Safely Enabling Low-Altitude Airspace Operations: Unmanned Aerial System Traffic Management (UTM)

Parimal Kopardekar, Ph.D.
Principal Investigator, UAS Traffic Management
Manager, Safe Autonomous System Operations Project

Parimal.H.Kopardekar@nasa.gov
• **Near-term Goal** – Enable initial low-altitude airspace and UAS operations with demonstrated safety as early as possible, within 5 years

• **Long-term Goal** – Accommodate increased UAS operations with highest safety, efficiency, and capacity as much autonomously as possible (10-15 years)
UTM Design Functionality

- Cloud-based architecture
- UAS operations will be safer if a UTM system is available to support the functions associated with
  - Airspace management and geo-fencing
  - Weather and severe wind integration
  - Predict and manage congestion
  - Terrain and man-made objects database and avoidance
  - Maintain safe separation
  - Allow only authenticated operations

- Analogy: Self driving or person driving a car does not eliminate roads, traffic lights, and rules
- Missing: Infrastructure to support operations at lower altitudes
UTM – One Design Option – Towards Autonomy

LINE-OF-SIGHT TO BEYOND LINE-OF-SIGHT

Unmanned Aerial System Traffic Management (UTM)

SERVICES
- UTM based on autonomicity, autonomy, autonomous operations related to automation
- Autonomicity
  - Self-configuration
  - Self-optimization
  - Self-protection
  - Self-healing
- Appropriate operational data recording

CHARACTERISTICS
- Authentication
- Airspace design and geo-fence definition
- Weather integration
- Constraint management
- Sequencing and spacing
- Trajectory changes
- Separation management
- Transit points/coordination with the National Airspace System
- Geo-fencing design and adjustments
- Contingency management

RANGE OF UNMANNED AERIAL SYSTEM (UAS) EQUIPAGE AND DIVERSE MISSIONS

Multiple customers with differing mission needs

Low-altitude Communication, Navigation, and Surveillance (CNS) options including but not limited to:
- Low-altitude radar
- Surveillance coverage (cell and satellite)
- Navigation
- Communication

Transition between UTM and Air Traffic Management airspace

Constraints based on community needs about noise, sensitive areas, privacy, etc.

3D maps: terrain and human-made structures

Real-time Weather & Wind
Weather & Wind Predictions
Airspace Constraints
Other low-altitude operations
UTM and Operator Interaction

- Cloud-based: user accesses through Internet
- User generates and files a nominal trajectory
- User or UTM may adjust trajectory for:
  - Congestion or pre-occupied airspace
  - Obstacle or terrain avoidance
  - Airspace usability and airspace restrictions
    Verifies for wind/weather forecast and associated airspace constraints
- Monitors trajectory progress and adjust trajectory, if needed (contingency could be someone else’s)
- Supports contingency – rescue
- Allocated airspace changes dynamically as needs and constraints change
Schedule

- UTM research and development driven by “Builds”
- Each Build adds more services and capabilities

### BUILD 1
- Demo: **Aug 2015**
- Geo-fencing and airspace design
- Open/close airspace for weather
- Basic procedural separation
- Simple scheduling
- Initial constraint database

### BUILD 2
- Demo: **Oct 2016**
- Dynamic airspace adjustments
- Demand/Capacity imbalance
- Initial contingency management

### BUILD 3
- Demo: **Jan 2018**
- Trajectory conformance monitoring
- Web portal for UTM access
- Heterogeneous operations

### BUILD 4
- Demo: **Mar 2019**
- Large scale contingency management
Consideration of Business Models

• Single service provider for the entire nation such as a government entity

• Single service provider for the entire nation provided by a non-government entity (for-profit, or not-for-profit entity)

• Multiple service providers by regional areas where UTM service could be provided by state/local government entities
  – Need to be connected and compatible

• Multiple service providers by regional areas where UTM service could be provided by non-government entities
  – Need to be connected and compatible

• Regulator has a key role in certifying UTM system and operations
Regulator has a key role in certifying UTM system and operations
All UTM systems must interoperate
Notional UTM Scope

SAN FRANCISCO BAY AREA UTM SYSTEM

SACRAMENTO UTM SYSTEM
UTM Builds and Services

• Based upon four risk-based criteria:
  – Density of people on the ground
  – Number of structures on the ground
  – Likelihood of manned operations in close proximity
  – Number of UAS operations in close proximity
• Each build enables certain types of missions and provides certain services
• Each build includes supports the missions and services of the previous builds
• Builds are intended to be developmental milestones as well as self-contained systems.
High-Level UTM Builds

• Build 1:
  – Reservation of airspace volume
  – Over unpopulated land or water
  – Minimal GA traffic in area
  – Contingencies handled manually by UAS pilot
  – Enable agriculture, firefighting, infrastructure monitoring, mapping use cases amongst others

