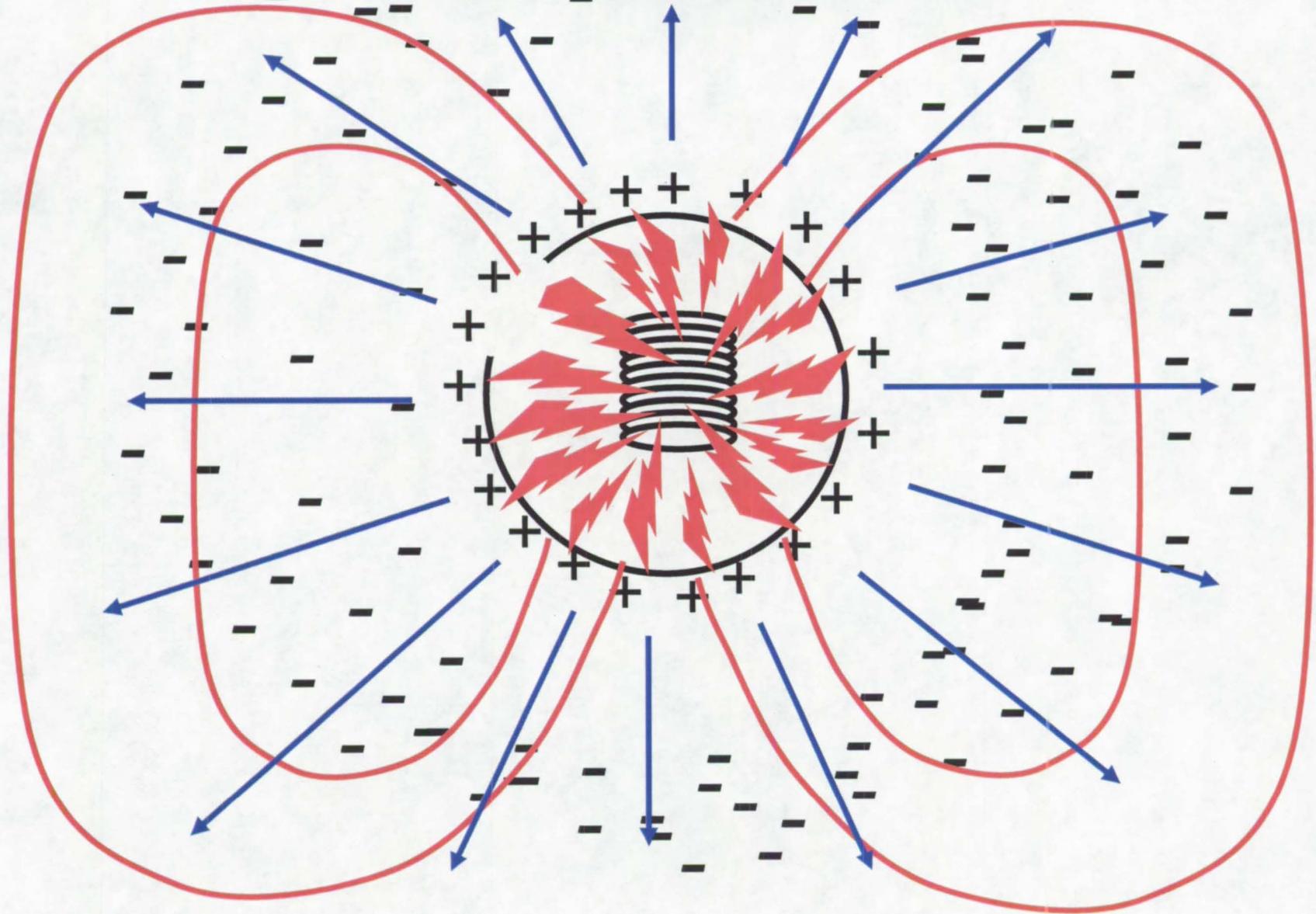
Magnetostatic Shield

- Attempt to mimic the shielding due to Earth's magnetic field
- Requires heavy, superconducting coils to produce sufficient magnetic field
- Hazard that coil could explode under the energy of the fields
- Better to use the mass of the coil as foam on the outside of the spacecraft

