Single Event Effects in FPGA Devices
2014-2015

Melanie Berg, AS&D Inc. in support of the NEPP Program and NASA/GSFC
Melanie.D.Berg@NASA.gov
Kenneth LaBel: NASA/GSFC
Jonathan Pellish: NASA/GSFC

To be presented by Melanie Berg at the NASA Electronic Parts and Packaging Program (NEPP) Electronics Technology Workshop (ETW), NASA Goddard Space Flight Center in Greenbelt, MD, June 23-26, 2015.
### Acronyms

- Block random access memory (BRAM)
- Built-in-self-test (BIST)
- Combinatorial logic (CL)
- Commercial off the shelf (COTS)
- Complementary metal-oxide semiconductor (CMOS)
- Device under test (DUT)
- Digital Signal Processing Block (DSP)
- Distributed triple modular redundancy (DTMR)
- Edge-triggered flip-flops (DFFs)
- Field programmable gate array (FPGA)
- Global triple modular redundancy (GTMR)
- Joint test action group (JTAG)
- Input – output (I/O)
- Internal configuration access port (ICAP)
- Linear energy transfer (LET)
- Local triple modular redundancy (LTMR)
- Look up table (LUT)
- Microprocessor (MP)
- Operational frequency ($f_s$)
- Processor (PC)
- Phase locked loop (PLL)
- Power on reset (POR)
- Probability of flip-flop upset ($P_{DFFSEU}$)
- Probability of logic masking ($P_{logic}$)
- Probability of transient generation ($P_{gen}$)
- Probability of transient propagation ($P_{prop}$)
- Radiation Effects and Analysis Group (REAG)
- Single event functional interrupt (SEFI)
- Single event latch-up (SEL)
- Single event transient (SET)
- Single event upset (SEU)
- Single event upset cross-section ($\sigma_{SEU}$)
- Static random access memory (SRAM)
- System on a chip (SOC)
- Transient width ($\tau_{width}$)
- Triple modular redundancy (TMR)
- Universal Serial Bus (USB)
- Windowed Shift Register (WSR)
Overview

• Review of FPGA Roadmap chart.

• Work performed by NASA/GSFC:
  – Security and trust,
  – Xilinx Virtex-5 (commercial) heavy ion testing,
  – Xilinx Kintex-7 heavy ion testing,
  – Study of TMR mitigation techniques,

• Plans for FY15 and out:
  – Microsemi, Xilinx, Altera, Synopsis.
FPGA Security and Trust

• Goal: Support the U.S. government concerns over security and trust in FPGAs

• Conference participation:
  – Xilinx Security Working Group (XSWG) 2014 in Boulder/Longmont, CO.
  – Government Microcircuit Applications and Critical Technology Conference (GOMACTech) 2015 in St. Louis, MO.
  – Hardened Electronics and Radiation Technology (HEART) 2015 in Chantilly, VA.

• Collaboration with Aerospace Corporation and other agencies.

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Review of FPGA Roadmap Chart: Field Programmable Gate Arrays (FPGAs)

**Trusted FPGA**
- DoD Development

**Altera**
- Stratix 5 (28nm TSMC process commercial)
- Max 10 (55nm NOR based commercial – small mission candidate)
- Stratix 10 (14nm Intel process commercial)

**Microsemi**
- RTG4 (65nm RH)

**Xilinx**
- 7 series (28nm commercial)
- Ultrascale (20nm commercial – planar)
- Ultrascale+ (16nm commercial - vertical)
- Virtex 5QV (65nm RH)

Radiation Testing
Reliability Testing
Radiation and Reliability Testing
Package Reliability Testing

FY14 FY15 FY16 FY17

FY=Fiscal Year

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Xilinx Virtex 5 Heavy Ion SEU Testing
65nm bulk CMOS
Xilinx Virtex-5 FPGA Investigation

- This was an independent study to determine the single event destructive and transient susceptibility of the Commercial Xilinx Virtex-5 device with special interest regarding its embedded PowerPC 440.
- The FPGA-DUT was configured to have various test structures that were geared to measure specific types of Single Event Effect (SEE) susceptibilities of the device.
- The DUT was monitored for single event transient (SET), single event upset (SEU), and single event latch-up (SEL) induced faults while exposing the devices to a heavy ion beam.

