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Application of Satellite Gravimetry for Water Resource Vulnerability Assessment

by

Matthew Rodell

NASA Goddard Space Flight Center

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37 Abstract

38

39 The force of Earth's gravity field varies in proportion to the amount of mass near the surface.  
40 Spatial and temporal variations in the gravity field can be measured via their effects on the orbits  
41 of satellites. The Gravity Recovery and Climate Experiment (GRACE) is the first satellite  
42 mission dedicated to monitoring temporal variations in the gravity field. The monthly gravity  
43 anomaly maps that have been delivered by GRACE since 2002 are being used to infer changes in  
44 terrestrial water storage (the sum of groundwater, soil moisture, surface waters, and snow and  
45 ice), which are the primary source of gravity variability on monthly to decadal timescales after  
46 atmospheric and oceanic circulation effects have been removed. Other remote sensing  
47 techniques are unable to detect water below the first few centimeters of the land surface.  
48 Conventional ground based techniques can be used to monitor terrestrial water storage, but  
49 groundwater, soil moisture, and snow observation networks are sparse in most of the world, and  
50 the countries that do collect such data rarely are willing to share them. Thus GRACE is unique  
51 in its ability to provide global data on variations in the availability of fresh water, which is both  
52 vital to life on land and vulnerable to climate variability and mismanagement. This chapter  
53 describes the unique and challenging aspects of GRACE terrestrial water storage data, examples  
54 of how the data have been used for research and applications related to fresh water vulnerability  
55 and change, and prospects for continued contributions of satellite gravimetry to water resources  
56 science and policy.

57

58 1. Introduction

59

60 The force of gravity is directly proportional to mass. Although we may think of gravity as  
61 being a constant  $9.8 \text{ m/s}^2$ , mass is not uniformly distributed at Earth's surface and therefore  
62 gravity is non-uniform as well. Earth's gravity field can be visualized as a bumpy ellipsoid,  
63 having both static and time variable components, the static component being orders of magnitude  
64 stronger. Jeffreys (1952) was among the first to report the existence of the time variable  
65 component, noting that mass movements such as ocean tides changed the gravity field.

66 From the perspective of an orbiting satellite, the Rocky Mountains exert more gravitational  
67 force than the Great Plains, and eastern Asia exerts more gravitational force during monsoon  
68 season, when the ground is wet and therefore more dense, than during the dry season. The orbit  
69 of such a satellite is perturbed in a way that can be predicted based on the gravitational potential  
70 and thus the surface mass distribution, or vice versa. Realizing this, scientists first began to use  
71 the orbits of artificial satellites to identify spatial irregularities in Earth's gravity field in the late  
72 1950s. Satellite tracking via optical and Doppler techniques allowed them to compute departures  
73 from predicted orbits, and from those the static gravity field was mapped. In the 1960s, satellite  
74 laser ranging enabled more accurate determination of satellite orbits and thus more detailed  
75 assessments of the gravity field. Yoder et al. (1983) reported that the orbit of the Lageos satellite  
76 was sensitive to temporal variations in the gravity field, which was a first glimpse at the future of  
77 time variable gravity field mapping.

78 For the purpose of mapping Earth's time variable gravity field with enough accuracy to be  
79 useful for such applications as ocean, ice sheet, and terrestrial hydrology monitoring, geodesists  
80 realized that tracking satellite orbits from the surface was too imprecise. Instead, they concluded  
81 that a dedicated, twin satellite gravimetry mission, with each satellite tracking the orbit of the

82 other, was the best strategy (Dickey et al., 1997). Plans for the Gravity Recovery and Climate  
83 Experiment (GRACE) satellite mission soon followed.

84 GRACE launched on 17 March 2002, sponsored jointly by NASA and its German  
85 counterpart. In addition to improving the resolution and accuracy of global gravity maps by  
86 more than two orders of magnitude, GRACE proved to be immensely valuable for  
87 oceanography, cryospheric science, and hydrology. Perhaps the most renowned climate-related  
88 application of GRACE is the estimation of mass losses from the Greenland and Antarctic ice  
89 sheets and the associated sea level rise (e.g., Velicogna and Wahr, 2006a; 2006b; Luthcke et al.,  
90 2006). Similarly, GRACE has measured the ablation of major glacier systems in Alaska  
91 (Tamisiea et al., 2005; Luthcke et al., 2008), South America (Chen et al., 2007), central Asia  
92 (Matsuo and Heki, 2010), and the Canadian Arctic Archipelago (Gardner et al., 2011). GRACE  
93 has also contributed to numerous studies in many areas of hydrology, including river discharge  
94 estimation (Syed et al., 2009), regional evapotranspiration (Rodell et al., 2004; Swenson and  
95 Wahr, 2006a), hydroclimatic teleconnections (Andersen et al., 2005; Crowley et al., 2006),  
96 groundwater variability (Yeh et al., 2006; Rodell et al., 2007), and drought monitoring (Houborg  
97 et al., 2012).

98 Thus satellite gravimetry as demonstrated by GRACE lends itself to the study of climate  
99 vulnerability, water resources change in particular. The purpose of this chapter is to provide  
100 details of the GRACE mission and the data it provides (section 2), to describe examples of  
101 GRACE enabled investigations of hydroclimate and hydro-resources variability including  
102 groundwater (section 3), surface waters and glaciers (section 4), integration of GRACE and other  
103 data within numerical models (section 5), and drought monitoring, and to report on future  
104 prospects for satellite gravimetry.