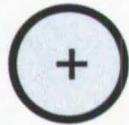
Electrostatic Shield



Plasma Shield



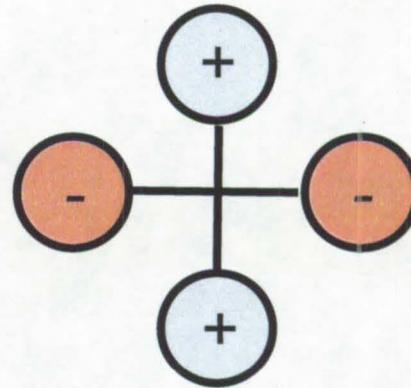
Multipole Expansions



Monopole



Dipole



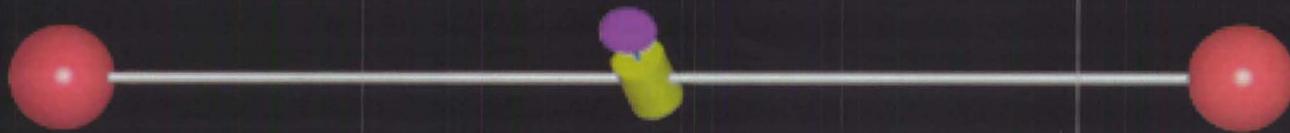
Quadrupole

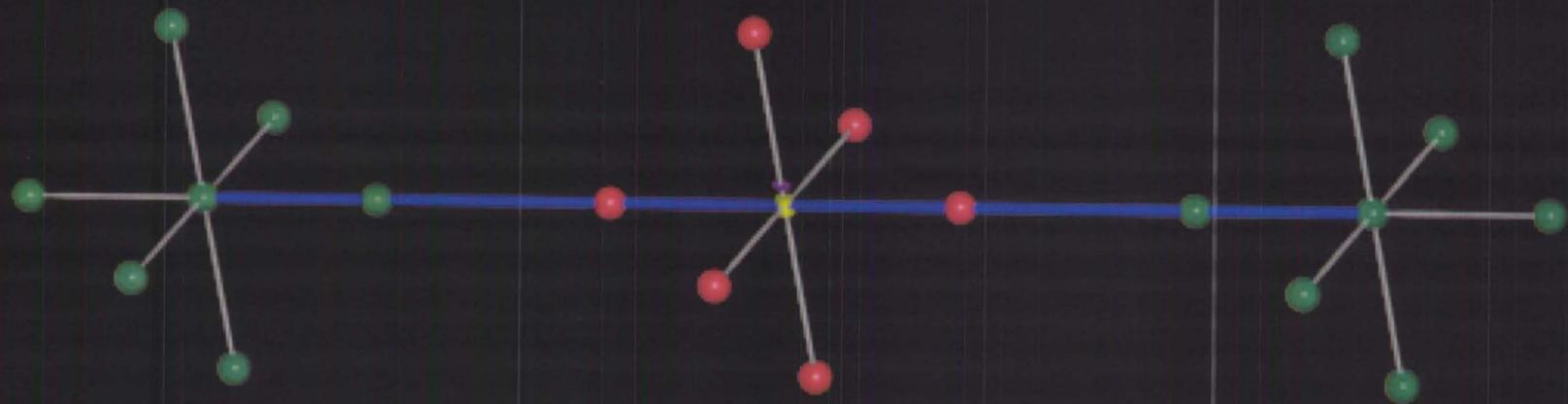
etcetera

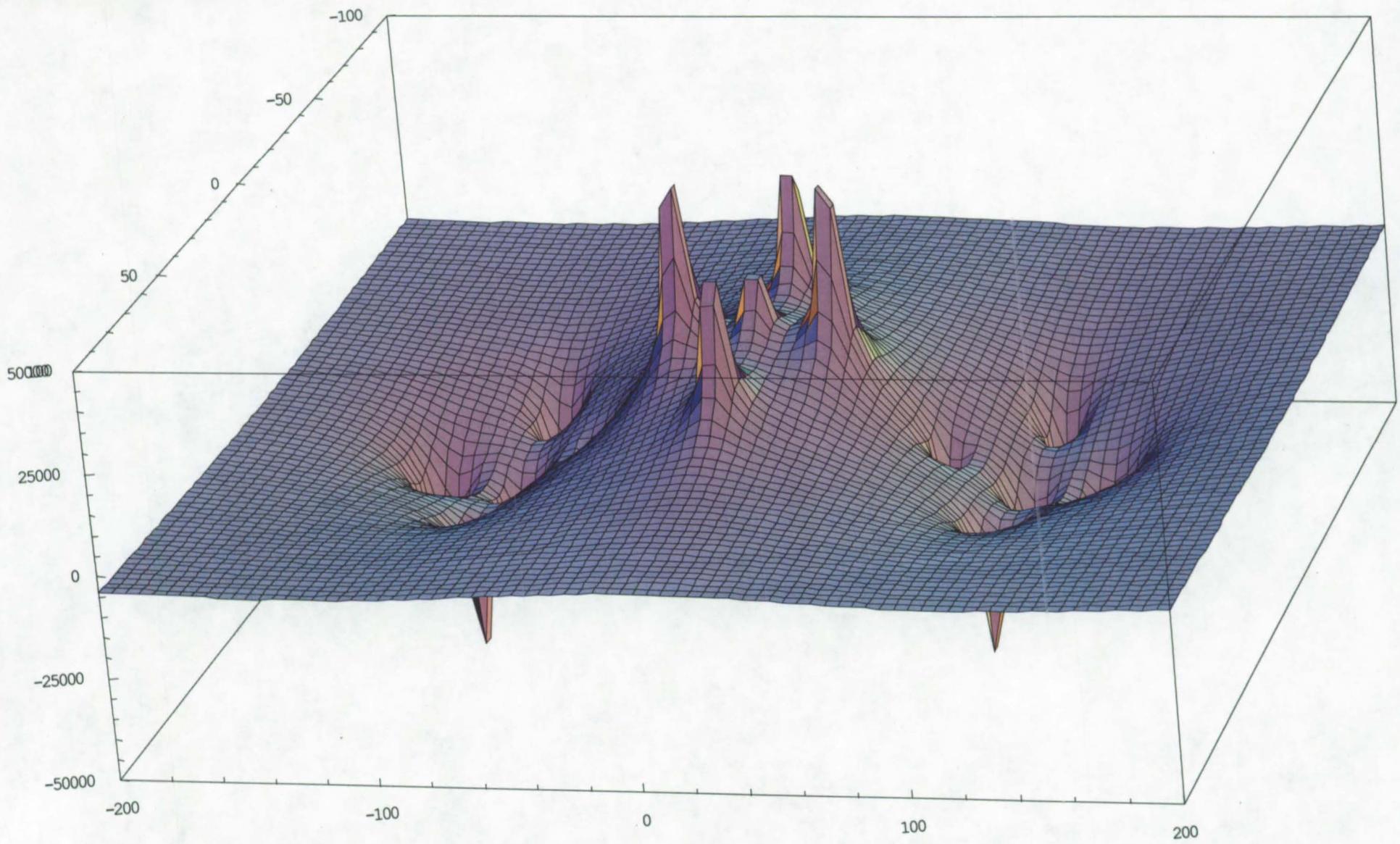
- An arbitrarily-complex electric field can be described as an infinite sum of these terms

Multipole Electrostatic Shield

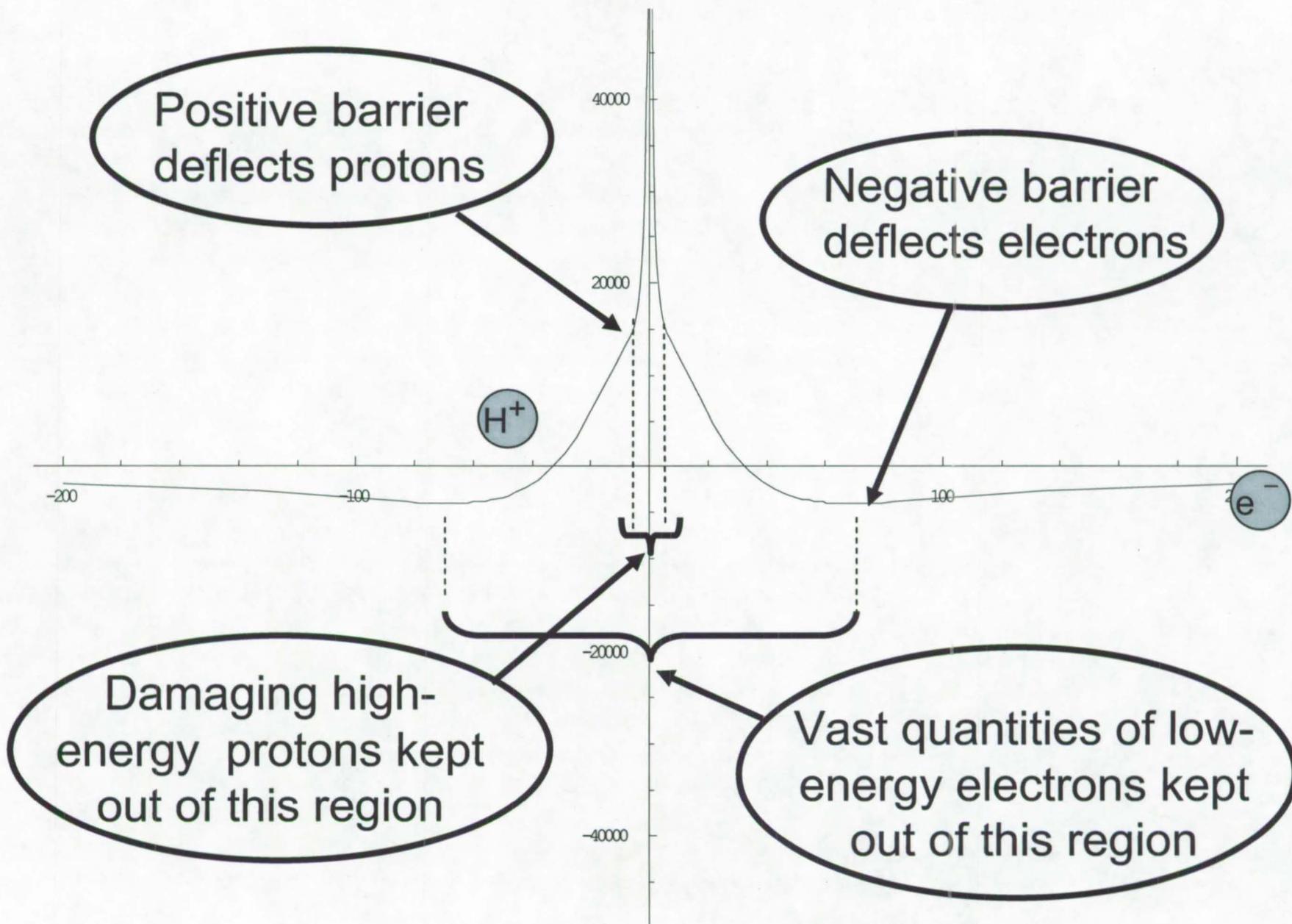
- Each term in the multipole expansion falls off with respect to distance more quickly than the previous term
 - Monopole term dominates far away
 - Higher-order terms dominate in close
- Assign different functions to different terms
- Result: Spherical zones of protection to repel both protons and electrons
 - But no charges need to be physically deployed around the spacecraft







