Enabling Civilian Low-Altitude Airspace and Unmanned Aerial System (UAS) Operations

By

Unmanned Aerial System Traffic Management (UTM)

Parimal Kopardekar, Ph.D.

UTM Principal Investigator and Manager, NextGen Concepts and Technology Development Project

NASA

Parimal.H.Kopardekar@nasa.gov
Outline

• Main message
• Airspace classification
• Notional scenario
• UAS operator perspective
• UTM design
• UTM builds
• Call for collaborative tests
• Summary
Main Message

- UTM focuses on low altitude UAS and airspace
- UTM development is on-going
- Solid response by stakeholders to participate in UTM tests
- Sense and avoid would be important aspect of UTM testing
- Please respond to RFI on UTM Federal Business Opportunities if you are interested in participating in the tests

FedBizOps and UTM (solicitation number UTM09032014)
Airspace Classification

Class A
18,000' MSL

Source: Pilot’s Handbook of Aeronautical Knowledge, FAA
UTM Applications

NOTIONAL SCENARIO

- **Near-term Goal** – Enable initial low-altitude airspace and UAS operations with demonstrated safety as early as possible, within 5 years
- **Long-term Goal** – Accommodate increased UAS operations with highest safety, efficiency, and capacity as much autonomously as possible (10-15 years)
Operator Perspective: Low-altitude Airspace Operations

- Is airspace open or closed now and in the near-future?
- Which airspace they can operate, which airspace they should avoid?
- Will there be anyone else in the vicinity?
  - UAS, gliders, helicopters, and general aviation
- What should I do if I need to change my trajectory?
- How to manage a contingency?
- Who should operate the airspace and how?
UTM Design Functionality

- UAS operations will be safer if a UTM system is available to support the functions associated with:
  - Airspace management and geo-fencing (reduce risk of accidents, impact to other operations, and community concerns)
  - Weather and severe wind integration (avoid severe weather areas based on prediction)
  - Predict and manage congestion (mission safety)
  - Terrain and man-made objects database and avoidance
  - Maintain safe separation (mission safety and assurance of other assets)
  - Allow only authenticated operations (avoid unauthorized airspace use)

- Analogy: Self driving or person driving a car does not eliminate roads, traffic lights, and rules

- Missing: Infrastructure to support operations at lower altitudes
UTM – One Design Option

Multiple customers With diverse mission needs/profiles

Range of UAVs from disposable to autonomous

UAS 1

UAS 2

UAS 3

UAS n

Autonomicity:
• Self Configuration
• Self Optimization
• Self Protection
• Self Healing
• Operational data recording

• Authentication
• Airspace design and geofence definition
• Weather integration
• Constraint management
• Sequencing and spacing
• Trajectory changes
• Separation management
• Transit points/coordination with NAS
• Geofencing design and adjustments
• Contingency management

Constraints based on community needs about noise, sensitive areas, privacy issues, etc.

3-D Maps: Terrain, human-made structures

Real-time Wx and wind

Wx and wind Prediction

Airspace Constraints

Other low-altitude operations

Low altitude CNS options such as:
• Low altitude radar
• Surveillance coverage (satellite/ADS-B, cell)
• Navigation
• Communication

Transition between UTM and ATM airspace

Range of UAVs from disposable to autonomous

Multiple customers With diverse mission needs/profiles

NASA
UAS User Access to UTM

- Cloud-based: user accesses through internet
- Generates and files a nominal trajectory
- Adjusts trajectory in case of other congestion or pre-occupied airspace
- Verifies for fixed, human-made, or terrain avoidance
- Verifies for usable airspace and any airspace restrictions
- Verifies for wind/weather forecast and associated airspace constraints
- Monitors trajectory progress and adjust trajectory, if needed (contingency could be someone else’s)
- Supports contingency – rescue
- Allocated airspace changes dynamically as needs change
UTM Manager

• Airspace Design and Dynamic Adjustments
  – Right altitude for direction, geo-fencing definition, community concerns, airspace blockage due to severe weather/wind prediction or contingencies
  – Delegated airspace as the first possibility

• Support fleet operations as well as singular operators (analogy - airline operations center and flight service stations)

• Overall schedule driven system to ensure strategic de-conflictions (initially, overtime much more dynamic and agile)

• Management by exception
  – Operations stay within geo-fenced areas and do not interrupt other classes of airspace operations in the beginning stages
  – Supports contingency management
UTM System Requirements

- **Authentication**
  - Similar to vehicle identification number, approved applications only

- **Airspace design, adjustments, and geo-fencing**
  - Corridors, rules of the road, altitude for direction, areas to avoid