## 105 2. GRACE Data: Unique and Challenging

106 The GRACE mission consists of two satellites in a near-polar orbit, about 200 km apart, at a  
107 starting altitude of about 500 km. The precise distance between the satellites is constantly  
108 measured using a K-band microwave ranging system. The range measurements along with  
109 positioning data are used to construct a new map of Earth's gravity field each month, represented  
110 mathematically by a set of spherical harmonic coefficients describing the shape of the global  
111 gravity field (Tapley et al., 2004). From these monthly "gravity field solutions", time series of  
112 regional mass anomalies can be derived using specially designed averaging functions (Wahr et  
113 al., 1998).

114 Unlike conventional remote sensing techniques that rely on measurements of various  
115 wavelengths of light emitted or reflected from Earth's surface, vegetation, or atmosphere,  
116 satellite gravimetry is not blind to subsurface conditions. GRACE senses variations in the total  
117 mass from the top of the atmosphere to the center of the Earth. Oceanic and atmospheric  
118 circulations and redistribution of terrestrial water via the hydrological cycle are the main sources  
119 of gravitational variability on timescales of months to years. Numerical model analyses are used  
120 to estimate and remove the oceanic and atmospheric effects, leaving variations in terrestrial  
121 water storage (TWS; the sum of groundwater, soil moisture, surface water, snow, ice, and  
122 vegetation biomass) as the only major signal over land. Glacial isostatic adjustment also must be  
123 taken into account in certain regions such as Hudson's Bay in Canada and the Scandinavian  
124 peninsula, and the Sumatra-Andaman earthquake of 2004 and the Japanese Tohoku earthquake  
125  
126

127 of 2011 produced huge, abrupt gravitational anomalies in those regions, but the timescales of  
128 most solid earth processes are too long to be relevant.

129 What has made GRACE uniquely valuable to hydrology is its ability to measure variations in  
130 total water availability on and in the land surface, without limitations of depth, visibility, or  
131 location on Earth. However, the satellite gravimetry method has its own set of challenges. First,  
132 instead of having a remote sensing footprint or pixel resolution, there is a trade-off between  
133 resolution and accuracy. The gravity field solutions contain larger errors at higher degrees and  
134 orders (smaller length scales). At resolutions finer than about 150,000 km<sup>2</sup>, the uncertainty in  
135 the estimates overwhelms the retrieved mass variations (Rodell and Famiglietti, 1999; Rowlands  
136 et al., 2005; Swenson et al., 2006). Second, measuring changes in all components of TWS at  
137 once is a double edged sword. GRACE provides no information on the vertical structure of a  
138 TWS change, whether in the saturated zone, shallow subsurface, surface waters, or ice and snow  
139 cover. Third, most hydrologists are accustomed to working with instantaneous or daily  
140 observations of an absolute quantity, such as rainfall rate or snowpack depth, while GRACE  
141 provides monthly mean estimates of TWS anomalies (deviations from the long term average). A  
142 related issue is that a monthly observational cycle (for the standard products) ensures that  
143 GRACE data will never be available close to real-time. The standard products are typically  
144 available a couple of months after the fact. Development of an expedited product is being  
145 studied by the GRACE science team, but that still would not meet the needs of most operational  
146 water resources and weather related applications.

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### 148 3. Groundwater Depletion Assessment

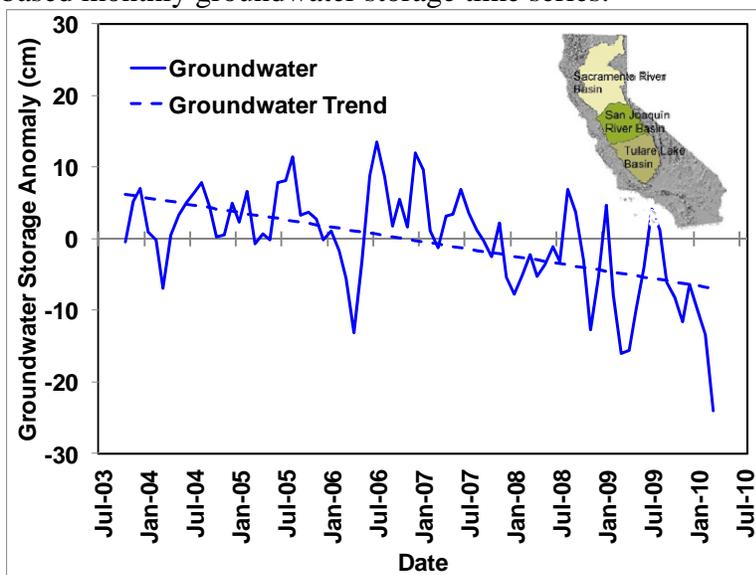
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150 Clearly, real-time data are not required for all applied or scientific hydrology applications.  
151 Quality and duration of observations are more important for assessing groundwater variability  
152 and depletion. In order to employ GRACE data for this purpose, groundwater storage must be  
153 isolated from the other components of TWS. A simple approach is to use auxiliary data to  
154 estimate variations in soil moisture and snow water equivalent, and to subtract these from TWS  
155 variations, leaving variations in groundwater storage as a residual. The assumption here is that  
156 temporal variability of vegetation biomass and surface water storage is negligible relative to that  
157 of groundwater, soil moisture, and, depending on the region, snow mass. Rodell et al. (2005)  
158 demonstrated that seasonal and interannual changes in biomass are at or below the limits of  
159 detection by GRACE. Outside of humid tropical regions such as the Amazon (Han et al., 2009)  
160 and Bangladesh (Shamsudduha et al., 2012), surface water storage mass variations are also  
161 typically insignificant relative to soil moisture, groundwater, and snow mass variations (Rodell  
162 and Famiglietti, 2001; Rodell et al., 2009). However, rare are networks of soil moisture (and  
163 snow) measurement sites that are dense enough to construct reliable time series of regional mean  
164 water storage variability (e.g., Yeh et al., 2006). Rodell et al. (2007) demonstrated that soil  
165 moisture and snow time series from numerical land surface models were a reasonable alternative,  
166 deriving a time series of groundwater storage in the Mississippi River basin that compared  
167 favorably with a time series based on groundwater well observations.

