

# Insulation Resistance and Leakage Currents in Low-Voltage Ceramic Capacitors with Cracks

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**Abstract** - Measurement of insulation resistance (IR) in multilayer ceramic capacitors (MLCCs) is considered a screening technique that ensures the dielectric is defect-free. This work analyzes the effectiveness of this technique for revealing cracks in ceramic capacitors. It is shown that absorption currents prevail over the intrinsic leakage currents during standard IR measurements at room temperature. Absorption currents, and consequently IR, have a weak temperature dependence, increase linearly with voltage (before saturation), and are not sensitive to the presence of mechanical defects. In contrary, intrinsic leakage currents increase super-linearly with voltage and exponentially with temperature (activation energy is in the range from 0.6 eV to 1.1 eV). Leakage currents associated with the presence of cracks have a weaker dependence on temperature and voltage compared to the intrinsic leakage currents. For this reason, intrinsic leakage currents prevail at high temperatures and voltages, thus masking the presence of defects.

**Index Terms:** ceramic capacitors, testing, leakage current, insulation testing, dielectric polarization, crack detection.

## I. INTRODUCTION

Low-voltage MLCCs constitute the majority of electronic components used in most applications. Failures of these parts are often related to cracks that are caused either by insufficient process control during manufacturing, thermal shock associated with soldering, or flex cracking during handling and/or mechanical testing of the circuit boards. Analysis of the effectiveness of test methods allegedly ensuring MLCC quality is important for the part selection process in high-reliability applications.

It is generally assumed that IR depends on the capacitance value and is the same for different case sizes and rated voltages (VR). At +25°C, military specifications require IR to be greater than 100,000 MΩ or 1,000 MΩ-μF, whichever is less, and at +125°C, 10,000 MΩ or 100 MΩ-μF, whichever is less. High volumetric efficiency commercial MLCCs have somewhat relaxed requirements. For example, Murata requires a minimum IR of 500 MΩ-μF for capacitors greater than 0.047 μF.

The value of IR is determined as the ratio of VR to the current measured within 1 or 2 minutes of electrification. However, mass production of MLCCs requires high-speed testing techniques. Hence, manufacturers are looking for test

systems that will allow IR measurements in much shorter periods of time, within seconds of electrification [1].

Currents in MLCCs decrease with time relatively slowly after application of a voltage step. This behavior is due to the dielectric relaxation processes that might include dipole orientation, redistribution of ionic charges, charge injection from electrodes, or electron tunneling into the traps in the dielectric [2]. Disregarding the specific physical mechanism of relaxation, a process of decreasing currents with time can be described as charge absorption. Absorption currents can be defined as polarization (under applied voltage) and depolarization (under short circuit condition) currents.

Absorption processes occur in all dielectric materials employed in different types of capacitors, including MLCCs [3-5]. Absorption currents typically follow the empirical Curie - von Schweidler law, referred to as a Kohlrausch behavior in some publications [6]. According to this law, polarization currents decrease with time as a power function,

$$I(t) = I_0 \times t^{-n}, \quad (1)$$

where  $I_0$  and  $n$  are constants, and  $n$  is close to 1.

Intrinsic leakage currents,  $I_{il}$ , in MLCCs are attributed to electron injection from metal electrodes [5]. However, there is no agreement in literature on the mechanism of electron transport. The mechanism of conduction through ferroelectric materials was attributed to the electrode-limited Schottky emission [7-9], bulk-limited Poole-Frenkel transport [10-11], or space charge limited conduction, SCLC [12-13].

In the assessment of the effectiveness of IR measurements, it is important to understand (i) which currents are measured during the standard testing, (ii) the relationship between the leakage currents caused by cracking and the absorption and intrinsic leakage currents at normal conditions (room temperature and rated voltage), and (iii) the dependence of currents on temperature and voltage. The purpose of this work is to gain insight into absorption and leakage currents in low-voltage MLCCs, investigate voltage and temperature dependencies of these currents, and assess the sensitivity of IR measurements to the presence of cracks.

## II. EXPERIMENT

A variety of low-voltage (rated to 100 V or less) MLCCs produced by seven different vendors was used in this study to

reveal common characteristics in absorption and leakage currents. Most of the parts were commercial, high volumetric efficiency, X7R capacitors with EIA case sizes from 0402 to 2225, voltage ratings from 6.3 V to 100 V, and capacitances from 1500 pF to 100  $\mu$ F.

Mechanical defects in MLCCs were introduced using three techniques:

- Mechanical fracture. A corner portion of the part was chipped-off using fine cutters.
- Surface cracking. A capacitor was damaged by impact on the surface with a Vickers indenter.
- Thermal shock. Capacitors were stressed either by a cold thermal shock using the ice water testing (IWT) technique or hot thermal shock using a solder dip test [14].

Examples of MLCCs with introduced cracks are shown in Fig.1.