$$\Phi(x = \xi, y = \xi, z = \xi)$$



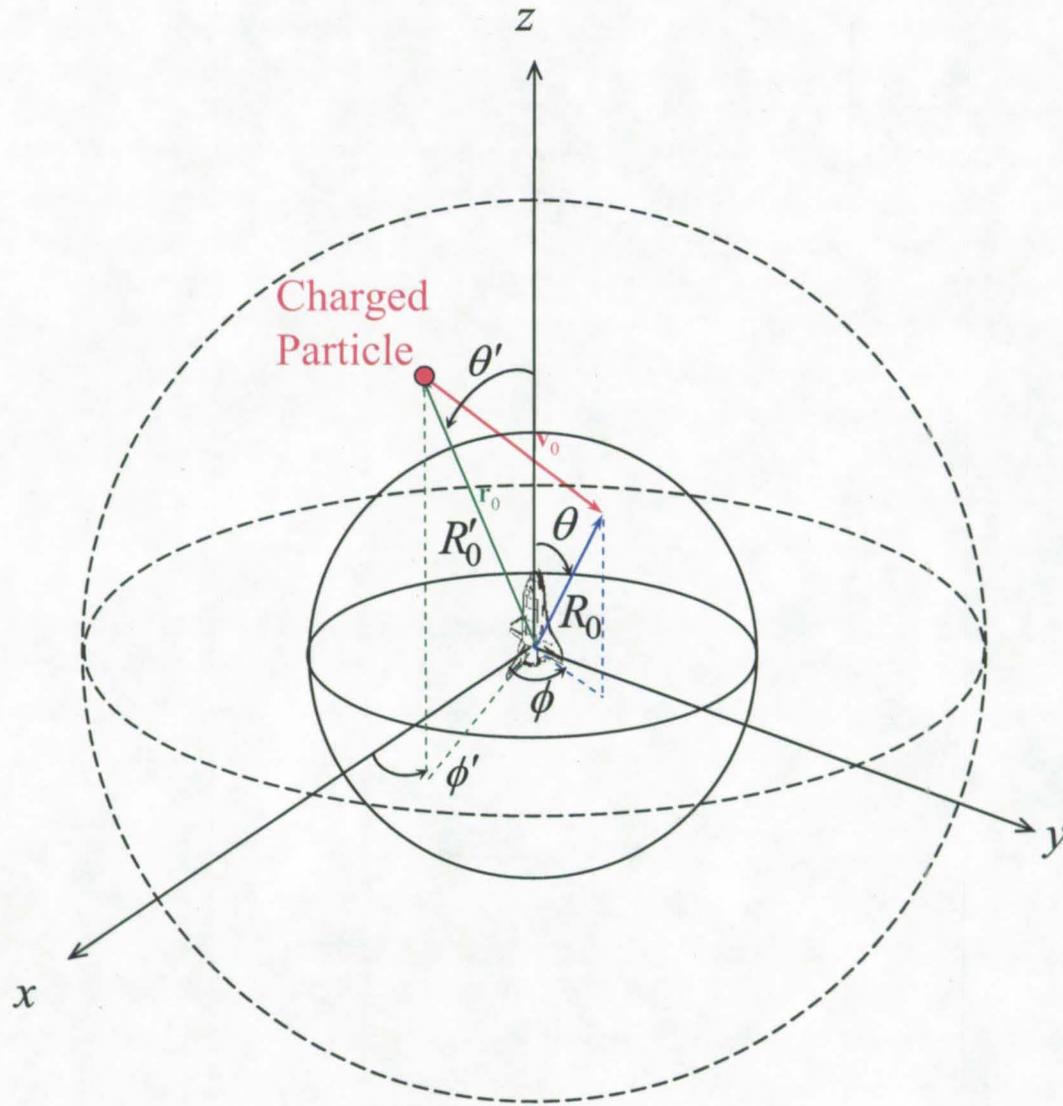


Diagram of Isotropic Radiation, Showing Initial State of Charged Particle.

A bunch of math...

$$A_x = (c_{23}c_{32}E_x - c_{22}c_{33}E_x - c_{13}c_{32}E_y + c_{12}c_{33}E_y + c_{13}c_{22}E_z - c_{12}c_{23}E_z)Q$$

$$A_y = (-c_{23}c_{31}E_x + c_{21}c_{33}E_x + c_{13}c_{31}E_y - c_{11}c_{33}E_y - c_{13}c_{21}E_z + c_{11}c_{23}E_z)Q$$

$$A_z = (c_{22}c_{31}E_x - c_{21}c_{32}E_x - c_{12}c_{31}E_y + c_{11}c_{32}E_y + c_{12}c_{21}E_z - c_{11}c_{22}E_z)Q$$

$$\mathbf{E}(\mathbf{r}) = \sum_{i=1}^N V_i R_i \frac{\mathbf{r} - \mathbf{r}_i}{|\mathbf{r} - \mathbf{r}_i|^3}$$

$$\mathbf{v}_0 = \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = v_0 \begin{pmatrix} \alpha \\ \beta \\ \gamma \end{pmatrix}$$

$$\gamma \equiv \left(1 - v^2 / c^2\right)^{-1/2}$$

$$Q\mathbf{E}(\mathbf{r}) = \dot{\mathbf{p}} = \frac{d}{dt}(\gamma m_0 \mathbf{v})$$

$$= \gamma m_0 \left(\dot{\mathbf{v}} + \gamma^2 \frac{\mathbf{v} \cdot \dot{\mathbf{v}}}{c^2} \mathbf{v} \right)$$

$$\alpha^2 + \beta^2 + \gamma^2 = 1$$

$$\alpha \equiv \frac{R_0 \sin \theta \cos \phi - R'_0 \sin \theta' \cos \phi'}{\begin{pmatrix} R_0 \sin \theta \cos \phi - R'_0 \sin \theta' \cos \phi' \\ R_0 \sin \theta \sin \phi - R'_0 \sin \theta' \sin \phi' \\ R_0 \cos \theta - R'_0 \cos \theta' \end{pmatrix}}$$

$$\beta \equiv \frac{R_0 \sin \theta \sin \phi - R'_0 \sin \theta' \sin \phi'}{\begin{pmatrix} R_0 \sin \theta \cos \phi - R'_0 \sin \theta' \cos \phi' \\ R_0 \sin \theta \sin \phi - R'_0 \sin \theta' \sin \phi' \\ R_0 \cos \theta - R'_0 \cos \theta' \end{pmatrix}}$$

$$\gamma \equiv \frac{R_0 \cos \theta - R'_0 \cos \theta'}{\begin{pmatrix} R_0 \sin \theta \cos \phi - R'_0 \sin \theta' \cos \phi' \\ R_0 \sin \theta \sin \phi - R'_0 \sin \theta' \sin \phi' \\ R_0 \cos \theta - R'_0 \cos \theta' \end{pmatrix}}$$

$$\mathbf{r}_0 = R'_0 \begin{pmatrix} \sin \theta' \cos \phi' \\ \sin \theta' \sin \phi' \\ \cos \theta' \end{pmatrix}$$

$$v_0 = \frac{\sqrt{2}T}{m_0 c} \left(\sqrt{1 + \left(\frac{m_0 c^2}{T} \right)^2} - 1 \right)^{1/2}$$

Electrostatic Shield Model (ESM) v6.0

Model Length Parameters

rho0 = [m] D = [AU]
 R0 = [m] Rs = [km]

Charged Particle Energy

Eo = [keV] sigmaE = [keV]

Particle Composition

Ne = Np = Nn =

Monte Carlo Parameters

of particles = seed =

Classical / Relativistic Mechanics

Relativistic Acceleration ON

Plot Parameters

Suppress Trails Output Filename
 Disengage Shield x-y
 rhoC = [m] x-z
 y-z

z-Start Coordinate of Slices = [m]

Separation Between Slices = [m]

Base Filename =

Sliced Plots ON Wide Points

Recursion Parameters

delta_t = [ns] max iterations =

Sphere Potential (Zeroth Order Approximation)