168 The High Plains aquifer of the central United States, whose groundwater depletion is well  
169 documented (e.g., Luckey et al., 1981), provides a useful case study for evaluating the ability of  
170 GRACE to monitor interannual variations in groundwater storage. As in many other agricultural  
171 regions where rainfall is frequently insufficient to support crop production demands,  
172 groundwater withdrawals for irrigation exceed recharge over the long term. This has caused the

173 water table to decline at an average rate of about 7.2 cm per year since 1950, which is equivalent  
174 to a reduction in water storage of 337 km<sup>3</sup> between 1950 and 2009 (McGuire et al., 2011). The  
175 rates of water table decline are greater in the central and southern parts of the aquifer, reaching  
176 more than 80 cm per year in some areas of the Texas panhandle.

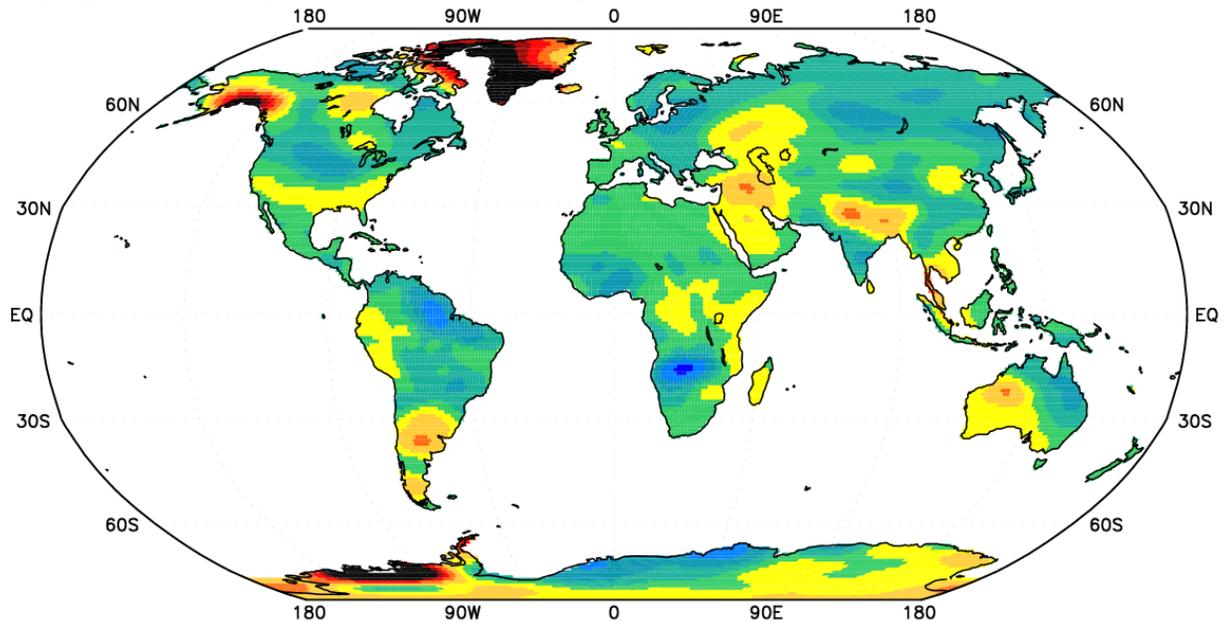
177 Strassberg et al. (2007) combined GRACE TWS observations with soil moisture output from  
178 the Global Land Data Assimilation System (GLDAS; Rodell et al., 2004) to compute  
179 groundwater variations in the High Plains aquifer during 2003-05. The correlation coefficient  
180 between observed and GRACE derived monthly groundwater storage was determined to be 0.58,  
181 though the time period was too short to assess the efficacy of the GRACE technique for  
182 monitoring interannual variability. A subsequent analysis by Strassberg et al. (2009) improved  
183 upon those results in a study covering the period 2003-07 with a more optimally derived GRACE  
184 terrestrial water storage time series and both observational and model based soil moisture data,  
185 achieving correlation coefficients of 0.73 and 0.72, respectively, between observed and GRACE  
186 based monthly groundwater storage time series.