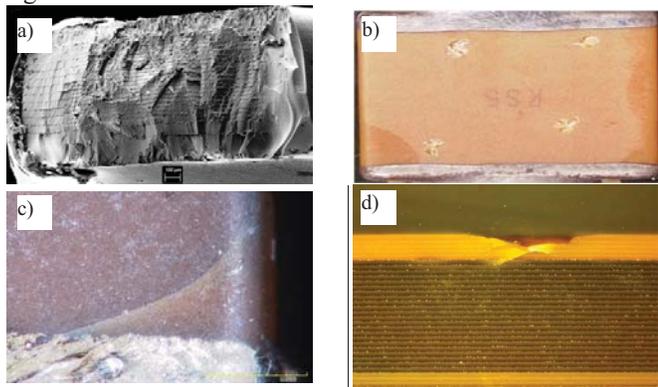


Fig. 1. Examples of MLCCs with cracks: (a) fractured by cutting a corner, (b) damaged by Vickers indenter, and (c) after thermal shock. Fig. 1.d is a cross-sectional view of a sample with Vickers indenter damage.

These techniques were used to simulate manufacturing or assembly/handling related cracking in ceramic capacitors and have different advantages and drawbacks in approaching this goal. Mechanical fracturing guarantees that the internal electrodes are exposed to the environmental conditions, but the charge transport processes on the cleaved surface might be somewhat different in comparison to the processes in cracks. IWT produces cracks that are initially filled with water, which proves difficult to remove completely, even after baking at high temperatures. Cracks caused by solder dip testing might be tiny and difficult to detect. The indenter causes localized damage most closely simulating real-life cracking, however, there is no guarantee that the cracks penetrate deep enough to cross the internal electrodes. In all cases described in this work, optical examination and/or additional testing in humid environments verified the presence of cracks that extended through the active area of the capacitors.

### III. ABSORPTION AND LEAKAGE CURRENTS IN MLCCS

Typical absorption currents in ceramic capacitors after the application of rated voltages are shown in Fig. 2. In double logarithmic coordinates,  $I-t$  characteristics can be approximated with straight lines over a long period of time, typically from seconds to several hours. This confirms the

applicability of the power law, Eq. (1). The absorption currents were reproducible, thus measurements on group sizes of up to 20 samples showed that all parts had close amplitudes and rates of decay. The currents increased with capacitance and depending on the part type, the exponent  $n$  varied in the range from 0.6 to 1.1. For Mfr. C, 1  $\mu$ F, 6.3 V capacitors (Fig. 1.a), the currents started to level off after  $\sim$  1 hour due to the absorption currents decreasing below the intrinsic leakage currents. Comparing the polarization and depolarization absorption currents (Fig. 1.b), showed that in all cases, the currents were similar. Analysis of the current relaxation in ceramic capacitors with different types of dielectric materials (X7R, X5R, and NPO/COG) confirmed Curie - von Schweidler behavior in all cases.

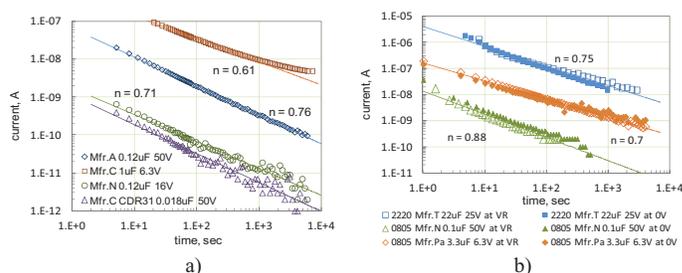


Fig. 2. Time dependence of currents in X7R MLCCs after application of rated voltages. (a) Polarization currents in four types of case size 0805 capacitors from different vendors. (b) Comparison of polarization (empty marks) and depolarization currents (solid marks) in three types of MLCCs.

### Effect of Capacitance

Correlation between the values of IR measured by a standard technique and capacitance for 40 different part types is shown in Fig. 3. The best fit approximation indicates that IR is inversely proportional to the capacitance:  $IR = 5 \times 10^9 / C$ , where C is in  $\mu$ F and IR is in  $\Omega$ . This relationship is similar to the one used by manufacturers of low-voltage, high-value MLCCs to set the limiting value of the resistance:  $IR = 5 \times 10^8 / C$ . Experimental data are approximately 10 times greater than the specified value, which gives a reasonable value for the manufacturing margin.

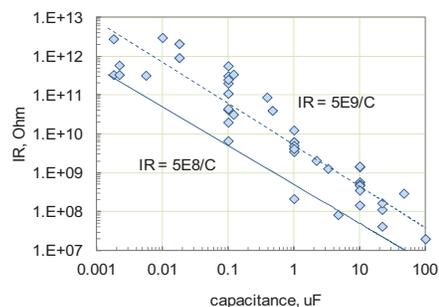


Fig. 3. Variations of IR with capacitance for different types of MLCCs rated to voltages from 6.3 V to 100 V. Solid line corresponds to the specification data.

### Effect of Voltage

Typical  $I-t$  characteristics for 10  $\mu$ F, 16 V capacitors, measured at different voltages, are shown in Fig. 4. An increase in the applied voltage leads to an increase in the absorption currents, whereas the rate of current decay,

characterized by the exponent  $n$ , remains practically the same. At voltages approximately twice the rated voltage, the current decay levels-off after  $\sim 1000$  seconds, due to increased  $I_{il}$ .