Sphere Voltage Shading ON

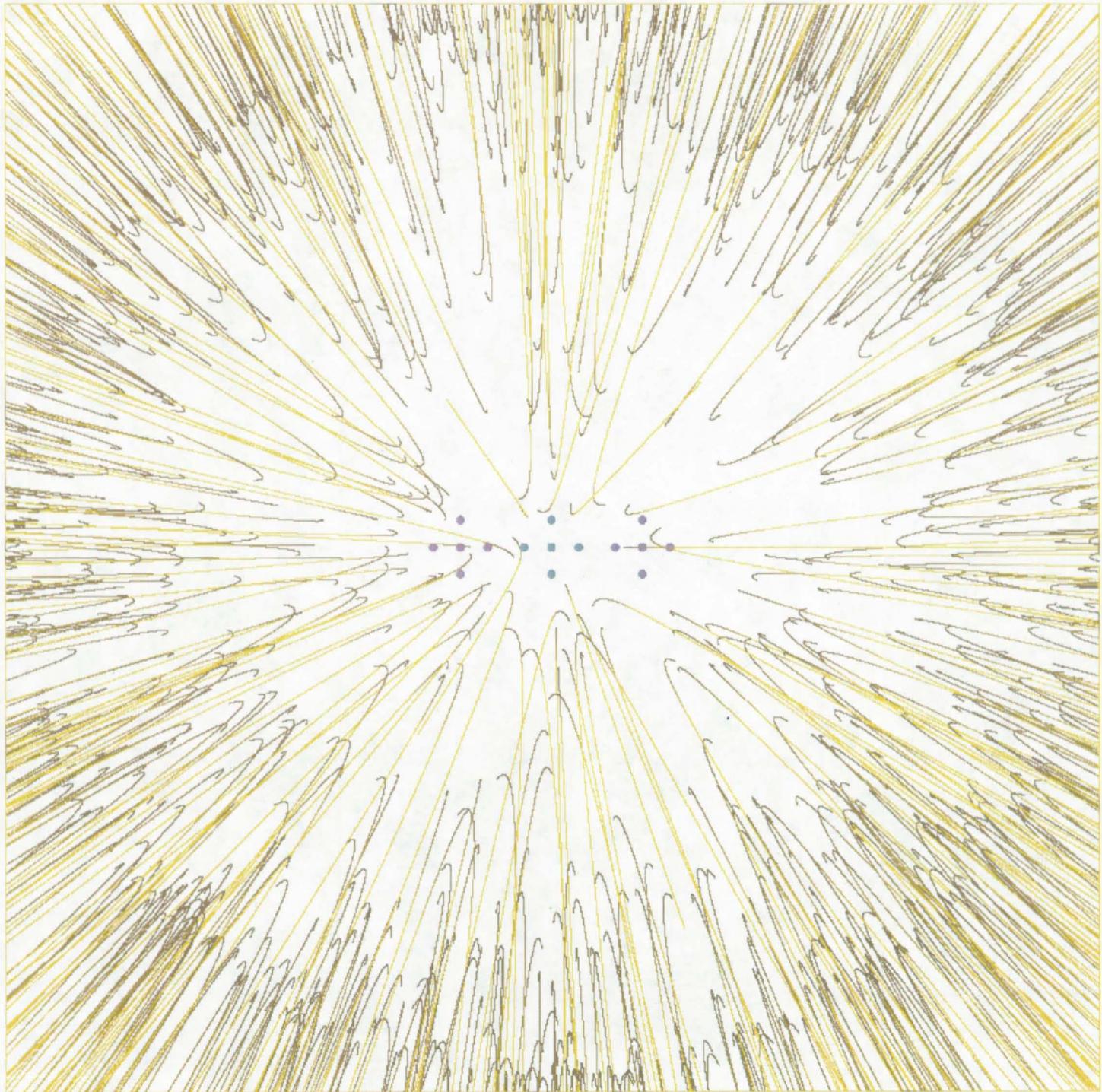
Program Output Log

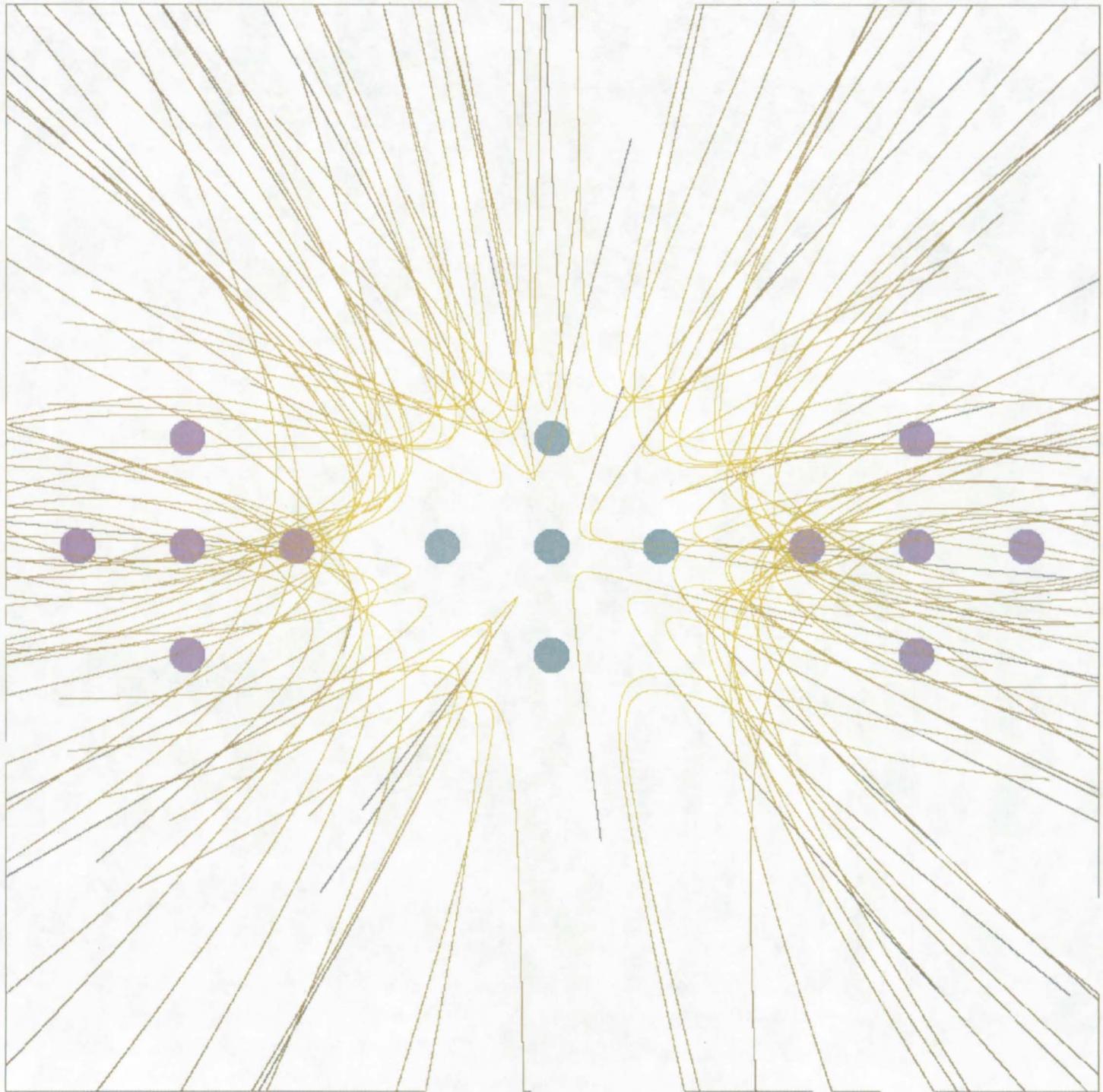
v0 = 0.00421 [c] (initial particle velocity, fraction of c, corresponding to Eo)
 q0 = 2 [q] (total number of unit charges on particle)
 fc = 3.77777 [%] (total particle / sphere collisions)
 ft = 25.77777 [%] (percentage of particles arriving inside rhoC sphere)
 vmin = 0.00405 [c] (minimum particle v of all particles, as a fraction of c)
 vmax = 0.00441 [c] (maximum particle v of all particles, as a fraction of c)
 vavg = 0.00421 [c] (average particle v of all particles, as a fraction of c)