187  
188 **Figure 1. Time series of GRACE-estimated groundwater anomalies (with linear trend) as**  
189 **an equivalent height of water in centimeters, averaged over California's Sacramento, San**  
190 **Joaquin, and Tulare Lake basins (inset). Modified from Famiglietti et al. (2011).**

191  
192 Another area of the United States that may be overly dependent on groundwater is  
193 California's Central Valley. Thanks to its favorable climate and good soil, the Central Valley  
194 supports a wide variety of crops and is considered one of the most productive agricultural  
195 regions in the world. However, sustaining this productivity requires a rate of rainfall that is not  
196 consistently available and may be well short during dry years. The proximal solution is  
197 irrigation fed by water from the aquifer beneath the Sacramento and San Joaquin River basins,  
198 which are mainly recharged by melting snow from the Sierra Nevada mountains (Faunt, 2009).  
199 Famiglietti et al. (2011) used GRACE data spanning October 2003 to March 2010 to estimate  
200 that the Sacramento and San Joaquin River basins (154,000 km<sup>2</sup>) lost water at an average rate of  
201 4.8 km<sup>3</sup>/yr (Figure 1), about two thirds of which was groundwater pumped from the Central  
202 Valley. They concluded that groundwater depletion at this rate was unsustainable and would  
203 have serious consequences if unabated. Fortunately for California, exceptionally wet weather

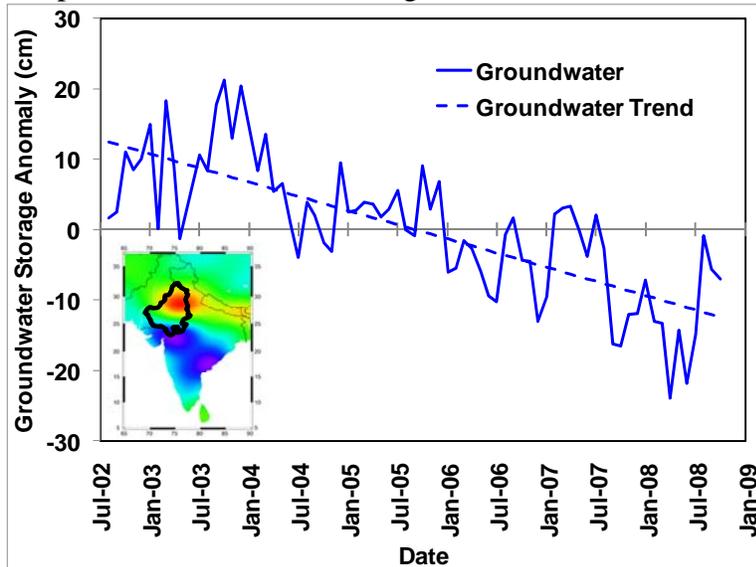
204 during the winter of 2010-2011 helped the Central Valley aquifer to recuperate to some extent,  
205 delaying those consequences for the time being.



206  
207 **Figure 2. Mean rates of change ("trends") of terrestrial water storage (cm/yr) based on**  
208 **GRACE data from August 2002 to August 2011. The effects of post glacial rebound have**  
209 **been removed from the GRACE data using the model of Paulson et al. (2007), but the**  
210 **gravitational effects of major earthquakes in Sumatra (2004) and Japan (2009) distort the**  
211 **estimated trends near those locations.**

212 The starkest example of groundwater mining over a large area is in northern India. Such a  
213 massive quantity of water is being removed from this region that it stands out like a bull's eye on  
214 a global map of trends in the gravity field derived from GRACE data (Figure 2). Although  
215 officials in India have long been aware that groundwater withdrawals exceed recharge on annual  
216 average across the Indian states of Rajasthan, Punjab, and Haryana (including Delhi), an  
217 integrative assessment of the rate of depletion had not been performed prior to the onset of  
218 GRACE. Rodell et al. (2009) used GRACE TWS data and simulated soil moisture from the  
219 Global Land Data Assimilation System (GLDAS) to determine that groundwater was depleted at  
220 a mean rate of  $17.7 \pm 4.5 \text{ km}^3/\text{yr}$  between August 2002 and October 2008 over the  $438,000 \text{ km}^2$   
221 region (Figure 3). That equates to  $4.0 \pm 1.0 \text{ cm/yr}$  equivalent layer of water (about 33 cm of  
222 water table decline per year) and a total of  $109 \text{ km}^3$  of groundwater during the study period,  
223 which is triple the capacity of Lake Mead, America's largest man-made reservoir. Annual  
224 rainfall was close to normal throughout the period, which rules out the possibility of drought  
225 related TWS diminishment, and it was determined that surface water and other TWS components  
226 did not contribute significantly to the observed trend. Analyzing a  $2,700,000 \text{ km}^2$  surrounding  
227 area of northern India in a similar GRACE based study, Tiwari et al. (2009) estimated a  
228 groundwater depletion rate of  $54 \pm 9 \text{ km}^3/\text{yr}$ . Currently, farmers are given free electricity for  
229 groundwater pumping, so there is no incentive for conservation, yet there is great resistance to  
230 changing this policy. The desire for water intensive crops, rice in particular, exacerbates the  
231 problem. A sustainable solution to avert hydrological and agricultural catastrophe for the 600

232 million residents of the broader region will require creativity, political will, and, above all,  
233 acceptance of the need for change.