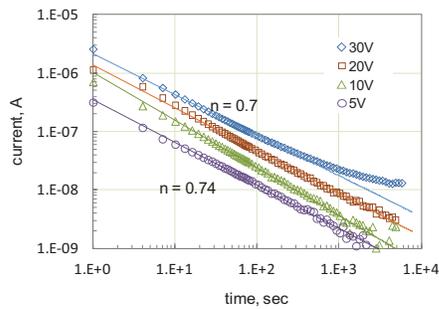


Fig. 4. Relaxation of currents in case size 1206, 10  $\mu\text{F}$ , 16 V, capacitors at voltages from 5 V to 30 V.

Absorption currents that were measured after 120 seconds of polarization for four types of MLCCs are plotted against voltage in Fig. 5 and indicate a linear dependence of  $I_{120}$  on voltage. The slopes of the lines represent the variation in IR values from  $2.4 \times 10^8 \Omega$  to  $1.5 \times 10^{10} \Omega$ , for different part types. These IR values display no voltage dependence up to at least twice the rated voltage.

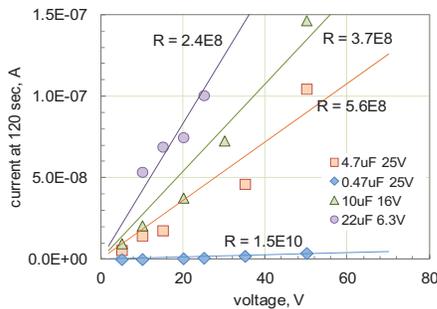


Fig. 5. Voltage dependence of currents measured after 120 seconds of polarization in four different types of case size 1206, X7R capacitors (see legends).

Variations of polarization and depolarization currents with voltage for 3.3  $\mu\text{F}$ , 6.3 V capacitors are shown in Fig. 6. The absorption (depolarization) currents increase linearly to  $\sim 20$  V, and then saturate with voltage. At voltages exceeding  $\sim 3\text{VR}$ , intrinsic leakage currents became greater than absorption currents and do not change significantly with time.

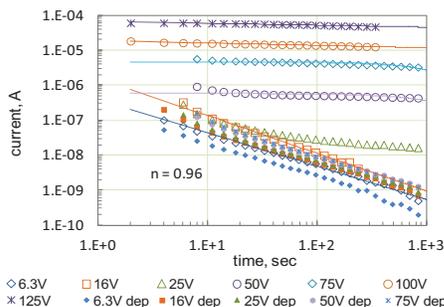


Fig. 6. Time dependence of polarization (empty marks) and depolarization (solid marks) currents for case size 0805, 3.3  $\mu\text{F}$ , 6.3 V capacitors at different voltages.

Examples of  $I$ - $V$  characteristics for different types of MLCCs that were measured based on the intrinsic leakage currents are shown in Fig. 7. At room temperature, experimental data can be approximated with straight lines in Schottky,  $\ln(I)$  vs.  $V^{0.5}$ , coordinates. However, at high temperatures, a better fit is obtained in double logarithmic coordinates, which suggests that the current is a power function of voltage,  $I \sim V^m$ , where  $m$  is constant. The slope of the room temperature  $I$ - $V$  curves in Schottky coordinates varied from 0.9  $(\text{m/V})^{0.5}$  to 1.1  $(\text{m/V})^{0.5}$ . At high temperatures, the exponent  $m$  varied within a relatively narrow range, from 1.42 to 1.52. Similar results were obtained for different part types manufactured by different vendors. This indicates that at high temperatures,  $I$ - $V$  characteristics of most X7R capacitors follow the 3/2 power law.

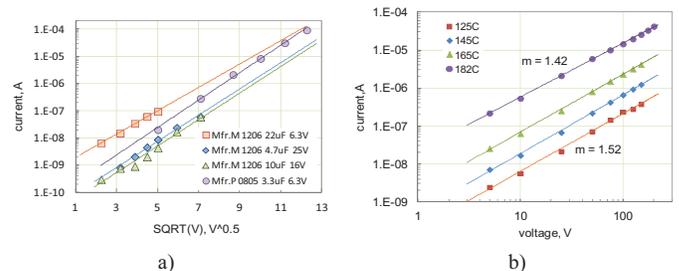


Fig. 7.  $I$ - $V$  characteristics of X7R capacitors. (a) Room temperature characteristics in Schottky coordinates for different part types. (b) High temperature characteristics for case size 2225, 1  $\mu\text{F}$ , 50 V capacitors.

### Effect of Temperature

The relaxation of currents measured at rated voltages and temperatures varying from room (300 K) to cryogenic (200 K and 36 K) showed that  $I$ - $t$  characteristics did not change substantially (see example in Fig. 8.a). Depolarization currents in capacitors at room temperature were close to those measured at temperatures up to 165°C (see an example in Fig. 8.b). Similar results were obtained for different types of X7R capacitors from different vendors, which suggest that absorption currents have weak temperature dependence.

