Application of an Aligned and Unaligned Signal Processing Technique to Investigate Tones and Broadband Noise in Fan and Contra-Rotating Open Rotor Acoustic Spectra

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Summary

The study of noise from a two-shaft contra-rotating open rotor (CROR) is challenging since the shafts are not phase locked in most cases. Consequently, phase averaging of the acoustic data keyed to a single shaft rotation speed is not meaningful. An unaligned spectrum procedure that was developed to estimate a signal coherence threshold and reveal concealed spectral lines in turbofan engine combustion noise is applied to fan and CROR acoustic data in this paper (also available as NASA/TM–2015-218865). The NASA Advanced Air Vehicles Program, Advanced Air Transport Technology Project, Aircraft Noise Reduction Subproject supported the current work. The fan and open rotor data were obtained under previous efforts supported by the NASA Quiet Aircraft Technology (QAT) Project and the NASA Environmentally Responsible Aviation (ERA) Project of the Integrated Systems Research Program in collaboration with GE Aviation, respectively.

The overarching goal of the Advanced Air Transport (AATT) Project is to explore and develop technologies and concepts to revolutionize the energy efficiency and environmental compatibility of fixed wing transport aircrafts. These technological solutions are critical in reducing the impact of aviation on the environment even as this industry and the corresponding global transportation system continue to grow.
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OUTLINE

- Background and Motivation
- Brief Remarks and Perspective on Signal Processing Methods
- Existing NASA 9- by 15-Ft Wind-Tunnel Data
  - single-shaft single-stage fan acoustic data – NASA QAT Project
  - CROR acoustic data – NASA ERA Project in collaboration with GE Aviation
- Data Processing Procedure
  - aligned and unaligned spectra
  - unaligned magnitude-squared coherence
  - broadband and tonal spectra
- Results
- Summary and Conclusions
Contra-Rotating Open-Rotor Engines for Aircraft Propulsion
- more efficient than equivalent-thrust turbofans
- complex aeroacoustic systems – tonal and broadband noise
- primarily noise and installation issues
  - predicted to meet upcoming Stage 14 for short/medium-range airliner

Decomposition Into Tonal And Broadband Components
- important aspect for noise prediction and study of control parameters
- challenging since the shafts are in general not phased locked

*Effective procedures to identify tonal and broadband noise components are needed*
TONAL AND BROADBAND NOISE DECOMPOSITION

... introduction – signal processing techniques

- Tones From Rotating Blade Rows On A Single Shaft
  - phase averaging keyed to shaft rotation is effective

- Two-Shaft Contra-Rotating Open Rotor (CROR)
  - phase averaging keyed to a single shaft rotation is not meaningful

- Existing CROR Acoustic Data Analysis Methods
  - Sree’s method (IJA 2013) – Sree & Stephens (AIAA 2014-2744)
  - Vold-Kalman order-tracking filter – Stephens & Vold (JSV 2014)

- Current Method
  - tonal frequencies are identified using Miles’ (AIAA 2006-0010) unaligned spectrum procedure
  - broadband component then obtained by removing tonal components from original aligned spectrum

Effective procedures to identify tonal and broadband noise components are needed
NASA 9- BY 15-FT LOW SPEED WIND TUNNEL DATA
.... acoustic data for single-shaft fan stage and contra-rotating open rotor

- Single-Shaft Fan Stage Data – Fite et al (AIAA 2006)
  - representative of typical modern turbofan
  - sideline data at 75.1° angle for approach conditions
  - baseline case for comparison with phase-averaged and Sree’s method results – Sree & Stephens (AIAA 2014)

- Contra-Rotating Open-Rotor Rig Data – Elliott (AIAA 2011)
  - baseline blade design F31/A31- sideline data at 90°; takeoff
  - comparison with results presented by Sree & Stephens (AIAA 2014) and Stephens & Vold (JSV 2014)
DATA PROCESSING PROCEDURE

*... aligned and unaligned spectra*

- **Acoustic Data** – time series divided into $M$ data segments each of length $N_{\text{FFT}}$

- **Aligned Spectrum**: $G_a(f)$ – Contains Incoherent Broadband and Tones
  - duplicate time sequence and compute one-sided auto spectrum $G_a(f)$

- **Deliberately Unaligned Spectrum**: $|G_u(f)|$ – Contains Only Tones
  - offset duplicate time sequence one segment or more; compute cross spectrum $G_u(f)$
DATA PROCESSING PROCEDURE

... unaligned magnitude-squared coherence (UMSC)

- Magnitude-Squared Coherence (MSC) of Two Signals $x(t)$ And $y(t)$

  \[ MSC = \frac{|G_{xy}(f)|^2}{G_{xx}(f)G_{yy}(f)} \]

- Here: The Two Signals Are Simply Related Through a Time Shift

  ![Diagram showing time shift](image)

- Unaligned Magnitude-Squared Coherence (UMSC)

  \[ UMSC = \gamma^2 = \frac{|G_u(f)|^2}{G_a(f)^2} \]