234  
235 **Figure 3. Time series of GRACE-estimated groundwater anomalies (with linear trend) as**  
236 **an equivalent height of water in centimeters, averaged over the Indian states of Rajasthan,**  
237 **Punjab, and Haryana. The inset outlines the region in black on a map of groundwater**  
238 **trends, with depletion in warmer shades. Modified from Rodell et al. (2009).**

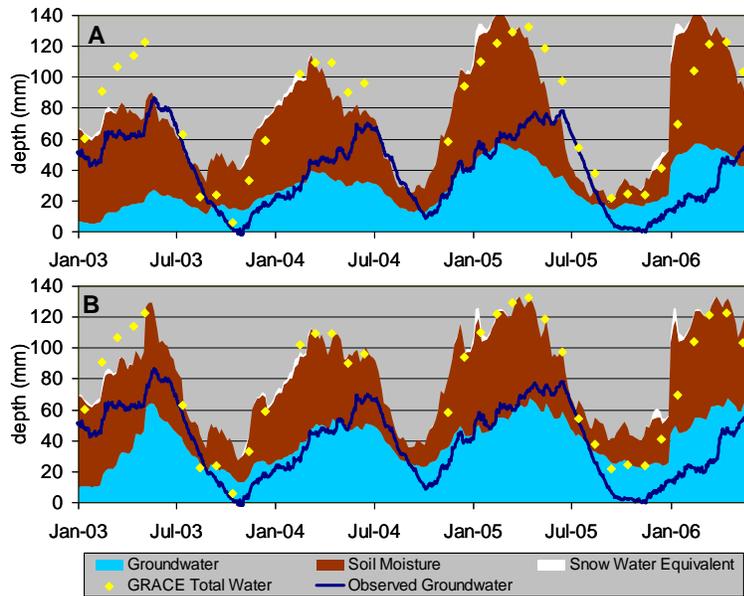
239  
240 A similar situation exists just to the east in the Bengal basin of Bangladesh. Groundwater  
241 abstraction for irrigating dry-season rice crops along with reduced recharge due to urbanization  
242 has depleted the aquifers over the past 40 years. Groundwater abstraction is believed to mobilize  
243 arsenic, putting further pressure on this vital yet vulnerable resource (Ahmed et al., 2004). It is  
244 unclear how future climate change (for example, if the south Asian monsoon shifts in location or  
245 intensity) would affect groundwater storage dynamics. Shamsudduha et al. (2012) compared  
246 ground based observations with GRACE terrestrial water storage data and confirmed that  
247 GRACE captures both the seasonality and trend of groundwater storage in the Bengal basin,  
248 making it valuable for water resources assessment in the region. They found that groundwater  
249 storage accounted for the greatest proportion of total variability in terrestrial water storage in the  
250 basin, at 44%, with soil moisture and surface water storage accounting for 33% and 22% of  
251 variability respectively. The rate of groundwater depletion based on GRACE observations  
252 during 2003 to 2007 was estimated to be  $0.5 \pm 0.3 \text{ km}^3/\text{yr}$ .

#### 253 254 4. Lakes and Glaciers

255  
256 Inland surface waters are another resource that are vulnerable to over-exploitation and  
257 drought, which effects can be detected by GRACE if the mass changes are large enough.  
258 Seasonal water storage changes in the Amazon River system are the largest in the world, and  
259 interannual variability, mainly due to El Nino Southern Oscillation cycles, is similarly immense.  
260 Because Amazon surface waters are often dispersed across the landscape rather than  
261 concentrated in distinct river channels, ground based quantification of total storage and flow  
262 volumes is imprecise. Thus several studies have applied GRACE observations to refine

263 understanding of water cycle variability and surface water dynamics in the Amazon River basin  
264 (e.g., Han et al., 2009; Alsdorf et al., 2010). Awange et al. (2008), Swenson and Wahr (2009),  
265 and Becker et al. (2010) used GRACE to study water level changes in east African lakes, which  
266 are affected by both climatic variability and water resources management, both poorly  
267 documented. Swenson and Wahr (2009) used GRACE data together with satellite altimetry  
268 based lake levels to infer the water balance of Lake Victoria and the surrounding region. Results  
269 were compared with reservoir management data as available and results from previous studies.  
270 They concluded that at least half of the observed lake level declines during 2002-06 were caused  
271 by releases from Lake Victoria's dams in excess of amounts approved via international treaty  
272 (lake discharge being used for hydroelectric power generation). Other surface water bodies  
273 whose water storage variability has been elucidated by GRACE include the Caspian Sea  
274 (Swenson and Wahr, 2007), Lakes Baikal and Balkhash (Hwang et al., 2011), and the Three  
275 Gorges Reservoir (Wang et al., 2011).