- **Communication, Navigation, and Surveillance**
  - Needed to manage congestion, separation, performance characteristics, and monitoring conformance inside geo-fenced areas

- **Separation management and sense and avoid**
  - Many efforts underway – ground-based and UAS based – need to leverage

- **Weather integration**
  - Wind and weather detection and prediction for safe operations
UTM System Requirements

• Contingency Management
  – Lost link scenario, rogue operations, crossing over geo-fenced areas
  – Potential “9-11” all-land-immediately scenario

• UTM Overall Design
  – Enable safe operations initially and subsequently scalability and expected massive growth in demand and applications
  – As minimalistic as possible and maintain affordability

• Congestion Prediction
  – Anticipated events – by scheduling, reservations, etc.

• Data Collection
  – Performance monitoring, airspace monitoring, etc.

• Safety of Last 50 feet descent operation
  – In presence of moving or fixed objects, people, etc.
## Near-term UTM Builds Evolution

<table>
<thead>
<tr>
<th>UTM Build</th>
<th>Capability Goal</th>
</tr>
</thead>
</table>
| UTM1      | Mostly show information that will affect the UAS trajectories  
|           | • Geo-fencing and airspace design  
|           | • Open and close airspace decision based on the weather/wind forecast  
|           | • Altitude Rules of the road for procedural separation  
|           | • Basic scheduling of vehicle trajectories  
|           | • Terrain/man-made objects database to verify obstruction-free initial trajectory |
| UTM2      | Make dynamic adjustments and contingency management  
|           | • All functionality from build 1  
|           | • Dynamically adjust availability of airspace  
|           | • Demand/capacity imbalance prediction and adjustments to scheduling of UAS where the expected demand very high  
|           | • Management of contingencies – lost link, inconsistent link, vehicle failure |
# Near-term UTM Builds Evolution

<table>
<thead>
<tr>
<th>UTM Build</th>
<th>Capability Goal</th>
</tr>
</thead>
</table>
| UTM3      | Manage separation/collision by vehicle and/or ground-based capabilities  
  • All functionality from build 2  
  • Active monitoring of the trajectory conformance inside geo-fenced area and any dynamic adjustments  
  • UTM web interface, which could be accessible by all other operators (e.g., helicopter, general aviation, etc.)  
  • Management of separation of heterogeneous mix (e.g., prediction and management of conflicts based on predetermined separation standard) |
| UTM4      | Manage large-scale contingencies  
  • All functionality of build 3  
  • Management of large-scale contingencies such as “all-land” scenario |
Example Interface

Start Date Time
2014-08-20

End Date Time
2014-08-20

Load Feature
Lion 876 - Test Operator [2014-08-20T18:00:00Z - 2014-08-20T19:30:00Z]

Operator: Test Operator
Primary Contact: Jimmy
Aircraft: Lion 876
Effective Time Begin: 2014-08-20T18:00:00Z
Effective Time End: 2014-08-20T19:30:00Z
Description:
Agriculture observations
Geo-fenced Areas

Operators may request an area of operation. If granted, a geo-fence is implemented wherein other requests that intersect spatially and temporally with the operation could be denied.

Operators may request specific trajectory for an operation. If granted, a geo-fence based on the vehicles operating parameters will be created to keep other vehicles within the UTM system from intersecting.

Airspace that is off limits to UAS operations (airports, TFRs, etc.) will have a geo-fence prohibiting acceptance of plans that intersect.
Collaborative Testing

- UTM will follow spiral builds approach
- Opportunity for SARP team members to participate
- Opportunity test SAA capabilities in common conditions to ensure consistently
- Contact us if you are interested in participating in tests and UTM project

- Immediately need miniature, low cost sense and avoid systems
  - Vehicle to vehicle
  - Last/first 50 feet operation: architecture options
Summary

• Near-term goal is to safely enable initial low-altitude operations within 1-5 years
• Longer-term goal is to accommodate increased demand in a cost efficient, sustainable manner
• Strong support for UTM system research and development
• Collaborate with NASA

FedBizOps and UTM (solicitation number UTM09032014)

Parimal.H.Kopardekar@nasa.gov
Summary

- Near-term goal is to safely enable initial low-altitude operations within 1-5 years.
- Longer-term goal is to accommodate increased demand in a cost efficient, sustainable manner.
- Strong support for UTM system research and development.
- Collaboration and partnerships for development, testing, and transfer of UTM to enable low altitude operations.
- Step towards higher levels of autonomy.

Parimal.H.Kopardekar@nasa.gov