- theoretically: $0 \leq \gamma^2 \leq 1$

- finite time series: $\varepsilon^2 < \gamma^2 \leq 1$, $\varepsilon^2 = 1 - (1 - P)^{1/(M-1)}$, $0 \leq P \leq 1$

- If the computed $UMSC$ does not exceed the threshold value $\varepsilon^2$, then the two signals are uncorrelated with the confidence level $P$
Common Practice to Determine Broadband Noise Spectrum

- set tones to zero and use a multi-point average technique
- decision of which spectrum points are tones is not always well defined

Current Aligned/Unaligned Method:

1. compute $UMSC$ for spectrum points from the time series
2. select as tones only those where $UMSC$ exceeds the threshold value
   - 95 percent confidence-level threshold used here
3. apply a multi-point average technique to obtain broadband noise spectrum
   - 4 nearest non-tonal values used here
4. subtract this broadband spectrum from original aligned spectrum to obtain dominant tonal spectrum

- well-defined and easily automated process
SINGLE-SHAFT FAN-STAGE RESULTS

... aligned/unaligned spectra and UMSC

a) Aligned/Unaligned Spectra – Renormalized to 1 Hz Bin Width
   - broadband absent in the deliberately unaligned spectra
   - unaligned spectrum tones generally lower – more pronounced for higher harmonics
   - this loss of tonal energy is believed to be caused by shaft rpm drift

b) UMSC (logarithmic scale) and 95% Confidence-Level Threshold Value
   - value below threshold indicates independence of dealigned signals at that frequency
SINGLE-SHAFT FAN-STAGE RESULTS
.... aligned/unaligned method compared to Sree & Stephens (2014) results

Good agreement with their results – in particular with the phase averaged (gold standard) results

NASA Advanced Air Vehicles Program
Advanced Air Transport Technology Project
CONTRA-ROTATING OPEN-ROTOR RESULTS (470)
… aligned/unaligned spectra and UMSC

(a) Aligned/Unaligned Spectra – Renormalized to 1 Hz Bin Width (Reading 470)
- much richer tonal structure compared to single-shaft fan stage
- much larger tonal-amplitude reduction between aligned and unaligned CROR spectra
- particularly so for interaction tones – shaft orders 32, 34, and 42
- fundamental aft and front BPF (shaft orders 10 & 12) are within 1 dB & 2 dB

b) UMSC (logarithmic scale) and 95% Confidence-Level Threshold Value
CONTRA-ROTATING OPEN-ROTOR RESULTS

... shaft speed variation normalized by mean rotation rate in percent

Single-shaft fan: 0.05% (3 rpm)
CROR 470: 0.30% (20 rpm)
CROR 472: 0.23% (15 rpm)

Shaft-rate excursions for front and aft shafts somewhat trace each other, but they are not synchronized

Shaft speed deviation (%) as a function of segment count as well as time

Shaft Delta RPM, %

CROR Reading 470

CROR Reading 472

rpm deviation, %
r.m.s value

front rpm deviation
aft rpm deviation

 Shaft speed deviation (%) as a function of segment count as well as time
CONTRA-ROTATING OPEN-ROTOR RESULTS (470)

... aligned/unaligned method compared to Sree & Stephens (2014) results

**Tonal-energy loss in unaligned spectrum**

- Certain, but mainly different, tones are misidentified as broadband for different offsets

**Solution:** use several displacements – if UMSC > threshold value in at least one case, tone is present at that frequency

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Good agreement with their results when using information based on multiple displacements (1 & 1.5)
aligned/unaligned method compared to Stephens & Vold (2014) results

Good agreement with their Vold-Kalman filtering results up to shaft order 80
SUMMARY

- Special Signal Processing Tools Are Needed to Study CROR acoustics

- The Aligned/Unaligned Signal Processing Technique:
  - applied to existing single-shaft fan-stage and CROR acoustic data sets
  - detects dominant tones as well as those masked by broadband noise
  - combined with magnitude-squared coherence threshold-value technique

- Well-Defined, Easily Implemented, And Effective Procedure For Extracting In Turn The Broadband And Dominant Tonal Spectra From Complex Experimental Acoustic Data Sets

- Favorably Compared To Existing Signal-Processing Results
## DATA PROCESSING PROCEDURE

### spectral estimation parameters

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
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<tbody>
<tr>
<td>Sampling Rate</td>
<td>200,000 Hz</td>
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<tr>
<td>Total Observation Time</td>
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<tr>
<td>Segment Length, $N_{FFT}$</td>
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<tr>
<td>Bin Width, $\Delta f$</td>
<td>12.2 Hz</td>
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<td>Segment Overlap; Window</td>
<td>50 %; Hamming</td>
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<td>Number of Averages, $M$</td>
<td>363</td>
</tr>
<tr>
<td>UMSC threshold (95 %), $\varepsilon^2$</td>
<td>0.00824</td>
</tr>
</tbody>
</table>