276       Glaciers store fresh water and release it gradually in warm seasons, making them an  
277 important resource which happens to be very susceptible to climate variability and longer term  
278 change. Over the past decade or more, several of the world's major glacier systems have been  
279 melting fast enough that the associated mass change signals are observable by GRACE. While  
280 thinning of Greenland's ice sheet has attracted most of the attention in the arctic region (e.g.,  
281 Velicogna and Wahr, 2006a; 2006b; Luthcke et al., 2006), Gardner et al. (2011) recently made  
282 use of GRACE to determine that the melt rate from ice caps and glaciers of the Canadian Arctic  
283 Archipelago is equivalent to about  $61 \text{ km}^3/\text{yr}$  of water, or  $0.17 \text{ mm}/\text{yr}$  sea level rise. For  
284 comparison, Greenland loses about  $219 \text{ km}^3/\text{yr}$ , or  $0.61 \text{ mm}/\text{yr}$  sea level rise (Chen et al., 2011).  
285 Tamisiea et al. (2005) and Luthcke et al. (2008) showed that glaciers along the southern coast of  
286 Alaska are melting at a rate of about  $84 \text{ km}^3/\text{yr}$  ( $0.23 \text{ mm}/\text{yr}$  sea level rise). Chen et al. (2007)  
287 calculated that Patagonian glaciers in southern South America are losing  $28 \text{ km}^3/\text{yr}$  ( $0.08 \text{ mm}/\text{yr}$   
288 sea level rise). Matsuo and Heki determined using GRACE that ice water storage losses from  
289 the Tibetan Plateau were at least  $47 \text{ km}^3/\text{yr}$  ( $0.13 \text{ mm}/\text{yr}$  sea level rise) during 2003-09, which is  
290 double the estimated rate of the preceding 40 years, and that the rate could be as high as  $61$   
291  $\text{km}^3/\text{yr}$  depending on glacial isostatic adjustment beneath the plateau.



292

293 **Figure 4. Groundwater, soil moisture, and snow water equivalent averaged over the**  
 294 **Mississippi river basin from (A) open loop Catchment land surface model and (B) GRACE**  
 295 **data assimilation. Also shown are daily, observation-based groundwater and monthly**  
 296 **GRACE-derived TWS anomalies. GRACE and modeled TWS were adjusted to a common**  
 297 **mean, as were observed and modeled groundwater. The correlation coefficient between**  
 298 **simulated and observed groundwater improved from 0.59 (open loop) to 0.69 (GRACE-**  
 299 **DAS). Unlike GRACE alone, the assimilated product is 3-hourly and vertically distributed.**  
 300 **Modified from Zaitchik et al. (2008).**

301 5. GRACE data assimilation

302

303 While the previous sections clearly demonstrate that GRACE observations have been  
 304 valuable for a range of hydrological studies, decision support and most other applied disciplines  
 305 require data that are higher resolution and available closer to real time. With this as motivation,  
 306 Zaitchik et al. (2008) introduced a more sophisticated approach for spatially, temporally, and  
 307 vertically disaggregating GRACE derived terrestrial water storage, while simultaneously  
 308 allowing the information to be extended to near real time. The approach employs an ensemble  
 309 Kalman smoother to assimilate GRACE data into a numerical land surface model. This has  
 310 several advantages. First, physical equations of hydrologic and energetic processes, integrated  
 311 within the model, provide a basis for synthesizing GRACE and other relevant observations (e.g.,  
 312 precipitation, solar radiation, land cover) in a physically consistent manner. Second, the model  
 313 fills spatial and temporal data gaps, while observations anchor the results in reality. Third, in  
 314 addition to separating groundwater, soil moisture, and snow, the assimilated output has much  
 315 higher spatial and temporal resolutions than the original GRACE data. Zaitchik et al. (2008)  
 316 selected the Catchment land surface model for this purpose, in large part because it simulates  
 317 groundwater storage variations, a prerequisite that most models do not satisfy. They chose to use  
 318 an ensemble Kalman smoother rather than the more common Kalman filter because the latter  
 319 assimilates observations as they become available and updates only the most recent model states,  
 320 whereas smoothers use information from a series of observations to update model states over a  
 321 window of time (Dunne and Entekhabi, 2005; Evensen and van Leeuwen, 2000). Smoothers are

322 therefore well suited for GRACE observations, which are non-instantaneous. Prior to  
323 assimilation, GRACE TWS anomalies are converted to absolute TWS values by adding the  
324 corresponding regional, time-mean water storage from the open loop (no data assimilation)  
325 portion of the Catchment model simulation. Zaitchik et al. (2008) validated the technique in the  
326 Mississippi River basin using groundwater data from a network of wells, and showed significant  
327 improvement in both the timing and amplitude of modeled groundwater variations (Figure 4).  
328 The correlation coefficient between the simulated and observed groundwater storage time series  
329 improved from 0.59 to 0.69 due to GRACE data assimilation. Further, it was shown that  
330 GRACE data assimilation impacts modeled evapotranspiration and runoff fluxes. In addition to  
331 drought monitoring (described in the next section) GRACE data assimilation has now been  
332 applied for snowpack quantification in the Mackenzie River basin (Forman et al., 2012), water  
333 cycle characterization in western Europe (Li et al., 2012), and water resources assessment in the  
334 Middle East North Africa region (Bolten et al., 2010).