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Test Facility Conditions

- **Facility:** Texas A&M University Cyclotron Single Event Effects Test Facility, 25 MeV/amu tune.
- **Flux:** 50-to-10000 particles/cm$^2$·s
- **Fluence:** All tests were run to 1 x $10^7$ particles/cm$^2$ or until destructive or functional events occurred.
- **Test temperature:** Room temperature

<table>
<thead>
<tr>
<th>Ion</th>
<th>Energy (MeV/Nucleon)</th>
<th>LET (MeV·cm$^2$/mg) $0^\circ$</th>
<th>LET (MeV·cm$^2$/mg) $60^\circ$</th>
</tr>
</thead>
<tbody>
<tr>
<td>He</td>
<td>25</td>
<td>.07</td>
<td>.14</td>
</tr>
<tr>
<td>N</td>
<td>25</td>
<td>.9</td>
<td>.18</td>
</tr>
<tr>
<td>Ne</td>
<td>25</td>
<td>1.8</td>
<td>3.6</td>
</tr>
<tr>
<td>Ar</td>
<td>25</td>
<td>5.5</td>
<td>11.0</td>
</tr>
<tr>
<td>Kr</td>
<td>25</td>
<td>19.8</td>
<td>40.0</td>
</tr>
<tr>
<td>Xe</td>
<td>25</td>
<td>38.9</td>
<td>78.8</td>
</tr>
</tbody>
</table>

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Test Run Conditions

- Total of 437 test runs were performed.
- Test structures utilized:
  - Configuration, Windowed shift registers (WSRs), Counters, PLL, BRAM+EDAC, and PowerPC 440.
- Flux rates were able to be kept low when required - under 100(particles/s).
- Flux selection: calculated from configuration SEU rates and speed of scrubber.
  - Hence, when starting tests at a particular LET, static configuration tests were run first in order to calculate configuration upset rates at a given flux.
  - SEU rates should be lower than scrub rate.
- Note: Some tests were run with the scrubber on versus the scrubber off in order to determine if scrubbing would affect the system SEU rate (non-mitigated system).
Block Diagram Test Environment for PowerPC 440

Arithmetic unit (APU); floating point unit (FPU); Processor local bus (PLB);

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Results Summary

- A new method for FPGA processor testing has been developed by NEPP (presented at ETW 2014).
- The following are a few of the techniques that were implemented for this test campaign.
  - Fault visibility is increased by extracting internal processor signals and feeding them to the LCDT.
  - The LCDT places watchdog signals on these new observable points.
  - Watchdog failures are noted, time-stamped, and stored to the host PC.
  - The PC signals are also sent to a logic analyzer for real-time observation during irradiation.
- Take away: new method has proven to increase visibility of faults:
  - SEU cross sections become more accurate.
  - Component failure analysis is enhanced.
Xilinx Kintex-7 SEL Testing high-k metal gate (HKMG) (TSMC 28nm HPL process)
Xilinx Kintex-7 SEL Investigation

• This is an independent study to determine the SEL susceptibility of the Commercial Xilinx Kintex-7 device.
• Prior SEL testing has been performed by other groups. They have reported observing SEL in the Xilinx 7-series devices.
• NEPP decided to perform follow-up tests for validation.
  – NEPP test procedure was slightly different:
    • Real-time configuration memory scrubbing during irradiation.
    • Analog circuitry monitoring.
    • Custom DUT board was designed to connect with the NEPP LCDT.
  – Note: SEL is determined by an increase of DUT current that can only be lowered by reducing the DUT power below threshold.
Sample Kintex 7 SEL Data

Graph courtesy of David Vail (Harris)

Kintex7 IccAux During Beam Exposure

NASA SEL data agree with other groups’ test data.
Kintex 7 SEL Cross-Section

Analysis Performed by David Vail (Harris)

Xilinx Kintex 7 SEL Cross-Sections with Weibull Fit

- Weibull fit (from Lee paper)
- New K7 Test data

Cross-section (cm²) vs. LET (MeV·cm²/mg)

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SRAM-based FPGA Mitigation Study
(Triple Modular Redundancy (TMR) and Scrubbing)
Mitigation Study Overview

- This is an independent study to determine the effectiveness of various triple modular redundancy (TMR) schemes implemented in SRAM-based FPGA devices.