Apply

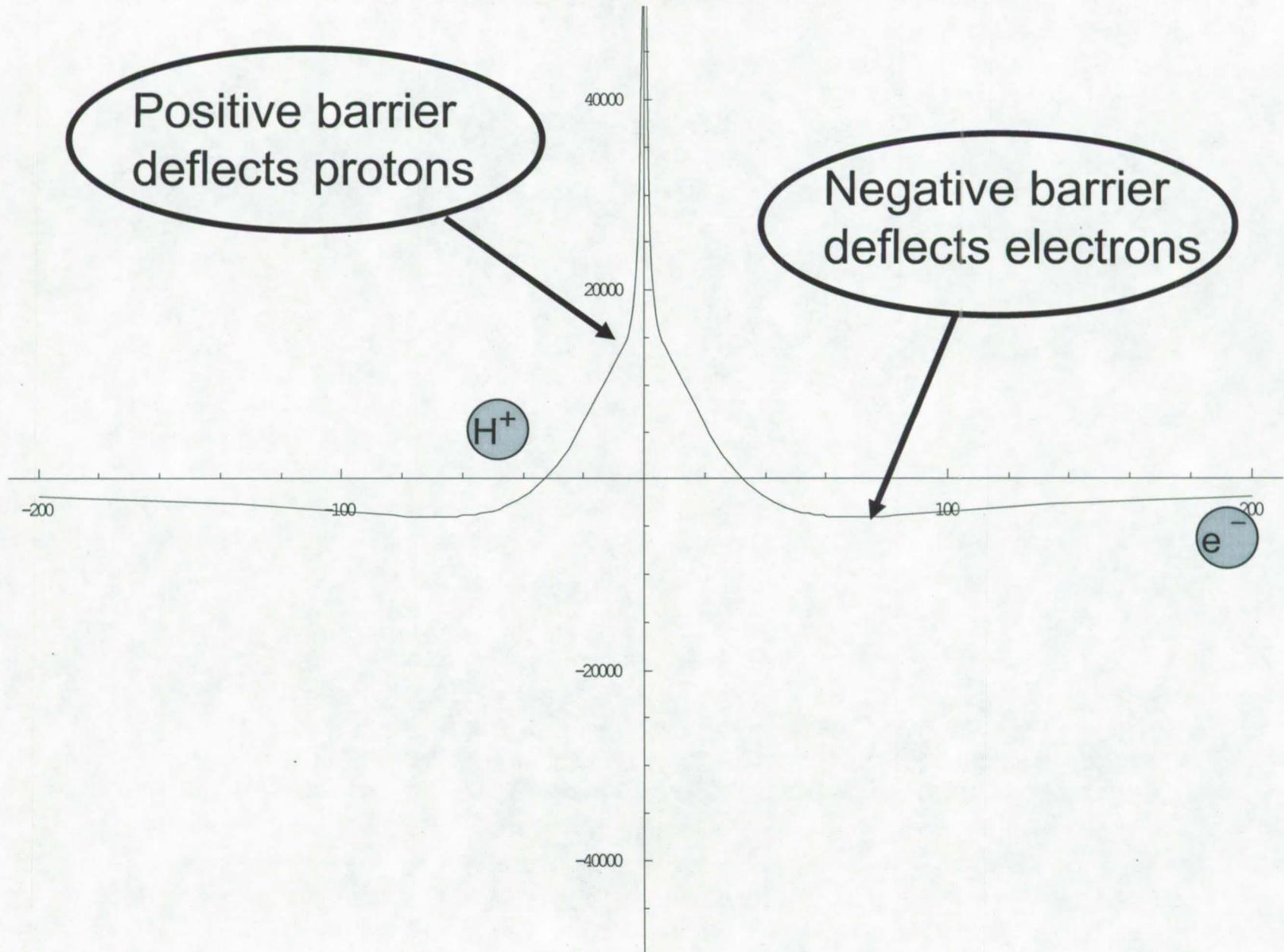
Run Status: Done Processing

Exit





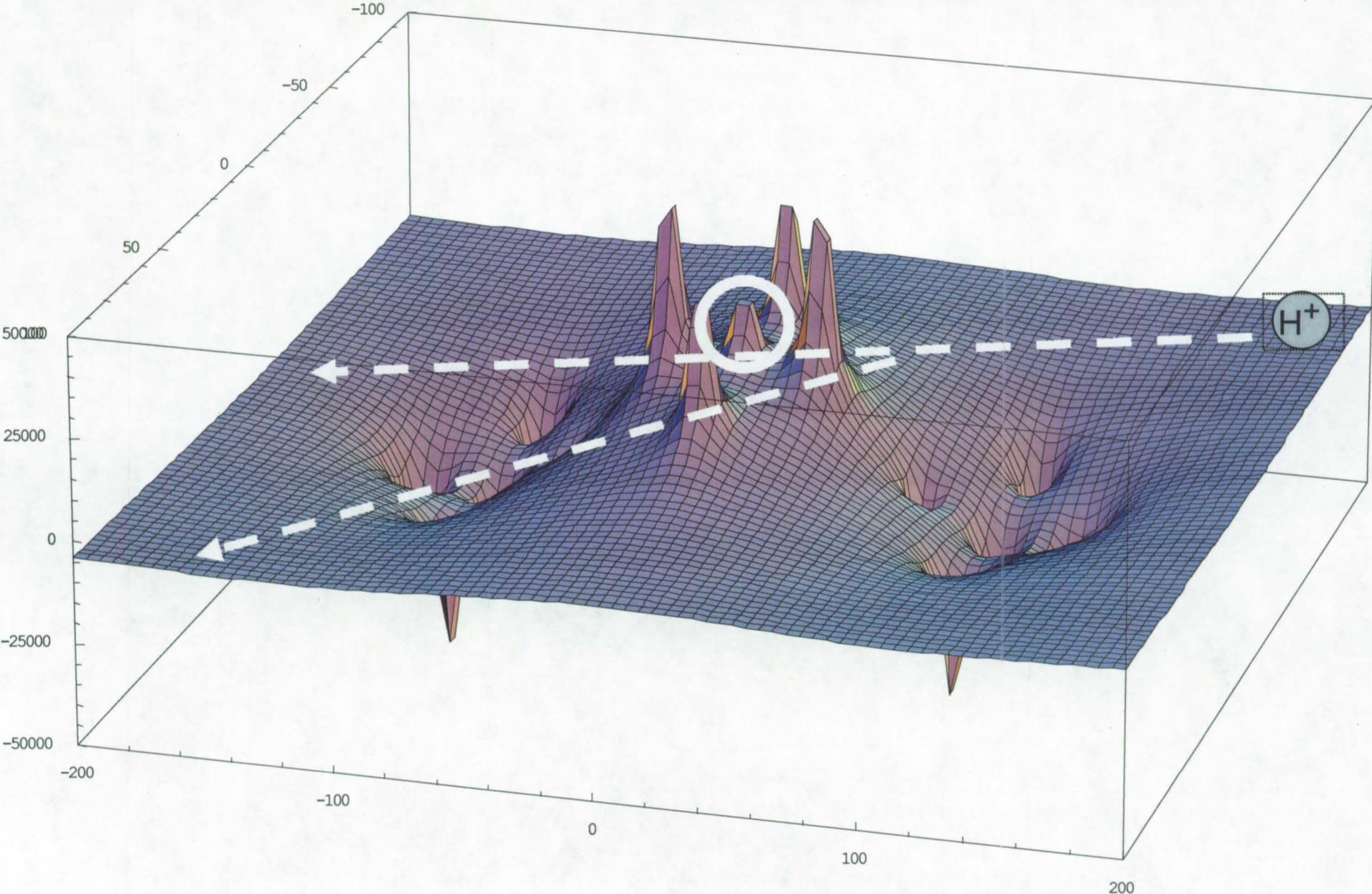
$$\Phi(x = \xi, y = \xi, z = \xi)$$



Cutoff Energy

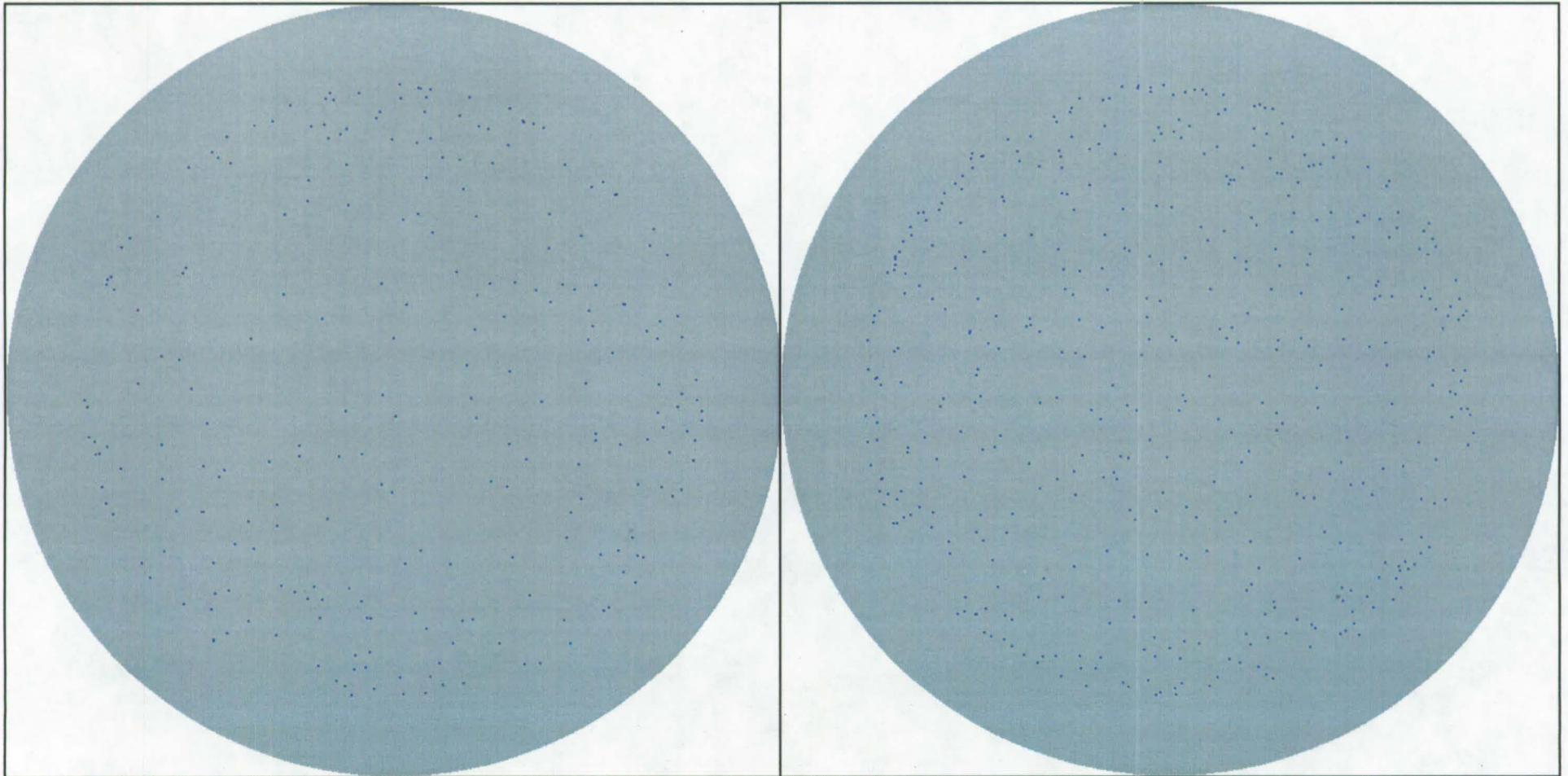
- All particles unable to get over the “hump” are deflected
 - The hump’s magnitude is the cutoff energy
- Particles with energy above cutoff may strike the spacecraft
 - But many are *turned slightly* and therefore “miss” the spacecraft
 - The number that “miss” is a function of energy