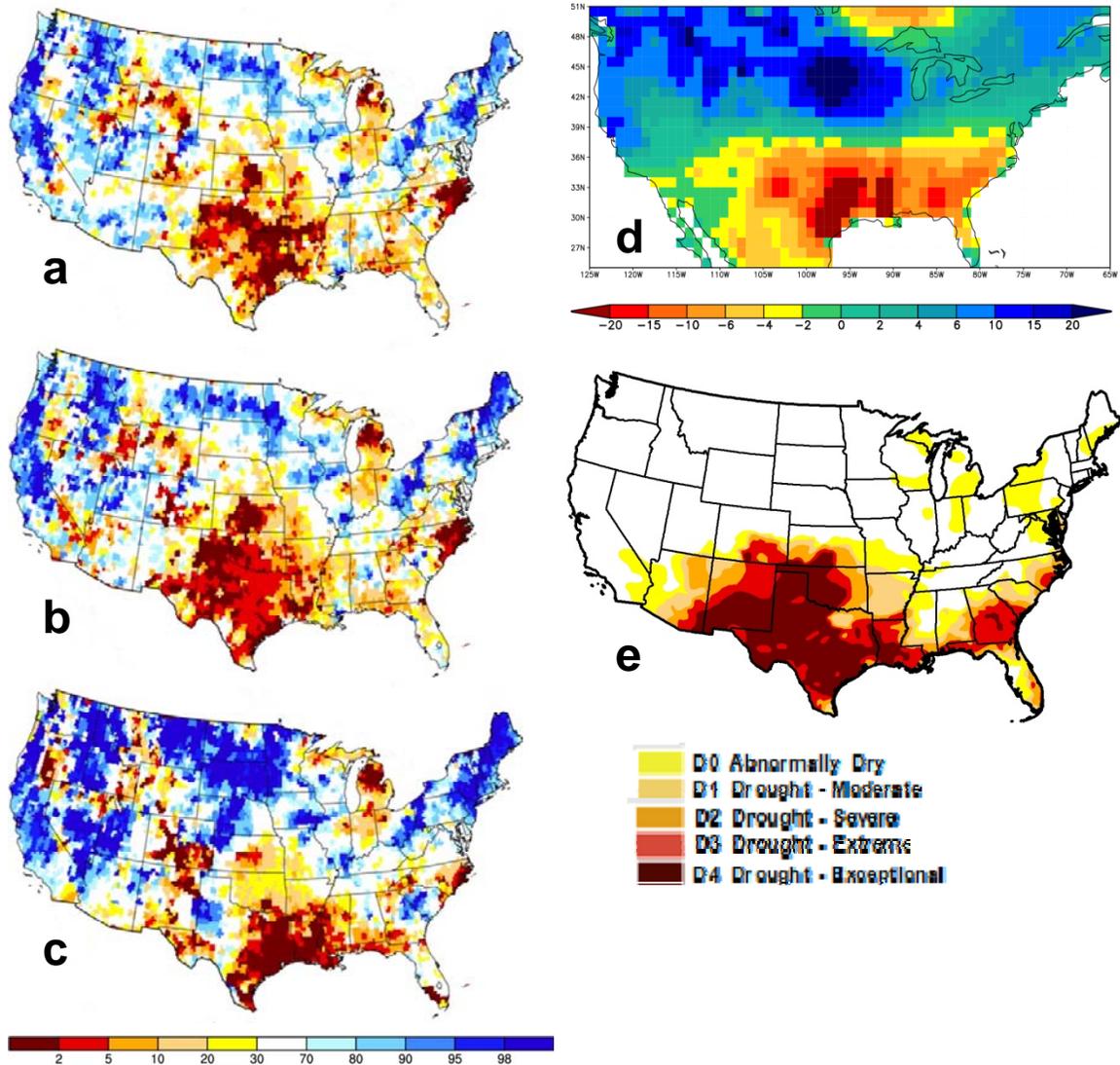
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## 336 6. Drought monitoring

337

338 Drought has devastating impacts on society and costs the U.S. economy 6 to 8 billion dollars  
339 per year on average (WGA, 2004). Drought affects the availability of water for irrigation,  
340 industry, and municipal usage, it can ravage crops, forests, and other vegetation, and, where  
341 rivers support hydropower and power plant cooling, it affects electricity generation. Most  
342 current drought products rely heavily on precipitation indices and are limited by the scarcity of  
343 reliable, objective information on subsurface water stores. Groundwater levels, which integrate  
344 meteorological conditions over timescales of weeks to years, would be particularly well suited to  
345 drought monitoring, if only such data were available with some semblance of spatial and  
346 temporal continuity and a reasonably long background climatology (Rodell, 2010).

347 Droughts cause declines in all types of terrestrial water storage, and as a result they stand out  
348 in the GRACE data. For example, drought in the southeastern U.S. (2007-08) imparted a  
349 negative trend in that area in Figure 2. GRACE has been applied directly to investigate a decade  
350 long drought in southeastern Australia (Awange et al., 2009; LeBlanc et al., 2009). Yirdaw et al.  
351 (2008) characterized terrestrial water storage changes associated with a recent drought in the  
352 Canadian prairie. Chen et al. (2009) used GRACE to study a major drought event in the Amazon  
353 that occurred in 2005, and Chen et al. (2010) examined a recent drought in the La Plata basin.