- TMR schemes are defined by what portion of the circuit is triplicated and where the voters are placed.
  - The strongest TMR implementation will triplicate all data-paths and contain separate voters for each data-path.
  - However, this can be costly: area, power, and complexity.
  - A trade is performed to determine the TMR scheme that requires the least amount of effort and circuitry that will meet project requirements.

- Presentation scope:
  - Block TMR (BTMR), Local TMR (LTMR), Distributed TMR (DTMR), Mixed TMR (PTMR).
**TMR Descriptions**

*DFF: Edge triggered flip-flop; CL: Combinatorial Logic*

<table>
<thead>
<tr>
<th>TMR Nomenclature</th>
<th>Description</th>
<th>TMR Acronym</th>
</tr>
</thead>
<tbody>
<tr>
<td>Block TMR</td>
<td>Entire design is triplicated. Voters are placed at the outputs.</td>
<td>BTMR</td>
</tr>
<tr>
<td>Local TMR</td>
<td>Only the DFFs are triplicated. Voters are placed after the DFFs.</td>
<td>LTMR</td>
</tr>
<tr>
<td>Distributed TMR</td>
<td>DFFs and CL-data-paths are triplicated. Similar to a design being triplicated but voters are placed after the DFFs.</td>
<td>DTMR</td>
</tr>
<tr>
<td>Global TMR</td>
<td>DFFs, CL-data-paths and global routes are triplicated. Voters are placed after the DFFs.</td>
<td>GTMR or XTMR</td>
</tr>
</tbody>
</table>

Note: It is suggested to separate (partition) TMR domains in SRAM based designs so that there are no overlapped shared resources. Shared resources become single points of failure.

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Results: Mitigation SEU Data

Mean Fluence to Failure (MFTF) for Various Mitigation Strategies

Unexpected Note: MFTF for DTMR-No-Partition is near DTMR with Partition!

Mixed TMR (PTMR) has poor results: used no feedback DTMR and LTMR in some areas.

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Results: Availability in Non-Flushable Designs

Availability: MFTF for BTMR versus Pure Counters

<table>
<thead>
<tr>
<th>LET MeV*cm²/mg</th>
<th>BTMR</th>
<th>Pure Counters</th>
<th>BTMR One Out of Three</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.7</td>
<td>1.00E+04</td>
<td>1.00E+04</td>
<td>1.00E+04</td>
</tr>
<tr>
<td>20.6</td>
<td>1.00E+04</td>
<td>1.00E+04</td>
<td>1.00E+04</td>
</tr>
<tr>
<td>41.2</td>
<td>1.00E+04</td>
<td>1.00E+04</td>
<td>1.00E+04</td>
</tr>
</tbody>
</table>

The Common Strategy Is To Reset The System Upon First Block (component) Error.

This affects Availability

Reliability for BTMR-one-out-of-three can be less than counters with no mitigation!
Two methods of scrubbing were performed:
- SelectMap (direct from LCDT), and
- Internal configuration access port (ICAP) (Signals sourced from LCDT with feed-through to ICAP).

Both use blind scrubbing – hence can correct any number of configuration SEUs.

A decade of difference for SEFIs were observed when using ICAP.

Configuration Memory Scrubbing - Results: SEFIs

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Configuration Scrubbing SEFI Cross-Sections: SelectMap versus ICAP

No Configuration Scrubbing SEFIs observed during testing for LETs below 5.7.
Plans for FY15 and out: Microsemi, Xilinx, Altera, and Synopsis. We Looking for Collaborators
Microsemi RTG4