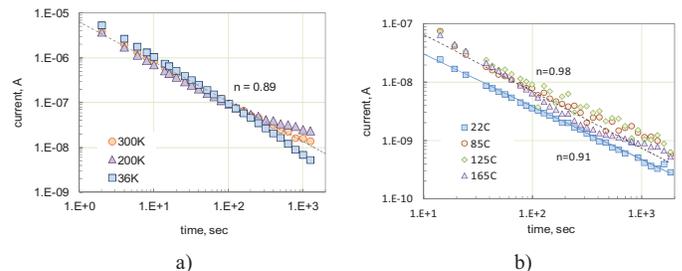


Fig. 8. Effect of temperature on current relaxation. (a) Case size 2220, 100  $\mu\text{F}$ , 6.3 V, capacitors at room (300K) and cryogenic (200 K and 36 K) temperatures. (b) Case size 1825, 0.47  $\mu\text{F}$ , 50V, capacitors at temperatures from 22°C to 165°C.

Contrary to that, intrinsic leakage currents increased with temperature exponentially. An example of the temperature dependency of  $I_{il}$  at different voltages is shown in Fig. 9.a. In Arrhenius coordinates, straight lines accurately approximate the experimental data with slopes that indicate the activation energy,  $E_a$ . In all cases, the slope decreased with the voltage, indicating a decrease in the activation energy. Typically,  $E_a$

decreases by 10% to 30% as the voltage increases from  $0.5 \times VR$  to  $5 \times VR$ . At rated voltages, the activation energy for different part types varies from 0.6 eV to 1.3 eV.

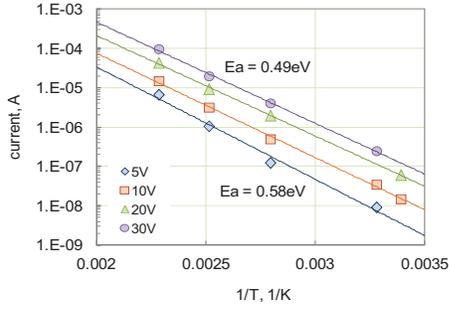


Fig. 9. Temperature dependence of leakage currents for case size 1206, 22  $\mu\text{F}$ , 6.3V, capacitors at different voltages in Arrhenius coordinates.

### Effect of Dielectric Cracking

Current relaxation was measured at different voltages and temperatures for a variety of normal quality (virgin) ceramic capacitors and for a variety of cracked capacitors. In all cases, except for a few involving severely damaged capacitors, absorption currents (both, polarization and depolarization) were similar for virgin and damaged parts. Examples of these measurements at room temperature are shown in Fig. 10.

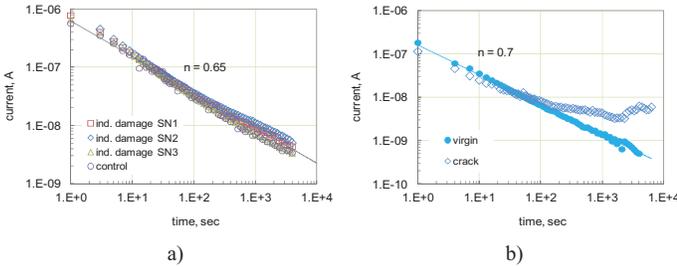


Fig. 10. Relaxation of currents in normal and fractured capacitors at room temperature and rated voltages. (a) Case size 1812, 1  $\mu\text{F}$ , 50 V capacitors. (b) Case size 0805, 3.3  $\mu\text{F}$ , 6.3 V capacitors.

For case size EIA1812, 1  $\mu\text{F}$ , 50 V capacitors, no difference was observed between the normal quality parts and cracked parts within a one-hour period of polarization (Fig.10.a). Fig. 10.b presents an example of a situation when leakage currents in a capacitor with cracks exceeded currents in a normal part. However, this difference was observed only after  $\sim 100$  seconds of polarization, making it undetectable by regular IR measurements.

Correlation between IR values measured after 120 seconds of electrification for 15 different types of virgin and fractured capacitors at room temperature is shown in Fig. 11.a. The data are closely correlated, indicating that IR screening at room temperature would not detect fractured capacitors.

It is often assumed that IR measurements at high temperatures (typically 125  $^{\circ}\text{C}$ ) are more sensitive to the presence of defects, and thus are more effective in evaluating the quality of capacitors. To assess the effectiveness of high-temperature measurements, nine types of virgin and fractured capacitors were measured at 85 $^{\circ}\text{C}$ , 125 $^{\circ}\text{C}$ , and 165 $^{\circ}\text{C}$ .

Results of these measurements are shown in Fig. 11.b. High temperature IR measurements were also closely correlated, indicating that the temperature increase does not assist in revealing mechanical defects in MLCCs. Obviously, this is due to a high level of intrinsic leakage currents that substantially exceed the currents associated with the presence of cracks.