354

355 **Figure 5. GRACE based drought indicators (wetness percentiles) of surface soil moisture**  
 356 **(a), root zone soil moisture (b), and groundwater (c) for 27 July 2011, compared with the**  
 357 **GRACE terrestrial water storage anomalies (cm equivalent height of water) for July 2011**  
 358 **(d) and the U.S. Drought Monitor product for 26 July 2011 (e).**

359 Houborg et al. (2012) applied the GRACE data assimilation approach to enhance the value of  
 360 GRACE for drought monitoring, developing surface and root zone soil moisture and  
 361 groundwater drought indicators for the continental U.S. Because a long term record is needed as  
 362 background to quantify drought severity, while GRACE data are only available from mid-2002,  
 363 it was necessary to rely on the Catchment land surface model alone for most of the record.  
 364 Therefore, Houborg et al. (2012) executed an open loop model simulation for the period 1948 to  
 365 near present using for input a meteorological forcing dataset developed at Princeton University  
 366 (Sheffield et al., 2006). Monthly GRACE TWS anomaly fields (Swenson and Wahr, 2006b)  
 367 were converted to absolute TWS fields by adding the time-mean total water storage field from  
 368 the open loop Catchment model simulation. This assured that the assimilated TWS output would  
 369 be nearly identical to that of the open loop, which is to say that there was no discontinuity  
 370 between the open loop and assimilation portions of the run. However, the GRACE data, and

371 therefore the assimilation results, could still have a larger or smaller range of variability than the  
372 open loop LSM results at any given location. This is significant because drought monitoring  
373 concerns the extremes. Therefore, to correct for differences in the range of variability between  
374 the assimilation and open loop model output, Houborg et al. (2012) computed and mapped  
375 between the cumulative distribution functions of wetness at each model pixel for the open loop  
376 and assimilation results during the overlapping period (2002 forward). Drought indicator fields  
377 for surface (top several centimeters) soil moisture, root zone soil moisture, and groundwater  
378 were then generated based on probability of occurrence in the output record since 1948. To  
379 mimic other drought indicators that contribute to the U.S. Drought Monitor product, dry  
380 conditions were characterized from D0 (abnormally dry) to D4 (exceptional), corresponding to  
381 decreasing cumulative probability percentiles of 20-30%, 10-20%, 5-10%, 2-5%, and 0-2%.  
382 Following this process, new GRACE data assimilation based drought indicators (Figure 5) are  
383 now being produced on a weekly basis by NASA and disseminated from the University of  
384 Nebraska's National Drought Mitigation Center web portal. They are also being delivered to the  
385 principals of the U.S. Drought Monitor, who are currently assessing them as new inputs. The  
386 U.S. Drought Monitor is the premier decision support tool for drought in the United States,  
387 however, it lacked spatially continuous groundwater and soil moisture inputs prior to the  
388 development of the new GRACE based drought indicators. Currently, there are very few  
389 drought assessment products available that have global coverage, and those that do exist lack the  
390 sort of information on subsurface water stores that GRACE provides. It is likely that the  
391 GRACE based drought indicators just described will be extended to the global scale in the near  
392 future to help alleviate this knowledge gap.

393

## 394 7. Future Prospects

395

396 Satellite gravimetry is the only remote sensing technology currently available for measuring  
397 water stored below the first few centimeters of the soil column or total liquid and frozen water  
398 storage. In addition to GRACE, two other advanced gravity monitoring satellites have been  
399 launched: Germany's Challenging Minisatellite Payload (CHAMP) in 2000, and the European  
400 Space Agency's Gravity Field and Steady-State Ocean Circulation Explorer (GOCE), in 2009.  
401 CHAMP and GOCE each significantly advanced the capability to map the static gravity field at  
402 their times of launch, GOCE with significantly higher spatial resolution than GRACE, but  
403 neither was suitable for monitoring the time variable gravity field and inferring changes in  
404 terrestrial water storage (Han and Ditmar, 2008).

405 GRACE has endured well beyond its designed 5-year mission lifetime, and there is no set  
406 end date. Depending on battery and instrument health and fuel consumption for orbital  
407 adjustments, the mission might continue into the middle of the 2010s. NASA, Germany's space  
408 agency, the European Space Agency, and various other organizations have begun discussions of  
409 a next-generation satellite gravimetry mission, which would improve upon GRACE's horizontal  
410 resolution by up to an order of magnitude. This could be achieved by replacing the microwave  
411 ranging system with a laser interferometer, flying at a lower altitude in atmospheric-drag-free  
412 spacecraft (NRC, 2007), and possibly maintaining multiple satellite pairs in orbit simultaneously  
413 (Wiese and Nerem, 2011). While the improved resolution would be valuable, the need for  
414 further downscaling via data assimilation would remain. In the meantime, NASA has begun  
415 development of a follow-on to GRACE with a nearly identical mission design, which would  
416 provide continuity in the data record while affording some improvement in resolution due to

417 basic technological advancements of the past ten years (Watkins et al., 2011). That mission  
418 could launch as soon as 2016 and enable gravimetry-based water availability monitoring into the  
419 next decade.

420 Water is essential to life and vulnerable, for example, to overexploitation, pollution, and  
421 redistribution associated with any changes in precipitation and temperature. The information  
422 provided by GRACE and future satellite gravimetry missions has great potential to improve  
423 monitoring and understanding of freshwater availability, thereby helping to reduce  
424 environmental and social consequences. The hydrology and other climate communities have  
425 been somewhat slow to embrace GRACE as a tool of the trade because of the unique and  
426 challenging aspects of the observations. However, success stories such as those described here  
427 are increasing awareness and building momentum for GRACE enabled research and  
428 applications. Extending the data record by maintaining GRACE and launching the GRACE  
429 follow-on mission will also reduce uncertainty in and improve understanding of climatic and  
430 anthropogenic impacts on the water cycle that have begun to be revealed. Hopefully, as these  
431 impacts become less equivocal, stakeholders and policymakers will make better decisions and  
432 reduce threats to our precious freshwater resources.

433

434

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