- New Entry into the Aerospace Market with Space-grade Expectation
  - 65nm
- Custom daughter (DUT) cards are currently being built. Plan to be fabricated and populated by August.
- Prototype evaluation board will be purchased for early design development.
- Phase I tests (date: fall 2015):
  - Shift registers, counters, PLLs, and DSPs.
  - Use of Synopsis tool for mitigation insertion.
- Phase II and Phase III tests (date TBD):
  - High speed serial interfaces (XAUI, PCIe, Spacewire, and Spacefibre), instantiated processor(TBD).
  - Use of Synopsis tool for mitigation insertion.
Altera Stratix V Radiation Test Development

• New Entry into the Aerospace Market with COTS Expectation
  – 28nm bulk CMOS
• Custom daughter (DUT) cards are currently being built. Plan to be fabricated and populated by August.
• Evaluation boards have been purchased for early design development and early latch-up testing.
• Phase I tests (date June 2015):
  – Evaluation board latch-up investigation.
• Phase II tests (TBD):
  – Shift registers, counters, PLLs, and DSPs.
  – Use of Synopsis tool for mitigation insertion.
• Phase III tests:(TBD):
  – High speed serial interfaces (TBD), instantiated processor(TBD).
  – Use of Synopsis tool for mitigation insertion.

To be presented by Melanie Berg at the NASA Electronic Parts and Packaging Program (NEPP) Electronics Technology Workshop (ETW), NASA Goddard Space Flight Center in Greenbelt, MD, June 23-26, 2015.
Xilinx Kintex 7 UltraScale

• New Entry into the Aerospace Market with COTS Expectation
  – 20 nm planar process (TSMC)
• Prototype evaluation board will be purchased for early design development and early latch-up testing.
• Parts not in hand but should arrive soon.
• Phase I tests (date fall 2015 or spring 2016):
  – Evaluation board latch-up investigation.
• Phase II tests (date TBD):
  – Shift registers, counters, PLLs, and DSPs.
  – Use of Synopsis tool for mitigation insertion.
• Phase III tests (TBD):
  – High speed serial interfaces (TBD), embedded processors.
Xilinx Zynq UltraScale+

- New Entry into the Aerospace Market with COTS Expectation
  - 16nm vertical process (TSMC)
- Multi-Processor System on a Chip (MPSoC) family.
- Prototype evaluation board will be purchased for early design development and early latch-up testing.
- Planning to receive parts in spring of 2016.
- Custom daughter (DUT) cards will be built (date TBD).
- Phase I tests (date TBD):
  - Evaluation board latch-up investigation.
- Phase II tests (date TBD):
  - Shift registers, counters, PLLs, and DSPs.
  - Use of Synopsis tool for mitigation insertion.
- Phase III tests (TBD):
  - High speed serial interfaces (TBD), embedded processors.
Acknowledgements

• **Supporters:**
  – Defense Threat Reduction Agency (DTRA)
  – NASA Electronics Parts and Packaging (NEPP) Program

• **Current Collaborators:**
  – Xilinx,
  – Microsemi,
  – Altera,
  – Synopsis,
  – Harris,
  – Honeywell,
  – Aerospace Corporation,
  – NASA Space Launch System (SLS) mission, and
BACKUP CHARTS
Block Triple Modular Redundancy: BTMR

- Need Feedback to DFFS in order to Correct.
- Cannot apply internal correction from voted outputs.
- If blocks are not regularly flushed (e.g. reset), Errors can accumulate – may not be an effective technique.

Voting is only at outputs of complex blocks. Can Only Mask Errors

3x the error rate with triplication and no correction/flushing.

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When BTMR Works: Examples of Flushable BTMR Designs

- Shift Registers,
- Finite impulse response (FIRs),
- Transmission channels: It is typical for transmission channels to send and reset after every sent packet,
- Lock-Step microprocessors that have relaxed requirements such that the microprocessors can be reset (or power-cycled) every so-often.