Deflection of Over-Cutoff Particles



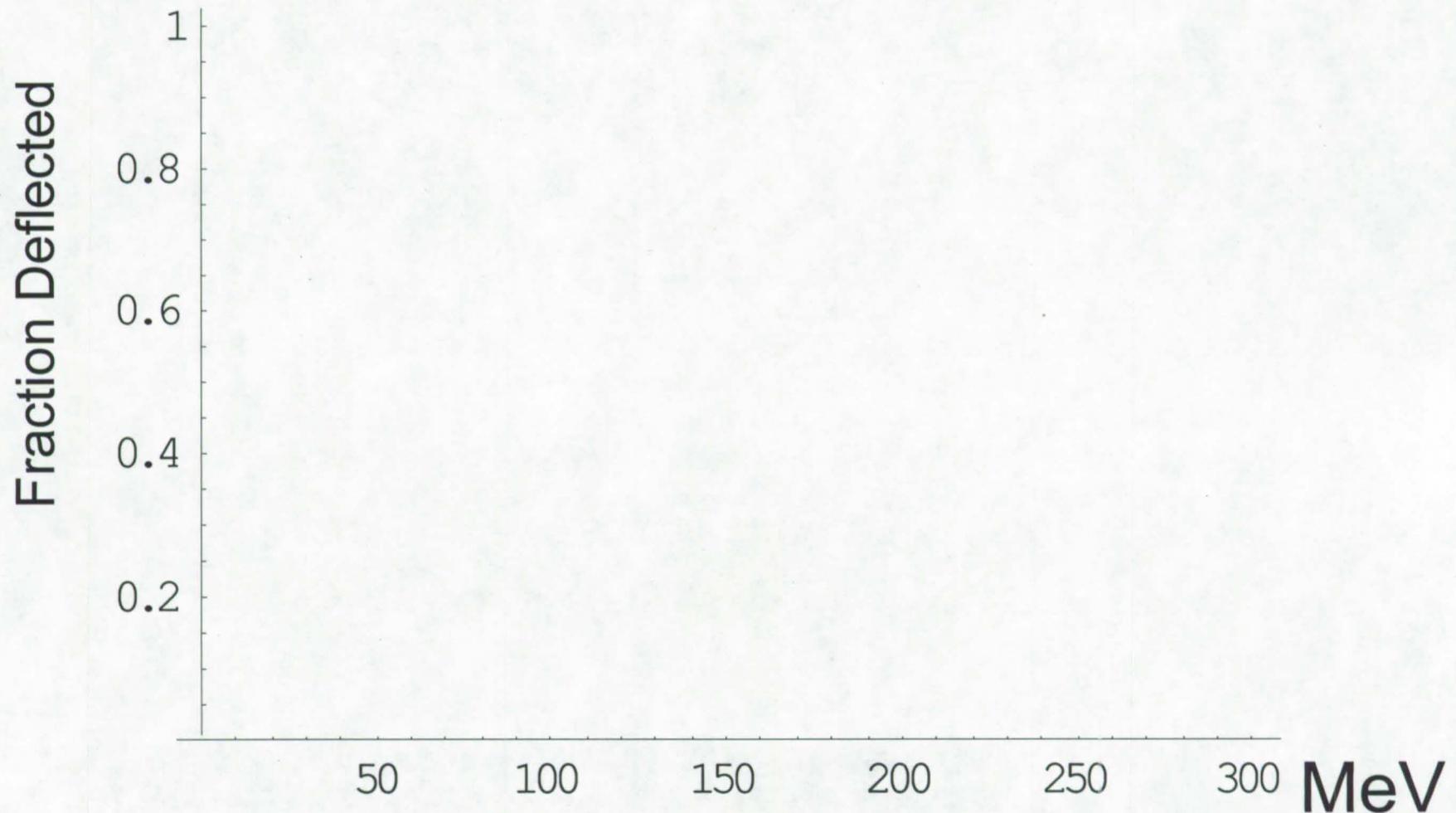
Above Cutoff Energy

Increased Penetration with Increased Particle Energy

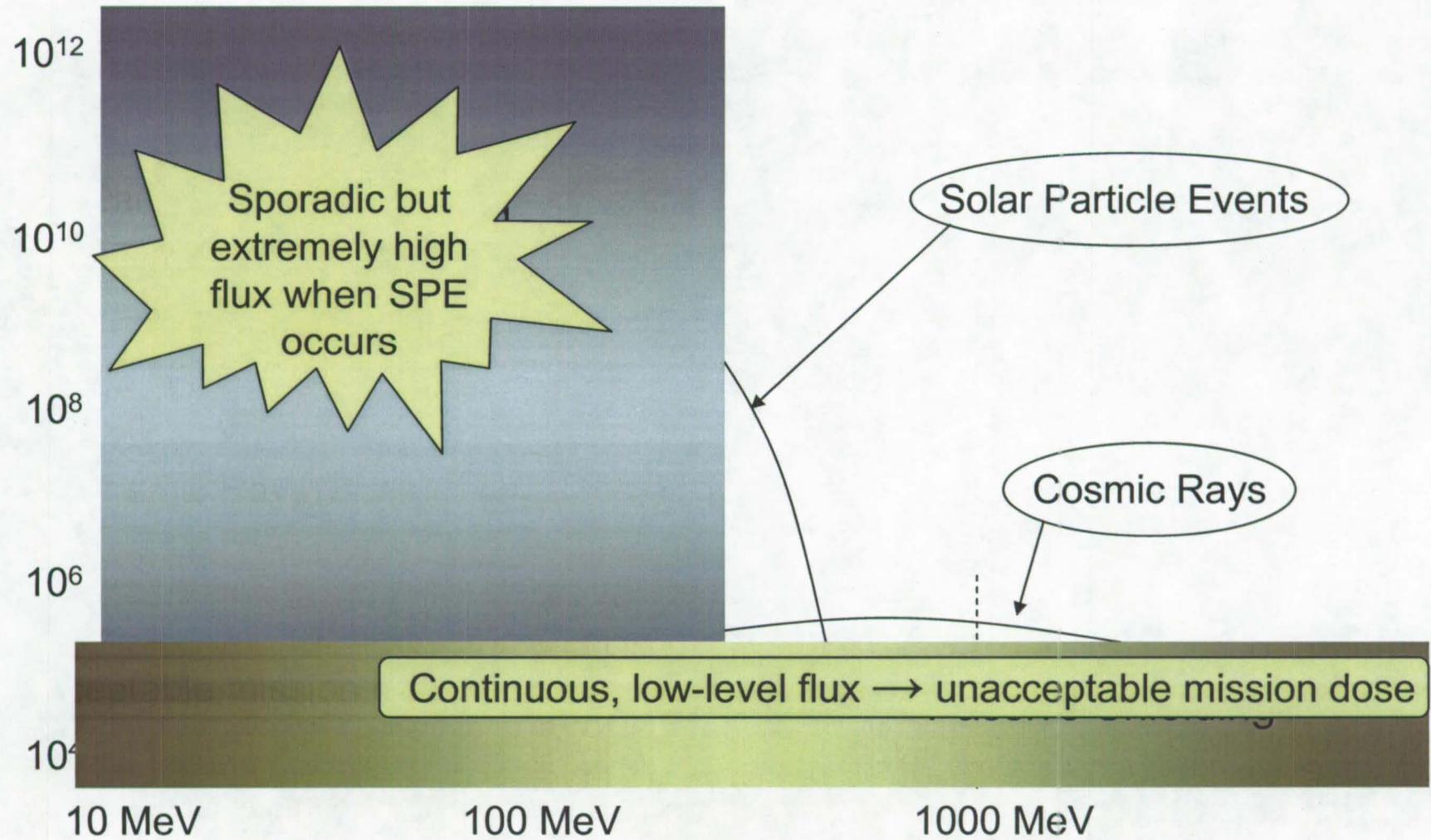


Shield Efficiency

as a Function of Particle Energy



Space Radiation Spectrum



Ref. Silberberg *et al.*, 1984

Maximizing Cutoff Energy

- Geometry of Shield
 - Arrangement of Spheres
 - Bigger Spheres
 - More Spheres

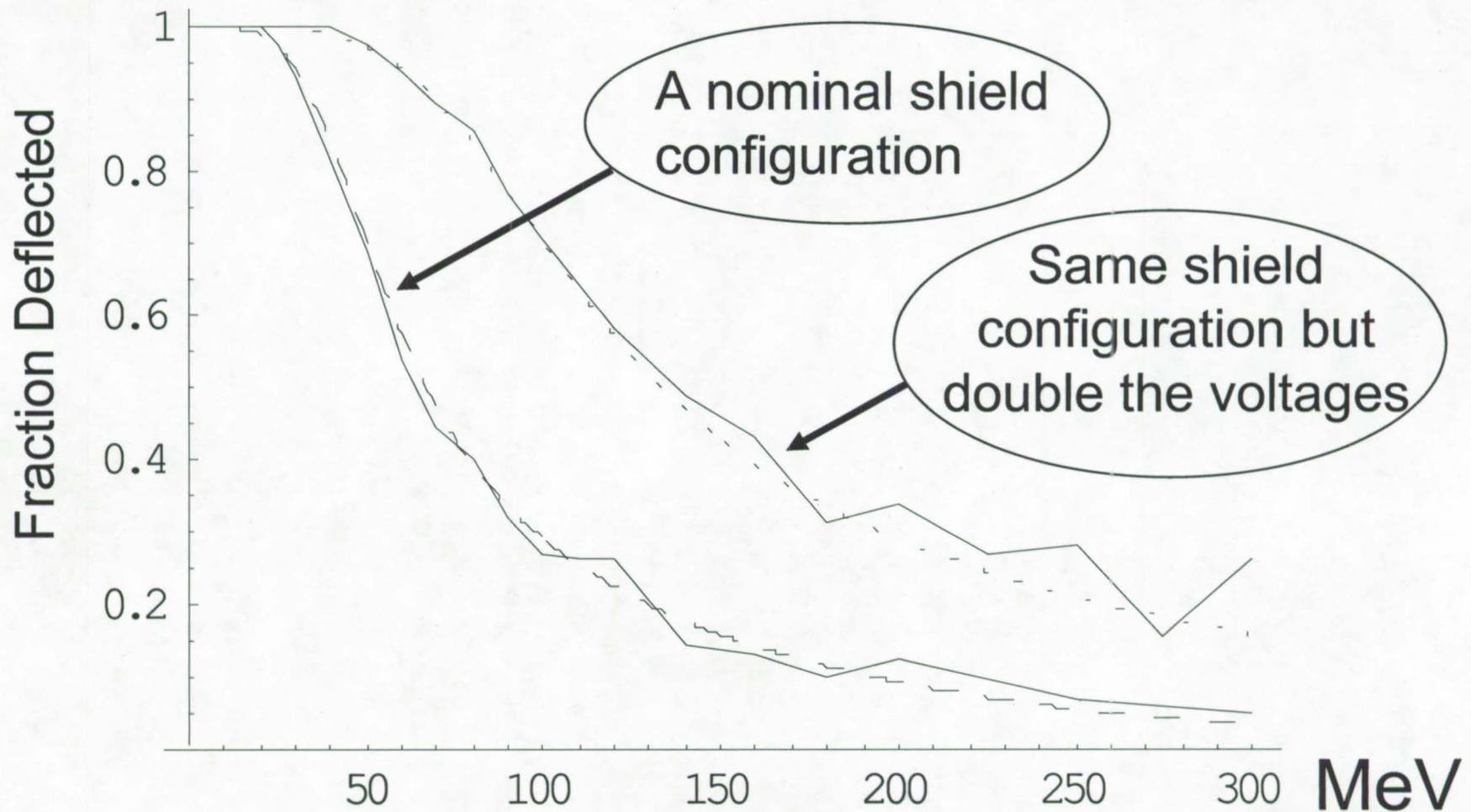
These affect shielding efficiency, but not cutoff energy