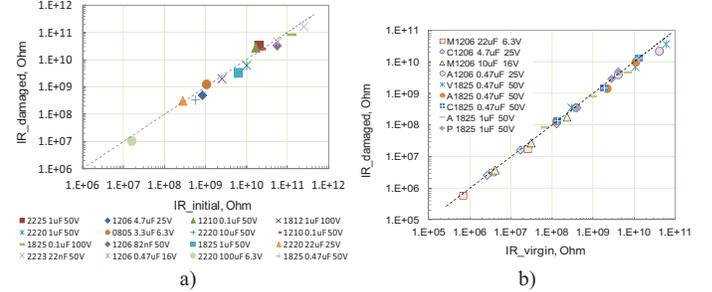


Fig. 11. Effect of cracking on insulation resistance in different types of ceramic capacitors. The IR measurements were carried out using a standard technique: 120 seconds of electrification at rated voltages. The dashed line corresponds to no-change values. (a) Room temperature measurements. (b) Measurements at 85 $^{\circ}\text{C}$ , 125 $^{\circ}\text{C}$ , and 165 $^{\circ}\text{C}$ .

## IV. ABSORPTION CAPACITANCE

If a charge,  $Q_t$ , that is transferred into the dielectric during polarization, or is released during depolarization, increases with voltage linearly, the absorption process can be described by a capacitance,  $C_t$ , that is determined as  $C_t = Q_t/V$ . The value of  $Q_t$  was calculated by approximating the absorption currents with a power law, Eq.(1) and integrating with time over a period from 1 sec. to  $10^4$  sec.

Variations of  $Q_t$  with voltage for 4 types of capacitors are shown in Fig. 12. Below 2VR the charge increases with voltage linearly, and the slopes of the lines allow for estimations of  $C_t$ . For the capacitors shown in Fig. 12, the values of  $C_t$  were comparable to the nominal values of capacitance and varied from 0.6  $\mu\text{F}$ , for 2.2  $\mu\text{F}$  capacitors, to 39  $\mu\text{F}$ , for 100  $\mu\text{F}$  capacitors. Additional experiments showed that  $Q_t$  varies linearly at relatively low voltages, and typically, saturates at voltages exceeding 3VR.

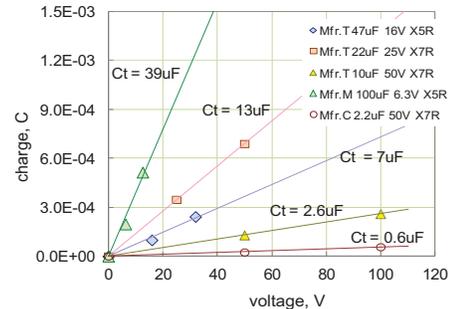


Fig. 12. Variations of absorption charges in different types of EIA2220, X7R/X5R MLCCs. The absorption capacitance ( $C_t$ ) was calculated as the slope of the  $Q_t - V$  lines.

Absorption capacitance was found to be greater for larger valued capacitors, and a correlation between the standard capacitance value measured at 1 kHz,  $C_0$ , and absorption capacitance,  $C_t$  was determined (see Fig. 13). For most capacitors,  $C_t$  was in the range from 10% to 50% of the

nominal value. On average,  $C_i = 0.25 \times C_0$ .

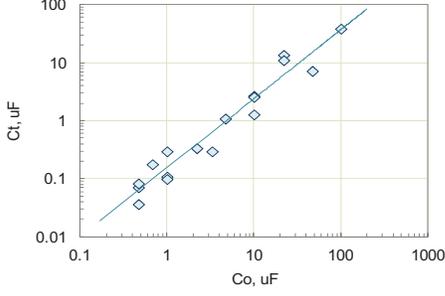


Fig. 13. Correlation between the absorption and nominal values of capacitance for 17 different types of X7R MLCCs.

## V. MODELING OF ABSORPTION CURRENTS

An equivalent circuit of a capacitor that accounts for the effects related to dielectric absorption was suggested first for polystyrene capacitors by Dow in 1958 [15] and is presented in Fig. 14. The capacitor has a nominal value,  $C_0$ . Resistor  $R_{il}$  is due to the intrinsic leakage currents, and  $R_d$  is related to mechanical defects.

Dow have showed that most absorption polarization processes in capacitors can be described by a circuit with five  $r$ - $C_i$  relaxators connected in parallel to  $C_0$  by a proper selection of the relaxation times,  $\tau_i = r_i \times C_{ii}$ , and absorption capacitances,  $C_{ii}$ . If a step voltage,  $V_0$ , is applied at  $t = 0$ , variations of the current with time can be given by a simple equation:

$$I(t) = \frac{V_0}{R_d} + \frac{V_0}{R_{il}} + \sum_i \frac{V_0}{r_i} \exp\left(-t/\tau_i\right) \quad (2)$$

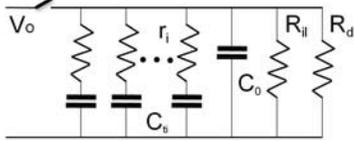


Fig. 14. Dow model of a capacitor with absorption.

Analysis shows that the exponent  $n$  in the Curie - von Schweidler law, Eq. (1), is equal to 1 if  $C_{ii}$  is constant and  $r_i$  increases exponentially for each consecutive relaxator. Experimental data for capacitors with a relatively slow decay,  $n < 1$ , can be simulated by increasing  $C_{ii}$  for larger  $r_i$ , and for parts with  $n > 1$ , by decreasing  $C_{ii}$  for larger  $r_i$ .