Flushable transmission channel example:

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If The System Is Not Flushable, Then BTMR May Not Provide The Expected Level of Mitigation

- With a BTMR scheme, there is no correction, just masking.
  - Voters have no feedback.
  - Voters need to reach DFFs in order to perform correction.
- BTMR can work well as a mitigation scheme if the expected MTTF >> expected (or required) window of correct operation.
- But... If the expected time to failure for one block is less than the required full-liveliness window, then BTMR doesn’t buy you anything.
- If not thought out well, BTMR can actually be a detriment – complexity, power, and area, and false sense of performance.
Explanation of BTMR Strength and Weakness using Classical Reliability Models

<table>
<thead>
<tr>
<th>Reliability for 1 block ($R_{block}$)</th>
<th>Reliability for BTMR ($R_{BTMR}$)</th>
<th>Mean Time to Failure for 1 block ($MTTF_{block}$)</th>
<th>Mean Time to Failure BTMR ($MTTF_{BTMR}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$e^{-\lambda t}$</td>
<td>$3e^{-2\lambda t} - 2e^{-3\lambda t}$</td>
<td>$1/\lambda$</td>
<td>$(5/6 \lambda) = 0.833/\lambda$</td>
</tr>
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What Should be Done If Availability Needs to be Increased?

- If the blocks within the BTMR have a relatively high upset rate with respect to the required operational window, then stronger mitigation must be implemented.
- Bring the voting/correcting inside of the modules… bring the voting to the module DFFs.

The following slides illustrate the various forms of TMR that include voter insertion in the data-path.

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Local Triple Modular Redundancy (LTMR)

- Only DFFs are triplicated. Data-paths are kept singular.
- LTMR masks upsets from DFFs and corrects DFF upsets if feedback is used.

- Good for devices where DFFs are most susceptible and configuration and CL susceptibility is insignificant; e.g., Microsemi ProASIC3.

\[ P(f_s)_{error} \propto P(\text{configuration}) + P(f_s)_{\text{functionalLogic}} + P_{SEFI} \]

\[ P(f_s)^{\text{DFF}SEU \rightarrow SEU} + P(f_s)^{\text{SET} \rightarrow SEU} \]
LTMR Should Not Be Used in An SRAM Based FPGA

Too many other configuration bits + logic that can be corrupted by an SEU. Mitigation needs to be stronger than only protecting DFFs.

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Distributed Triple Modular Redundancy (DTMR)

- Triple all data-paths and add voters after DFFs.
- DTMR masks upsets from configuration + DFFs + CL and corrects captured upsets if feedback is used.
- Good for devices where configuration or DFFs + CL are more susceptible than project requirements; e.g., Xilinx and Altera commercial FPGAs.

\[ P(f_s)_{\text{error}} \ll P_{\text{configuration}} + P(f_s)_{\text{functional Logic}} + P_{\text{SEFI}} \]

\[ P(f_s)_{\text{DTMREU}} + P(f_s)_{\text{SET-SEU}} \]

Low

Minimally Lowered

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Global Triple Modular Redundancy (GTMR)

- Triple all clocks, data-paths and add voters after DFFs.
- GTMR has the same level of protection as DTMR; however, it also protects clock domains.
- Good for devices where configuration or DFFs + CL are more susceptible than project requirements; e.g., Xilinx and Altera commercial FPGAs.

\[ P(f_s)_{error} \propto P_{configuration} + P(f_s)_{functionalLogic} + P_{SET} \]

\[ P(f_s)_{DEFSEU\rightarrow SEU} + P(f_s)_{SET\rightarrow SEU} \]

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Theoretically, GTMR Is The Strongest Mitigation Strategy… BUT…

- Triplicating a design and its global routes takes up a lot of power and area.
- Generally performed after synthesis by a tool– not part of RTL.
- Skew between clock domains must be minimized such that it is less than the feedback of a voter to its associated DFF:
  - Does the FPGA contain enough low skew clock trees? (each clock + its synchronized reset)x3.
  - Limit skew of clocks coming into the FPGA.
  - Limit skew of clocks from their input pin to their clock tree.
- Difficult to verify.

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When Using TMR in an SRAM Based FPGA, Partitions Should Be Used

- SRAM based FPGAs use a significant number of shared resources; e.g., routing matrices.
- A resource that is shared across separate TMR domains can break the TMR scheme if hit by an SEU.
- Solution is to partition the TMR domains such that they do not share resources.
- Difficult:
  - Significantly increases area requirements,
  - Significantly reduces performance, and
  - It’s getting worse with new generations of devices.

Name TMR domains with unique identifier for easier floor-planning.

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