- ***Higher Voltage!***

Developing higher-voltage, space-based power supplies is the key technology challenge

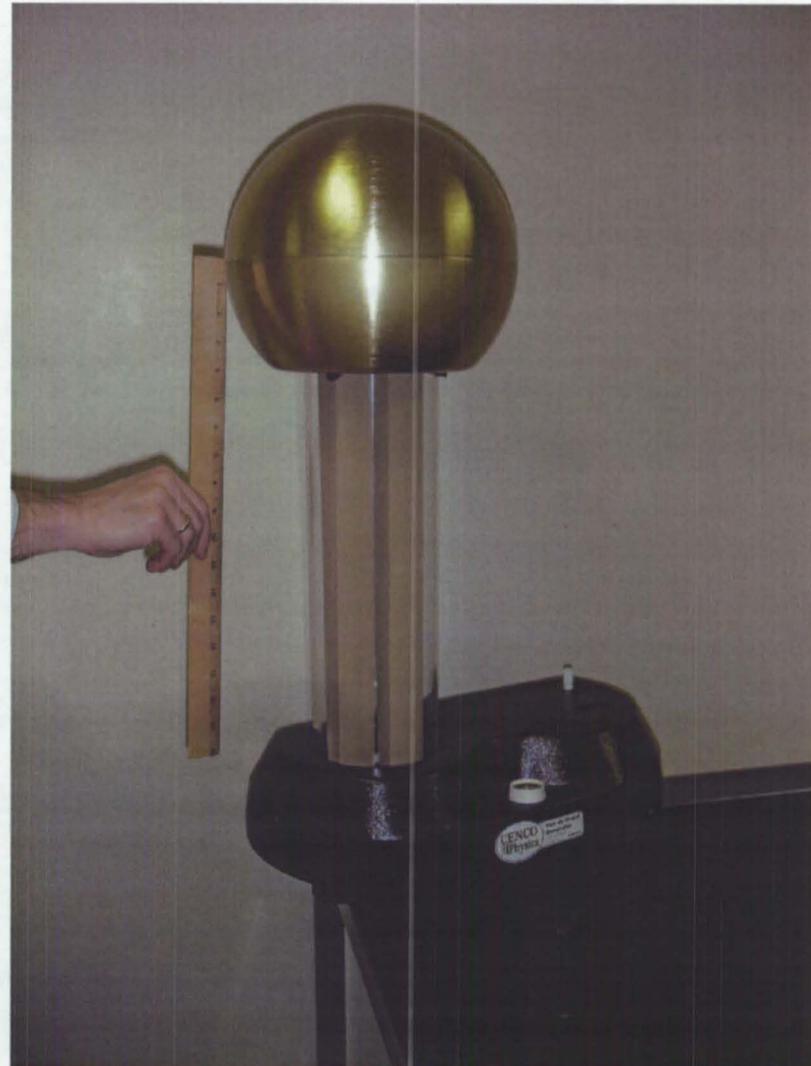
Shield Efficiency

as a Function of Particle Energy



Power Supplies

- Van de Graaf generators
 - 10 to 20 MV for particle accelerators
 - *But very large!*
 - 500 kV for inexpensive desktop versions
 - Limited by charge bleed-off into the atmosphere



Achievable Voltages

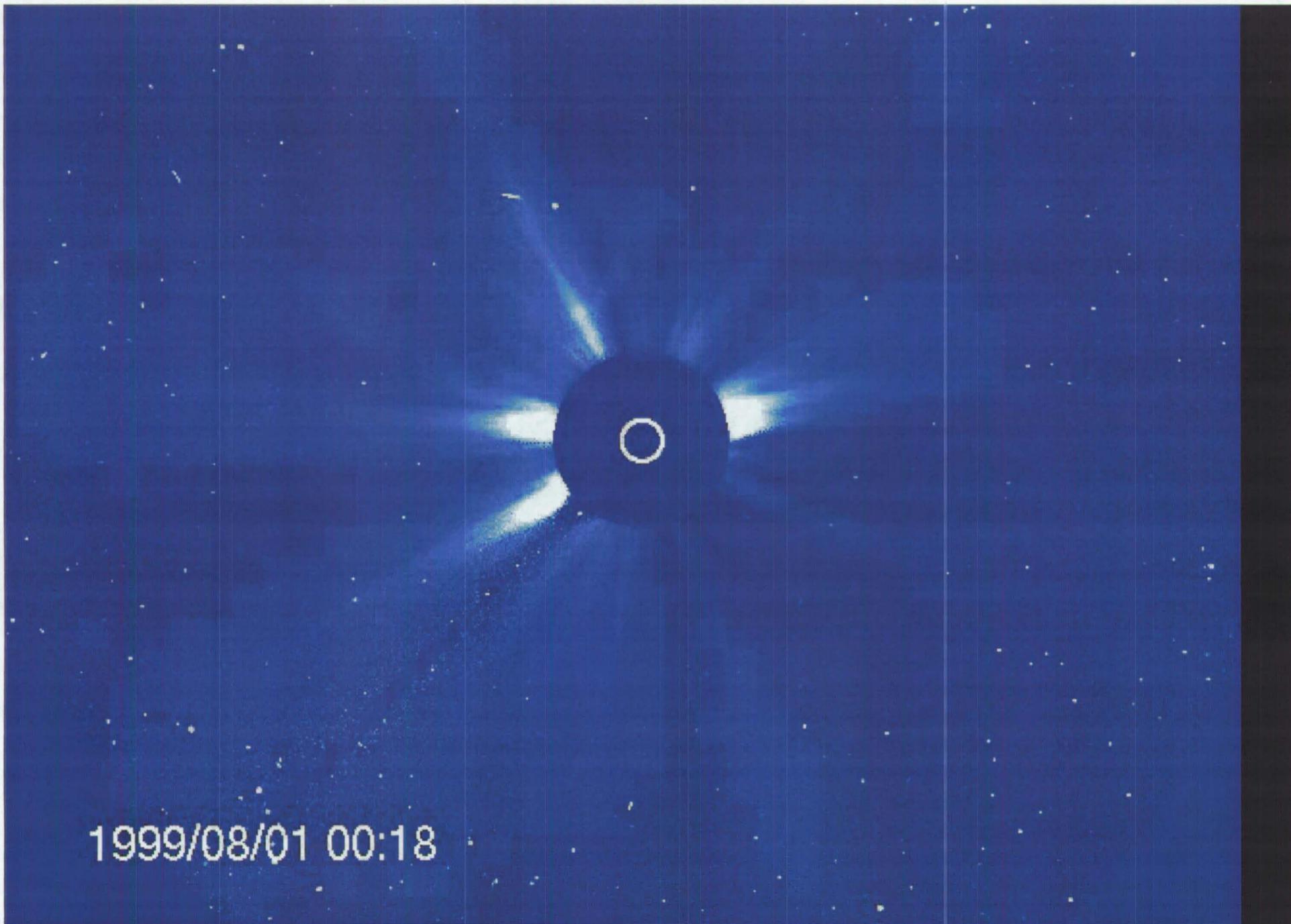
- Take advantage of the space environment
- No atmosphere
 - Breakdown voltage in space is 20 to 30 times higher than on Earth
 - *Should achieve 10 – 15 MV in space with a small power supply*
- No gravity or ground plane
 - Easier spatial arrangement
 - Daisy-chaining 7 – 10 small power supplies along an insulating truss can achieve over 100 MV

Integration with the Spacecraft

- Ion Thrusters
 - Ion exhaust cannot escape radiation shield
 - Incompatible with electrostatic shield!
- Strategy
 - Use passive shielding only, except during SPEs
 - Also use electrostatic shield in planetary orbit

Conclusion

- The radiation problem is a serious obstacle to solar system exploration
- Electrostatic shielding was previously dismissed as unworkable
 - This was based on the false assumption that radial symmetry is needed to provide isotropic protection
- KSC recently demonstrated the feasibility of asymmetric, multipole electrostatic shielding
- ***Combined with passive shielding it might solve the radiation problem***



1999/08/01 00:18

SOHO (ESA/NASA)