An example of calculations of absorption currents for a 4.7  $\mu\text{F}$  capacitor using four relaxators is shown in Fig. 15. When the absorption capacitance increases in relaxators with larger resistances, as it is shown in Fig. 15.a, the slope of  $I$ - $t$  curve decreases. For instance, if  $C_{ii} = i \times I \mu\text{F}$ , and the values of  $r_i$  increase from  $10^8 \Omega$  for  $C_{i1} = 1 \mu\text{F}$  to  $10^{11} \Omega$  for  $C_{i4} = 4 \mu\text{F}$ , the exponent  $n = 0.83$ . If for the same resistances  $C_{ii} = 0.33 \times C_{i-1}$ , the rate of current decay can be increased to  $n = 2$  (see Fig. 15.b).

Obviously, by adding more  $R$ - $C$  relaxators with characteristic times below  $10^2$  sec. or above  $10^5$  sec. the applicability of the Curie - von Schweidler law can be extended to a more considerable range of times.

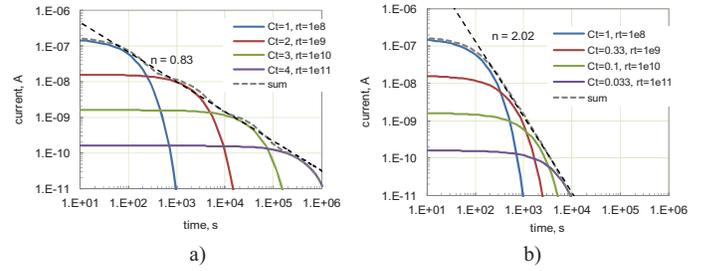


Fig. 15. Simulation of absorption currents in 4.7  $\mu\text{F}$  capacitors using an equivalent circuit (Fig.14) with four relaxators. (a)  $C_{ii}$  increases for relaxators with larger  $r_i$ . (b)  $C_{ii}$  decreases with larger  $r_i$ .

## VI. DISCUSSION

In a general case, the current in a capacitor under step voltage can be presented as:

$$I(t, T, V) = I_{abs}(t, V) + I_{il}(T, V) + I_{dl}(T, V, RH) \quad (3)$$

This presentation reflects time ( $t$ ), temperature ( $T$ ), voltage ( $V$ ), and relative humidity (RH) dependence of different components of the current. As it has been shown above, absorption currents,  $I_{abs}(t, V)$ , decrease with time according to a power law (Eq. 1), increase linearly with voltage below 2VR to 3VR, and have a weak dependence on temperature. Intrinsic leakage currents,  $I_{il}(T, V)$ , do not vary with time, increase exponentially with temperature and super-linearly with voltage. For relatively short testing periods (below 1-hour) and voltages close to VR, possible degradation processes that might affect  $I_{il}$ , e.g. migration of oxygen vacancies, are considered negligible. Crack-related leakage currents,  $I_{dl}(T, V, RH)$ , depend also on the relative humidity of the environment.

For quality assurance purposes, it is important to assess the defect-related component of the current. To optimize test conditions (voltage, temperature and duration), we need to better understand the effect that external factors (T, V, RH) have on the different components in Eq. (3).

### A. Absorption Currents

Our data show that absorption currents dominate during standard IR measurements, and the leakage currents at room temperature might be revealed only after hours of polarization. At relatively low voltages, typically below 2-3 times VR,  $I_{abs}$  linearly increases with voltage and does not depend on temperature. Depolarization (short circuit) currents flow in the opposite direction, but have the same isochronic values as polarization currents. These findings are consistent with literature data [2, 13] and can be explained assuming that the absorption process is due to the tunneling of electrons from electrodes into states (traps) located in the forbidden energy gap of the dielectric at the interface with the metal electrodes. Different states have different probabilities of trapping, which results in substantial (exponential) variations of the characteristic times of the process. For the traps uniformly distributed over the energy gap, the characteristic times would change by orders of magnitude, which is consistent with the Dow model. Different

distributions of the trap density with energy explain variations of the exponent in the Curie - von Schweidler law.

A close location of the traps to electrodes (likely within dozens of angstroms) results in most of the trapped charges flowing back to the electrodes under shorting conditions, and hence in the reversibility of the absorption process. Charges that are trapped within the bulk of the dielectric would be emptied towards both electrodes, resulting in a negligible current in the external circuit. A saturation of absorption currents at high voltages, which was observed in several cases, is due to the traps filling-up and can be used to assess their concentration,  $N_t$ , based on values of the absorption capacitance  $C_t$ . It has been shown that  $C_t$  is proportional to the value of the nominal capacitance,  $C_t = \alpha \times C_0$ , where  $\alpha$  is close to 0.25 at voltages up to  $\sim 3$ VR. In this case:

$$C_t = \frac{q \times N_t \times S}{3 \times VR} = \alpha \frac{\varepsilon \times \varepsilon_0 \times S}{d} \quad (4)$$

where,  $d$  is the thickness of the dielectric,  $S$  is the surface area of electrodes,  $\varepsilon$  is the dielectric constant of ceramic at 1 kHz,  $q = 1.6 \times 10^{-19}$  C is the electron's charge, and  $\varepsilon_0 = 8.89 \times 10^{-14}$  F/cm is the dielectric constant in vacuum. From Eq. (4), the value of  $N_t$  can be calculated as:

$$N_t = 3\alpha \frac{\varepsilon \times \varepsilon_0 \times VR}{d \times q} \quad (5)$$

Assuming that for a typical X7R capacitor, VR is in the range from 6 V to 50 V,  $d$  from 5  $\mu\text{m}$  to 15  $\mu\text{m}$ , and  $\varepsilon \approx 3000$ ,  $N_t$  is in the range from  $1.8 \times 10^{13}$   $\text{cm}^{-2}$  to  $6 \times 10^{13}$   $\text{cm}^{-2}$ .