• Build 2:
  – Beyond line-of-sight
  – Sparsely populated areas
  – Procedures and rules-of-the road separate UAS
  – Contingencies alerted to UAS operator

• Build 3:
  – Beyond line-of-sight
  – Over modestly populated land
  – Some interaction with manned aircraft
  – In-flight separation of UAS
  – Some contingencies resolved
  – Law enforcement, limited package delivery, and other use cases

• Build 4:
  – Beyond line-of-sight
  – Urban environments
  – Manned aircraft commonplace
  – Autonomous separation of UAS
  – Large-scale system-wide contingencies resolved
Notional UTM Airspace
High-Level UTM Services

• Security Services:
  – System Health Monitoring
  – Vehicle Registration
  – User Authentication
  – Flight Monitoring

• Flight Services:
  – Flight Planning
  – Scheduling and Demand Management
  – Separation Assurance
  – Contingency Management

• Information Services:
  – Airspace Definition
  – Weather Information
  – Terrain and Obstructions
  – Traffic Operations
External Partnerships

• Request for Information for collaborating with NASA on UTM garnered over 100 responses from companies, universities, and other government agencies

• Relationships are non-reimbursable

• Several UAS technology domains represented:
  – Vehicle manufacturers
  – Surveillance technologies
  – Control systems
  – Mission planning software
  – Data providers
Demonstration

UTM-Client communication
Build 1 Field Test Scenario

- Physical Location: Low Altitude Class G Airspace
  - Outside the Mode-C Veil
  - At least 3 nmi away from airports, helipads, etc.
  - 1,200 feet AGL or lower

- Risk Criteria
  - Population Density: Only people involved in operation
  - Structural Density: Only structures related to the operation
  - Manned operations: No non-participating aircraft expected
  - UAS Operations: Segregated by geo-fences or time

- Test Constraints
  - Within visual line-of-sight of Pilot-in-Command
  - During daylight hours
  - With visibility greater than 1 statute mile and clear of clouds
UTM Simulations

- Demonstrate and evaluate advanced UTM services and UAS operations in high-fidelity human-in-the-loop simulations
- Define human’s roles, responsibilities and procedures for managing UTM operations
- Perform verification and validation testing of UTM system prior to field tests
- Simulate complex operations that cannot be done during the field tests (e.g., urban operations, 9/11 type scenarios)
NuSTAR: Idea under consideration

- National UAS Standardized Testing and Recording (NuSTAR)
- Parallel: Underwriter’s Laboratory, Consumer Reports, JD Powers
- Credible test bed and scenarios
  - Urban, rural, atmospheric conditions (e.g., fog, smog, rain)
  - Simulated pets
- Data oriented rating, acceptance, and assurance
- Every UAS vehicle model goes through
- Support UAS manufacturers, consumers, FAA, insurance companies, and public at large through objective assessments
- Initial feedback from industry members has been positive
- Your feedback is requested
SAVE THE DATE

UAS Traffic Management (UTM) Convention
July 28-30, 2015
NASA Ames Research Center

We are entering a new era of aviation where unmanned aerial systems (UAS) will be used for new commercial and civil applications. UASs will be remotely piloted and fully autonomous. Their safe and effective integration into the national airspace is a critical requirement for achieving their true potential.

Join NASA in exploring the future direction of these unmanned systems and how technology and policies can keep pace with these emerging opportunities. This three-day convention aims to bring together a broad audience of government and civilian representatives, industry, and academia to discuss, understand, and define the UAS impact and challenges ahead.

Convention Themes
- Discuss policy issues, including privacy, safety, and security
- Examine strategies for low-altitude traffic management
- Learn about different autonomous aircraft platforms and future technology needs
- Identify future markets and missions for UAS operations.

Collaborative Format
Through keynote and plenary addresses, participants will gain an appreciation of what industry and authorities need for future integration of unmanned flight systems. Invited speakers will provide a vision of the possible, and breakout sessions will offer time to share requirements, opportunities, challenges, and solutions. In addition, NASA will host an open forum where experts can exchange ideas, and public comment will help define future goals to ensure UAS safety and acceptance into everyday applications. Exhibit space will be available, and demonstrations will showcase current UAS technology capabilities to attendees.

Audience
- Those exploring UASs for commercial and public service opportunities
- UAS technology suppliers and developers
- UAS operators
- Local, state, and federal entities
- Insurance companies and authorities
- Venture capitalists
- Researchers from government and academia
- Any interested members of the general public

Additional Information
Watch for future registration and exhibit announcements.
For additional inquiries, please contact UTM2015@nasa.gov
Summary

• UTM is a unique and necessary effort to enable safe operations

• Collaboration is welcome: 100+ private sector, university, and government collaborators

• Field testing and simulations will demonstrate UTM feasibility