Relatively large values of  $C_t$  ( $\sim 25\%$  of  $C_0$ ) result in large characteristic times,  $\tau_i = r_i \times C_t$ , even for relatively small resistances  $r_i$ . This allows for absorption currents to remain large for a sufficiently long period of time ( $\sim 120$  sec), affecting IR measurements. Assuming for simplicity that only one relaxator,  $r-C_t$ , prevails during the period of IR measurements,  $\Delta t \sim 100$  sec, and that  $\tau = r \times C_t > \Delta t$ , the insulation resistance can be presented as:

$$IR = \left( \frac{1}{R_d} + \frac{1}{R_i} + \frac{1}{r} \right)^{-1} \quad (6)$$

If the resistance,  $r$ , associated with the electron trapping process is less than the resistance associated with the mechanical defects and intrinsic conduction, then  $IR \approx r$ .

In this case the requirement  $\tau > \Delta t$  can be written in the form:

$$IR = r > \frac{100 \times 10^6}{0.25 \times C_0} = \frac{4 \times 10^8}{C_0}, \quad (7)$$

where,  $C_0$  is in  $\mu\text{F}$  and  $r$  is in  $\Omega$ . This corresponds to the manufacturers' requirements for  $IR = 5 \times 10^8 / C_0$ , and explains the results presented in Fig. 3.

### B. Intrinsic Leakage Currents

Contrary to the absorption currents, intrinsic leakage currents increase with voltage super-linearly. At sufficiently high voltages (typically exceeding 2VR), these currents

dominate the total current through a capacitor after a few seconds of polarization.

The Schottky equation is applicable to systems that have a mean free path of electrons with a thickness greater than that of the dielectric, which is not valid for MLCCs. It has been shown [16] that for ferroelectric thin films, the corrected form suggested by Simmons [17] provides a better agreement with experimental data. According to Simmons, for insulators having small electronic mean free paths, a modified equation can be written as:

$$J_s = AT^{3/2} \mu E \exp\left(-\frac{\Phi_B}{kT}\right) \exp\left(\frac{\beta_s E^{0.5}}{kT}\right), \quad (8)$$

where,  $A = 3.08 \times 10^{-4}$  A/cm<sup>2</sup> K<sup>1.5</sup>,  $\mu$  is the electron mobility in the insulator, and  $\beta_s$  is the Schottky constant:

$$\beta_s = \left( \frac{q^3}{4\pi\varepsilon\varepsilon_0} \right),$$

where,  $k$  is the Boltzmann constant,  $T$  is the absolute temperature, and  $\varepsilon \sim 5.5$  is the high-frequency dielectric constant for ferroelectric ceramics.

Analysis of  $I-V$  characteristics at room temperature showed that experimental values of the slopes are in a reasonable agreement with the Simmons model: the ratio of the experimental and calculated slopes was found being in the range from 0.7 to 1.5.

Approximation of  $I-V$  characteristics with a power law at high temperatures (from 125°C to 165°C) for different capacitors resulted in close values of the exponent  $m$  ( $\approx 1.5$ ). This type of  $I-V$  characteristic can be attributed to SCLC in the case of injection from pointed electrodes. Lee et al. [5] and Burton [13] observed the near 3/2 power dependence of currents on voltage for Z5U and X7R capacitors. The results were explained by electron emission from electrode protuberances and asperities, which were considered a dominant cause of leakage currents in MLCCs. The presence of electrode protuberances in their work was confirmed through cross section and scanning electron microscopy (SEM), which indicated the irregular nature of the electrodes.

Our cross-sectional examinations did not reveal substantial irregularities in the electrodes, and considering that the same  $I-V$  characteristics were observed for parts from different manufacturers, it appears more likely that the 3/2 law is due to some intrinsic irregularities in the distribution of the electric field near electrodes caused by the micro-grain structure of ceramic materials. However, more analysis is necessary to understand this behavior.

All models predict Arrhenius-like temperature dependence of the intrinsic leakage currents. Experimental values of  $E_a$ , calculated at relatively low voltages for different part types, vary from 0.6 eV to 1.3 eV. These values are close to the values reported for X7R capacitors by Lee, Burton, et al. [5, 13], from 1.2 eV to 1.3 eV. A decrease of  $E_a$  with applied voltage is in qualitative agreement with the Simmons model, Eq. (8).

Manufacturers' data on temperature dependence of IR, in the range from room temperature to 125°C and the requirements in military specifications, indicate much lower values of the activation energy, from 0.27 eV to 0.32 eV. This is due to different nature of the currents measured at room temperature and at 125°C. Absorption currents that have weak temperature dependence prevail in the first case, and intrinsic leakage currents with activation energy  $\sim 1$  eV prevail during the high temperature measurements.

Considering that the values of IR measured at high temperatures correspond to the intrinsic leakage currents, extrapolation of IR measured at 125°C to room temperature using experimental data of  $E_a$  would result in resistance values exceeding  $10^{14} \Omega$ , which is far above the values corresponding to the absorption currents.

### C. Leakage Currents Caused by Cracks

Although the voltage and temperature dependence of the damage-related leakage currents is not known, the presented data indicate that this dependence is most likely less significant compared to the intrinsic leakage currents. For this reason, attempts to screen-out damaged capacitors by IR measurements at high voltages and/or temperatures might be not effective.

Based on the results of this study, crack-related leakage currents, in most cases, are below the absorption currents. Some improvement of the sensitivity of IR measurements to the presence of cracks might be achieved by increasing the duration of the electrification period. For example, at  $0.6 < n < 1.1$ , an increase of  $\Delta t$  from 1 min to 1 hour would reduce  $I_{abs}$  by 12 to 90 times and thus increase the possibility of detecting excessive currents in the part. However, in many cases, crack-related leakage currents are small, and development of new techniques for their detection is necessary. One such technique is based on measurements of absorption voltages (to be published). Using this technique it was shown that capacitors with cracks might have very high levels of resistance, from  $10^9 \Omega$  to  $10^{13} \Omega$ . Note that based on the existing IR requirements, these parts would be considered acceptable. This means that both, the testing technique and the requirements should be revised.

Preliminary results show that humidity, even at relatively low levels ( $\sim 50\%$  RH), might strongly affect the behavior of parts with dielectric cracks. Fig. 16 shows degradation of leakage currents at room temperature and 50% RH for two groups of capacitors damaged by the Vickers indentation. The first group had 15 samples of 4.7  $\mu\text{F}$  25 V capacitors, and the second had 9 samples of 10  $\mu\text{F}$  25 V capacitors from a different manufacturer. One sample in each group was not damaged and used as a reference. Both groups manifested a substantial, more than two orders of magnitude degradation of leakage currents that started after  $\sim 1$  hour of testing.

Note, that per IR requirements, the currents after 120 sec for 4.7  $\mu\text{F}$  25 V capacitors should be below  $1.2 \times 10^{-7}$  A, so all 15 parts with cracks would be considered acceptable. Based on results for 10  $\mu\text{F}$  25 V (Fig.16b), the majority of the

fractured parts would be accepted if tested at the rated voltage.

Monitoring of leakage currents with time at room temperature and voltages from VR to  $2 \times \text{VR}$  during or after exposure to humid environments might be a useful technique to reveal capacitors with cracks in base metal electrode (BME) capacitors.

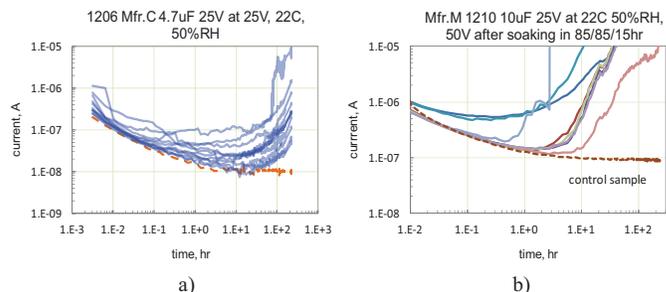


Fig. 16. Long-term degradation of leakage currents in MLCCs with cracks at room temperature and 50% RH. The dashed lines correspond to reference parts. (a) Case size 1206, 4.7  $\mu\text{F}$  25 V capacitors at 25 V. (b) Case size 1210 10  $\mu\text{F}$  25 V fractured capacitors at 50 V.

## VII. CONCLUSION

1. Absorption currents prevail during standard measurements of insulation resistance in MLCCs at room temperature. These currents follow the Curie - von Schweidler power law, with the exponent varying from 0.6 to 1.1. Polarization and depolarization currents are reproducible, have opposite polarity, and their isochronic values are similar. Absorption currents have a weak dependence on temperature, change linearly with voltage up to 2 - 3 times VR, and stabilize at larger voltages.
2. Absorption processes in MLCCs can be explained by electron trapping in states at the metal/ceramic interface, as a result of tunneling. Absorption capacitance increases with the nominal value of capacitance, and is on average  $\sim 25\%$  of  $C_0$ . The effective concentration of the interface traps is in the range from  $1.8 \times 10^{13} \text{ cm}^{-2}$  to  $6 \times 10^{13} \text{ cm}^{-2}$ .
3. At low temperatures, intrinsic leakage currents in MLCCs can be described using the Simmons model. At temperatures above 85°C,  $I$ - $V$  characteristics follow a power law with the exponent close to 1.5. Activation energy of leakage currents for different types of X7R capacitors is in the range from 0.6 eV to 1.3 eV.
4. Neither room temperature nor high temperature IR measurements are sensitive enough to the presence of cracks, so only capacitors with severe mechanical damage can be detected using the standard technique. Development of new, more effective testing methods to reveal capacitors with cracks is necessary.

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